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\_\_Hiba Ahmed\_\_\_\_\_

Date

## Guideline concordant care improves overall survival for locally advanced non-small cell lung carcinoma patients: a National Cancer Data Base analysis.

By

Hiba Zara Ahmed MD, MPH

Global Epidemiology

Dr. Michael Goodman Faculty Thesis Advisor

Dr. Kristin Higgins Thesis Field Advisor

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By

Hiba Zara Ahmed

BS University of Notre Dame 2012

> MD Emory University 2017

Faculty Thesis Advisor: Michael Goodman MD, MPH

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#### Abstract

Guideline concordant care improves overall survival for locally advanced non-small cell lung carcinoma patients: a National Cancer Data Base analysis.

#### By Hiba Zara Ahmed

**Introduction:** Current evidence-based guideline-concordant care (GCC) for locally advanced non-small cell lung cancer (NSCLC) in patients with good performance status includes platinum-based chemotherapy during thoracic radiotherapy (TRT). This study evaluates factors associated with lack of GCC.

**Patients and methods:** Unresected stage III NSCLC patients, diagnosed from 2005 – 2013 with a Charlson-Deyo Score 0, were identified from the National Cancer Data Base. Primary outcomes were receipt of GCC, defined as administration of chemotherapy with TRT commencing within 2 weeks of each other and minimum TRT dose of 60 Gy, and overall survival (OS). Multivariable logistic regression modeling identified variables associated with non-GCC. Cox proportional hazard modeling examined OS.

**Results:** About 23% of patients (n=45,825) received GCC. Uninsured patients were more likely to receive non-GCC (odds ratio [OR]=1.54, p<0.001) compared to privately-insured patients. Other groups with greater odds of receiving non-GCC included: patients treated in the western, southern, or northeastern U.S. (ORs=1.39, 1.37, 1.19, respectively, all p values <0.001) compared to patients treated in the Midwest; those with adenocarcinoma (OR=1.48, p<0.001) compared to those with squamous cell carcinoma ; and women (OR=1.08, p=0.002) compared to men. Every one-year increase in age, increased a patient's odds of not receiving GCC by 4% (p<0.001). Those receiving non-GCC had higher death rates compared to those receiving GCC (hazard ratio [HR]=1.42, p<0.001). Other groups with lower survival for non-GCC versus GCC included: the uninsured (HR=1.53, p<0.001), patients treated in the western, southern, or northeastern US (HRs= 1.56, 1.41, 1.34, respectively, all p<0.001), adenocarcinomas (HR=1.39, p<0.001), and women (HR=1.44, p<0.001).

**Conclusion:** Socioeconomic factors, including lack of insurance and geography, are associated with lack of GCC. Patient/disease specific factors, including increasing age, adenocarcinoma histology, and sex, are also associated with non-GCC.

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#### BACKGROUND

Lung cancer is the leading cause of cancer-related mortality in the US with an estimated 158,040 deaths in 2015<sup>1</sup>. Lung cancer is the second most common newly diagnosed cancer per year, with a projected 221,200 individuals to be newly diagnosed in 2016<sup>1</sup>. Of these newly diagnosed lung cancers, approximately 85% are classified as non-small cell lung carcinoma (NSCLC). Despite the high incidence of this disease, 5-year survival rates range from 49% for Stage IA disease to 1% for stage IV disease<sup>1</sup>. The outcomes for locally advanced cases are particularly dismal, with a 5-year survival rate for stage IIIA NSCLC at approximately 14% and at 5% for stage IIIB<sup>2</sup>.

According to the American College of Radiology (ACR) Appropriateness Criteria<sup>3</sup>, the American Society for Radiation Oncology (ASTRO)<sup>4</sup>, and the American Society of Clinical Oncology (ASCO)<sup>5</sup>, the current evidence-based clinical practice guidelines recommend concurrent administration of platinum-based chemotherapy during thoracic radiotherapy (TRT) for locally advanced NSCLC<sup>6</sup>. All three organizations independently assembled multidisciplinary expert panels that performed extensive reviews of the current medical literature in order to develop evidence-based recommendations for the treatment of locally advanced NSCLC.

Several sentinel studies contributed to establishing these current guidelines. Perez et al. established the minimally sufficient radiation dose for stage III NSCLC as 60 Gy<sup>7</sup>. The Radiation Therapy Oncology Group (RTOG) 94-10, a randomized phase III trial also comparing concurrent versus sequential chemoradiation<sup>8</sup>, showed that once-daily concurrent chemoradiation was significantly better than sequential chemoradiation and that twice-daily concurrent chemoradiotherapy was not statistically different from once-daily chemoradiotherapy. Additional

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studies were also published between the years of 1999-2005 showing superiority of concurrent chemotherapy to sequential chemotherapy<sup>9-12</sup>. Additionally, Aupérin et al. performed a meta-analysis of six randomized control trials specifically comparing the outcomes of concomitant versus sequential chemoradiotherapy and demonstrated that concomitant chemoradiation resulted in better overall survival<sup>13</sup>.

Despite standardized treatment regimens, there are barriers to delivery of guideline concordant care (GCC). In a systematic review and meta-analysis of socioeconomic status (SES) and receipt of lung cancer treatment, Forrest et al. demonstrated statistically significant associations between lower SES and the likelihood of receiving surgical interventions and chemotherapeutic regimens<sup>14</sup>.Furthermore, a study looking specifically at race and sex as effect modifiers of receiving appropriate treatment for NSCLC showed that African American patients with Stage III disease were 34% less likely to receive standard treatment<sup>15</sup>. In a previous study of NCDB data, Khullar et al. analyzed the association of long-term survival of NSCLC patients undergoing pulmonary resection with various socioeconomic factors<sup>16</sup>, and found that Caucasians received lung resections at significantly greater rates than African Americans<sup>16</sup>.

