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Signature:

Sarah Hope Yi

Date

The impact of docosahexaenoic acid status and phenylalanine control on cognitive performance in females of reproductive age with phenylketonuria

By

Sarah Hope Yi
Doctor of Philosophy

Graduate Division of Biological and Biomedical Sciences
Nutrition and Health Sciences

Rani H. Singh, PhD, RD, LD
Advisor

Julie A. Kable, PhD
Committee Member

Kevin M. Sullivan, PhD, MPH, MHA
Committee Member

Ngoc-Anh Le, PhD, FAHA
Committee Member

Thomas R. Ziegler, MD
Committee Member

Usha Ramakrishnan, PhD
Committee Member

Accepted:

Lisa A. Tedesco, PhD
Dean of the James T. Laney School of Graduate Studies

Date

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By

Sarah Hope Yi
BA, Mount Holyoke College, 1999
MS, Framingham State College, 2002

Advisor: Rani H. Singh, PhD, RD, LD

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Abstract

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By Sarah Hope Yi

Subtle cognitive deficits are reported in people treated early for phenylketonuria (PKU). This dissertation examines the impact of phenylalanine (Phe) and docosahexaenoic acid (DHA), one known factor and one hypothesized factor, on cognitive status in females of reproductive age with PKU.

First, we assessed the impact of a 1-week camp intervention on cognitive processing speed in females with PKU. Second, we examined the association between biomarkers of DHA status and performance on tasks of verbal ability, processing speed, and executive function in a cross-sectional study. Third, we tested the effect of supplemental DHA on processing speed and executive function in a 4.5-month parallel, randomized, placebo-controlled, double-blinded study.

In the first study, a positive effect of the camp intervention was seen on measures of cognitive processing speed and plasma Phe control at the end of the camp. Improved performance exceeded a practice effect in both measures when compared with the test-retest sample; however, a larger sample size is needed to reach statistical significance. Changes in the processing speed task requiring sustained attention corresponded with changes in plasma Phe after controlling for verbal ability. In the second study, we confirmed low levels of DHA in plasma and red blood cell (RBC) total lipid percent DHA and in the diet of participants. We found a significant relationship between RBC total lipid percent DHA and verbal ability before and after controlling for concurrent plasma Phe. Associations between DHA and measures of processing speed and executive function were not seen. In the third study, supplementation with DHA appeared to be safe and effective in increasing biomarkers of DHA; however, we failed to find an effect of DHA on measures of processing speed and executive function.

Improved DHA status in addition to adequate Phe control may benefit aspects of cognitive performance in this population. Further research is needed to clarify which domains are affected by changes in DHA status, and the length and amount of DHA that is required to see these changes. Future investigations assessing the cognitive effect of DHA should measure domains more likely to be affected including verbal ability, memory, and learning.

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Table of Contents

CHAPTER 1. INTRODUCTION	1
THEORETICAL UNDERPINNINGS	3
SPECIFIC AIMS & HYPOTHESES.....	5
MAIN OUTCOME MEASURES	6
CHAPTER 2. BACKGROUND.....	7
CHAPTER 3. PRELIMINARY STUDIES.....	44
PLASMA PHE CONTROL CONSISTENTLY IMPROVES AT THE END OF METABOLIC CAMP.....	44
CONSUMERS OF MEDICAL FOODS WITHOUT FAT DO NOT COMPENSATE FOR THE MISSING FAT.....	45
BIOMARKERS OF DHA STATUS MAY NOT DIFFER BY TYPE OF MEDICAL FOOD USED	47
BIOMARKERS OF EPA AND DHA STATUS ARE REDUCED IN FEMALES WITH PKU	50
CHAPTER 4. METHODS	53
COGNITIVE TESTING	53
BLOOD COLLECTION AND ANALYSIS	65
DIET ASSESSMENT.....	69
NEUROLOGICAL STATUS	70
QUESTIONNAIRES.....	71
STUDY 3 METHODS.....	72
PARTICIPANT ASSESSMENT	78
MONTHLY DATA COLLECTION.....	79
STUDY LOG BOOKS.....	79
UNUSED SUPPLEMENT	79
PROTECTION OF HUMAN SUBJECTS.....	80
INCLUSION OF WOMEN AND MINORITIES	89
PARTICIPATION OF PERSONS UNDER THE AGE OF 21	91
BIostatistical DESIGN AND ANALYSIS.....	92

CHAPTER 5. SHORT TERM CHANGES IN PLASMA PHENYLALANINE AND COGNITIVE PROCESSING SPEED IN FEMALES OF REPRODUCTIVE AGE WITH PHENYLKETONURIA ATTENDING A METABOLIC CAMP.....	95
ABSTRACT	97
INTRODUCTION	98
METHODS.....	100
RESULTS	105
DISCUSSION	107
CONCLUSION.....	110
 CHAPTER 6. VERBAL PERFORMANCE IS ASSOCIATED WITH RED BLOOD CELL DOCOSAHEXAENOIC ACID ACCOUNTING FOR PLASMA PHENYLALANINE IN FEMALES OF REPRODUCTIVE AGE WITH PHENYLKETONURIA	 117
SUMMARY.....	119
INTRODUCTION	123
PARTICIPANTS AND METHODS	126
RESULTS	132
DISCUSSION AND CONCLUSIONS	134
 CHAPTER 7. A RANDOMIZED, PLACEBO-CONTROLLED, DOUBLE-BLIND TRIAL OF SUPPLEMENTAL DOCOSAHEXAENOIC ACID ON COGNITIVE PROCESSING SPEED AND EXECUTIVE FUNCTION IN FEMALES OF REPRODUCTIVE AGE WITH PHENYLKETONURIA: A PILOT STUDY	 153
ABSTRACT	155
1. INTRODUCTION.....	159
2. MATERIALS AND METHODS	162
3. RESULTS.....	173

4. DISCUSSION.....	176
5. CONCLUSIONS	178
CHAPTER 8. CONCLUSIONS	194
CLINICAL IMPLICATIONS	195
SUGGESTIONS FOR FUTURE RESEARCH	196
CHAPTER 9. APPENDIX: BACKGROUND	200
CHAPTER 10. APPENDIX: METHODS	207
OUTPATIENT PROCEDURE	208
INPATIENT PROCEDURE.....	210
BLOOD PROCESSING INSTRUCTIONS	214
OFF SITE PROCEDURE	216
GROUP TESTING (W-J III): ROOM MAP.....	219
GROUP TESTING (W-J III): RESEARCH ASSISTANT DATA COLLECTION SHEET	220
SCORING DATASHEET FOR W-J III TESTS	221
WEBSITE FOR STUDY 3.....	222
LOGBOOK FOR STUDY 3	223
QUESTIONNAIRES.....	247
RANDOMIZATION PLAN	261
CHAPTER 11. APPENDIX: RESULTS	262
STUDY 1	263
STUDY 2.....	266
STUDY 3.....	279
CHAPTER 12. LITERATURE CITED	301

List of Tables

TABLE 1. DIETARY PHE RESTRICTION BASED ON PHENOTYPIC PKU SEVERITY	9
TABLE 2. PLASMA AND RBC DHA RESPONSE TO DHA SUPPLEMENTATION	15
TABLE 3. PLASMA AND RBC DHA RESPONSE TO CESSATION OF DHA SUPPLEMENTATION	16
TABLE 4. REGIONAL BRAIN CONTENT OF DHA OF RATS FED DIFFERENT AMOUNTS OF OMEGA-3 PUFAS	17
TABLE 5. HALF-LIFE OF BRAIN PHOSPHOLIPID DHA ACCORDING TO DIETARY DHA SUFFICIENCY	18
TABLE 6. ESTIMATED SAMPLE SIZE NEEDED TO MINIMIZE TYPE I AND TYPE II ERRORS IN A POSTPARTUM STUDY OF LACTATING WOMEN	25
TABLE 7. PROPORTIONS OF PLASMA AND RBC LIPID DHA IN CONSUMERS OF VEGETARIAN AND VEGAN DIETS	31
TABLE 8. THE RISK OF NUTRIENT DEFICIENCY FOR PEOPLE WITH PKU FOR SELECT NUTRIENTS ASSOCIATED WITH COGNITIVE STATUS	38
TABLE 9. CONSISTENTLY IMPROVED PLASMA PHE CONTROL AT THE END OF A METABOLIC CAMP FOR FEMALES WITH PKU	44
TABLE 10. ENERGY INTAKE IN ADOLESCENT AND ADULT FEMALES WITH PKU BY MEDICAL FOOD TYPE	46
TABLE 11. SELECT BIOMARKERS OF PLASMA TOTAL LIPID FATTY ACID STATUS AND DIETARY FACTORS BY MEDICAL FOOD TYPE IN FEMALES OF REPRODUCTIVE AGE WITH PKU	48

TABLE 12. PLASMA TOTAL LIPID FATTY ACID STATUS IN ADOLESCENT AND ADULT FEMALES WITH AND WITHOUT PKU	50
TABLE 13. RBC TOTAL LIPID FATTY ACID STATUS IN ADOLESCENT AND ADULT FEMALES WITH AND WITHOUT PKU	51
TABLE 14. ADMINISTRATION OF THE W-J III TEST BY ENVIRONMENT AND TESTER	55
TABLE 15. STANDARDIZATION METHODS FOR THE PPVT, CWIT, AND W-J III COGNITIVE TESTS	60
TABLE 16. RELIABILITY ASSESSMENTS FOR THE PPVT, CWIT, AND W-J III COGNITIVE TESTS	61
TABLE 17. CRITERION VALIDITY FOR THE PPVT	62
TABLE 18. CONSTRUCT VALIDITY FOR THE CWIT	63
TABLE 19. CONSTRUCT VALIDITY OF THE W-J III TESTS USING TWO FACTOR ANALYSIS MODELS	64
TABLE 20. ADDRESSING BIAS	74
TABLE 21. SELECT FATTY ACID CONTENT PER CAPSULE	77
TABLE 22. EXAMPLE STUDY SCHEDULE	78
TABLE 23. THE DEMOGRAPHIC DISTRIBUTION OF PKU IN THE UNITED STATES	90
TABLE 24. THE DEMOGRAPHIC DISTRIBUTION OF PKU IN THE EMORY CLINIC POPULATION	90
TABLE 25. PLASMA AND RBC TOTAL LIPID DHA STATUS OF CHILDREN WITH PKU BEFORE AND AFTER SUPPLEMENTATION	93

TABLE 26. MINIMUM MEAN CHANGES NEEDED TO SEE SIGNIFICANT DIFFERENCES IN NEUROPSYCHOLOGICAL OUTCOMES FOR N=24	94
TABLE 27. BASELINE CHARACTERISTICS OF FEMALE CAMP PARTICIPANTS WITH PHENYLKETONURIA STRATIFIED BY AGE	111
TABLE 28. BASELINE AND END-OF-CAMP MEASURES OF COGNITIVE PROCESSING SPEED AND METABOLIC CONTROL IN FEMALES OF REPRODUCTIVE AGE WITH PKU	113
TABLE 29. CHARACTERISTICS OF FEMALES OF REPRODUCTIVE AGE WITH PKU STRATIFIED BY PLASMA PHE AND RBC DHA STATUS	140
TABLE 30. BIOMARKERS OF METABOLIC CONTROL IN FEMALES OF REPRODUCTIVE AGE WITH PKU STRATIFIED BY AGE GROUP	142
TABLE 31. SELECT BIOMARKERS OF PLASMA TOTAL LIPID FATTY ACID STATUS IN FEMALES OF REPRODUCTIVE AGE WITH PKU COMPARED WITH FEMALE LABORATORY CONTROLS WITHOUT PKU	143
TABLE 32. SELECT BIOMARKERS OF RBC TOTAL LIPID FATTY ACID STATUS IN FEMALES OF REPRODUCTIVE AGE WITH PKU COMPARED WITH FEMALE LABORATORY CONTROLS WITHOUT PKU	145
TABLE 33. ESTIMATES OF DIET INTAKE IN FEMALES OF REPRODUCTIVE AGE WITH PKU STRATIFIED BY PLASMA PHE AND RBC DHA STATUS	147
TABLE 34. COGNITIVE PERFORMANCE IN FEMALES OF REPRODUCTIVE AGE WITH PKU STRATIFIED BY PLASMA PHE AND RBC DHA STATUS	149

TABLE 35. NUMBER AND PERCENT OF PARTICIPANTS MAKING 2 OR MORE ERRORS ON PROCESSING SPEED AND EXECUTIVE FUNCTION TASKS OF THE COLOR-WORD INTERFERENCE TEST BY FEMALES OF REPRODUCTIVE AGE WITH PKU STRATIFIED BY PLASMA PHE AND RBC DHA STATUS	150
TABLE 36. AVERAGE NUMBER OF CORRECT ANSWERS ON W-J III PROCESSING SPEED TASKS BY FEMALES OF REPRODUCTIVE AGE WITH PKU STRATIFIED BY PLASMA PHE AND RBC DHA STATUS	151
TABLE 37. BASELINE DEMOGRAPHIC CHARACTERISTICS OF FEMALES WITH PKU RANDOMIZED TO RECEIVE DHA OR PLACEBO SUPPLEMENT	182
TABLE 38. BASELINE HEALTH CHARACTERISTICS AND COGNITIVE PERFORMANCE OF ALL OF FEMALES WITH PKU RANDOMIZED TO RECEIVE DHA OR PLACEBO SUPPLEMENT	183
TABLE 39. THE EFFECT OF DHA SUPPLEMENTATION ON MEAN FOLLOW UP COGNITIVE TEST SCORES CONTROLLING FOR BASELINE SCORE IN FEMALES WITH PKU: INTENTION-TO-TREAT ANALYSIS	185
TABLE 40. THE EFFECT OF DHA SUPPLEMENTATION ON MEAN FOLLOW UP COGNITIVE TEST SCORES CONTROLLING FOR BASELINE SCORE IN FEMALES WITH PKU: PER PROTOCOL ANALYSIS	186
TABLE 41. ESTIMATES OF PARTICIPANT COMPLIANCE TO TREATMENT ALLOCATION IN FEMALES WITH PKU: INTENTION-TO-TREAT ANALYSIS	187
TABLE 42. ESTIMATES OF PARTICIPANT COMPLIANCE TO TREATMENT ALLOCATION IN FEMALES WITH PKU: PER PROTOCOL ANALYSIS	188

