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Contaminant removal of non-pollen material in palynologic samples for DNA barcoding

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## **ABSTRACT**

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DNA barcoding is a recently established technique that uses genetic markers to identify plant, fungal, and animal species. DNA barcoding for pollen, specifically, uses these genetic markers to identify pollen species. Barcoding will circumvent identifying pollen through visual microscopy, which will allow for rapid species-specific identification. This has broad implications since pollen is a biomarker of space and time and has functional importance in areas such as human respiratory allergies and forensic science. In certain circumstances, however, pollen samples may become contaminated with non-pollen plant material, which will not allow pollen species to be identified through the use of barcoding. This paper presents a series of trials conducted to alleviate this issue through the removal of non-pollen plant material with chemical and enzymatic reagents. Several combinations of chemical and enzyme treatments were tested on pollen and non-pollen material with the goal of removing all non-pollen DNA while maintaining the integrity of the pollen DNA. I found pre-treatment with sodium hydroxide, followed by treatment with DNase I enzyme was the best combination in achieving this goal. While this protocol showed promise, it was not consistently effective in removing non-pollen DNA. Using the foundations of this project, contaminant removal in a DNA barcoding context will require further methods development. Improving these methodologies in contaminant removal will allow for a broader range of powerful applications for DNA barcoding and pollen identification.

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## 2 INTRODUCTION

4 Pollen identification is a critically important technique in a wide range of scientific and  
technical fields. This importance is twofold: 1) pollen is a biomarker of space and time; and 2)  
6 pollen has tremendous functional importance. Pollen is an invaluable biomarker because  
different plant species occur in different geographic locations and bloom at different times [1–4].  
8 Thus, pollen can be used to trace the geographic history of people and objects, which can be  
utilized in tracking of agricultural products and in criminal or security-related forensics [1,2,5,6].  
10 Pollen is also used in paleontology to understand how environments change over time [7,8]. For  
example, sediment cores can be analyzed for different pollen species to indicate plant diversities  
12 over geological time scales. Pollen is also functionally important in human respiratory allergies  
[9–12]. If specific pollen species can be identified as primary allergens, then those particular  
14 pollen species can be used in targeted immunotherapies, and warning systems can be developed  
for patients who are sensitive to pollen from particular plant species. Pollen identification can  
16 also be used to address pollinator declines, and being able to identify pollen can help with  
understanding honeybee diets [13–15], building plant-pollinator networks [16,17], and  
18 understanding the effects of heterospecific pollen on plant reproduction [18–21].

Traditionally, visual microscopy has been used to identify pollen. However, visual  
20 identification can typically classify pollen only to the family or genus level due to structural  
ambiguities [22,23]. Additionally, visual identification relies on an expert palynologist, and such  
22 highly trained experts are rare and increasingly fewer are being trained. Moreover, thousands of  
individual pollen grains must be examined for reliable bulk identification, which is very time-  
24 consuming, creating a strong limiting factor. Together, these limitations have meant that many of  
the potentially very powerful applications of pollen identification have been underutilized. For



26 example, only a handful of studies have linked respiratory allergic responses to specific pollen  
taxa—and the existing studies have only focused on the plant genus level—because of the  
28 difficulties in identifying large numbers of pollen samples from automated aerial samplers [24].

In the last two years, however, new research has shown proof-of-concept of pollen  
30 identification with DNA barcoding [25,26], the use of genetic markers to identify species [27–  
30]. These markers can sequence genetic regions that are amplifiable across taxonomic  
32 kingdoms, and these regions have enough variations to differentiate between species [22]. DNA  
barcoding, thus, offers several potential advantages for pollen identification relative to visual  
34 microscopy. DNA barcoding can increase the taxonomic resolution of pollen identification to  
about 70% of plant species using a single genetic marker [22,26,31–33] and to 90% resolution  
36 rates using a multi-locus barcode [34,35]. DNA barcoding will also prevent any bottlenecks in  
terms of expertise, given that laboratories capable of techniques in DNA extraction and  
38 Polymerase Chain Reaction (PCR) amplification can have the capability to genetically identify  
many plant species. DNA barcoding methods can circumvent visual identification methods.  
40 Fewer palynologists are needed to visually identify and barcoding will allow for rapid, species-  
specific identification, streamlining laboratory processes. While DNA barcoding shows a great  
42 deal of promise, it also has potential limitations.