Despite established evidence-based guidelines, ones that the American Society of Clinical Oncology has officially endorsed, patients do not receive guideline concordant care. This is especially true for NSCLC treatment. In order to work toward closing this chasm, the academic community needs to understand the reasons for these disparities, which remain largely unexplored. This research attempts to examine this disparity and elucidate possible contributors to not receiving guideline-concordant care, specifically for unresected, stage III NSCLC patients of good performance status. Unlike previous analyses though, which primarily focus on receipt of surgery or chemotherapy, this study assesses receipt of radiotherapy in addition to

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chemotherapy. The NCDB NSCLC data have not, to our knowledge, been previously analyzed for the effect of socioeconomic risk factors on stage III treatment, thus making this study one of the largest with a cohort of 45,825 patients

#### **METHODS**

This study utilizes the National Cancer Data Base (NCDB), a clinical oncology database containing both clinical and demographic information collected from patients treated at over 1,500 Commission on Cancer (CoC)-accredited institutions, which is jointly supported by the American College of Surgeons and the American Cancer Society. Unresected stage IIIA/IIIB NSCLC patients, diagnosed from 2005 – 2013 and with a Charlson-Deyo Score of 0, were identified. Exclusion criteria included patients with any distant metastases, who received any surgical intervention, who received any form of radiotherapy other than photon therapy, who received TRT for palliative purposes or anywhere other than the lungs/chest, and patients who received treatment for a non-primary tumor.

Primary outcomes of interest were receipt of GCC, defined as administration of chemotherapy with TRT commencing within 2 weeks of each other and minimum TRT dose of 60 Gy, and overall survival (OS). Patient- and facility-level variables examined included facility type, facility location, sex, race/ethnicity, insurance status, income, education, and distance to treatment facility labeled as great circle distance in the NCDB. Facility type was characterized according to CoC accreditation criteria, which are based on an institution's caseload and services offered. Race was defined as Caucasian, African American (AA), or other based on patient selfidentification. Hispanic ethnicity was also categorized based on self-identification. Insurance type was grouped as no insurance, government insurance (including Medicare, Medicaid, and other government insurance programs), or private insurance. Patient income and education levels were estimates based on US Census Bureau average incomes/high school diplomacy rates for patients' zip codes. NCDB presented income data as median quartiles and education was represented by median quartiles of the percentage of patients without high school degrees. In

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addition to these socioeconomic variables, the researchers controlled for year of diagnosis, tumor histology, tumor grade, and age.

#### Statistical Analyses

All statistical analyses were performed using SAS version 9.4 (Cary, NC). The threshold for statistical significance for all tests was set at the two sided alpha error of 0.05. Multivariable logistic regression modeling identified variables associated with delivery of GCC. The results of logistic regression models were expressed as adjusted odds ratios (OR) and the corresponding 95% confidence intervals. For survival analyses, the sample size was further limited to those diagnosed between 2005 and 2012, since survival data for patients diagnosed after 2012 were unavailable. To minimize lead-time bias, survival analyses only considered patients surviving more than 3 months from time of diagnosis. Once factors associated with receiving non-GCC were identified, two-way interaction testing was performed to detect any conditional interdependence among variables. Hazard ratios (HR) and the 95% CI estimates were calculated for individuals receiving non-GCC within each high-risk demographic group using Cox proportional hazard modeling.

Lastly, propensity score matched (PSM) analyses were performed. A logistic regression model predicting GCC status was used to calculate propensity scores factoring in facility location, facility geographical region, sex, race/ethnicity, insurance type, geographic area, income, education, year of diagnosis, histology, grade, great circle distance, and age at diagnosis. Patients from the GCC and non-GCC groups were matched based on the propensity scores using a greedy matching algorithm. The effectiveness of the PSM was evaluated by calculating the standardized differences of the covariates on the matched sample. The effects of non-GCC on OS were recalculated using the matched sample.

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#### RESULTS

#### Patient Characteristics

A total of 45,825 unresected stage IIIA and IIIB NSCLC patients with Charlson-Deyo score of 0 diagnosed between 2005 and 2013 met inclusion criteria (Figure 1). Patient characteristics are represented in Table 1. Overall, 23% of patients were treated with GCC. Approximately 28% of patients received neither chemotherapy nor TRT; 23% received chemotherapy but no TRT; 13% received chemotherapy and TRT to < 60 Gy; 5% received sequential chemoradiation to  $\geq$  60 Gy; 4% received no chemotherapy but received TRT to < 60 Gy; and 4% received no chemotherapy but TRT to  $\geq$  60 Gy.