TABLE 43. SUMMARY OF REPORTED ADVERSE EVENTS BY INTENTION-TO-TREAT GROUP IN FEMALES WITH PKU	189
TABLE 44. ADVERSE EVENTS JUDGED POSSIBLY, PROBABLY, OR DEFINITELY ASSOCIATED WITH SUPPLEMENT IN FEMALES WITH PKU	190
TABLE 45. SUMMARY OF REPORTS OF BLOOD DHA STATUS IN PEOPLE WITH PKU	201
TABLE 46. FAT SOURCE(S) AND SELECT NUTRIENTS IN MEDICAL FOODS CONTAINING FAT AVAILABLE IN JUNE 2007	204
TABLE 47. FAT SOURCE(S) AND SELECT NUTRIENTS IN MEDICAL FOODS CONTAINING FAT IN NEW PRODUCTS AVAILABLE AS OF JANUARY 2010	206
TABLE 48. RELATIONSHIPS BETWEEN CHANGES IN COGNITIVE PROCESSING SPEED, MARKERS OF METABOLIC CONTROL, AND DIETARY INTAKE IN FEMALES WITH PKU ATTENDING A METABOLIC CAMP INTERVENTION	263
TABLE 49. BASELINE AND END-OF-CAMP PLASMA PHE CONCENTRATIONS BY CHANGE IN INTAKES OF ENERGY AND PROTEIN FROM MEDICAL FOOD IN FEMALES WITH PKU ATTENDING A METABOLIC CAMP INTERVENTION	264
TABLE 50. CATEGORIES OF PLASMA PHE AND RBC DHA AS PREDICTORS OF VERBAL ABILITY USING LINEAR REGRESSION	266
TABLE 51. CATEGORIES OF PLASMA PHE AND RBC DHA AS PREDICTORS OF VERBAL ABILITY USING ANCOVA	268
TABLE 52. CATEGORIES OF PLASMA PHE AND RBC DHA AS PREDICTORS OF VERBAL ABILITY CONTROLLING FOR MATERNAL EDUCATION (CATEGORICAL) USING ANCOVA	271

TABLE 53. SUMMARY OF BASELINE AND FOLLOW UP PLASMA AND RBC TOTAL LIPID % DHA FOR EACH PARTICIPANT CATEGORIZED BY TREATMENT ALLOCATION (N=33)	291
TABLE 54. RELATIONSHIP BETWEEN CHANGES IN MEASURES OF COGNITIVE AND DHA STATUS IN FEMALES WITH PKU	297
TABLE 55. RELATIONSHIP BETWEEN CHANGES IN MEASURES OF COGNITIVE AND DHA STATUS IN FEMALES WITH PKU, CONTROLLING FOR PLASMA PHE	298
TABLE 56. RELATIONSHIP BETWEEN CHANGES IN MEASURES OF COGNITIVE AND DHA STATUS IN FEMALES WITH PKU, CONTROLLING FOR VERBAL ABILITY	299
TABLE 57. POST-HOC SAMPLE SIZE CALCULATION: ESTIMATED SAMPLE SIZE NEEDED TO DETECT A SIGNIFICANT DIFFERENCE BETWEEN DHA AND PLACEBO SUPPLEMENTED GROUPS IN MEASURES OF COGNITIVE PROCESSING SPEED, INHIBITION, AND FLEXIBILITY AT FOLLOW UP UTILIZING ANCOVA	300

List of Figures

FIGURE 1. ABBREVIATED PATHWAY OF PHENYLALANINE METABOLISM	8
FIGURE 2. RANKING OF FOODS RECOMMENDATIONS BASED ON PHE CONTENT	10
FIGURE 3. DISTRIBUTION OF DHA IN CENTRAL NERVOUS SYSTEM OF 4-WEEK OLD BREASTFED BABOONS	13
FIGURE 4. BASELINE AND END-OF-CAMP COGNITIVE PROCESSING SPEED TASK PERFORMANCE AND BIOMARKERS OF METABOLIC CONTROL IN FEMALES OF REPRODUCTIVE AGE WITH PKU	115
FIGURE 5. CHANGES IN PERFORMANCE ON COGNITIVE PROCESSING SPEED TASKS (MEAN (SD)) BY AGE GROUP: TEST-RETEST CONTROLS VS. FEMALES WITH PKU ATTENDING A CAMP INTERVENTION	116
FIGURE 6. FLOW DIAGRAM OF A RANDOMIZED CONTROLLED TRIAL OF SUPPLEMENTAL DOCOSAHEXAENOIC ACID ON COGNITIVE OUTCOMES IN FEMALES OF REPRODUCTIVE AGE WITH PHENYLKETONURIA BASED ON THE REVISED TEMPLATE OF THE CONSORT (CONSOLIDATED STANDARDS OF REPORTING TRIALS) DIAGRAM	192
FIGURE 7. THE RELATIONSHIP BETWEEN CHANGES IN PLASMA PHE CONCENTRATION AND PERFORMANCE ON COGNITIVE PROCESSING SPEED TASKS	265
FIGURE 8. CHANGE IN PLASMA TOTAL LIPID % DHA BY TREATMENT GROUP: INTENTION TO TREAT	293
FIGURE 9. CHANGE IN PLASMA TOTAL LIPID % DHA BY TREATMENT GROUP: PER PROTOCOL	294

FIGURE 10. CHANGE IN RBC TOTAL LIPID % DHA BY TREATMENT GROUP: INTENTION TO TREAT

295

FIGURE 11. CHANGE IN RBC TOTAL LIPID % DHA BY TREATMENT GROUP: PER PROTOCOL 296

List of Abbreviations

ALA	alpha-linolenic acid
AA	Arachidonic acid
ACTSI	Atlanta Clinical & Translational Science Institute
BMI	Body Mass Index
CWIT	Color-Word Interference Test
DHA	Docosahexaenoic acid
EGL	Emory Genetics Laboratory
EGMNP	Emory Genetics Metabolic Nutrition Program
EPA	Eicosapentaenoic acid
LA	Linoleic acid
LC-PUFA	Long chain polyunsaturated fatty acid
MF	Medical food
n-3 PUFA	a polyunsaturated fatty acid containing the first instance of unsaturation (i.e., double bond) at the third carbon from the methyl end of the fatty acid
PAH	Phenylalanine hydroxylase
Phe	Phenylalanine
PKU	Phenylketonuria
PPVT	Peabody Picture Verbal Test
PUFA	Polyunsaturated fatty acid
RBC	Red blood cell
Tyr	Tyrosine
W-J III	Woodcock-Johnson III

Chapter 1. Introduction

Phenylketonuria (PKU) is most commonly caused by a deficiency in the enzyme phenylalanine hydroxylase (PAH). Without early, appropriate, and lifelong diet treatment, people with the autosomal recessive disorder PKU exhibit delays in development and mental retardation. Even with early and lifelong treatment consisting of a low phenylalanine (Phe) diet and an amino acid-based Phe-free medical food, however, people with PKU reportedly still display minor cognitive deficits.

DHA is a fatty acid important to brain development and function. Fatty fish, egg yolks, and human milk, all high in Phe, are the main dietary sources of preformed DHA in the US; therefore, children and adults with PKU adhering to a Phe-restricted diet have no expected dietary intake of DHA outside of supplementation. DHA can be manufactured endogenously from alpha-linolenic acid which is found in some low Phe foods and medical foods; however, this process is generally inefficient. Several observational studies have shown decreased proportions of blood DHA in people with PKU not supplemented with DHA compared with controls without PKU.

The causal factor leading to cognitive deficits in PKU is thought to be related to increased concentration of Phe in the brain, particularly in early infancy and childhood; the biochemical mechanism is still uncertain, however, and may be multifactorial. Because decreased dietary intake and lower proportions of docosahexaenoic acid (DHA) have been found in the blood lipids of people with PKU, it has been hypothesized that DHA may play a role in cognitive status in PKU.

Supplemental DHA has been shown to improve measures of visually evoked potentials and motor skills in children with PKU. The impact of supplementation has not

yet been studied in adolescents and adults with PKU. As part of a long term goal to optimize cognitive outcomes of and nutrient recommendations for patients with PKU receiving diet treatment, the research presented in this dissertation aimed to investigate the relationship between biomarkers of DHA status and cognitive performance in adolescent and adult females with PKU.

Three studies are presented in this dissertation and focus on cognitive processing speed and executive function, two cognitive domains in which individuals treated early for PKU have shown deficits. Study 1 assessed the association between short-term improved plasma Phe concentrations and change in performance on cognitive processing speed tasks in adolescent and adult females with PKU attending a week-long camp intervention. Study 2 examined the relationship between biomarkers of DHA status and cognitive outcomes in adolescent and adult females with PKU, accounting for plasma Phe control, in a cross-sectional study. Study 3 evaluated the impact of DHA supplementation on cognitive processing speed and executive function task performance in a randomized, placebo-controlled, double-blinded trial.

Theoretical Underpinnings

The study hypotheses are based on the following findings from observational and intervention studies involving animals and/or humans. These studies are described further in the Background. It should be noted that some of the findings are preliminary and/or inconsistent between studies and thus deserve further investigation, particularly those involving the relationship between DHA and cognitive status.

1. Subtle deficits are reported in adolescents and adults treated early for PKU in domains including cognitive processing speed and executive function¹⁻⁴.
2. Brief interventions have shown the reversibility of the effects of blood Phe on performance on cognitive processing speed and executive function tasks in individuals with PKU with varied treatment histories¹⁻⁵.
3. Adolescents and adults treated for PKU display lower proportions of plasma and red blood cell (RBC) DHA compared with controls without PKU⁶⁻⁷.
4. Children concurrently treated for PKU and supplemented with DHA for 12 weeks or longer have shown improved measures of visual evoked potentials⁸⁻¹⁰ and motor skills¹¹.
5. Reported dietary intake of DHA is positively related to blood concentrations of DHA¹²⁻¹³, and supplementation with pre-formed DHA increases proportions of DHA in plasma, serum, RBC, and platelet lipids^{10, 14-18}.
6. Dietary intake¹⁹⁻²⁵ and blood proportions²⁶⁻²⁹ of DHA are positively related to proportions of DHA in brain phospholipids in animals and humans without PKU.

7. Supplemental DHA and biomarkers of DHA status are associated with cognitive processing speed³⁰ and the slowing of cognitive decline³¹⁻³² in aging populations without PKU.

Specific Aims & Hypotheses

The goal of this dissertation is to contribute evidence for the relationship between DHA status and cognitive outcomes in females of reproductive age with phenylketonuria (PKU). The following specific aims and null hypotheses guide the three studies:

Study 1

Specific Aim: Evaluate the changes in measures of cognitive processing speed and plasma phenylalanine (Phe) in a 1-week Metabolic Camp intervention in adolescent and adult females with PKU.

Hypothesis: Improved plasma Phe will not be associated with improved cognitive processing speed.

Study 2

Specific Aim: Examine the associations between blood docosahexaenoic acid (DHA) status and cognitive status in adolescent and adult females with PKU in a cross-sectional study, accounting for plasma Phe.

Hypothesis: Higher DHA status will not be associated with cognitive performance in the domains of verbal ability, processing speed, and executive function accounting for plasma Phe.

Study 3

Specific Aim: Assess the effect of DHA versus placebo supplementation taken for 4.5-months on cognitive function in adolescent and adult females with PKU in a randomized, placebo-controlled, double-blinded trial.

Hypothesis: Supplementation with DHA will not improve cognitive performance in the domains of processing speed and executive function.

Main outcome measures

The main outcomes and measurement tools used in these studies include:

- Verbal ability
 - Peabody Picture Vocabulary Test—Third Edition.
- Cognitive processing speed
 - Woodcock-Johnson III timed tests of Decision Speed, Pair Cancellation, Math Fluency, and Reading Fluency;
 - Delis-Kaplan Executive Function System Color-Word Interference Test Color Naming and Word Reading conditions.
- Executive function: cognitive inhibition
 - Delis-Kaplan Executive Function System Color-Word Interference Test Inhibition/Switching condition.
- Executive function: cognitive flexibility
 - Delis-Kaplan Executive Function System Color-Word Interference Test Inhibition/Switching condition.
- Plasma Phe concentrations
 - Quantitative ion-exchange chromatography.
- Plasma and red blood cell total lipid DHA concentrations
 - Capillary gas chromatography-electron-capture negative-ion mass spectrometry.

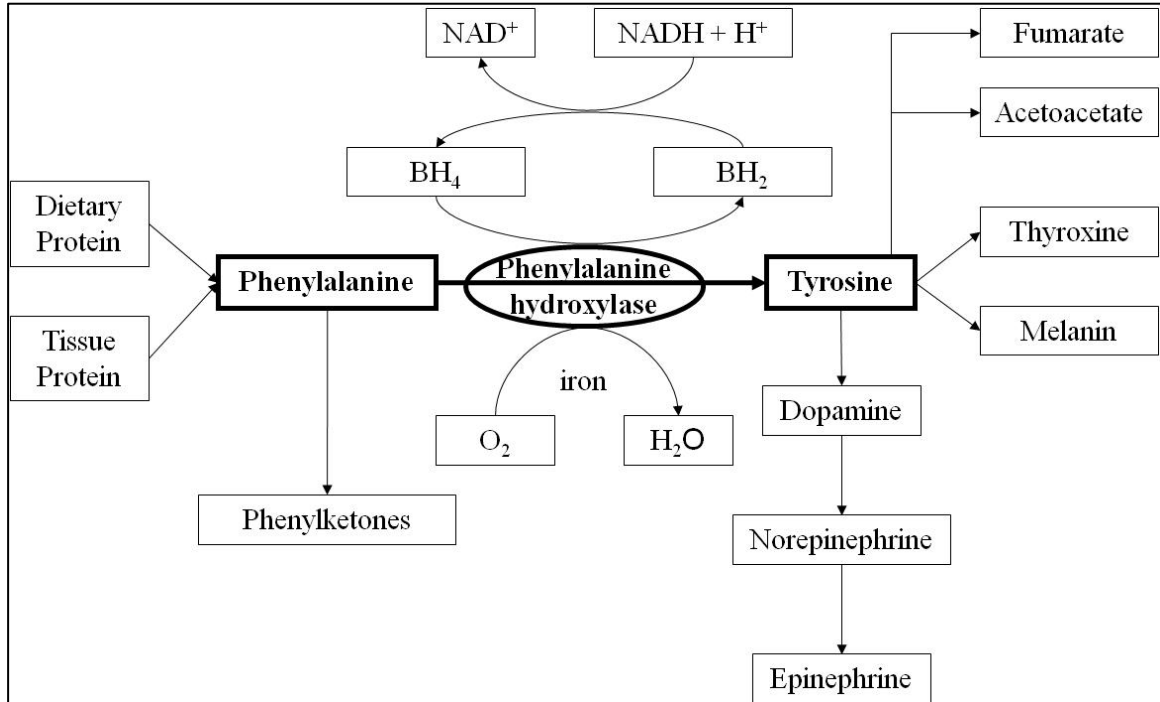
Chapter 2. Background

Phenylketonuria (PKU; OMIM 261600) is an autosomal recessive disorder with an incidence of approximately 1 in 19,000 to 1 in 13,500 newborns screened in the US³³. PKU is managed through strict, lifelong dietary treatment aimed at reducing dietary phenylalanine (Phe) intake and plasma Phe concentrations while maintaining adequate nutritional status³⁴. Without early and appropriate diet treatment, patients exhibit delays in development which can result in mental retardation³⁵. If treatment is initiated early enough, mental retardation can be prevented³⁶. Subtle deficits in cognitive status, however, are still seen in early treated people with PKU.