One such limitation to using DNA barcoding for pollen identification in many  
44 applications is the presence of non-pollen plant DNA in samples. We refer to such non-pollen  
plant DNA as “contaminant” DNA hereafter. The presence of one or more contaminating  
46 species, such as anther or leaf fragments, in pollen samples can result in incorrect DNA  
barcoding results. Non-pollen plant material will likely have a higher chloroplast DNA copy  
48 number relative to pollen and will favor PCR amplification of the non-pollen material,

potentially overwhelming the genetic signature of pollen. Three examples underscore how such  
50 contaminants could reduce the effectiveness of—or even preclude the use of—DNA barcoding  
of pollen: 1) If drug enforcement agents wanted to identify the geographic origins of a cannabis  
52 sample, the pollen that has settled onto the cannabis sample from where it was grown can be  
identified via DNA barcoding. There is a signal of geographic origin from the pollen; however,  
54 the pollen is surrounded by leaf material from the cannabis. This non-pollen leaf DNA must be  
removed before the signal from the pollen can be identified via barcoding, otherwise the  
56 cannabis signal will overpower the pollen signal. 2) Alternatively, pollen DNA barcoding can be  
applicable in the provenance determination of fraudulent agricultural products, such as olive oil.  
58 Italian oil commands a higher price, so there is a large industry centered around fraudulent  
labeling of non-Italian oil, as Italian in order to gain a higher selling price. Olive oil can be  
60 illegally labeled as “extra virgin Italian” when it is actually of a lower grade from Tunisia  
[36,37]. Pollen could be extremely useful to track the origin of the olive oil, but only if all the  
62 olive DNA were removed. 3) The removal of contaminants can also impact pollination biology  
research. If collected stigmas of plants contain pollen from various plant species, researchers can  
64 identify the pollen with DNA barcoding. However, if the stigma material is not completely  
removed prior to sequencing, the stigma signal will overpower the pollen signal. Given that these  
66 contaminants may have significant negative impacts across many areas of research, it is  
important to take contamination control measures.

68 Pollen’s unique structure and resistance to degradation allows for potential methods to  
preserve pollen’s genetic integrity, while removing other non-pollen materials. The structural  
70 durability of pollen is due in part to its exine (outer shell), which is formed primarily of the  
biopolymer, sporopollenin. Sporopollenin is one of the most chemically resistant biological

72 compounds, acting as a shield that protects pollen from many forms of biological assailants, such  
as strong hydrolytic acids or bases, lipid solvents, and detergents [38,39]. Research aiming to  
74 dissolve the exine has only achieved this partially using chemicals such as sulfuric acid or strong  
oxidizing agents [39–43], which exemplifies sporopollenin’s chemical resistance against a wide  
76 range of potential reagents. The exact biochemical pathways that lead to such resistance of  
sporopollenin are not yet fully understood [40]. By contrast, cellulose is the predominant  
78 material in leaf cell walls. Cellulose is a linear polysaccharide and while it is also a strong  
fibrous material, reinforced by hydrogen bonds, cellulose fibers can be at least partially dissolved  
80 by strong hydrolytic acids, bases, and cellulase enzymes [44–46]. For example, fungi in the  
genera *Trichoderma* and *Aspergillus* naturally produce cellulase that help them break down and  
82 digest the cellulose fibril linkages [47–50].

In this paper, I report on a series of experiments focused on developing a method to  
84 remove non-pollen plant DNA from samples, while keeping pollen DNA intact. Given the  
chemical properties of pollen and leaf materials, decontamination methods can take advantage of  
86 the chemical resistance of the pollen exine, while exploiting the vulnerability of leaf cell walls.  
Thus, this raises the question of whether or not all plant contaminants can be eliminated in pollen  
88 samples while maintaining the integrity of targeted pollen for identification. Other questions  
include: what chemical can be used to accomplish this and what is the shortest possible  
90 incubation time and process to accomplish this? I assessed different decontamination methods by  
incubating pollen and non-pollen material in five different chemical reagents and two enzymes,  
92 including hydrolytic acids and bases. I trialed combinations of various volumes and  
concentrations of chemical reagents and enzymes in order to determine the best method that  
94 would remove most of the non-pollen material, while preserving the pollen DNA.

## MATERIAL AND METHODS

### 96 *Overview*

My goal was twofold: remove any non-pollen DNA, while retaining pollen DNA. I tested  
98 a variety of chemical reagents on pollen and non-pollen plant material (specifically, purified  
DNA, leaf fragments, anther filaments). I tested three types of samples: 1) Non-pollen material  
100 only; 2) pollen only; and 3) leaf and pollen samples mixed in a 1:1 ratio by weight.