#### Factors Associated with Patients Receiving Guideline-Concordant Care

Crude analysis showed statistically significant differences between patients receiving GCC versus non-GCC for all included socioeconomic variables (Table 1). Due to missing data for at least one of the variables of interest, only 39,232 observations out of the 45,825 eligible were included in further analyses. Multivariable logistic regression (MLR) modeling showed that several demographic groups were at higher risk of receiving non-GCC, even after controlling for all other socioeconomic and clinical factors included in the study (Table 2). For example, women were more likely to receive non-GCC with an adjusted OR of 1.08 (95% CI 1.03 – 1.14; p = 0.002) when compared to men. Differences were also seen in terms of race/ethnicity when compared to Caucasians as the reference group; the odds of receiving non-GCC for AA were 1.13 (95% CI 1.05 – 1.21, p = 0.002) and 1.24 (95% CI 1.07 – 1.43, p = 0.004) for the "other" category. Hispanics were also at increased risk of receiving non-GCC (OR = 1.30, 95% CI 1.11 – 1.51, p = 0.001) compared to non-Hispanics. Insurance type also influenced receipt of GCC; in comparison to privately insured patients, the uninsured had an odds ratio of 1.54 for not getting

GCC (95% CI 1.37-1.75, p < 0.001). Patients treated in the western, southern, or northeastern U.S. were more likely to receive non-GCC (OR= 1.39, 95% CI 1.28 – 1.50; OR = 1.37, 95% CI 1.29 - 1.46; OR = 1.19, 95% CI 1.10 - 1.28; each p value <0.001) compared to patients treated in the Midwest. Adenocarcinoma and large-cell/other histological types were more likely to receive non-GCC (OR = 1.48, 95% CI 1.40 - 1.57; OR = 1.30, 95% CI 1.22 - 1.39; both p<0.001) compared to squamous cell carcinoma histology. Lastly, for every one-year increase in age, patients had a 4% increased odds of not receiving GCC (OR = 1.04, 95% CI 1.03 - 1.04, p < 0.001). Interaction testing revealed interaction between geographical region and Hispanic ethnicity and insurance status and between race and gender (Table 3).

#### Survival Analyses

On Cox proportional hazard modeling, the overall cohort consisting of those who did not receive GCC had higher death rates compared to those who received GCC (HR = 1.42, 95% CI 1.38 - 1.47, p < 0.001) (Table 4). In a head to head comparison, women receiving non-GCC had death rates 1.44 times that of women receiving GCC (95% CI 1.38 - 1.51, p < 0.001). Other groups with lower OS for non-GCC versus GCC included: AAs (HR = 1.57, 95% CI 1.45 - 1.70, p < 0.001), the uninsured (HR=1.53, 95% CI 1.33 - 1.77, p<0.001), treatment in the western, southern, or northeastern US [HRs= 1.56 (1.43 - 1.69), 1.41 (1.35 - 1.48), 1.34 (1.26 - 1.44), respectively, p<0.001], adenocarcinomas and large cell/other histologies [HRs=1.39 (1.32 - 1.46), 1.47 (1.39 - 1.54), respectively, p<0.001). PSM data showed comparable hazard ratios for most groups (Table 5). Before PSM, 10,476 patients received GCC and 35,349 patients received non-GCC across the entire study population. Afterwards, 7,626 patients were in each cohort. These results are illustrated in the form of Kaplan Meier curves with log-rank testing in Figure 2.

#### DISCUSSION

Despite gains in knowledge regarding optimal treatment regimens, utilization of GCC was only 23% in this study using a large database that contains approximately 70% of cancer cases in the United States. This study shows a that receipt of GCC was associated with certain socioeconomic risk factors, including insurance status and facility geographical location, as well as other patient and disease related characteristics, such as sex, race/ethnicity, age, and tumor histology. It also shows better overall survival for those receiving GCC.

The relationship between socioeconomic risk factors and receipt of GCC was observed after controlling for a number of confounders. The cause of these disparities in treatment is likely multifaceted including possible environmental, patient-associated, and healthcare system-associated variables. But even if disadvantaged patients have equal access to care, it may not translate into optimal treatment provided. Patient-associated factors also influence whether or not a patient receives optimal treatment. There may be other factors influencing treatment options, such as underlying deficits in health literacy<sup>19-21</sup>. Another factor at play, perhaps related to deficits in health literacy, may be suboptimal patient-physician interactions. Even patients' perceptions about treatment can lead to differential treatment refusal rates across socioeconomic factors, which would then influence which groups of patients end up receiving the GCC<sup>22-24</sup>. There are differences in attitudes, beliefs, and knowledge about lung cancer risk, treatment, and mortality across the various socioeconomic strata and racial/ethnic groups<sup>22-24</sup>. For example, one study found an increased prevalence of fatalism and denial in African American patients versus Caucasian patients, adding an additional barrier to receiving the appropriate care<sup>25</sup>.

Some studies have demonstrated that when socioeconomic risk factors are minimized and access to care is equitable, patients receive the appropriate care at the right time with very

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few racial differences<sup>26-28</sup>. Most of these studies examined Veterans Affairs facilities' patients. Most importantly, these studies eliminate any disparities across insurance status, which was the strongest predictive variable of receipt of non-GCC in this study. Controlling for this single factor eliminated most differences observed across racial/socioeconomic lines<sup>26-28</sup>.

One of the more unexpected findings was evidence of sex disparities. Women were at a risk 1.08 times that of men for receiving non-GCC. This is a relatively novel finding as typically many studies find no gender difference in the receipt of therapy<sup>29</sup>. For example, Bista et al. found no disparities in the receipt of radiotherapy by sex among patients with stage I follicular lymphoma<sup>29</sup>. The few studies that do find sex disparities in receipt of treatment have varying explanations for this phenomenon. In one particular study, women were less likely to receive systematic chemotherapy for their advanced bladder cancer when compared to men, which was attributed to a variety of factors, including tumor biology, i.e. histology and staging, and health-care related factors, i.e. differential time to diagnosis and surgical mortality<sup>30</sup>. There is also evidence of decreased chemotherapy use for women with pancreatic cancer, Hodgkin lymphoma, renal cell carcinoma, and colon cancer<sup>31-34</sup>.