PKU: the disorder and the diet

PKU is characterized by a deficiency of the enzyme phenylalanine hydroxylase (PAH; EC 1.14.16.1). With normal activity, PAH metabolizes the essential amino acid Phe primarily into the amino acid tyrosine with a small amount converted to phenylketones³⁷ (Figure 1). Tyrosine is the antecedent to important compounds including dopamine, thyroxine, and melanin. With reduced PAH activity and unrestricted Phe intake, Phe and phenylketones rise to potentially harmful concentrations in blood and tissues with limited conversion to tyrosine³⁸; tyrosine becomes an essential amino acid. To maintain target concentrations of Phe, phenylketones, and tyrosine in the blood and body tissues, the current mode of treatment for PKU consists of a diet restricted in Phe with tyrosine and essential amino acids provided by a Phe-free medical food.

Figure 1. Abbreviated pathway of phenylalanine metabolism



The average daily intake of Phe of Americans is estimated to be 3400 (SE: ± 30) mg³⁹ which exceeds the typical Phe allowance for people with PKU. For people with PKU, the Phe allowance depends upon the severity of the disorder. In people with more severe forms of PKU, dietary Phe intake must be reduced to less than 500 mg/day to achieve recommended plasma Phe concentrations of 120-360 $\mu\text{mol/L}$ (2-6 mg/dL). Patients with less severe forms of PKU generally need to restrict Phe intake between 500 and 1000 mg/day³⁸. A more specific approach to estimating dietary Phe restriction was proposed by Guldberg and colleagues based on children with PKU aged three years and older as shown in Table 1.

Table 1. Dietary Phe restriction based on phenotypic PKU severity

PKU Severity	Phe Restriction (mg/kg/day) ⁴⁰
Classical PKU	<20 ^a
Moderate PKU	20-25 ^a
Mild PKU	25-50 ^a
Mild Hyperphenylalaninemia	unrestricted ^b

Abbreviations: Phe, phenylketonuria; PKU, phenylketonuria.

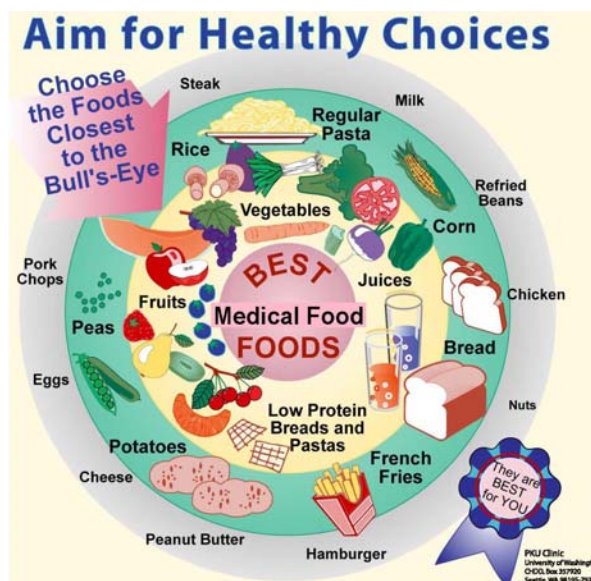
^aTo maintain a plasma Phe concentration of 300 $\mu\text{mol/L}$ (5 mg/dL).

^bTo maintain a plasma Phe concentration below 600 $\mu\text{mol/L}$ (10 mg/dL).

Phe is present in most protein-containing foods, with higher amounts in meats, beans, milk, nuts, and eggs, moderate amounts in grains and starchy vegetables, and lower amounts in fruits and other vegetables. In order to achieve a Phe intake below 500-1000 mg/day, foods containing higher amounts of Phe are eliminated from the diet and foods containing moderate and low amounts of Phe are consumed in controlled portions.

Figure 2 shows a simple pictorial example of patient education materials used to describe the recommended diet in food groupings based on Phe content. The center grouping consists of the Phe-free medical food, the second ring consists of foods lower in Phe content (<50 mg Phe/serving), the third ring consists of foods with moderate Phe content (50-175 mg Phe/serving), and the fourth (outside) ring consists of foods containing higher amounts of Phe (>175 mg Phe/serving). The foods in the outside ring are generally eliminated from the Phe-restricted diet.

Figure 2. Ranking of foods recommendations based on Phe content



Modified image used with permission from the Cristine M. Trahms Program for Phenylketonuria⁴¹.

Due to the restrictive nature of the low Phe diet, proper treatment recommendations and adherence to the recommendations are critical for optimal health outcomes. By eliminating entire food groups (e.g., milk, meat, and beans) and tightly restricting other food groups (e.g., grains and starchy vegetables), it is necessary to supplement the low-Phe diet with essential nutrients to prevent deficiencies⁴²⁻⁴³. The Phe-free medical food provides essential amino acids (except Phe) plus tyrosine, and in practice provides 52-80% of total dietary protein equivalent^{42, 44-45}. Medical food historically contained additional nutrients such as fats, carbohydrates, vitamins, and minerals⁴⁶, sometimes referred to as “complete” medical foods. For marketing reasons, in part to improve taste, convenience, and/or nutrient profile, many new varieties of medical food may not contain one or more of these additional nutrients, and are thus referred to as

“incomplete” medical foods. It must be noted, however, that neither “complete” nor “incomplete” medical foods are appropriate as the sole constituent of the Phe-restricted diet. Because Phe is an essential amino acid, measured amounts of Phe-containing foods must be consumed alongside the Phe-free medical food.

Omega-3 (n-3) long chain polyunsaturated fatty acid (LC-PUFA) status is of concern for people consuming a Phe-restricted diet due to the lack of animal products in the diet and the lack of n-3 LC-PUFAs docosahexaenoic acid (DHA; PubChem ID 445580) and eicosapentaenoic acid (EPA) in most medical foods. The omega-3 fatty acid DHA is virtually absent from the Phe-restricted diet⁴⁷, thus it is not surprising that children and adults with PKU have shown decreased concentrations of DHA when compared with children and adults without PKU⁶. Moseley and colleagues found significantly decreased plasma and red blood cell (RBC) DHA proportions in adolescents and adults with PKU compared with adult controls in the US⁶. In the Netherlands, people with PKU ranging in age from infancy through adulthood had significantly decreased plasma and RBC phospholipid DHA compared with age-matched controls⁷. Interestingly, although DHA status in PKU is generally lower when compared with controls, not all studies show significant differences compared with controls. Acosta and colleagues showed children in the US taking fat free medical foods, but not those taking fat containing medical foods, had significantly lower concentrations of RBC but not plasma DHA concentrations compared with sibling controls⁴⁸. Pöge and colleagues showed younger but not older children with PKU in Germany had low DHA concentrations in multiple blood fractions⁴⁷. A summary of these and other findings are presented in Table 45 in the Appendix.

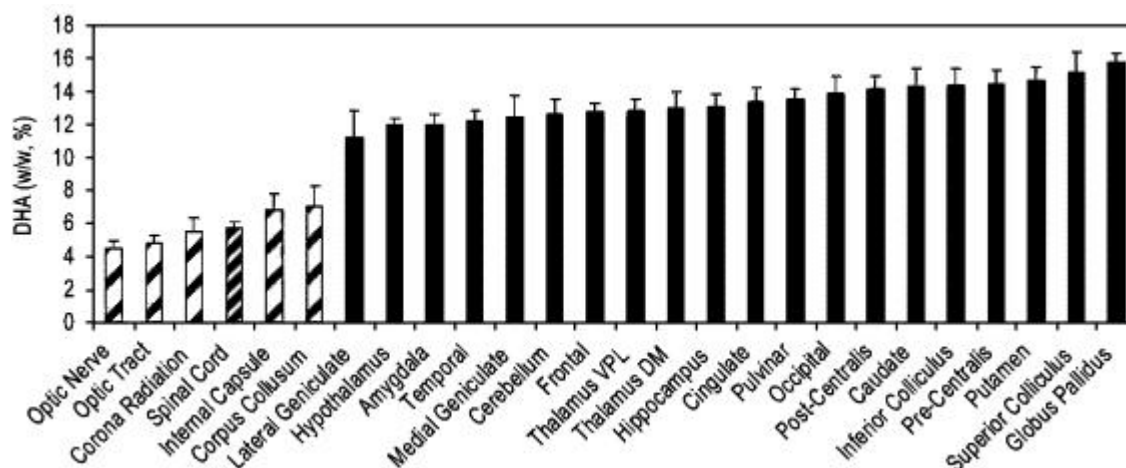
Adolescents and adults treated early for PKU are expected to have had decreased or no exposure to pre-formed DHA after birth. The potential exposure during infancy to pre-formed DHA would have been from a restricted amount of breast milk⁴⁹, if permitted by the treating metabolic clinic. DHA and arachidonic acid (AA) were only recently added to commercial infant formulas starting in 2002 in the US⁵⁰. Not until 2008 was DHA and AA added to one of the medical foods for infants with PKU. In 2009 the first medical food with pre-formed DHA for children and adults with PKU became available.

The implications of reduced concentrations of DHA are currently unknown, but as shown below, evidence suggests a positive relationship between biomarkers of DHA status and cognitive outcomes.

DHA is a major polyunsaturated fatty acid in the brain

An integral component of neural tissues⁵¹, DHA promotes neuronal survival and differentiation⁵² and is distributed throughout the brain in structures including myelin, astrocytes, neural membranes, synaptosomes, and mitochondria^{53,54}. As shown in Figure 3, DHA comprises 4.5-7% of fatty acids in white matter and 11.2-15.8% of fatty acids in gray matter of breastfed infant baboons⁵⁴ and consists of 15% of the total fatty acids in the prefrontal cortex⁵³.

Figure 3. Distribution of DHA in central nervous system of 4-week old breastfed baboons



Legend: hatched bars indicate white matter; black bars indicate gray matter; spinal cord is a mix of white and gray matter.

Reprinted from Prostaglandins, Leukotrienes, and Essential Fatty Acids, Volume 77, JT Brenna and G-Y Diau, The influence of dietary docosahexaenoic acid and arachidonic acid on central nervous system polyunsaturated fatty acid composition, page 248, Copyright 2007, with permission from Elsevier.

Umhau and colleagues recently estimated the adult human brain has a mean \pm SD incorporation rate of 3.8 ± 1.7 mg DHA per day⁵⁵. The authors estimated a 2.5 year half-life of whole brain DHA assuming a total of 5 g DHA in the brain.

Humans are capable of synthesizing DHA endogenously from omega-3 fatty acid precursors; however the process is relatively inefficient⁵⁶. In a study of 8 healthy adult humans given an oral dose of 1 g deuterated ALA, an isotope tracer, an estimated 0.2% of ALA was converted to EPA, 63% of EPA converted to docosapentaenoic acid (DPAn-3), and 37% of DPAn-3 converted to DHA. Overall, only 0.05% of dietary ALA was ultimately converted to DHA⁵⁷.

ALA and LA utilize and compete for the same desaturases and elongases in the liver during endogenous synthesis of their longer chain derivatives DHA and AA, respectively⁵⁸. It is hypothesized that high intake of linoleic acid (LA) relative to alpha-linolenic acid (ALA) inhibits endogenous synthesis of DHA from ALA due to this competition⁵⁹⁻⁶¹. Improving the dietary LA:ALA ratio, however, has shown either a small change⁶² or no change in blood DHA concentrations¹⁸ in children with PKU.

Furthermore, while human supplementation trials of ALA generally result in increased concentrations of blood ALA, EPA, and n-3 DPA, concentrations of DHA usually remain unchanged⁶³⁻⁶⁵. Intake of preformed DHA is considered to be more efficient than ALA supplementation in increasing blood DHA concentrations⁶⁶. Intake of preformed DHA, therefore, is the primary focus of the remainder of this background.

Dietary intake of docosahexaenoic acid (DHA) is positively related to blood concentrations of DHA

Moderately strong correlations are seen between reported intakes of dietary DHA and concentrations of DHA in plasma, RBCs, and adipose tissue¹²⁻¹³. With a consistent increase in DHA intake, plasma phospholipids show a maximal change within 4 weeks while RBC phospholipids continue to increase over a period of 16 weeks (Table 2)¹⁴⁻¹⁶.

Table 2. Plasma and RBC DHA response to DHA supplementation

Reference	Population	Design	Plasma DHA	RBC DHA
Harris 2007 ¹⁴	N=21 premenopausal, non-pregnant women, ages 21-49 years, BMI<30 kg/m ²	16 weeks supplementation; 485 mg EPA + DHA/day from fish or capsules	≥50% increase in phospholipids (week 0 vs. week 4)	≥45% increase (week 0 vs. week 16)
Cao 2006 ¹⁵	N=20 (12 women), age (mean±SD): 48.8±8 years	8 weeks supplementation; 864 mg DHA/day from fish oil (n=9)	73% increase in phospholipids (<i>P</i> < .01)	42% increase (<i>P</i> < .001)
Katan 1997 ¹⁶	N=58 men, age (mean ± SD): 56.2±16.5 years	52 weeks supplementation; dosage range: 0- 490 mg DHA/day from fish oil	1.12±0.13% increase in cholesteryl esters per gram dietary DHA	1.09±0.21% increase per gram dietary DHA

Likewise, when DHA intake is decreased, blood concentrations of DHA also decrease^{15, 67}. Once supplementation is discontinued, plasma phospholipid and RBC membrane concentrations of DHA return to baseline concentrations within eight and sixteen to more than eighteen weeks, respectively (Table 3)^{15, 67}.