My protocol development consisted of two steps. First, in preliminary trials, pollen only  
102 and non-pollen only samples were initially tested with four chemicals. The most efficacious of  
these chemicals was then used in the second step, primary trials, that also included subsequent  
104 enzymatic treatment. The leaf and pollen mixed samples were only tested in the primary trials.  
After chemical/enzymatic treatments, we extracted DNA from samples, amplified the DNA  
106 using Polymerase Chain Reaction (PCR), qualitatively visualized for bands on gel  
electrophoreses, and sequenced the PCR products using Sanger Sequencing. I analyzed data  
108 based on BLAST database matches of PCR product to pollen inputs, as well as the Quality  
scores (Q-scores) of the PCR products. If non-pollen removal were successful, ideally for pollen  
110 only and mixed samples, the results would show only pollen matches with high Q-scores. By  
contrast, in leaf only samples, ideally there would be no sequencing results, with Q-scores close  
112 to zero.

### *Pollen Collection*

114 I collected pollen and leaf samples from Emory University campus, Lullwater Preserve  
and Hahn Woods. I also used commercial pollen samples (Sigma-Aldrich; St. Louis, MO and  
116 PolySciences; Warrington, PA) stored at 2 °C. Collected pollen samples were stored in 2 mL

centrifuge tubes at -20 °C for six months and for long term at -80 °C. Leaf samples were dried  
118 and stored in envelopes with silica gel at room temperature.

### *Chemicals Used*

120 I tested four chemicals: concentrated bleach (sodium hypochlorite) (James Austin  
Company; Mars, PA), commercial DNA Exitus (PanReac Applichem; Darmstadt Germany),  
122 hydrochloric acid (Macron Fine Chemicals; Center Valley, PA) and sodium hydroxide (Avantor;  
Center Valley, PA). I tested two enzymes: cellulase from *Aspergillus* sp. (Sigma-Aldrich; St.  
124 Louis, MO) and DNase I (Sigma-Aldrich; St. Louis, MO).

### *Preliminary Chemical Treatment Trials*

126 For initial trials, I used: pollen from *Hibiscus syriacus* L. (rose of Sharon; Malvaceae),  
*Lagerstroemia indica* L. (crepe myrtle; Lythraceae), *Ambrosia artemisiifolia* L. (common  
128 ragweed; Asteraceae) and leaf from *Hibiscus syriacus*, *Magnolia grandiflora* L. (southern  
magnolia; Magnoliaceae). To obtain pollen only samples, the collected anthers were vortexed for  
130 30 seconds in 1 mL of ultrapure PCR water (Bioline; Taunton, MA) for pollen removal. Under  
the microscope, I removed pollen grains from the anthers with sterilized tweezers and placed  
132 them into 2mL centrifuge tubes. Tweezers were sterilized by soaking in concentrated bleach for  
one minute and then rinsed with ethanol. The isolated pollen samples were centrifuged at 17,500  
134 rpm for two minutes and after the supernatant was removed, 200 µL of the remaining solution  
was used for chemical treatments. The amount of pollen grains added to each sample was not  
136 quantified. To obtain anther only samples, under the microscope, I cut approximately a 1 mm  
section of the anther filament with a sterilized scalpel and placed them into 2 mL centrifuge  
138 tubes. I examined the anther section under the microscope, and removed any remaining pollen  
grains that were attached. To obtain leaf only samples, I cut approximately a 1 mm section of the

140 dried leaf. To obtain purified DNA samples, I used 5  $\mu$ L of *Hibiscus syriacus* DNA from pollen  
that had not been chemically treated. Pollen only samples, anther only, purified DNA only, and  
142 leaf only samples were incubated with 1500  $\mu$ L of either: 1 M sodium hydroxide (NaOH), DNA  
Exitus, or 1 M hydrochloric acid (HCl) for one minute, ten minutes, thirty minutes, or one hour.  
144 Concentrated bleach was only tested on pollen only samples at concentrations of 1%, 2%, 3%,  
4%, 5.25% for one minute, ten minutes, thirty minutes, or one hour. After incubation, samples  
146 that were incubated with hydrochloric acid were neutralized with equal volumes of sodium  
hydroxide, and vice versa. Samples were then washed with 500  $\mu$ L of PCR water five times and  
148 centrifuged at 17,500 rpm to remove all supernatant. I replicated all treatment combinations in  
triplicate.