Another significant finding was the strong influence of geographic region of treatment facility on receipt of GCC. There have been several studies examining geographic disparities for vaccine coverage, incidence of childhood diabetes/obesity, HIV treatment, and surgery for pituitary tumors<sup>35-40</sup>, yet very few provide any reasoning as to what drives these disparities. The general pattern of disparity in these studies is similar to what is seen in this study: the west and especially the south have the largest gaps in healthcare coverage. Heumann et al. suggested that these disparities are due to a lack of awareness and understanding in these regions<sup>35</sup>. Lee et al., in an analysis of childhood diabetes and obesity rates, suggested that the disparate incidence of

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these diseases could in part be due to geographic disparities in physician and, more specifically, endocrinologist supply<sup>36</sup>. Lastly, Svider et al. emphasized that areas like the south/west are slower to adapt changes in technique/recommendations for treatment<sup>40</sup>. Although similar studies have not been performed for stage III NSCLC treatment, comparable factors could be influencing the disparate delivery of GCC observed in this study.

One potential explanation for the low proportion of patients receiving GCC (23%) may be related to a lag time in utilization of concurrent chemoradiation relative to publication of sentinel studies showing a clear benefit.

Our data show that the use of GCC increased significantly since 2005 and appears to have reached the present levels around 2010. These findings indicate that concurrent chemoradiotherapy was relatively slow to be adopted within the U.S. population, despite several large randomized trials showing a survival benefit to this regimen relative to sequential chemoradiotherapy<sup>8-13</sup>. Reasons for this delay in adopting concurrent therapy are unclear, but may be a result of concerns about toxicities, particularly given that supportive care platforms for management of combined modality treatment toxicities were likely less developed in the early years of this study period. Similar uptake of evidence-based care over time has been reported in other cancers<sup>41-48</sup>.

Perhaps the most important finding of this study is the significantly worse overall survival for those who do not receive GCC versus those receiving GCC. The association was evident for every identified high-risk group.. This key finding underscores previous work with similar findings related to lung cancer and other types of cancers, derived from a variety of sources, including population-based cancer surveillance data, NCDB, and national patterns of care studies<sup>41-48</sup>.

Unlike previous analyses though, which primarily focus on receipt of surgery or chemotherapy, this study assesses receipt of radiotherapy in addition to chemotherapy<sup>14,15,17,49</sup>. The NCDB NSCLC data have not, to our knowledge, been previously analyzed for the effect of socioeconomic risk factors on stage III treatment, thus making this study one of the largest with a cohort of 45,825 patients.

Limitations of this study include the inherent retrospective nature of NCDB data. The information on patient income/education status is limited to area-based census data, which limits the ability to apply on an individual patient level. Race can sometimes represent not measurable or poorly measured socioeconomic risk factors, including income/education status; thus, it is possible that any racial differences in outcomes observed in this study may, in fact, be due to underlying undetectable financial/educational differences. NCDB also does not provide patient performance status, which, if used in lieu of Charlson-Deyo score, would have added accuracy to our results. Better patient-level data on income, education, and performance status would be needed to address these possible sourcees of bias. This study was also intentionally limited to stage III NSCLC patients eligible for concurrent chemoradiation. Further studies can be done to identify who is at high-risk of receiving guideline discordant care for other treatment options/stages of NSCLC. This study can also not comment on treatment toxicities nor determine the exact cause of death in our patient population. Furthermore, this study could not examine exactly where in the process, from diagnosis to treatment initiation, the opportunity is being lost to improve the rates of guideline concordance related to treatment. Further studies are needed to elucidate specific areas where interventions to improve guideline-concordant care might be most effectively implemented.

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Even though GCC was associated with significant differences in overall survival, only 23% of patients received GCC. Socioeconomic factors, including lack of insurance and geography, are associated with non-GCC. Patient specific factors, including sex, race/ethnicity, and increasing age are also associated with non-GCC, as are disease specific factors, such as adenocarcinoma histology. Future interventions might target these groups as an opportunity to improve provision of GCC, as it is so crucial to improving survival.

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	<b>T</b> 1	N = 45825		ncordant care <sup>1</sup>	
Variable	Level	(col %)	No (col %)	Yes (col %)	Parametric p-value*
Guideline concordant care <sup>1</sup>	No	35349 (77.1)		-	-
	Yes	10476 (22.9)		-	-
Guideline concordant care:	No chemo or radiation	12718 (27.8)		_	_
expanded breakdown	No chemo, radiation $\geq 60$ Gy	1636 (3.6)		-	-
	Chemo, no radiation	10504 (22.9)		-	-
	Chemo, radiation < 60 Gy	6157 (13.4)		-	-
	No chemo, radiation <60 Gy	1951 (4.3)		-	-
	Sequential chemoradiation: chemo radiation $\geq$ e60 Gy; lagtime <sup>2</sup> between 14 - 121 days	2383 (5.2)		-	-
	Concurrent chemoradiation: chemo, radiation $\geq$ o60 Gy; lagtime within 14 days	10476 (22.9)		-	-
Facility type	Academic/research program	13140 (28.9)	28.95	28.6	<0.001
	Community cancer program/other	6885 (15.1)	15.09	15.26	
	Comprehensive community cancer program	22915 (50.3)	50.08	51.24	
	Integrated network cancer program	2575 (5.7)	5.88	4.89	
	Missing	310			
Geographical region	West	6707 (14.7)	15.39	12.53	<0.001
	South	18194 (40.0)	40.71	37.49	
	Northeast	8886 (19.5)	19.54	19.46	
	Midwest	11728 (25.8)	24.36	30.53	
	Missing	310			
Sex	Male	25360 (55.3)	54.51	58.15	<0.001
	Female	20465 (44.7)	45.49	41.85	

Table 1. Descriptive statistics of study population and univariate association analysis.