Table 3. Plasma and RBC DHA response to cessation of DHA supplementation

Reference	Population	Design	Plasma DHA	RBC DHA
Cao 2006 ³⁸	n=20 (12 women), age (mean±SD): 48.8±8 years	24 weeks following 8 weeks supplementation; 864 mg DHA/day from fish oil (n=9)	Plasma phospholipids returned to baseline concentrations by 8 weeks post supplementation	Returned to baseline values 16 weeks post supplementation
Brown 1991 ³⁹	n=12 men, 18-40 yrs, 3x3 cross-over trial, 30 weeks total	18 weeks following 6 weeks fish (410 mg DHA/day) and 6 weeks fish + oil (990 mg DHA/day) (n=4)	n/a	Not returned to baseline values 18 weeks post supplementation

Dietary intake of DHA is positively related to regional brain DHA

The amount of DHA intake has been shown to impact brain DHA concentrations. Table 4 shows the results of Chung and colleagues, who found a clear impact of varying levels of DHA intake on DHA concentrations in the rat brain²¹.

Table 4. Regional brain content of DHA of rats fed different amounts of omega-3 PUFAs

Brain region ²¹	Deficient n=9	Deficient + Fish Oil n=11	Sufficient n=10	Sufficient + Fish Oil n=10
Frontal cortex	25.2%	61.1%	100%	107.6%
Visual cortex	32.0%	64.6%	100%	92.9%
Cerebellum	38.8%	73.6%	100%	99.4%
Hippocampus	40.1%	91.5%	100%	126.9%
Olfactory bulb	49.5%	90.8%	100%	128.6%

Similarly, the turnover rate of DHA in brain phospholipids is slowed by dietary restriction of n-3 fatty acids. As shown in Table 5, DeMar and coworkers showed that the half-lives of DHA in rat brain phospholipids more than double after being on an n-3 deficient diet for 15 weeks²⁴.

Table 5. Half-life of brain phospholipid DHA according to dietary DHA sufficiency

Brain phospholipid	Rats fed an n-3 deficient diet ²⁴	Rats fed an n-3 sufficient diet
Phosphatidylethanolamine	77 days	32 days
Phosphatidylcholine	51 days	23 days
Total phospholipids	90 days	33 days

Blood concentrations of DHA are positively related to brain concentrations of DHA

Plasma and RBC DHA concentrations are commonly used as markers of brain concentrations of DHA in humans. Supporting this use, plasma and RBC long chain polyunsaturated fatty acid (LC-PUFA), specifically DHA, composition has been shown to change relative to neural membrane LC-PUFA composition in humans, neonatal pigs, and rats²⁶⁻²⁹, although not consistently⁶⁸.

Potential links between DHA and cognitive outcome

Functional impact of diet and brain DHA on cognitive outcomes

Several studies have shown improved learning ability in rats fed diets containing omega-3 fatty acids compared with rats deficient in omega-3 fatty acids⁶⁹⁻⁷². A number of the human studies have therefore been conducted to investigate the role of exposure to DHA during the fetal and infancy periods on cognitive development. Studies have also been conducted to investigate the relationship between DHA status and cognitive decline in older adults. Fewer studies have been conducted to evaluate the status of DHA and the impact of DHA supplementation in children and adults. The following is a summary of

findings in humans and animals on the relationship between DHA status and cognitive outcome grouped by age category.

Third trimester fetus (approximately 26-42 weeks gestational age)

Accretion of DHA in the human forebrain occurs rapidly between the third trimester and first two years after birth⁷³. DHA may be used in part to assemble synapses and dendrites, which also rapidly grow and develop during the third trimester⁷³. During the third trimester the fetus may have decreased capacity to synthesize the $\Delta 5$ and $\Delta 6$ desaturases needed to convert precursor essential fatty acids (ALA and LA) to long-chain polyunsaturated fatty acids (DHA and arachidonic acid (AA)); maternal supplies of preformed DHA and AA are preferentially transported across the placenta during this period⁷⁴.

Adequate provision of DHA to the fetus during the third trimester may facilitate improved cognitive outcomes after birth. In a recent randomized controlled trial, Dunstan and colleagues show evidence for better eye-hand coordination at 2.5 years in children whose mothers received high dose fish oil supplementation (2.2 g DHA, 1.1 g EPA) compared with children whose mothers received olive oil from 20 weeks gestation through delivery⁷⁵.

Infants born before completion of the third trimester (early term) demonstrate the impact of prenatal DHA exposure. Infants born early term and/or who have low birth weight have been shown to have decreased concentrations of blood DHA⁷⁶. With adequate postnatal DHA intake, early term infants may still have comparable brain accretion of DHA, as shown in neonatal baboons⁷⁷. Tanaka and colleagues showed very low birth weight infants born early term who were breastfed had better performance on

tasks of executive function at 5 years of age compared with those fed unsupplemented formula. This study showed a significant, positive correlation between RBC DHA concentrations at age 4 weeks and two measures of executive function at age 5 years⁷⁸. In addition, Henriksen and colleagues found early term, very low birth weight infants receiving breast milk who were supplemented with DHA and AA from birth through hospital discharge scored higher on tests of problem-solving and recognition memory but not communication skills at age 6 months than those who were breastfed but received placebo⁷⁹. Amounts of DHA currently added to infant formulas may be adequate, however, according to a recent report finding no difference in language development at 26 months and behavior at 4.5 years in children given 0.3% versus 1% fatty acids as DHA from breast milk or formula during the early term period⁸⁰.

Infants

Provision of DHA to infants in the form of breast milk or supplemented infant formula has been an area of focus after evidence revealed a strong relationship between diet intake and brain concentrations of DHA. Upon post-mortem examination, infants fed human milk had significantly higher concentrations of RBC and cerebral cortex DHA compared with infants fed formula not supplemented with DHA⁸¹. Cerebral cortex DHA concentrations correlated positively with length of breastfeeding ($r^2 = .62$, $P < .01$) and RBC DHA concentrations⁸¹.

Experts recommend consumption of pre-formed long-chain polyunsaturated fatty acids during infancy provided through breast milk or formula supplemented with at least 0.20-0.35% of total fatty acids as DHA and at least as much AA⁸²⁻⁸⁴. Human milk is a good source of preformed DHA, although actual content widely varies. Brenna and

colleagues conducted a meta-analysis of 65 international studies (n=2474 women), and found the DHA content in human milk ranged between 0.06 and 1.40% of total fatty acids (mean \pm SD: 0.32 \pm 0.22%). The authors speculated that the populations with the highest proportions of DHA in the milk tend to have the highest intakes of fish compared with the populations with the lowest proportions of DHA in the milk.

A recent review compiling the results of observational and randomized controlled trials of infant feeding found the majority of studies showed a positive impact of feeding human milk and/or formula supplemented with DHA and AA over formula without DHA and AA on a variety of cognitive outcomes⁸⁵. Birch et al found infants fed unsupplemented formula (n=19) had significantly lower verbal IQ at 4 years than those who were breastfed (n=32). Infants fed formula supplemented with DHA (0.36% fatty acids) and AA (0.72% fatty acids; n=17) had verbal IQ similar to breastfed infants⁸⁶.

Auestad et al, on the contrary, showed no difference in visual, language and cognitive outcomes at 3 years in children given unsupplemented formula (n=37), formula supplemented with DHA (0.23%; n=35), DHA (0.12%) and AA (0.43%; n=35), or human milk during the first year of life (n=50)⁸⁷. Previously, in 1998, Morley suggested four possibilities for inconsistencies in these studies: small sample size, varying amounts of ALA in control formula, current DHA status, and appropriateness of the utilized cognitive test(s)⁸⁸. Another possibility may be differences in dosage of supplemental DHA⁸⁹. The amount of DHA in the formulas in the Auestad study, for example, was 0.23% or 0.12% of total fatty acids, respectively, both of which are lower than the aforementioned mean content in human milk estimated by Brenna and coworkers of 0.32% fatty acids.

Children

Little is known about the impact of dietary DHA on cognitive outcomes in healthy children. A cross-sectional analysis of data taken from the Third National Health and Nutrition Examination Survey found a positive relationship between estimated polyunsaturated fatty acid intake and measures of short-term and working memory in children ages 6-16 years⁹⁰. The report did not include information about specific types of polyunsaturated fatty acids, though. Ryan and Nelson found no changes in cognitive outcomes after supplementation for 4-months with 400 mg DHA in healthy 4 year olds (DHA Group, n=85; Placebo Group, n=90)⁹¹. They did, however, see a positive correlation between measures of verbal ability (percentile rank score) and blood DHA concentrations ($r^2 = .14$, $P = .018$, $n = 93$)⁹¹. A study of children living in Indonesia, Thailand, and South Africa found that those who were supplemented with fish oil had increased attendance at school; this result, however, was inexplicable to the investigators⁹².

Children with peroxisomal disorders

Children with peroxisomal disorders (classic Zellweger syndrome and milder variants) display biochemical and brain deficiency of DHA⁹³ and provide insight into the functions of DHA in the body. Patients commonly experience sensitivity to light, impaired vision, gliosis, neuronal migration disorders, and myelin abnormalities⁹⁴. In Spain, eight infants and children (baseline ages: 0.4 to 6.6 years) with Zellweger's Syndrome were supplemented with DHA at 100-600 mg/day to normalize blood DHA levels and had baseline and follow up magnetic resonance imaging (MRI) evaluations of myelination. The length of supplementation ranged from 6 weeks to 7 years, with

normalization of RBC DHA concentrations within several weeks after initiation of supplementation. Along with clinical improvements in visual and psychomotor status, seven participants showed improvements in myelin as detected by MRI; the eighth had normal MRI results at baseline and normal myelin progression at follow up⁹⁴. It should be noted that this study did not include a control or comparison group.

Adults

Similar to healthy children, very little research has focused on the impact of dietary DHA on cognitive outcomes in healthy adults. Most of this research has focused on women during or after pregnancy due to significant changes in plasma and RBC concentrations of DHA seen during pregnancy and postpartum periods⁹⁵⁻⁹⁶.

de Groot and colleagues investigated the relationship between blood DHA and cognitive outcomes in two studies of women of childbearing age. In the first study, 56 pregnant women were supplemented with 25 g of margarine daily from gestation week 14 through postpartum week 32. The experimental margarine contained 2.82 g ALA and 9.02 g LA per 25 g and the placebo margarine contained 0.03 g ALA and 10.94 g LA. The intervention did not result in changed blood DHA or cognitive outcomes. The investigators did, however, find a negative association between one measure of selective attention (reaction time) and plasma DHA at gestation week 14 and 32 weeks after delivery⁹⁷. In a prospective, observational study of 54 non-pregnant women in the same age group, de Groot and colleagues found a negative relationship between one measure of simple processing speed (average speed on the Stroop Color-Word Interference Test, conditions one and two) and plasma DHA status⁹⁸. There was no relationship, however, between plasma DHA and performance on two other tasks of simple processing speed. In

addition, in the previous study of pregnant women, no relationship was seen between DHA and simple processing speed as assessed using the same task (average speed on the Stroop Color-Word Interference Test, conditions one and two)⁹⁷.

In a postpartum study of breastfeeding women, women taking placebo (n=12) starting at the beginning of the post partum period had significantly lower plasma phospholipid DHA concentrations compared with women supplemented with 200 mg DHA/day (n=15) at four months postpartum. The phospholipid DHA in the placebo group dropped 31% while in the DHA group it increased 8% compared with baseline measures. Despite the preservation of DHA in the supplementation group, significant group differences were not seen in the mean completion times for a task assessing inhibition (color-word interference task) controlling for the word reading performance⁹⁹. The small sample size may have resulted in a type II error, however. As seen in Table 6, 210 participants would be needed to assess differences between the placebo and DHA groups in order to minimize potential errors in accepting (type I error) or rejecting (type II error) the null hypothesis. An adequate sample size, therefore, may reveal positive effects of preserved DHA status on measures of cognitive processing speed (Color Naming) and cognitive inhibition (Interference) in this population.

Table 6. Estimated sample size needed to minimize type I and type II errors in a postpartum study of lactating women

Test name	DHA Group n=15 Mean (SD)	Placebo Group n=12 Mean (SD)	Change Mean	Sample size
Color naming (s)	52.8 (6.8)	55.5 (7.8)	-2.7	210
Word reading (s)	45.5 (6.4)	45.5 (3.8)	0.0	NC
Interference (s)	75.4 (11.5)	79.8 (11.9)	-4.4	176

Abbreviation: s, seconds.

^a Sample size estimates calculated assuming $\alpha < .05$, $\beta \geq .80$, and equal numbers in each treatment group.

^b NC: not calculated.

It has been suggested that people treated early and lifelong for PKU may have suboptimal exposure to DHA beginning early in life similar to infants born preterm¹⁰⁰. Since rapid brain development and accretion of DHA occur early in life, it is important to characterize the effectiveness of DHA supplementation in this population if supplementation does not begin until in adolescence or adulthood.

Rhesus monkeys (n=3) deficient in omega-3 fatty acids given fish oil (1.35 g DHA + 2.01 g EPA) starting between ages 10 and 24 months showed significant increases in plasma, RBC, and cerebral cortex phospholipid DHA and corresponding decreases in docosapentaenoic acid (n-6) within a period of 12 weeks²⁵. In addition, male

rats deficient in omega-3 fatty acids given supplemental fish oil starting at age 2 months showed improved working and spatial memory and improved brain fatty acids (shown previously in Table 4) after 80 days of fish oil supplementation (0.3% energy from n-3 PUFAs; 12 mg DHA + 18 mg EPA). Notably, rats (n=10) fed a diet sufficient in n-3s supplemented with fish oil during brain development (fetal and infant periods) and starting at age 2 months (adulthood) showed enhanced working and spatial memory and increased brain fatty acids in certain regions compared with unsupplemented rats (n=10) on a sufficient diet²¹. Therefore, it appears that supplementation of DHA may be effective in partially or almost fully recovering regional brain fatty acids and some cognitive outcomes in previously deficient adult rats.