#### 150 *Primary Chemical Treatment Trials*

I used commercial pollen: *Populus deltoides* Batram ex Marshall (Salicaceae), *A.*  
152 *artemisiifolia* and leaf from *M. grandiflora* and *Lactuca sativa* L. (lettuce; Asteraceae) for the  
primary trials after determination from the preliminary trials that sodium hydroxide least affected  
154 pollen exine and caused the most degradation in leaf samples. To obtain leaf samples, dried leaf  
was ground into a powder using a sterilized mortar and pestle, with DNA free sand (MP  
156 Biomedical; Solon, OH) in order to enhance grinding efficacy. For leaf samples, 0.01 grams of  
leaf powder was weighed and placed into 2 mL micro-centrifuge tubes. For pollen samples, 0.01  
158 grams were weighed and placed into micro-centrifuge tubes. For pollen and leaf samples, 0.01  
grams of each were combined. Samples were treated with a one-hour incubation in 1500  $\mu$ L of 2  
160 M NaOH or 4 M NaOH. After the one-hour incubation, I centrifuged the samples at 17,500 rpm.  
I removed 750  $\mu$ L of NaOH and added an equal volume (750  $\mu$ L) of equimolar hydrochloric acid  
162 to neutralize. Samples were inverted three times and centrifuged to remove supernatant. Samples

were washed one time with 500  $\mu$ L of PCR water. Samples were centrifuged and supernatant  
164 was removed. Then, 24  $\mu$ L of cellulase was added along with 24  $\mu$ L of 8 M Tris HCl buffer and  
incubated for one hour at 80  $^{\circ}$ C. Samples were centrifuged and cellulase removed. Then, I added  
166 3  $\mu$ L or 6  $\mu$ L of DNase I enzyme, with an equal volume of Reaction buffer (Sigma-Aldrich; St.  
Louis, MO), and three times the volume of ultrapure PCR water. Samples were incubated at  
168 room temperature for 15 minutes. Then, an equal volume of Stop buffer (Sigma-Aldrich; St.  
Louis, MO) was added and samples were incubated at 70  $^{\circ}$ C.

#### 170 *DNA Isolation*

Following chemical treatments, all samples were disrupted with the Mini-Beadbeater  
172 (BioSpec; Bartlesville, OK) for three minutes and DNA was extracted with the FastDNA SPIN  
Soil Kit (MP Biomedical; Solon, OH). Negative isolation controls were done to ensure that there  
174 was no contamination from airborne pollen or other plant material. Positive controls with plant  
and pollen material without chemical treatments were also done to ensure that DNA could be  
176 extracted and amplified.

#### *DNA Amplification, Visualization and Sequencing*

178 I amplified the *rbcL* gene of extracted samples via Polymerase Chain Reaction (PCR)  
with 0.5  $\mu$ L of *rbcLa*-F [51] and 0.5  $\mu$ L of *rbcLa*-R [52] primers, 12.5  $\mu$ L of 1x MyTaq  
180 polymerase (Bioline; Taunton, MA), 6.5  $\mu$ L of ultrapure PCR water, and 5  $\mu$ L of extracted DNA.  
The *rbcL* gene is one of two consensus genetic markers for DNA barcoding of plants [53,54].  
182 Samples were run on Eppendorf Nexus Gradient MasterCycler (Hamburg, Germany) with the  
conditions: five minutes at 95  $^{\circ}$ C, 35 cycles of 30 seconds at 95  $^{\circ}$ C, 30 seconds at 55  $^{\circ}$ C, one  
184 minute at 72  $^{\circ}$ C, and final DNA extension of ten minutes at 72  $^{\circ}$ C. Samples were run at 35  
cycles.

186 The amplified reactions were run on a 1.5% Agarose gel with GelRed (Biotium;  
Hayward, CA) at 120 volts for 30 minutes. The amplified reactions were sent to Beckman  
188 Coulter Genomics (Danvers, MA) to be Sanger sequenced using ABI 3730XL DNA sequencers  
(Applied Biosystems; Foster City, CA).

#### 190 *DNA Searches using Geneious*

Sequences were aligned using the pairwise alignment function in Geneious (Biomatters;  
192 Auckland, New Zealand) and trimmed to equal coverage. The trimmed forward and reverse  
sequences for each sample were assembled using the *de novo* function in Geneious. The Q-  
194 scores for each forward and reverse sequence were recorded post trimming and before assembly.  
The assembled sequences were searched through GenBank BLAST ([www.ncbi.nlm.nih.gov](http://www.ncbi.nlm.nih.gov)).