		N = 45825	Guideline concordant care <sup>1</sup>		
Variable	Level	(col %)	No (col %)	Yes (col %)	<sup>-</sup> Parametric p-value*
Race	Caucasian	37761 (83.1)	82.87	84.06	<0.001
	African American	6064 (13.4)	13.39	13.23	
	Other	1594 (3.5)	3.75	2.71	
	Missing	406			
Hispanic ethnicity	No	40934 (96.8)	96.51	97.64	<0.001
	Yes	1369 (3.2)	3.49	2.36	
	Missing	3522			
Insurance type	Not insured	2014 (4.5)	4.56	4.27	<0.001
	Government insurance	29263 (65.3)	67.01	59.47	
	Private insurance	13548 (30.2)	28.43	36.26	
	Missing	1000			
Geographic area type	Rural	1128 (2.6)	2.48	2.89	<0.001
	Urban	7879 (17.9)	17.4	19.77	
	Metro	34892 (79.5)	80.12	77.34	
	Missing	1926			
Median income quartiles	Not available	1934			0.032
2000	< \$30,000	7355 (16.8)	16.79	16.64	
	\$30,000 - \$35,999	8899 (20.3)	20.23	20.42	
	\$36,000 - \$45,999	12715 (29.0)	28.67	29.97	
	\$46,000 +	14922 (34.0)	34.3	32.97	
Percent no high school	Not available	1939			<0.001
degree quartiles 2000	>=29%	9024 (20.6)	20.92	19.37	
	20-28.9%	11433 (26.1)	25.7	27.24	
	14-19.9%	10489 (23.9)	23.66	24.7	
	< 14%	12940 (29.5)	29.72	28.69	

		N = 45825	Guideline co	ncordant care <sup>1</sup>	
Variable	Level	(col %)	No (col %)	Yes (col %)	Parametric p-value*
Year of diagnosis	2005	6547 (14.3)	14.65	13.05	<0.001
	2006	5873 (12.8)	12.9	12.54	
	2007	5692 (12.4)	12.49	12.19	
	2008	5974 (13.0)	13.47	11.58	
	2009	5835 (12.7)	13.08	11.55	
	2010	4195 (9.2)	8.74	10.55	
	2011	4023 (8.8)	8.38	10.12	
	2012	3926 (8.6)	8.36	9.26	
	2013	3760 (8.2)	7.92	9.16	
Histology	Adenocarcinoma	16536 (36.1)	37.35	31.82	<0.001
	Other	14076 (30.7)	31.16	29.23	
	Squamous cell carcinoma	15213 (33.2)	31.49	38.96	
Grade	Well differentiated, differentiated, NOS	1144 (2.5)	2.67	1.9	<0.001
	Moderately differentiated, moderately well differentiated, intermediate differentiation	5893 (12.9)	12.5	4.06	
	Poorly differentiated	14020 (30.6)	30.04	32.47	
	Undifferentiated, anaplastic	767 (1.7)	1.7	1.58	
	Cell type not determined, not stated or not applicable, unknown primaries, high grade dysplasia	24001 (52.4)	53.08	49.99	
Great circle distance (units	Mean	0.45	0.46	0.43	0.048
= 50 mi)	Median	0.17	0.17	0.19	
	Minimum	0.00	0	0	
	Maximum	54.34	54.34	50.19	
	Std dev	1.66	1.71	1.47	
	Missing	1126.00	0.46	0.43	

Variable	N = 45825	Guideline co	oncordant care <sup>1</sup>		
	Level	(col %)	No (col %)	Yes (col %)	Parametric p-value*
Age at diagnosis (years)	Mean	67.62	68.52	64.6	<0.001
	Median	68.00	69	65	
	Minimum	18.00	18	27	
	Maximum	90.00	90	90	
	Std dev	11.49	11.65	10.39	
	Missing	0.00	68.52	64.6	

		Non-guideline-concordant care		
Covariate	Level	Odds ratio (OR) (95% CI)	OR p- value	Type 3 p- value
Facility type	Integrated network cancer program	1.14 (1.01-1.28)	0.034	<.001
	Comprehensive community cancer program	0.88 (0.83-0.93)	<.001	
	Community cancer program/other	0.99 (0.91-1.07)	0.796	
	Academic/research program	-	-	
Facility geographical region	West	1.39 (1.28-1.50)	<.001	<.001
	South	1.37 (1.29-1.46)	<.001	
	Northeast	1.19 (1.10-1.28)	<.001	
	Midwest	-	-	
Sex	Female	1.08 (1.03-1.14)	0.002	0.002
	Male	-	-	
Race	Other	1.24 (1.07-1.43)	0.004	<.001
	Black	1.13 (1.05-1.21)	0.002	
	White	-	-	
Hispanic ethnicity	Yes	1.30 (1.11-1.51)	0.001	0.001
	No	-	-	
Insurance type	Not insured	1.54 (1.37-1.75)	<.001	<.001
	Government insurance	1.03 (0.97-1.09)	0.390	
	Private insurance	-	-	
Geographic area	Rural	0.84 (0.72-0.97)	0.020	0.004
	Urban	0.92 (0.86-0.98)	0.008	
	Metro	-	-	

Table 2. Multiple logistic regression to predict odds of getting non-guideline-concordant care