Older adults

There is a growing body of research investigating the connection between DHA and cognitive outcomes in older adult humans, particularly in relation to memory and cognitive impairment. In a nested case-control study, RBC DHA concentrations in 120 participants in Scotland at age 64 years positively correlated with IQ and indicators of information processing speed and constructional ability³⁰. At the end of a French 4-year longitudinal study of 246 men and women aged 63-74 years, the 27 participants exhibiting moderate cognitive decline had significantly decreased RBC DHA content compared with 219 participants who exhibited no decline (5.89 ± 1.0 vs. $6.34 \pm 1.10\%$ of total fatty acids, $P = .042$)³¹. Additionally, Japanese researchers found a positive effect of supplementation of 240 mg of DHA and AA for 90 days on performance in the cognitive domains of attention, immediate memory, and delayed memory in older patients with mild cognitive impairments (n=12) or organic brain lesions (n=10), but not in those with

Alzheimer's disease (n=8) or those with mild cognitive impairments receiving placebo (n=9)³². Manzato, however, saw no difference in plasma phospholipid DHA content between Italian veterans ages 65 years and older who scored low (n=93) or high (n=98) on a global cognitive function task¹⁰¹.

A recent randomized controlled trial conducted in the Netherlands found no differences in processing speed, executive function, memory, and attention tasks in 302 men and women without dementia aged 65 years and older taking placebo, 226 mg EPA + 176 mg DHA, or 1903 mg EPA + 847 mg DHA for 26 weeks. Differences were seen in two sub-groups, however. Participants who were carriers of at least one apolipoprotein E4 (ApoE4) allele in both supplemental groups and men in the lower dose group had significantly greater improvements on the attention tasks compared with the placebo group¹⁰². Carriers of ApoE4 may have minor declines in cognitive performance and increased risk for Alzheimer's disease compared with noncarriers¹⁰³.

Twenty-two month old (aged) mice given 200 (low dose, n=10) or 400 (high dose, n=10) mg/kg/day DHA for 30 days showed increased lipid DHA levels in the hippocampus, frontal cortex, and striatum versus aged controls (n=10); the DHA levels in the low dose group were comparable to those in young (3-months old; n=10) and significantly higher in the high dose group. Both supplemented groups demonstrated improved memory performance, escape latency, and learning on behavioral tasks over the control group. Supplementation resulted in increased dopamine, norepinephrine, serotonin, and brain-derived neurotrophic factor in the hippocampus, frontal cortex, and striatum and increased nerve growth factor in the hippocampus and frontal cortex compared with that found in the aged control mice¹⁰⁴. This study clearly shows an effect

of DHA on multiple measures, but the doses are very large in both supplemented groups. If neurochemical and cognitive improvements occur with supplemental DHA, it would be important to study the minimum dosage required for these improvements.

Potential confounding factors and effect modifiers

Factors including cigarette smoking, parity, current hormonal status, socioeconomic status, plasma Phe control, age, and overall nutrition status may be confounders (independently related to both DHA and cognitive status) or effect modifiers (directly impact the relationship between DHA and cognitive status).

Cigarette smoking

Cigarette smoking appears to be associated with both blood concentration of DHA and cognitive status. Due to the oxidative capacity of cigarette smoke and the susceptibility of polyunsaturated fatty acids to free radical oxidation¹⁰⁵⁻¹⁰⁶, decreased blood concentrations of DHA are expected, and accordingly decreased concentrations of DHA have been shown in serum and RBCs of smokers¹⁰⁷⁻¹⁰⁸ and whole blood of newborn offspring of smokers¹⁰⁹. In a cross-sectional study, Simon and colleagues calculated the predicted effect of heavy smoking (>40 cigarettes/day) as a 30% decrease in serum DHA concentrations in men at risk for coronary heart disease (n=186)¹⁰⁷.

In a seemingly contradictory study, however, Pawlosky and colleagues observed significantly higher concentrations of plasma DHA in male smokers compared with male nonsmokers (mean \pm SD: 40.8 \pm 8.0 vs. 24.8 \pm 7.4 μ g/mL, $P = .04$); there were no differences between female smokers and nonsmokers. Following infusion with labeled ALA, female smokers had an increased synthesis rate of plasma DHA compared with female nonsmokers (5.8 vs. 2.9%, $P < .01$). Both male and female smokers retained

increased amounts of labeled DHA in plasma longer than the non-smokers¹¹⁰. The authors hypothesized that the increased concentrations of DHA in smokers may partially offset the effects of smoking on lipid peroxidation.

Little evidence is available for the relationship between cigarette smoking and cognitive function. One study suggests a positive relationship, with chronic administration of nicotine to rats resulting in improved attention and processing speed¹¹¹.

Pregnancy

Recent or current pregnancy appears to have a negative relationship with DHA and cognitive status in the female. Studies show decreased brain, liver, and RBC content of DHA in female rats that have completed at least one birth cycle compared with virgin female rats consuming similar diets^{22, 112}. Humans also show changes in blood DHA content; in one study serum DHA decreased a mean of 11.9% between the 28th week of gestation and delivery ($P = .003$)¹¹³. A meta-analysis revealed that women during pregnancy and postpartum periods may have poorer memory than non-pregnant women when executive function is involved¹¹⁴.

Hormonal status

Estrogen may have a positive impact on blood DHA status. Human females have higher proportions of blood DHA and slightly increased rates of synthesis of EPA, DPA, and DHA from precursor ALA than males¹¹⁵⁻¹¹⁶. Giltay and others show this increase is possibly due to the impact of estrogen, since male-to-female transsexuals displayed increased concentrations of blood DHA after being given estrogen therapy and female-to-male transsexuals displayed decreased DHA after testosterone therapy¹¹⁶.

Performance on cognitive tasks may also vary according to hormonal status, or phase of menstrual cycle, in females. Specifically, during the mid-luteal (pre-menstrual) phase of the menstrual cycle, a period of increased estrogen and progesterone, compared with the phase during menstruation (a period of decreased estrogen and progesterone), females have improved performance on tasks of memory, concentration, fine motor-skills, semantic processing, speed and motor coordination, verbal memory, attention, visual memory, fine motor dexterity, and verbal fluency and poorer performance on tasks of mental rotation, perception, and spatial ability¹¹⁷. Correspondingly, women in early menopause not receiving estrogen replacement therapy have worsened performance on cognitive tasks of memory, abstract reasoning, and reaction times compared with those receiving estrogen replacement therapy¹¹⁷.

Socioeconomic status

Socioeconomic status, including educational attainment, income, and occupation may be positively related to both DHA and cognitive status. In a cross-sectional study of 987 adults living with coronary artery disease, those in the highest tertiles of household income, education level, and grade of occupation had significantly higher content of RBC DHA compared with the lower two tertiles¹¹⁸.

PKU management

The relationship between management of PKU and DHA status is currently speculative. In 2001, Infante and Huszagh hypothesized that increased concentrations of plasma Phe inhibits the production of DHA¹¹⁹; however, this hypothesis has not been tested.

The other probable reason for decreased concentrations of blood DHA is the lack of pre-formed DHA in the Phe-restricted diet. People consuming a vegetarian or vegan diet also show lower proportions of DHA in plasma and RBC total lipids (Table 7).

Table 7. Proportions of plasma and RBC lipid DHA in consumers of vegetarian and vegan diets

Author	Year	Diet	n	Blood fraction ^a	units	Mean	Variation
							95% CI
Rosell ¹²⁰	2005	Vegan	232	Plasma	%	0.7	0.61, 0.79
		Vegetarian	231	Plasma	%	1.16	1.07, 1.24
		Omnivore	196	Plasma	%	1.69	1.59, 1.79
							SEM
Geppert ¹²¹	2005	Vegetarian	52	RBC	wt%	4.4	0.2
							SD
Agren ¹²²	1995	Raw food	8	RBC	mol%	3.3	1.6
		vegan					
		Omnivore	11	RBC	mol%	6.7	0.9
							SE
Sanders ¹²³	1978	Vegan	18	RBC	mg/g	19	2.3
		Omnivore	18	RBC	mg/g	58	3.8

Abbreviations: RBC, red blood cell; DHA, docosahexaenoic acid.

^a Total lipids.

Age

Concentration of DHA in the brain appears to be related to age. In a postmortem study of 58 humans, DHA concentration in the cerebral cortex significantly increased with age between the ages of 2 and 18 years, however cerebral cortex and RBC DHA concentrations were not correlated. In adults between the ages of 19 and 88 years, DHA concentration in the cerebral cortex did not markedly change whereas cerebral cortex and RBC DHA concentrations were correlated²⁷.

Cognitive changes are also observed with age. Each cognitive test used in the studies described in this dissertation has associated age-effect assessments using data from the respective normative samples. Below is a brief description of the observed relationships between age and performance on the tests. Because of the differences seen by age, the standard scores for each test adjust for age.

Verbal ability, as assessed in the standardization sample for the Peabody Picture Vocabulary Test Form III, showed a steep improvement in mean raw score between individuals aged 2.5 and 22 years, a relative flattening until age 56, then a slight decline in performance through age 90¹²⁴.

Cognitive processing speed is hypothesized to be a fundamental component of cognition, and individual differences in processing speed may be seen starting in infancy¹²⁵. For the Woodcock-Johnson III Tests the processing speed tasks were combined into a processing speed factor (Gs) through principal components analysis¹²⁶. This factor peaked at approximately age 25 years then steadily declined through 85+ years. On the Color Naming condition of the Delis-Kaplan Executive Function System (D-KEFS) Color-Word Interference Test (CWIT)¹²⁷, peak performance was seen between

ages 16-19 years and stabilized through ages 30-39 years. Mild to moderate slowing was reported only at 80-89 years. The Word Reading condition of the CWIT peaked in the 20s and stabilized through the 40s. Little slowing was reported with aging.

Executive function was assessed using the Inhibition and Inhibition/Switching conditions of the CWIT¹²⁷. On both of these conditions, the youngest and oldest age groups performed considerably slowest.

Nutrition status

Adequate nutrition early and throughout life plays a vital role in the development and maintenance of a healthy brain and cognitive status in humans. A number of nutrients have been associated with changes in cognitive status, although much research is still needed to clarify details. Work is needed, for example, to determine the critical time periods during which these nutrients are needed for brain and cognitive development. In addition, work is needed to confirm relationships between specific nutrients or combinations of nutrients with the development of specific regions of the brain and specific cognitive outcomes. The following nutrients may be associated with cognitive performance, and those on a Phe-restricted diet may be at increased risk of inadequate intake. Table 8 summarizes the expected risk of nutrient inadequacies for people with PKU for select nutrients associated with cognitive status.

Folate

Fortified grains and ready-to-eat cereals; meats; beans; and, leafy green vegetables are among the highest sources of dietary folate¹²⁸. Folate is routinely added to medical foods containing micronutrients. Many studies related to folate and cognitive status have been conducted early or late in the life cycle. Adequate intake of folate prior

to conception promotes the proper development of the neural tube in the fetus¹²⁹. In older adults, folate is positively associated with global cognitive function, psychomotor speed, information processing speed, and possibly memory¹³⁰⁻¹³¹, and is negatively associated with cognitive status during vitamin B12 deficiency¹³².

Folate is a carrier of carbon in the process of methylation¹³³ and therefore should be considered alongside methionine, homocysteine, vitamin B12, and vitamin B6 status. Increased homocysteine, negatively associated with cognitive status, is seen with folate deficiency¹³³. In addition, brain phospholipid metabolism may be impacted by folate deficiency (e.g., conversion of phosphatidylethanolamine to phosphatidylcholine)¹³⁴.

Iodine

Iodized salt, fish, seaweed, and dairy products are among the better sources of iodine¹³⁵. Iodine is provided in micronutrient-containing medical foods; a supplemental source would be required if the medical food does not contain micronutrients. Iodine deficiency is the leading cause of preventable mental retardation¹³⁶. Deficiency early in life is associated with poor visual–motor performance, motor skills, perceptual and neuromotor abilities, and development and intellectual quotients¹³⁷. A major function of iodine is its role in the formation of the thyroid hormones triiodothyronine (T3) and thyroxine (T4); a low concentration of thyroid hormone is associated with learning and memory impairment¹³⁸.

Iron

Enriched grains, fortified ready-to-eat cereals, meats, and beans are among the foods highest in iron content¹²⁸; because these foods are restricted or eliminated from the low Phe diet, iron is routinely added to micronutrient-containing medical foods.

Cognitive outcomes and iron status are positively related¹³⁹. Iron status in children under 2 years is positively associated with cognitive outcomes later in life¹³³. In addition, long-term iron supplementation in anemic children may improve cognitive outcomes¹³³. Iron is important for myelination¹⁴⁰ and is a cofactor for tryptophan hydroxylase and tyrosine hydroxylase¹³³ needed for to synthesize the neurotransmitters serotonin and dopamine, respectively.

Protein

Meat, dairy, beans, and nuts contain the highest protein content per typical serving, although protein is also present in grains, vegetables, and fruits¹²⁸. Because the low Phe diet relies on an overall restriction in intact protein, the main purpose of the Phe-free medical food is to provide the majority of essential amino acids. Brain size may be reduced with protein-energy malnutrition¹⁴¹. Head circumference, an indicator for brain size and protein-energy malnutrition¹⁴², has been shown to be positively correlated with natural protein intake in infants with PKU¹⁴³. In addition, several amino acids, components of proteins, are needed for neurotransmitter production or are neurotransmitters themselves.

Vitamin B12

Naturally occurring vitamin B12 is found exclusively in animal products such as meats, eggs, and dairy. Many ready-to-eat cereals are fortified with vitamin B12¹²⁸. People adhering to the low Phe diet must obtain vitamin B12 either from micronutrient-containing medical food or supplement. Infants with Vitamin B12 deficiency show deterioration in development and slowed brain growth between ages 4-8 months. Improvements are seen with supplementation, but may not prevent long-term delays¹⁴⁴.

Deficient intake of vitamin B12 during the first 6 years of life and current low serum vitamin B12 is associated with decreased fluid intelligence in adolescents¹⁴⁵. Other outcomes of deficiency include demyelination¹⁴⁶, declines in sensory perception and cognitive ability, and psychiatric symptoms¹⁴⁷. Importantly, symptoms of B12 deficiency may not correspond with biochemical markers of megaloblastic anemia particularly if folic acid status is adequate¹⁴⁷.