#### 196 *Data Analysis*

##### Preliminary trials

198 I analyzed the preliminary trials based on qualitative intensity of gel electrophoresis bands  
(which indicate the quantity of DNA present at a particular base pair length). I classified band  
200 intensities into four ordinal categories: no bands, faint bands, intermediate bands, and bright  
bands. In preliminary trials, there were three main explanatory variables: sodium hydroxide,  
202 commercial DNA Exitus, and hydrochloric acid with different incubation times (one minute, ten  
minutes, thirty minutes, and one hour).

204 I analyzed the explanatory and response variables in data subsets of: Pollen Only, Leaf  
Only, Anther Only, and Purified DNA Only. In the Pollen Only subset, I also analyzed an  
206 additional explanatory variable: the presence of bleach. Within each data subset, I analyzed the  
response variables using calculated proportions in Excel and an ordinal logistic regression model  
208 (OLR) in the *R* statistical computing program [55].



### Primary trials

210 I organized the primary trials into three subsets of data: Pollen Only, Leaf Only, and  
Leaf/Pollen. I analyzed two quantitative response variables in the primary trials. The first  
212 variable was the presence or absence of a BLAST sequence match to the GenBank database that  
matched the tested pollen or leaf species. The second response variable was the sequence quality  
214 using Q-scores. Q-scores are standardized measurements of confidence in sequence  
determinations and are numerical estimates of error probabilities for a given base pair [56–58]. I  
216 also conducted another OLR for the primary trial band intensities, using the same ordinal  
categories for band intensities as the preliminary trials.

218 To assess the proportion of species-level matches, I used a binomial-errors generalized  
linear model (GLM) in *R*. I tested this model only with the Leaf/Pollen data subset, because in  
220 the Pollen Only and Leaf Only subset, the sequence always matched the tested species. In *R*, the  
sequence results were coded as “0” for no pollen match or “1” for matching the pollen sequence.  
222 I then ran the GLM for all sequences within the Leaf/Pollen data subset.

For analyses of Q-score quality, I conducted a linear model (LM) for the Pollen Only and  
224 Leaf/Pollen subsets. To meet the assumption of normality, I first calculated the average of the  
forward and reverse Q-scores for each replicate. I then removed all average Q-scores of zero  
226 (one sample in Pollen Only, and twelve samples in Leaf/Pollen) and logit-transformed the  
remaining mean Q-scores divided by 100, since the scores vary from 1-100. Subsequent  
228 quantile-quantile plots and residual vs. fitted-value plots confirmed that the data met the  
assumptions of linear models.

230

## 232 RESULTS

### *Overview*

234 I conducted a total of seven preliminary trials (n=105) and five primary trials (n=157). In  
the preliminary trials, I analyzed the effects of bleach, sodium hydroxide, Exitus, and  
236 hydrochloric acid on Pollen Only (n=33), Purified DNA Only (n=10), Anther Only (n=19), and  
Leaf Only (n=21) data subsets and 13 negative controls and 9 positive controls. In the primary  
238 trials, I analyzed the effects of sodium hydroxide (concentration and incubation time), cellulase,  
and DNase I on Pollen Only (n=41), Leaf Only (n=27), and Leaf/Pollen (n=62) data subsets and  
240 11 negative controls and 16 positive controls.

### *Preliminary Results*

242 In the Pollen Only subset with bleach treatments, in any of the triplicates of each  
combination of concentration and incubation time, there were no PCR products observed on the  
244 agarose gel. This showed that even at low concentrations, for a short incubation time, bleach was  
successful in removing all DNA from pollen. In the Purified DNA Only subset with the three  
246 chemical treatments (sodium hydroxide, Exitus, hydrochloric acid), there were also no bands in  
any triplicates of each chemical combination. This showed that all three chemicals tested were  
248 efficient at removing purified DNA.