		Non-guideline-co	oncordant ca	are
Covariate	Level	Odds ratio (OR) (95% CI)	OR p- value	Type 3 p- value
Year of diagnosis	2005	1.34 (1.21-1.49)	<.001	<.001
	2006	1.22 (1.10-1.36)	<.001	
	2007	1.21 (1.09-1.35)	<.001	
	2008	1.37 (1.23-1.52)	<.001	
	2009	1.32 (1.19-1.46)	<.001	
	2010	1.01 (0.91-1.12)	0.873	
	2011	1.00 (0.90-1.11)	0.989	
	2012	1.06 (0.95-1.18)	0.314	
	2013	-	-	
Histology	Adenocarcinoma	1.48 (1.40-1.57)	<.001	<.001
	Squamous cell carcinoma	1.30 (1.22-1.39)	<.001	
	Other	-	-	
Grade	Well differentiated, differentiated, NOS	1.26 (1.06-1.50)	0.009	0.002
	Moderately differentiated, moderately well differentiated, intermediate differentiation	0.93 (0.86-1.00)	0.060	
	Poorly differentiated	0.93 (0.88-0.98)	0.011	
	Undifferentiated, anaplastic	1.05 (0.86-1.28)	0.637	
	Cell type not determined, not stated or not applicable, unknown primaries, high grade dysplasia	-	-	
Great circle distance (units = 50 mi)		1.02 (1.00-1.04)	0.021	0.021
Age at diagnosis (years)		1.04 (1.03-1.04)	<.001	<.001

\* Number of observations in the original data set = 45825. Number of observations used = 39232. \*\* Backward selection with an alpha level of removal of .2 was used. The following variables were removed from the model: Median income quartiles 2000, and percent no high school degree quartiles 2000.

		Guideline concordant care = no			
Covariate	Level	Odds ratio (OR) (95% CI)	OR p- value	Type 3 p- value	
Stratified comparisons by geographical region:					
				0.033	
West	Non-Hispanic vs. Hispanic ethnicity	0.79 (0.61-1.02)	0.066	-	
South	Non-Hispanic vs. Hispanic ethnicity	0.61 (0.46-0.81)	<.001	-	
Northeast	Non-Hispanic vs. Hispanic ethnicity	1.15 (0.84-1.57)	0.381	-	
Midwest	Non-Hispanic vs. Hispanic ethnicity	0.74 (0.43-1.27)	0.269	-	
				0.009	
West	Government insurance vs. not insured	0.43 (0.29-0.64)	<.001	-	
	Private insurance vs. not insured	0.40 (0.27-0.60)	<.001		
			< 0.01		
South	Brivate insurance vs. not insured	0.63(0.54-0.75)	<.001	-	
	Filvate insurance vs. not insured	0.00 (0.55-0.78)	<.001		
Northeast	Government insurance vs. not insured	0.93 (0.66-1.32)	0.691	-	
	Private insurance vs. not insured	0.95 (0.67-1.35)	0.781		
Midwest	Government insurance vs. not insured	0 79 (0 62-1 01)	0.061	_	
mawest	Private insurance vs. not insured	0.70 (0.55-0.90)	0.006		

# Table 3. Results of statistically significant interaction testing between variables found to be significantly associated with receipt of guideline concordant care on multivariable logistic regression analysis

Population	Hazard ratio (HR) (95% CI)	HR p- value
Total cohort	1.42 (1.38-1.47)	<.001
Women	1.44 (1.38-1.51)	<.001
Men	1.40 (1.35-1.46)	<.001
Other race/ethnicity	1.13 (0.95-1.35)	0.180
African American	1.57 (1.45-1.70)	<.001
Caucasian	1.41 (1.37-1.46)	<.001
Hispanic ethnicity	1.27 (1.05-1.54)	0.014
Non-Hispanic ethnicity	1.43 (1.38-1.47)	<.001
Uninsured	1.53 (1.33-1.77)	<.001
Privately insured	1.35 (1.29-1.43)	<.001
West	1.56 (1.43-1.69)	<.001
South	1.41 (1.35-1.48)	<.001
Northeast	1.34 (1.26-1.44)	<.001
Midwest	1.42 (1.35-1.50)	<.001
Adenocarcinoma histology	1.39 (1.32-1.46)	<.001
Other histology	1.47 (1.39-1.54)	<.001

 

 Table 4. Overall survival (months) comparison between patients receiving non-guidelineconcordant care versus patients receiving guideline concordant care

Population	Hazard ratio (HR) (95% CI)	HR p- value
Squamous cell carcinoma histology	1.41 (1.33-1.48)	<.001

\*Variables controlled for in this analysis include facility type, geographical region, sex, race, Hispanic ethnicity, insurance type, geographical area type, income, education, year of diagnosis, histology, grade, great circle distance, and age of diagnosis.