Vitamin B6

Fortified ready-to-eat cereals, meats, beans, nuts, potatoes, tomatoes, and bananas are among the highest food sources of vitamin B6¹²⁸. Although measured amounts of cereals, potatoes, tomatoes, and bananas can be part of a low Phe diet, intake may still fall short of DRIs without provision from a medical food containing micronutrients or a multivitamin supplement.

Vitamin B6 is a cofactor in the synthetic pathways of the neurotransmitters serotonin, norepinephrine, dopamine, and gamma-aminobutyric acid¹⁴⁸. Presentation of vitamin B6 deficiency includes convulsions in infants and irritability and confusion in adults¹⁴⁸. A cross-sectional study of 812 adults (mean (SD) age 61.9 (12.6) years) revealed a positive association between plasma vitamin B6 (plasma pyridoxal 5'-phosphate) and performance in several cognitive domains (including overall cognitive, visual-spatial, working memory, abstract reasoning performance) before and after controlling for cardiovascular disease and its risk factors¹⁴⁹. In contrast, a recent review revealed a lack of effect of vitamin B6 supplementation on cognitive performance in healthy older women (n=33, 75 mg vitamin B6, 5 weeks) and men (n=76, 20 mg vitamin

B6, 12 weeks) in two randomized controlled trials¹⁵⁰. More evidence is needed to further the characterization of the relationship between vitamin B6 and cognitive performance.

Zinc

Zinc is found in highest concentrations in meats, beans, dairy, and fortified cereals¹²⁸, which must be eliminated or restricted from the low Phe diet due to their Phe content. Consumption of a medical food fortified with zinc or a dietary supplement containing zinc is necessary to achieve intakes consistent with the DRIs. Zinc deficiency can present in a number of ways, including impaired cognition in adults¹⁵¹. Animals deprived of zinc during brain development have shown impaired outcomes in domains including learning, memory, and attention¹⁵². Repletion of zinc plus other micronutrients may have positive cognitive and growth effects as shown in children with stunted growth (n=740; ages 6-9 years; 20 mg Zn plus micronutrients vs. 20 mg Zn vs. micronutrients)¹⁵³. The mechanism for an association between zinc and cognition is under investigation. It is known that zinc is enriched in the synaptic vesicles of some glutamatergic neurons and is also associated with neuronal apoptosis¹⁵⁴. Supplementation beyond the DRIs may not provide cognitive benefit. Maylor and colleagues observed that supplementation with 15 or 30 mg zinc per day for six months in healthy adults (n=387, ages 55-87 years) with sufficient baseline zinc intakes had a negligible effect on cognitive measures of visual and working memory, attention, and reaction time¹⁵⁵.

Table 8. The risk of nutrient deficiency for people with PKU for select nutrients associated with cognitive status

Nutrient	Risk for deficiency in PKU
Folate	Low protein diet with <u>inadequate</u> intake of: <ul style="list-style-type: none"> • fruits and vegetables • folate-containing medical food • other supplemental source
Iodine	Low protein diet with <u>inadequate</u> intake of: <ul style="list-style-type: none"> • fruits and vegetables grown in iodine rich soil • iodine-containing medical food • iodized salt • other supplemental source
Iron	Low protein diet with <u>inadequate</u> intake of: <ul style="list-style-type: none"> • iron-containing medical food • other supplemental source
Protein	Low protein diet with <u>inadequate</u> intake of: <ul style="list-style-type: none"> • medical food and phenylalanine allowance
Vitamin B12	Low protein diet with <u>inadequate</u> intake of: <ul style="list-style-type: none"> • vitamin B12-containing medical food • other supplemental source

Table 8 continued

Nutrient	Risk for deficiency in PKU
Vitamin B6	Low protein diet with inadequate intake of: <ul style="list-style-type: none"> • vitamin B6-containing medical food • other supplemental source
Zinc	Low protein diet with inadequate intake of: <ul style="list-style-type: none"> • Zinc-containing medical food • other supplemental source

Cognitive deficits in early treated PKU

As stated earlier, poor management of PKU, consisting of either under restriction or even overrestriction¹⁵⁶ of dietary Phe early in life can lead to severe, irreversible mental retardation. Mental retardation can be prevented and IQ within the normal range can be achieved with early and appropriate diet therapy. The Collaborative Study of Children Treated for Phenylketonuria found the primary predictors of IQ at age 6 years were maternal IQ, age of treatment initiation, and maintenance of plasma Phe concentrations within the recommended range¹⁵⁷. Discontinuation of diet management after age five years may not have a large impact on IQ¹⁵⁸⁻¹⁵⁹, but IQ may decline slightly unless treatment is continued until at least ages eight to twelve years¹⁶⁰⁻¹⁶². Discontinuation may also result in increased psychopathology¹⁵⁸ and decreased information processing speed¹⁶³.

Even with early and continuous treatment, subtle deficits in cognitive performance are seen. A 2007 meta-analysis showed adolescents and adults who were

treated early and continuously for PKU had poorer outcomes in tasks of cognitive inhibition, cognitive processing speed, full scale intelligence quotient, attention, and motor control compared with controls without PKU. Cognitive processing speed showed the largest effect size (>0.9) and cognitive inhibition the second largest (>0.5)¹⁶⁴. In addition, performance on tasks of working memory, strategic processing, and processing speed testing may deteriorate over time relative to controls without PKU¹⁶⁵⁻¹⁶⁷ although the processing speed finding is not consistent¹⁶⁸.

Whether the cause of these subtle deficits in early, lifelong treated PKU is a result of abnormalities in neurochemistry or brain structure due to suboptimal current or past Phe control, current or past suboptimal nutrition due to the restrictive diet, or a combination of factors continues to be under investigation.

Neurochemistry

The “executive deficit hypothesis” asserts that declines in executive function in PKU are due to slight decreases in dopamine projecting to the dorsolateral prefrontal cortex of the brain. This would occur if plasma Phe concentrations are only moderately increased between 6-10 mg/dL (360-600 $\mu\text{mol/L}$)¹⁶⁹. The findings of Diamond and colleagues support this hypothesis in a study of infants and children with PKU. They found that infants and children with moderately elevated plasma Phe concentrations, between 6 and 10 mg/dL, had poorer working memory and inhibitory control scores compared with controls and infants and children with PKU maintaining control below 6 mg/dL¹⁶⁹.

Brain structure

Another hypothesis states that white matter abnormalities (WMAs) in the form of dysmyelination, a breakdown in myelin sheath, contribute to changes in cognitive function, dopamine, and other neurotransmitters in PKU. Dysmyelination may occur due to increased concentrations of Phe or its metabolite, phenylpyruvic acid, inhibiting the activity of enzymes needed to produce cholesterol or fatty acids essential to myelin membranes¹⁷⁰⁻¹⁷². WMAs may be reversible with improved plasma Phe control¹⁷³.

One study utilizing magnetic resonance imaging showed 14 patients with PKU displaying moderate, 12 displaying mild, six displaying no WMAs. All three groups displayed impaired cognitive outcomes, and participants with moderate WMAs showed the most deficits. Deficits seen in moderate WMAs were in the domains of IQ, divided attention, processing speed, verbal learning, visual learning, mental flexibility, and arithmetic. No clear pattern of progressive worsening was seen between the no WMA and mild WMA groups, although both groups displayed deficits (in the domains of IQ, processing speed, reading, and arithmetic). Notably, the moderate WMA group had a higher mean concurrent plasma Phe concentration ($786 \pm 284 \mu\text{mol/L}$) than the other two groups ($400 \pm 132 \mu\text{mol/L}$ in the no WMA group and $435 \pm 196 \mu\text{mol/L}$ in the mild WMA group)¹⁷⁴.

Nutrition

Due to the restrictive nature of the low-Phe diet and the dependence on a supplemental synthetic formula (a Phe-free medical food), the possibility remains that nutrition could play a role in the subtle cognitive deficits seen in people treated early and lifelong for PKU. Because of the importance of DHA in the brain, the negligible intake of

performed DHA in the low Phe diet, and the lower concentrations of plasma and RBC DHA in people with PKU, the question arises whether there is a relationship between DHA status and cognitive outcomes in PKU.

Supplementation with DHA in infants and children with PKU has been shown to improve plasma and RBC DHA content, measures of visually evoked potentials (VEPs), and motor skills. Agostoni and colleagues showed improved measures of pattern-reversal and flash visually evoked potential (VEP) in 10 children with PKU supplemented with LC-PUFAs (~9.3 mg DHA/kg body weight) for 12 months compared with 10 children with PKU supplemented with a placebo⁸. Beblo and colleagues also found improvements in two of four measures of VEP (n=36 with PKU supplemented with fish oil vs. n=12 controls without PKU) as well as improvements in motor skills in children with PKU supplemented with fish oil (15 mg DHA/kg body weight; n=24 with PKU supplemented with fish oil vs. n=11 controls without PKU) for three months compared with controls without PKU^{9, 11}. Infants with LC-PUFA supplemented formula for 12 months improved pattern but not flash VEP as RBC DHA concentration increased¹⁰.

These studies suggest there may be a cognitive benefit from supplementation of the low Phe diet with DHA in infants and children. Supplementation with DHA in adolescents and adults with PKU has not yet been studied.

Significance

Even with early and lifelong dietary treatment, adolescents and adults with PKU display minor cognitive deficits. The cause of these deficits is not confirmed but thought to be impacted by increased concentration of Phe or phenylketones in the brain, and resulting abnormalities in white matter and/or neurotransmitters in the brain. Because

decreased concentrations of DHA have been found in the blood lipids of patients with PKU, it has also been hypothesized that DHA may play a role in cognitive status in people treated early for PKU.

As part of a long term goal to optimize dietary treatment to enhance cognitive outcomes of people with PKU, the subsequent studies investigated the relationship between biomarkers of Phe control, DHA status, and measures of verbal ability, cognitive processing speed, and executive function in adolescent and adult females with PKU. The following research aims to offer clinicians and families evidence regarding the relationship between DHA supplementation and cognitive outcomes in adolescent and adult females with PKU.

Chapter 3. Preliminary Studies

Plasma Phe control consistently improves at the end of Metabolic Camp

Participants of the following studies were initially recruited from the Metabolic Camp for adolescent and adult women with inborn errors of metabolism held annually at Emory University. Participants travel from various parts of the United States to attend the Metabolic Camp, and about half of the campers attend for the first time. Singh and colleagues showed that the intervention of the annual Metabolic Camp has consistently shown clinically and statistically significant mean improvements in plasma Phe control at the end of camp as demonstrated in Table 9¹⁷⁵.

Table 9. Consistently improved plasma Phe control at the end of a Metabolic Camp for females with PKU

	Camp 1995	Camp 1996	Camp 1997	Camp 1998
	n=13	n=21 ^a	n=18 ^a	n=22 ^a
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Baseline	935 (401)	990 (349)	811 (398)	792 (350)
plasma Phe, $\mu\text{mol/L}$				
End-of-camp	487 (301)	564 (293)	534 (335)	453 (320)
plasma Phe, $\mu\text{mol/L}$				
<i>P</i> value	.001	<.0001	.0014	.0002

Definitions: Phe, phenylalanine; PKU, phenylketonuria.

^a Includes participants with one or more previous exposures to camp experience.

Adapted from Table 3 in reference ¹⁷⁵.

Consumers of medical foods without fat do not compensate for the missing fat

As described earlier, not all medical foods contain sources of fat. The following preliminary studies originated with the question of whether people with PKU consuming medical food without fat compensate for fat intake through other dietary sources. To investigate this first question, dietary intake prior to attending Metabolic Camp in 2004 or 2005 was assessed in 38 adolescent and adult females with PKU. As shown in Table 10, participants consuming medical food without fat (n=16) consumed significantly less total energy and energy from fat than participants consuming medical food with fat (n=22). Participants consuming medical food without fat consumed the same amount of fat from other foods as participants consuming medical food with fat. This finding indicated that participants consuming medical food without fat did not compensate by increasing fat intake through other foods¹⁷⁶⁻¹⁷⁷.

Table 10. Energy intake in adolescent and adult females with PKU by medical food type

Intake ^a	Source	MF with Fat	MF without Fat	<i>P</i> value ^b
		n=22 Mean (SD)	n=16 Mean (SD)	
Age, y		18.7 (10)	23.2 (10.3)	.18
Energy, kcal/d	Total	1773 (501)	1458 (286)	.019
	Food	1088 (447)	1130 (281)	.73
	Medical Food	685 (362)	329 (166)	<.001
Fat, kcal/d	Total	502 (201)	300 (110)	<.001
	Food	338 (181)	292 (110)	.34
	Medical Food	163 (94)	8 (11)	<.001
Carbohydrate, kcal/d	Total	1050 (348)	936 (193)	.20
	Food	732 (327)	814 (214)	.36
	Medical Food	318 (229)	122 (107)	.001
Protein, kcal/d	Total	233 (73)	241 (67)	.74
	Food	44 (25)	44 (19)	.97
	Medical Food	189 (77)	197 (74)	.75

Abbreviations: MF, Medical Food; kcal, kilocalorie.

^a Intakes analyzed using Amino Acid Analyzer v4.0 (Ross Products Division, Columbus, OH).

^b Student's *t* test for independent samples.

Table using data from references ¹⁷⁶⁻¹⁷⁷.

Biomarkers of DHA status may not differ by type of medical food used

To investigate if the essential fatty acid status of adolescent and adult females with PKU taking medical foods without fat differed from those taking medical foods with fat, plasma and RBC total lipid fatty acid profiles were assessed in 15 eligible participants with PKU attending the Metabolic Camp in 2006. Even though estimated intakes of total fat and ALA were significantly different between medical food groups, the proportional content of plasma and RBC total lipid essential fatty acids and their longer chain derivatives were not different as seen in Table 11 (only select plasma biomarkers and diet intakes are shown). A larger sample size is needed to confirm these findings.