I filtered the data to examine individual data subsets of Pollen Only, Leaf Only, and  
250 Anther Only. For each chemical, I calculated the total number of bands, for each type of  
intensity. I then divided each raw count by the sample size used for each chemical. This allowed  
252 me to compare each category of band intensity across all three chemicals for each of the data  
subsets. In the Pollen Only subset, there were similar proportions of intermediate bands across all  
254 three chemical treatments, with the hydrochloric acid treatment showing the highest proportion

of replicates having no bands (Table 1). This indicated that none of the chemicals were  
 256 successful in destroying all of the pollen DNA. In the Leaf Only subset, sodium hydroxide  
 treatments resulted in the highest proportion of replicates having no bands (Table 2). In the  
 258 Anther Only subset, hydrochloric acid treatments resulted in the highest proportion of replicates  
 having no bands (Table 3). I also conducted an ordinal logistic regression test comparing band  
 260 intensity and chemicals. Overall, I found that sodium hydroxide had a significant effect on  
 lowering band intensity ( $p=0.0010$ ) (Table 4). I also conducted OLR tests on incubation times  
 262 for the three chemicals, but found no significant effects.

**Table 1.** Pollen Only data subset shows similar proportions of band intensities for intermediate  
 264 bands across all chemicals. Raw band counts are in parentheses.

<b>Pollen Only</b>	<b>NaOH</b>	<b>Exitus</b>	<b>HCl</b>
<b>Bright bands</b>	0	0	0.13(2)
<b>Intermed bands</b>	0.78(7)	0.89(8)	0.40(6)
<b>Faint bands</b>	0	0	0.13(2)
<b>No bands</b>	0.22(2)	0.11(1)	0.33(5)

266

**Table 2.** Leaf Only data subset shows the largest proportion of no bands for the sodium  
 268 hydroxide treatments. Raw band counts are in parentheses.

270

<b>Leaf Only</b>	<b>NaOH</b>	<b>Exitus</b>	<b>HCl</b>
<b>Bright bands</b>	0	0.33(2)	0.44(4)
<b>Intermed bands</b>	0	0	0.22(2)
<b>Faint bands</b>	0.33(2)	0.67(4)	0.11(1)
<b>No bands</b>	0.67(4)	0	0.22(2)

272

274

276

278

280 **Table 3.** Anther Only data subset shows the largest proportion of no bands for the hydrochloric acid treatments. Raw band counts are in parentheses.

<b>Anther Only</b>	<b>NaOH</b>	<b>Exitus</b>	<b>HCl</b>
<b>Bright bands</b>	0.17(1)	0.50(3)	0
<b>Intermed bands</b>	0	0	0
<b>Faint bands</b>	0	0	0
<b>No bands</b>	0.83(5)	0.50(3)	1(7)

282  
284 **Table 4.** Ordinal logistic regression on band intensity with all three explanatory variables shows sodium hydroxide has a significant effect in lowering band intensity ( $p=0.0010$ ).

<b>OLR</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>Z-value</b>	<b>P-value</b>
<b>NaOH</b>	-1.4	0.5	-2.58	0.0010
<b>Exitus</b>	-0.7	0.5	-1.26	0.21
<b>HCl</b>	-0.3	0.4	-0.62	0.53

288 *Primary Results*

290 In the Leaf/Pollen data subset, I found a statistically significant effect of cellulase on  
decreasing the sequences that matched ( $p=0.00083$ ,  $df=46$ ). There was one sequence that  
292 matched with cellulase added and eleven sequences that matched without cellulase added. There  
was no significant effect of NaOH concentration or incubation times (Table 5). There were only  
294 two observations in which I had increased the DNase volume to 6  $\mu$ L; I removed this as an  
explanatory variable in the binomial GLM. In Pollen Only trials, I found that cellulase, DNase  
296 volume, and NaOH incubation time/concentration did not have a significant effect on sequence  
quality (Q-scores) (Table 6). In the Leaf/Pollen subset, I found a marginally significant effect of  
298 sodium hydroxide incubation time on the sequence quality ( $p=0.073$ ,  $df=8$ ) (Table 7). There was  
also a significant effect of cellulase on the band intensity ( $p=0.0025$ ) (Table 8).

**Table 5.** Binomial GLM for Leaf/Pollen samples showed that cellulase had a significant effect on decreasing the pollen sequence match ( $p=0.00083$ ,  $df=46$ ).

<b>Binomial GLM for Leaf/Pollen</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>Z-value</b>	<b>P-value</b>
Cellulase	-4.3	1.3	-3.34	0.00083
NaOH incubation time	1.1	1.3	0.84	0.40
NaOH concentration	0.7	0.9	0.75	0.45

**Table 6.** Linear model for Pollen Only subset showed no significant effect of explanatory variables on Q-score quality ( $df=25$ ).