Overall survival			
Population	Ν	 Hazard Ratio (95% CI)	HR P- value
Guideline concordant care	7626	1.42 (1.37-1.47)	<.001
Women	3190	1.41 (1.33-1.49)	<.001
Men	4433	1.39 (1.33-1.46)	<.001
Other race/ethnicity	192	1.13 (0.89-1.44)	0.299
African American	1005	1.54 (1.40-1.71)	<.001
Caucasian	6424	1.39 (1.34-1.45)	<.001
Hispanic ethnicity	174	1.42 (1.10-1.84)	0.007
Non-Hispanic ethnicity	7446	1.41 (1.36-1.46)	<.001
Uninsured	313	1.64 (1.36-1.98)	<.001
Privately insured	2761	1.33 (1.26-1.42)	<.001
West	1018	1.52 (1.38-1.68)	<.001
South	2888	1.38 (1.30-1.46)	<.001
Northeast	1483	1.27 (1.17-1.37)	<.001
Midwest	2200	1.37 (1.28-1.46)	<.001

Table 5. Overall survival (months) comparison between patients receiving non-guidelineconcordant care versus patients receiving guideline-concordant care (GCC) for various socioeconomic risk factors represented by hazard ratios with propensity score matching

<b>Overall survival</b>			
Population	N	 Hazard Ratio (95% CI)	HR P- value
Adenocarcinoma histology	2375	1.35 (1.26-1.44)	<.001
Other histology	2951	1.42 (1.34-1.51)	<.001
Squamous cell carcinoma histology	2295	1.38 (1.29-1.47)	<.001

\*Variables controlled for in this analysis include facility type, geographical region, sex, race, Hispanic ethnicity, insurance type, geographical area type, income, education, year of diagnosis, histology, grade, great circle distance, and age of diagnosis.

#### Stratified comparison by race:

				0.009
Other	Male vs. female	0.79 (0.58-1.06)	0.110	-
African American	Male vs. female	1.11 (0.97-1.27)	0.124	-
Caucasian	Male vs. female	0.90 (0.85-0.95)	<.001	-

\* Number of observations in the original data set = 45825. Number of observations used = 38542.
\*\* Backward selection with an alpha level of removal of .2 was used. No variables were removed from the model. \*\*\* The estimated stratified treatment effect was controlled by: age at diagnosis, great circle distance (units = 50 mi), grade, Hispanic ethnicity, sex, year of diagnosis, facility type, geographical region, histology, race, and insurance.

Figure	1



## Figure 2. Kaplan-Meier curves of patients receiving guideline concordant care versus those receiving non-guideline-concordant care with propensity score matching for overall cohort and subgroups



Guideline concordant care	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	7626	6323 (83%)	1303 (17%)	13.4 (13, 13.8)	54.2% (53.1%, 55.4%)	10.7% (9.9%, 11.5%)
Yes	7626	5819 (76%)	1807 (24%)	19.4 (18.9, 19.9)	68.3% (67.2%, 69.3%)	18.7% (17.7%, 19.7%)

a)



Women GCC status	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	3190	2527 (79%)	663 (21%)	14.6 (14, 15.4)	57.9% (56.2%, 59.6%)	13.1% (11.7%, 14.6%)
Yes	3190	2335 (73%)	855 (27%)	21.2 (20.2, 22.2)	71.9% (70.3%, 73.4%)	21.2% (19.6%, 22.9%)



Men GCC status	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	4433	3732 (84%)	701 (16%)	12.8 (12.3, 13.2)	52.5% (51.0%, 54.0%)	9.8% (8.8%, 10.9%)
Yes	4433	3482 (79%)	951 (21%)	18.1 (17.3, 18.8)	65.7% (64.2%, 67.0%)	16.9% (15.7%, 18.2%)

c)



African American GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	1005	807 (80%)	198 (20%)	14 (13.2, 15.2)	57.0% (53.8%, 60.0%)	11.4% (9.0%, 14.0%)
Yes	1005	723 (72%)	282 (28%)	20.8 (19.2, 22.4)	71.4% (68.5%, 74.1%)	22.6% (19.7%, 25.7%)



Other race ethnicity GCC status	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	192	139 (72%)	53 (28%)	18 (14.7, 22.3)	65.6% (58.3%, 72.0%)	19.1% (13.0%, 26.1%)
Yes	192	135 (70%)	57 (30%)	20.3 (16.7, 25.8)	67.3% (60.0%, 73.5%)	22.3% (15.4%, 29.9%)

e)



Caucasian GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	12 Mo Survival	60 Mo Survival
No	6424	5356 (83%)	1068 (17%)	13.5 (13.2, 14)	54.6% (53.4%, 55.8%)	10.8% (10.0%, 11.7%)
Yes	6424	4958 (77%)	1466 (23%)	19.2 (18.6, 19.7)	67.8% (66.6%, 68.9%)	18.0% (16.9%, 19.1%)

f)



Hispanic ethnicity GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	174	141 (81%)	33 (19%)	12.6 (10.4, 15.8)	51.1% (43.4%, 58.4%)	14.7% (9.4%, 21.3%)
Yes	174	122 (70%)	52 (30%)	19 (15, 25.4)	68.7% (61.2%, 75.1%)	23.0% (15.9%, 30.9%)



Non-Hispanic ethnicity GCC status	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	7446	6153 (83%)	1293 (17%)	13.5 (13.1, 13.9)	54.4% (53.3%, 55.6%)	10.8% (10.0%, 11.7%)
Yes	7446	5694 (76%)	1752 (24%)	19.4 (18.9, 19.9)	68.2% (67.1%, 69.3%)	18.6% (17.6%, 19.7%)



Uninsured GCC status	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	313	268 (86%)	45 (14%)	12.6 (11, 14.3)	52.7% (46.9%, 58.1%)	9.1% (5.9%, 13.2%)
Yes	313	220 (70%)	93 (30%)	19.6 (17.5, 23)	71.1% (65.7%, 75.8%)	22.6% (17.5%, 28.2%)



Privately insured GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	2761	2191 (79%)	570 (21%)	15.3 (14.5, 16.1)	59.3% (57.4%, 61.1%)	13.8% (12.3%, 15.4%)
Yes	2761	2059 (75%)	702 (25%)	20.6 (19.7, 21.8)	70.1% (68.4%, 71.8%)	20.7% (19.0%, 22.4%)

j)