Table 11. Select biomarkers of plasma total lipid fatty acid status and dietary factors by medical food type in females of reproductive age with PKU

	MF without Fat		MF with Fat		<i>P</i> value ^a
	n=7		n=8		
	Median	(Range)	Median	(Range)	
Age, y	17	(13 – 41)	15	(12 - 49)	.54
BMI, kg/cm ²	29.8	(24.0 - 47.9)	22.5	(16.5 - 39.9)	.006
Plasma Phe, μmol/L	902	(245 - 1702)	640	(233 - 1099)	.19
Plasma Tyr, μmol/L	35	(22 - 54)	37	(23 - 46)	.87
Plasma TLFA, μg/mL	2885	(1755 – 4317)	2321	(1650 - 3011)	.40
Plasma LA, % TLFA	32.14	(19.97 - 41.34)	33.32	(31.04 - 38.89)	.54
Plasma AA, % TLFA	5.67	(3.93 - 8.70)	7.05	(4.30 - 9.45)	.40
Plasma ALA, % TLFA	0.62	(0.47 - 1.43)	0.71	(0.55 - 0.84)	.54
Plasma EPA, % TLFA	0.25	(0.11 - 0.40)	0.26	(0.13 - 0.45)	.61
Plasma DHA, % TLFA	0.93	(0.78 - 1.18)	0.86	(0.62 - 1.24)	.46
Dietary energy, kcal/d	1580	(873 - 1936)	1580	(856 - 2227)	.69
Dietary CHO, g/d	250	(109 - 364)	217	(122 - 364)	.96
Dietary total fat, g/d	32	(12 - 50)	57	(34 - 71)	.014
Dietary Phe, g/d	0.330	(0.060 - 0.730)	0.420	(0.220 - (1.050))	.69
Dietary protein, g/d	63	(40 - 87)	56	(22 - 66)	.34
Dietary tyrosine, g/d	5.54	(3.36 - 8.17)	4.58	(1.75 - 6.50)	.40
Dietary ALA, g/d	0.70	(0.04 - 1.48)	1.35	(0.66 - 1.76)	.014
Dietary EPA, g/d	0.00	(0.00 - 0.00)	0.00	(0.00 - 0.00)	1.0

Table 11 continued

	MF without Fat		MF with Fat		<i>P</i> value ^a
	n=7		n=8		
	Median	Range	Median	Range	
Dietary DHA, g/d	0.00	(0.00 - 0.00)	0.00	(0.00 - 0.00)	.69

Abbreviations: MF, Medical Food; BMI, body mass index; Phe, phenylalanine; Tyr, tyrosine; TLFA, total lipid fatty acid; LA, linoleic acid; AA, arachidonic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid; CHO, carbohydrate.

^a Mann-Whitney U Test for independent samples.

^b Dietary data analyzed using Food Processor SQL.

Table using data from references ¹⁷⁸⁻¹⁷⁹.

Biomarkers of EPA and DHA status are reduced in females with PKU

Although medical food type did not appear to relate to select fatty acid biomarkers, total lipid plasma and RBC proportions of EPA and DHA were significantly lower compared with adolescent and adult female laboratory controls without PKU as shown in Table 12 and Table 13.

Table 12. Plasma total lipid fatty acid status in adolescent and adult females with and without PKU

Marker	Units	PKU n=17 Mean (SD)	Controls n=23 Mean (SD)	<i>P</i> value ^a
Age	Years	21.06 (11.55)	33.02 (12.07)	.003
TLFA	µg/mL	2521 (669)	2569 (357)	.790
LA	% TLFA	32.58 (5.02)	28.91 (2.94)	.001
AA	% TLFA	6.41 (1.55)	6.22 (0.99)	.666
ALA	% TLFA	0.73 (0.24)	0.67 (0.25)	.410
EPA	% TLFA	0.26 (0.09)	0.73 (0.48)	<.001
DHA	% TLFA	0.89 (0.18)	3.01 (0.89)	<.001

Abbreviations: TLFA, total lipid fatty acid; LA, linoleic acid;

AA, arachidonic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid.

^a Two-tailed independent samples *t* test.

Table using data from references ¹⁷⁸⁻¹⁷⁹.

Table 13. RBC total lipid fatty acid status in adolescent and adult females with and without PKU

Marker	Units	PKU n=17 Mean (SD)	Controls n=23 Mean (SD)	<i>P</i> value ^a
Age	Years	21.06 (11.55)	33.87 (12.06)	.002
TLFA	µg/mL	1941 (124)	1333 (197)	<.001
LA	% TLFA	10.76 (1.19)	9.69 (1.20)	.008
AA	% TLFA	14.48 (1.15)	14.05 (1.25)	.279
ALA	% TLFA	0.13 (0.04)	0.12 (0.05)	.751
EPA	% TLFA	0.22 (0.04)	0.47 (0.20)	<.001
DHA	% TLFA	2.55 (0.56)	3.88 (0.98)	<.001

Abbreviations: TLFA, total lipid fatty acid; LA, linoleic acid; AA, arachidonic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid.

^a Two-tailed independent samples *t* test.

Table using data from references ¹⁷⁸⁻¹⁷⁹.

As described in the Background, other studies have also shown decreased concentrations of DHA in blood fractions in individuals with PKU compared with controls without PKU. In an effort to understand the functional implications of decreased concentrations of DHA in adolescent and adult females with PKU, three studies are presented in this dissertation which focus on cognitive processing speed and executive functioning, cognitive domains in which individuals treated early for PKU have shown subtle deficits.

Study 1 (Chapter 5) reports the association between short-term improved Phe and change in performance on cognitive processing speed tasks in adolescent and adult females with PKU attending a week-long camp intervention. Study 2 (Chapter 6) examined the relationship between biomarkers of DHA status and measures of verbal ability, cognitive processing speed and executive function in adolescent and adult females with PKU controlling for plasma Phe in a cross-sectional study. Study 3 (Chapter 7) evaluated the impact of DHA supplementation on cognitive processing speed and executive function task performance in a randomized, placebo-controlled, double-blinded trial.

Chapter 4. Methods

The purpose of this chapter is to provide information on aspects of the methods which may be considered superfluous for inclusion in a manuscript submitted for publication to a scientific journal. These details focus on the outcome measures which include assessments of validity and reliability of the cognitive tests, laboratory methodologies used to assess biomarkers, and description of diet assessment methods. Descriptions of the demographic and medical information collected and aspects of Study 3 are also expounded upon. This chapter is not intended to describe all aspects of the methods employed in Studies 1, 2, and 3 since the reports (Chapter 5, Chapter 6, and Chapter 7, respectively) each have detailed methods sections.

Outcome measures

Main outcomes measured in Studies 1, 2, and 3 consisted of cognitive performance, biomarkers of Phe and DHA status, and diet intake. Demographic and medical information were also collected. Data collection procedures are detailed on page 208.

Cognitive Testing

Cognitive performance was assessed on tasks drawing upon verbal ability, cognitive processing speed, cognitive inhibition, and cognitive flexibility. Under the direction of a pediatric psychologist, the Peabody Picture Vocabulary Test (PPVT; Pearson, San Antonio, TX), Delis-Kaplan Executive Function System (D-KEFS; Pearson, San Antonio, TX) Color-Word Interference Test, and Woodcock-Johnson III (W-J III; Riverside Publishing, Rolling Meadows, IL) Tests of Cognitive Ability and Achievement

were administered to participants. The total battery of cognitive tests took approximately 45 minutes to complete.

Test administration and scoring

In Study 1 (Chapter 5), the PPVT and CWIT were administered individually to each participant by one of three licensed psychologists. For efficiency, in Study 1 the W-J III tests were administered in a group setting led by one licensed psychologist and four research assistants recorded participant finishing times. The W-J III tests could be completed either individually or in a group setting because participants recorded their test answers in test booklets. The group consisted of 30 participants at the beginning of camp and 31 at the end of camp. A diagram of the room in which group testing took place is on page 219.

The licensed psychologist who led the group testing is experienced in psychometric testing in clinical and research settings. Three research assistants held master's degrees in a health-related field, two of whom were pursuing a PhD in nutrition, and one research assistant was completing a bachelor's degree in biology.

As shown in Table 14, the pre-camp test measures served as the baseline measures for 20 and 13 of the participants enrolled and randomized into Studies 2 and 3 (Chapter 6 and Chapter 7), respectively. After Study 1, 21 additional participants were enrolled and 20 were randomized into Studies 2 and 3, respectively. These 21 additional participants were individually administered the tests by one trained tester (n=16) under the supervision of a licensed psychologist or by one of two licensed psychologists (n=5) at baseline. Follow up testing for the twenty-seven participants who completed Study 3

was individually administered by one trained tester (n=24) or one licensed psychologist (n=3).

Table 14. Administration of the W-J III test by environment and tester

Environment	Study 1		Study 2	Study 3	
	Pre-camp	End-of-camp		Baseline	Follow up
Group	n=20	n=20	n=20	n=13	
Individual			n=21	n=20	n=27
Individual tester					
Licensed Psychologist			n=5	n=4	n=3
Trained tester			n=16	n=16	n=24

The doctoral student investigator was the “trained tester” for these studies. The primary licensed psychologist/co-investigator trained and supervised the student investigator on the administration and scoring of the tests. The student investigator practiced administering the entire battery of tests to several volunteers and the supervising psychologist before administering the tests to research participants.

Due to the objective nature of the PPVT, CWIT, and W-J III tests, differences in test administrator and type of administration (i.e., group vs. individual) were not expected to affect test performance. To verify this expectation, performance on W-J III tests of all randomized participants in Study 3 (Chapter 7) was assessed. Thirteen participants completed the baseline W-J III tests in a group setting while twenty completed the tests individually. Mean scores on these tasks at baseline did not differ between the two

groups. Furthermore, a recent study showed a low frequency of errors of first year graduate students while administering or scoring the Decision Speed test of W-J III test¹⁸⁰.

To minimize scoring errors, each test was scored twice, with the second scoring occurring no sooner than 24-hours after the first scoring. Standard scores were calculated from raw scores using normative values included with the testing materials. The standard scores are age-specific and are based upon the performance of large normative samples which have been standardized to national demographics. Evaluations demonstrate adequate reliability and validity of the tests^{124, 126-127, 181}. Table 15 shows the standardization of each test to national demographics including the age groups involved in the each study. Table 16, Table 17, Table 18, and Table 19 show the reliability and validity estimates of the tests.

Verbal Ability

The PPVT-Third Edition, Form B was used to screen for intellectual functioning. The PPVT is (1) a verbally administered achievement test on standard English vocabulary, and (2) a screening test for verbal ability. Although the PPVT does not test overall intellectual capacity, it is highly correlated with measures of overall IQ as shown in Table 17¹²⁴. The results from the PPVT were used to screen for inclusion in the study, to describe the study samples at baseline, and to provide a proxy measure for verbal intelligence.

The participant was asked to match a series of vocabulary terms with one of four pictures per term. The test is divided into 17 sets of 12 questions per set, and the sets are ordered in increasing difficulty. No time limit was given for the PPVT.

Participants scoring less than 70 (standard mean = 100, standard deviation = 15) on the PPVT were deemed to be severely impaired cognitively and were excluded from study due to potential difficulties in comprehending the instructions for the cognitive processing speed and executive function tasks.

Executive Functioning Skills

Inhibition and cognitive flexibility, two aspects of executive function, were evaluated using the Color-Word Interference Test (CWIT). CWIT evaluates automatic response inhibition and cognitive flexibility through four timed conditions (Color Naming, Word Reading, Inhibition, and Inhibition/Switching) and is based upon the original test developed by Stroop¹⁸². The Color Naming and Word Reading conditions assess fundamental skills needed to complete the Inhibition and Inhibition/Switching conditions. These initial two conditions allow for the differentiation between poor performance due to deficits in fundamental skills versus deficits in inhibition and/or cognitive flexibility. Performance on the CWIT has been shown to be sensitive to mean lifetime Phe concentrations in children and adolescents treated continuously from birth through at least 14 years old¹⁸³.

Participants were instructed to complete each condition as quickly as possible, and each condition had a maximum time limit. Described below are the four conditions which comprise the CWIT.

Color Naming

The Color Naming condition requires the participant to identify verbally a series of colors presented on a page.

Word Reading

The Word Reading condition requires the participant to read aloud a series of color names presented on a page.

Inhibition

The Inhibition condition requires the participant to identify verbally the ink color a series of color names are printed in and not read the color names.

Inhibition/Switching

The Inhibition/Switching condition requires the participant to switch between two instructions. The participant is to identify verbally the ink color a series of color names are printed in and not read the color names. If the color name is printed inside of a box, however, the participant is to read the color name and not name the ink color.

Cognitive Processing Speed

Processing speed was assessed using six simple, timed tests: Decision Speed, Pair Cancellation, Reading Fluency, and Math Fluency tests from the W-J III Tests of Cognitive Ability and Achievement; and the Color Naming and Word Reading conditions from the CWIT. Participants were instructed to complete each test as quickly as possible, and each test had a maximum time limit.

Decision Speed

The Decision Speed task is reliant on the ability to connect a concept with a name. Participants were instructed to circle two pictures in each row that are conceptually most similar.

Pair Cancellation

The Pair Cancellation task involves circling every instance of a specific pairing of pictures appearing on a single page.

Reading Fluency

The Reading Fluency task requires reading abilities. Participants were instructed to read a series of statements and circle if the statement is true or false.

Math Fluency

The Math Fluency task is related to math achievement and requires the participant to perform simple arithmetic calculations (addition, subtraction, and multiplication).

Color Naming

The Color Naming task requires the participant to identify verbally a series of colors presented on a page.

Word Reading

The Word Reading task requires the participant to read aloud a series of color names presented on a page.

Processing Speed Score

The six processing speed scores (Decision Speed, Pair Cancellation, Reading Fluency, Math Fluency, Color Naming, and Word Reading) were reduced to one processing speed factor using principal components analysis with a varimax rotation. For Study 2 (Chapter 6), the processing speed factor explained 66.6% of the total variance among the variables and had an eigenvalue of 3.99. For Study 3 (Chapter 7), the processing speed factors explained 67.9% and 62.0% of the total variance among the

baseline (n=33) and follow up (n=27) variables and had eigenvalues of 4.1 and 3.7, respectively. For analysis, the processing speed factors were standardized to a z-score.

Table 15. Standardization methods for the PPVT, CWIT, and W-J III cognitive tests

Test	Age range	Sample size	Standard
PPVT-III	2.5-90+ years	2725	Current Population Survey, March 1994, US Census Bureau ¹²⁴
CWIT	8-89 years	1750	Population Estimates, 2000, US Census Bureau ¹²⁷
W-J III	2-90+ years	8818	Population Projections, 2000, US Census Bureau ¹⁸¹

Abbreviations: PPVT, Peabody Picture Vocabulary Test; CWIT, Color-Word

Interference Test; W-J, Woodcock-Johnson.