<b>Linear model for Pollen Only</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>Z-value</b>	<b>P-value</b>
Cellulase	0.4	0.3	1.41	0.17
NaOH incubation time	-0.1	0.5	-0.32	0.75
NaOH concentration	-0.1	0.1	-0.52	0.61
DNase I volume	-0.03	0.1	-0.37	0.72

**Table 7.** Linear model for Leaf/Pollen samples show a marginally significant difference between my explanatory variables on having a difference in the Q score quality ( $p=0.073$ ,  $df=8$ ).

<b>Linear model for Leaf/Pollen</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>Z-value</b>	<b>P-value</b>
Cellulase	-0.07	1.1	-0.065	0.95
NaOH incubation time	1.2	0.6	2.03	0.073
NaOH concentration	1.1	0.7	1.62	0.14

**Table 8.** The OLR model for all primary trial samples showed cellulase had a significant effect on band intensity ( $p=0.0025$ ).

<b>Ordinal Logistic Regression</b>	<b>Estimate</b>	<b>Std. Error</b>	<b>Z-value</b>	<b>P-value</b>
Cellulase	-1.3	0.4	-3.02	0.0025
NaOH incubation time	0.2	0.6	0.24	0.81
NaOH concentration	0.2	0.2	1.20	0.23

## 316 **DISCUSSION**

### *Overview*

318           The many applications of pollen DNA barcoding are far-reaching, extending into realms  
from forensic investigations to pollination biology. In many applications, such as provenance  
320 tracking of agricultural products, there is a strong possibility of contamination of pollen samples  
with non-pollen plant material, which is why it is essential to find a method to first remove all  
322 non-pollen DNA.

### *Preliminary Trials*

324           The preliminary trial results showed that bleach disrupted pollen exines and removed all  
pollen DNA, even at a relatively low concentration of 1% and a short incubation time of one  
326 minute. In visual studies examining exine solubility from different chemicals, the exine was  
found to have completely dissolved after a one to two hour incubation with bleach [39]. Bleach  
328 is also known to destroy DNA via oxidative damage and cleavage of the DNA strands [59–61].  
Although this result is counter to the project goal, it is a useful finding in that bleach can be used  
330 as a reagent to sterilize all lab benches and external equipment surfaces (i.e., pipettes, centrifuge  
tube racks, lab coats, etc.) in order to minimize cross-contamination with pollen in between  
332 experiment trials. Bleach could also be used to decontaminate lab equipment that may become  
contaminated with airborne pollen grains from tubes containing intact or freshly collected pollen  
334 grains that are open near lab benches, or from the environment. Thus, sterilizing lab benches and  
equipment with dilute bleach for at least one minute will ensure all equipment is free of pollen  
336 grains or pollen DNA fragments.

          All three chemicals tested (sodium hydroxide, Exitus, and hydrochloric acid) removed all  
338 purified DNA. These results implied that if the chemicals were able to reach beyond DNA

protective barriers, such as plant cell walls or pollen exines, they would be capable of destroying  
340 all DNA present. DNA is extremely vulnerable to pH changes, and in the presence of acids and  
bases, DNA can denature, compromising the structural integrity of the double helix [62,63].  
342 Although the exact chemical composition of commercial DNA Exitus is not made public, Exitus  
has been shown to remove DNA contaminants as effectively as 10% bleach solutions [64]. Thus,  
344 if the known contaminant in pollen samples is purified DNA fragments, then any of the  
chemicals I tested is potent enough in removing the unprotected DNA. If the contaminant in  
346 pollen samples is other plant material, such as anther filaments or leaf fragments, my results  
suggest that the best chemical for removing leaf fragments is sodium hydroxide and hydrochloric  
348 acid for removing anther filaments.

#### *Primary Trials*

350 Based on the statistically significant effect of sodium hydroxide treatments on lowering  
band intensity, sodium hydroxide was chosen as the chemical to use in primary trials with the  
352 addition of cellulase and DNase I enzymes. Research has been conducted to discover which  
types of reagents will best dissolve cellulose. In these studies, hydrochloric acid was found to  
354 partially dissolve cellulose and sodium hydroxide was found to cause cellulose fibers to swell  
[20-22]. Although hydrochloric acid does have an effect on cellulose, I chose only to focus my  
356 primary trials on using sodium hydroxide in combination with enzymes, based on the  
preliminary trial results. I chose to add the cellulase enzyme after samples were treated with  
358 sodium hydroxide with the assumption that the sodium hydroxide incubation will weaken the  
integrity of the cell walls. Cellulase will then act as a cleaver and hydrolyze the fiber linkages  
360 [65]. This will break down the cell walls, subsequently allowing the DNase enzyme access to the  
DNA inside of the cells [66].