Adeno histo GCC status	No. of Subject	Event	Censored	Median Survival (95% CI)	12 Mo Survival	60 Mo Survival
No	2375	1853 (78%)	522 (22%)	15.5 (14.5, 16.3)	59.6% (57.5%, 61.5%)	12.2% (10.6%, 14.0%)
Yes	2375	1728 (73%)	647 (27%)	21.2 (20, 22.5)	70.8% (68.9%, 72.6%)	19.5% (17.6%, 21.4%)



Other histo GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	2951	2461 (83%)	490 (17%)	13.3 (12.6, 13.8)	53.6% (51.8%, 55.4%)	10.0% (8.7%, 11.3%)
Yes	2951	2267 (77%)	684 (23%)	18.8 (17.9, 19.6)	67.4% (65.7%, 69.1%)	17.7% (16.1%, 19.3%)

l)



SCC histo GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	2295	1940 (85%)	355 (15%)	12.8 (12.2, 13.6)	52.6% (50.6%, 54.7%)	12.4% (11.0%, 14.0%)
Yes	2295	1820 (79%)	475 (21%)	18.4 (17.5, 19.4)	66.8% (64.8%, 68.7%)	19.2% (17.5%, 21.0%)

m)



West GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	1018	857 (84%)	161 (16%)	12.6 (11.6, 13.7)	51.7% (48.6%, 54.8%)	10.1% (8.0%, 12.4%)
Yes	1018	764 (75%)	254 (25%)	20.1 (18.5, 23)	69.0% (66.1%, 71.8%)	20.7% (17.9%, 23.6%)



South GCC	No. of					
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	2888	2368 (82%)	520 (18%)	13.9 (13.3, 14.5)	55.5% (53.6%, 57.3%)	11.1% (9.8%, 12.6%)
Yes	2888	2200 (76%)	688 (24%)	19.4 (18.4, 20)	68.2% (66.5%, 69.9%)	19.0% (17.3%, 20.6%)



Northeast GCC	No. of	_		Median Survival (95%		
status	Subject	Event	Censored	CI)	12 Mo Survival	60 Mo Survival
No	1483	1156 (78%)	327 (22%)	14.4 (13.6, 15.4)	57.9% (55.3%, 60.4%)	14.5% (12.4%, 16.8%)
Yes	1483	1126 (76%)	357 (24%)	19.8 (18.8, 20.9)	69.4% (67.0%, 71.7%)	18.3% (16.0%, 20.7%)

p)



Midwest GCC	No. of			Median Survival (95%		
status	Subject	Event	Censored	CI)	<b>12 Mo Survival</b>	60 Mo Survival
No	2200	1835 (83%)	365 (17%)	13.4 (12.8, 14.2)	54.1% (52.0%, 56.2%)	10.6% (9.1%, 12.1%)
Yes	2200	1703 (77%)	497 (23%)	18.8 (18.1, 20)	67.2% (65.2%, 69.1%)	17.7% (15.9%, 19.6%)

q)

#### **Figure Legends**

Figure 1. Patient selection algorithm. <sup>1</sup>NCDB, National Cancer Data Base. <sup>2</sup>Non-small cell lung cancer. <sup>3</sup>Tumor, nodes, metastases staging system.

Table 1. Descriptive statistics of study population and univariate association analysis. <sup>1</sup>Guideline concordant care = concurrent chemoradiation. <sup>2</sup>Lagtime = time between start of chemo and radiation. \*The parametric p-value is calculated by ANOVA for numerical covariates and chi-square test for categorical covariates.

Table 2. Multiple logistic regression to predict odds of getting non-guideline-concordant care. Reported as risk odds ratios of not getting GCC. \* Number of observations in the original data set = 45,825. Number of observations used = 39,232. \*\* Backward selection with an alpha level of removal of .2 was used. \*\*\* The following variables were removed from the model: median income quartiles 2000 and percent no high school degree quartiles 2000.

Table 3. Overall survival (months) comparison between patients receiving non-guideline-concordant care versus patients receiving guideline concordant care for various socioeconomic risk factors represented by hazard ratios. Reported as hazard ratios (HR).

Figure 2. Kaplan-Meier curves of patients receiving guideline concordant care versus those receiving non-guideline-concordant care with propensity score matching for overall cohort and subgroups. Survival probabilities across time (months).

- a) Overall cohort
- b) Women
- c) Men
- d) African American race/ethnicity

- e) Other race/ethnicity
- f) Caucasian race/ethnicity
- g) Hispanic ethnicity
- h) Non-Hispanic ethnicity
- i) Uninsured
- j) Privately-insured
- k) Adenocarcinoma histology
- 1) Large cell/other histology
- m) Squamous cell carcinoma histology
- n) Western US
- o) Southern US
- p) Northeastern US
- q) Midwest US

Table 4. Overall survival (months) comparison between patients receiving non-guideline-concordant care versus patients receiving guideline concordant care for various socioeconomic risk factors represented by hazard ratios with propensity score matching. Reported as hazard ratios (HR). Table 5. Results of statistically significant interaction testing. Reported as odds ratios. Tested only on a multiplicative scale. \* Number of observations in the original data set = 45825. Number of observations used = 38542. \*\* Backward selection with an alpha level of removal of .2 was used. No variables were removed from the model. \*\*\* The estimated stratified treatment effect was controlled by: age at diagnosis, great circle distance (units = 50 mi), grade, Hispanic ethnicity, sex, year of diagnosis, facility type, geographical region, histology, race, and insurance.