362 Based on my primary results and raw data counts, cellulase has a statistically significant  
effect on the resulting sequences of leaf/pollen samples, decreasing the number of matches to the  
364 pollen species. This result is not expected and implies that cellulase could have the potential in  
harming the pollen DNA integrity. This could stem from the fact that pollen's intine (inner layer)  
366 is composed primarily of cellulose [67]. When pollen lands on the stigma and produces a pollen  
tube, the pollen will produce some cellulase and other enzymes to soften and degrade the pollen  
368 wall [49,68]. In the two species of pollen used in the primary trials, cellulase could have gotten  
past the exine and degraded part of the intine. The subsequent DNase I enzyme that was added  
370 then could have digested some of the pollen DNA. Furthermore, cellulase and sodium hydroxide  
concentration/incubation time did not have a statistically significant effect on Q-score quality of  
372 the Pollen Only subset. This shows that the pollen exine was able to stay intact despite these  
chemical attacks, without compromising the pollen's genetic integrity.

#### 374 *Caveats and Future Directions*

Although my trials had limited species richness and phylogenetic diversity, the  
376 applications of this experiment can be expanded to other plant clades such as, gymnosperms or  
ferns in the future. The integrity of non-pollen material and pollen may vary across clades and  
378 within clades. For example, the leaves of *Magnolia grandiflora*, from the magnoliid clade, have  
a particularly thick and waxy cuticle (pers. obs.), which may lessen the potency of any chemical  
380 treatments that attempt to degrade the cell wall. Pollen exine chemical resistance may also vary  
across clades. For example, *Ambrosia artemisiifolia* pollen from the asterid clade has many  
382 spines on the exine, while *Zea mays* L. (Poaceae) pollen from the commelinid clade has  
particularly large pollen (pers. obs.). These structural differences may have an effect on the



384 integrity of the exine in the presence of chemical treatments. In future trials, various  
combinations of leaf and pollen from all clades should be tested.

386           The relatively small sample size across the preliminary and primary trials may have  
affected the statistical significance of certain results. For example, in the linear model of the Q-  
388 score quality for Leaf/Pollen samples, sodium hydroxide incubation had a marginal significance.  
If the sample size was increased, there may have been greater statistical power. Similarly, in  
390 preliminary trials, although hydrochloric acid seemed to have an effect on the absence of bands  
in the Anther Only subset, ultimately hydrochloric did not have a statistically significant effect  
392 on band intensity. If sample size were increased in the initial hydrochloric acid treatments, there  
may be a change in statistical significance.

394           A wider variety of non-pollen plant material could also be tested, such as stigmas or  
flower petals. In the primary trials, the only non-pollen material tested was leaf. Since most of  
396 this project was completed during winter and fall, there was not a chance to collect anther  
filaments or other plant materials for use in the primary trials. Additionally, while many  
398 combinations of chemical treatment incubation times and concentrations were tested, more  
combinations with a focus on cellulase should be tested. The question of whether cellulase  
400 damages the pollen DNA integrity should be further explored in trials with larger sample sizes,  
more pollen and leaf species, and perhaps a higher molarity of sodium hydroxide or a larger  
402 volume of cellulase. Hydrochloric should also be tested in lieu of sodium hydroxide to examine  
if hydrochloric can preferentially damage the leaf DNA in mixed pollen and leaf samples.

404           In future trials, additional genetic markers can also be used to increase taxonomic  
resolution of plant species identification. In this experiment, I used a single locus genetic marker

406 (*rbcL*) on the plastid genome. Future trials can include multi-locus markers (*rbcL+matK*) on the  
plastid genome or other genetic markers on the nuclear genome [53,54].

408 *Conclusion*

This project found that the use of sodium hydroxide in combination with DNase I  
410 enzymes in pollen samples contaminated with non-pollen material is a potentially useful method  
in removing non-pollen materials for pollen DNA barcoding. While this is not the perfect  
412 chemical combination that successfully removes all non-pollen plant DNA, the results of this  
project can be used as a foundation from which other chemicals can be tested. Improving  
414 contaminant removal methodologies can tap into the power of pollen DNA barcoding and  
dramatically improve applications in pollen identifications across many fields of research.

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