Table 16. Reliability assessments for the PPVT, CWIT, and W-J III cognitive tests

Test	Subtest	Internal consistency	Stability ^d
PPVT-III ¹²⁴		.95 ^a , .94 ^c	.91 - .94 ^e
CWIT ¹²⁷	Color Naming-Word Reading composite	.62 - .86 ^c	
	Color Naming		.76
	Word Reading		.62
	Inhibition		.75
	Inhibition/Switching		.65
W-J III ¹⁸¹	Decision Speed	.87 ^b	.73 - .80
	Pair Cancellation	.81 ^b	.69 - .84
	Reading Fluency	.90 ^b	.80 - .94
	Math Fluency	.90 ^b	.89 - .96

Abbreviations: PPVT, Peabody Picture Vocabulary Test; CWIT, Color-Word Interference Test; W-J, Woodcock-Johnson.

^a Alpha reliability coefficients.

^b Rasch analysis coefficients (median).

^c Split-half reliability coefficients.

^d Test-retest reliability coefficients.

^e Range represents measures across age groups.

Table 17. Criterion validity for the PPVT

Comparison Test	Age (years)	Concurrent correlation with PPVT-IIIB
WISC-III (n=41)	7.9-14.3	Verbal IQ: .92
		Performance IQ: .84
		Full scale IQ: .90
OWLS (n=43)	8.1-12.8	Listening Comprehension: .77
		Oral Expression: .68
		Oral Composite: .77
KAIT (n=28)	13-17.7	Crystallized IQ: .91
		Fluid IQ: .85
		Composite IQ: .91
K-BIT (n=80)	18-71.1	Vocabulary: .80
		Matrices: .62
		Composite: .76

Abbreviations: PPVT, Peabody Picture Vocabulary Test; WISC-III, Wechsler Intelligence Scale for Children-Third Edition; IQ, Intelligence Quotient; OWLS, Oral and Written Language Scales; KAIT, Kaufman Adolescent and Adult Intelligence Test; K-BIT, Kaufman Brief Intelligence Test.

Data are from reference ¹²⁴.

Table 18. Construct validity for the CWIT

Test/Condition	Construct validity	
	Inter-correlations ^a	Divergent ^b
CWIT		< .30
Color naming	.41 - .62	
Word reading	.41 - .62	
Inhibition	.45 - .63	
Inhibition/Switching	.41 - .63	

Abbreviations: CWIT, Color-Word Interference Test; CVLT-

II: California Verbal Learning Test-Second Edition.

Data are from reference ¹²⁷.

^a Range represents measures across age groups.

^b Compared with CVLT-II scores (ages 16-89 y).

Table 19. Construct validity of the W-J III tests using two factor analysis models

Model Name	Test	Correlation				
		Gs	Grw	Gq	NA	A3
CFA Broad Model	Decision Speed	.71				
	Pair Cancellation	.68				
	Reading Fluency	.43	.46			
	Math Fluency	.44		.47		
Broad/Narrow CHC	Decision Speed	.55			.22	
Factor Model	Pair Cancellation	.69				
	Reading Fluency	.31	.41		.22	
	Math Fluency	.43				.49

Abbreviations: Grw, Reading-Writing; Gs, Processing Speed; Gq, Mathematics; NA, Naming Facility; A3, Math Achievement.

Data are from references ^{126, 181}.

Blood collection and analysis

Participants were instructed to begin fasting at least eight hours prior to the morning blood draw. Four to ten mL of venous blood was drawn into a sodium heparin tube (green top) for the assessment of plasma amino acid profile and plasma total lipid profile. Four to eight mL of venous blood was drawn into an ethylenediaminetetraacetic acid (EDTA) tube (lavender top) for the assessment of plasma and red blood cell total lipid fatty acid profiles. Seven to ten mL of blood was collected in a tube without anticoagulant (red top) or a serum separator tube for the assessment of serum vitamin B12. The maximum amount of blood drawn was limited by the amount stated in the informed consent document signed by the research participant. The procedures used for blood processing are listed on page 214. Excess plasma, serum, and red blood cells were stored frozen at -80°C for the purposes of repeating an analysis or the assessment of additional biomarkers contingent upon participant consent.

Blood amino acid profile

Amino acid analysis was performed by Emory Genetics Laboratory's Biochemical Genetics Laboratory (Atlanta, GA). Venous blood was collected into sodium heparin tubes, plasma was deproteinized, and the resulting free amino acid concentrations were measured by quantitative ion-exchange chromatography on a Biochrom 30 Amino Acid Analyzer using lithium buffer¹⁸⁴.

For monthly monitoring or if a participant was unable to provide a venous sample, blood spot samples collected on filter paper were used to quantify whole blood whole blood Phe and tyrosine (Tyr) concentrations using liquid chromatography-tandem mass spectrometry (LC-MS/MS)¹⁸⁵. Phe and tyrosine were extracted from the blood spots into

methanol containing internal standards (stable isotope labeled amino acids). Amino acid analysis was performed using a Micromass Quattro Micro tandem mass spectrometer with a Waters 2795 HPLC system. Amino acids were identified and quantified using NeoLynx software. Values of whole blood Phe analyzed by LC MS/MS are reportedly 19% lower than plasma Phe analyzed by ion-exchange chromatography¹⁸⁵; therefore, a factor of 1.19 was multiplied to these values. Amino acid concentrations were reported as $\mu\text{mol/L}$. These values can be converted to mg/dL ($\text{mg}\%$) by dividing by 60.54¹⁸⁶.

Plasma and RBC total lipid fatty acid profiles

Venous blood was drawn into ethylenediaminetetraacetic acid (EDTA) tubes. At least 2-3 mL of EDTA-preserved whole blood was shipped overnight at room temperature to the Peroxisomal Diseases Laboratory (PDL) at Kennedy Krieger Institute (Baltimore, MD) for processing and analysis. Excess plasma and RBCs were stored frozen at -80°C in case a repeat analysis was required. The PDL inspected each specimen for hemolysis. In the event of hemolysis, the PDL did not analyze the specimen. Instead, when available, frozen plasma collected at the same study visit was shipped overnight on dry ice to the PDL for analysis of plasma TLFA concentrations.

Plasma and RBC C10:0 to C26:0 total lipid fatty acids were quantified by capillary gas chromatography-electron-capture negative-ion mass spectrometry (GC/MS). The method used is modified from the method of Lagerstedt and colleagues¹⁸⁷ and is described in further detail in the paper authored by the scientists operating the PDL¹⁸⁸.

The plasma and RBCs were separated by centrifuging the sample at 3000 rpm for 10 minutes at 4°C . Plasma was aliquoted into a separate tube and frozen at -80°C until analysis. RBCs were washed twice in phosphate-buffered saline. Each time an equal

volume of saline was added to the RBCs, gently mixed, and centrifuged at 3000 rpm for 10 minutes at 4° C. The saline was discarded and the packed RBCs were transferred into a separate vial, flushed for 30 seconds under a nitrogen evaporator, and frozen at -80°C until analysis.

In order to prepare RBCs for analysis, two aliquots of 100 µL packed RBCs from each sample were each to a solvent (isopropanol:hexane, 2:3) rinsed tube containing 0.01 mg butylated hydroxytoluene (BHT). 50 µl MilliQ purified water was added to each tube, and to extract the fatty acids, 2:3 isopropanol:hexane was added. The tubes were placed on a rocker for 2 hours and vortexed every 15 minutes. Finally, each tube was centrifuged at 2500 rpm for 10 minutes and the supernatant was aliquoted into a tube containing internal standards. One of the tubes was destined for the measure of RBC plasmalogens using capillary gas liquid chromatography; because RBC plasmalogens are not the focus of this study, this method will not be discussed further. The second tube was destined for the quantitation of total lipid fatty acids using capillary gas chromatography-electron-capture negative-ion mass spectrometry (GC/MS) using the same methods used for analysis of plasma samples.

To prepare the tubes containing the internal standards, 2:1 CHCl₃:methanol plus BHT were added to new tubes and dried under nitrogen. C19:0 and C27:0 free fatty acid internal standards were added to the tubes and dried under nitrogen. A deuterium-labeled fatty acid mixture (D C16:0, D C18:0; D C10:0, D C12:0, D C14:0, D C20:0, D C22:0, D C24:0; D C15:0, D C26:0, D pristanate, D phytanate) mixed with 2:1 CHCl₃:methanol was next added to the tubes. The tubes were dried under nitrogen, and finally 100 µL of the sample (plasma or RBC) was added to the tubes containing internal standards.

The fatty acids (from plasma or RBC) were hydrolyzed from triglycerides and phospholipids first using acid hydrolysis by adding 0.6 N hydrochloric acid in acetonitrile and heating at 104°C for 45 minutes. After the sample cooled, base hydrolysis was conducted by adding 1.0 N methanolic sodium hydroxide and heating at 104°C for 45 minutes. After the sample cooled, 6 N HCl was added to reacidify the sample. Finally, the fatty acids were extracted upon addition of hexane. After centrifugation, the hexane layer was transferred to a new tube and evaporated under nitrogen.

Triethylamine and 10% pentafluorobenzyl bromide (PFB) in acetonitrile were mixed with the hydrolyzed fatty acids and kept at room temperature for at least 20 minutes in order to derivitize the fatty acids into PFB esters. The sample was washed using 0.1 N HCl and hexane and centrifuged. The hexane layer containing the PFB esters was transferred into a GC vial for injection on the GC/MS.

To analyze total lipid fatty acid content of the samples an Agilent 6890/5973 GC/MS operating in a negative chemical ionization mode using ammonia as a reagent was used. The analysis took 46.5-minutes per sample, and the type of column used to separate the PFB fatty acid esters was a highly polar AT-Silar-100 capillary column (30m x 0.25mm x 0.2 µm). Highly polar column enables the separation of carbon-hydrogen-based compounds with double and triple carbon-carbon bonds. The sample injection volume was 1 µl and injected with a 1:35 split ratio. Helium was used as the carrier gas.

Normal and abnormal controls were included in each sample run. In addition, 33 free fatty acids mixed in toluene at 9 different concentrations were analyzed in each sample run in order to calculate standard curves. Using the GS/MS analysis software, each fatty acid was matched to the deuterium-labeled internal standard with the closest

chain length, retention time, and concentration. Plasma and RBC fatty acid content in the following studies are presented as percent of total lipids; total lipid fatty acids are presented as $\mu\text{g/mL}$.

Calibration studies on the original method showed a mean coefficient of variance (CV) of 9.8% ($n=9$, $r^2 > .923$). Intra-assay CV ranged from 2.5 – 13.2% ($n=17$) and inter-assay CV ranged from 4.6 – 22.9% for C8 – C26 fatty acid-PFB esters from plasma. When added to control serum, 76 - 106% of fatty acids were recovered. Fatty acid concentrations were stable when refrigerated up to 7 days and frozen up to 3 months (-20°C or -70°C). Fatty acid concentrations were also stable after 5 freeze/thaw cycles¹⁸⁷.

Plasma and red blood cell DHA content are commonly used as biomarkers for brain DHA content because plasma and RBC long chain polyunsaturated fatty acid (LC-PUFA), specifically DHA, composition have been shown to change relative to neural membrane LC-PUFA composition in humans, neonatal pigs, and rats^{26, 28-29}. Since DHA concentrations may adjust quicker in plasma than red blood cells¹⁵, markers of the two were collected.

Diet assessment

Participants were given instructions and materials for the documentation of dietary intake and portion size estimation. Three-day food records were collected and reviewed with the participant by a registered dietitian at baseline and follow up in order to assess intakes including dietary energy; protein equivalent; total fat; carbohydrates; Phe; tyrosine; polyunsaturated, saturated, and monounsaturated fats; and LA, AA, ALA, EPA, and DHA. If a participant did not have a three-day diet record at baseline, a registered dietitian conducted a twenty-four hour dietary recall. While a single twenty-

four hour recall may not give a valid estimate of an individual's typical intake, it is not expected to bias the estimated mean intake of the group although the standard deviation may be overestimated¹⁸⁹. Dietary intake data were analyzed using Nutrition Data System for Research (NDSR) software version 2009, developed by the Nutrition Coordinating Center (NCC), University of Minnesota, Minneapolis, MN.

When a study visit was conducted at the baseline of the metabolic camp, the food records were also analyzed using at least one of three software programs by at least two different dietitians. The three software programs utilized were Amino Acid Analyzer v4.0 (Ross Products Division, Columbus, OH), The Food Processor SQL Nutrition and Fitness software¹⁹⁰, or NDSR software version 2008. For all three programs, ingredients were chosen based on similarity to actual foods reported by participant. For Food Processor SQL, completeness of nutrient data was an additional factor used in deciding which ingredients to choose. The final analyses were conducted using NDSR software version 2009 by one dietitian (SY) and results were compared with the previous analyses. Discrepancies between the different analyses were checked and resolved in order to minimize data entry errors.

Neurological status

Peripheral neuropathy is possible in both poorly controlled PKU as well as vitamin B12 deficiency. Under the supervision of a neurologist, peripheral sensory perception was screened for in Study 3 (Chapter 7) using the Rydel-Seiffer Graduated Tuning Fork and the Two-point Discriminator, two standardized sensory testing tools.

Questionnaires

The following questionnaires were completed by participants at study visits.

Health behavior survey

The health behavior questionnaire addressed behaviors related to cigarette smoking, body weight, and physical activity of the participants (see pages 253 and 254).

The questionnaire was based upon the questions in three age-targeted health behavior questionnaires utilized in population-based surveillance by the Centers for Disease Control and Prevention¹⁹¹⁻¹⁹³.

Menstrual history

The menstrual history questionnaire was used to collect parity status and estimate estrogen status based on menstrual cycle (see page 260).

Medical Information Form

This form addressed medication and supplement usage as well as current and past management of PKU. The participant answered questions regarding prescribed and actual diet restriction and medical food intake (see page 247).

PKU Treatment History

The participant was asked further questions regarding treatment history of PKU. Questions include: method of PKU screening, diagnostic age, and early childhood control of blood Phe concentrations (see page 258).

Demographic Information Form

The demographic information requested included: race/ethnicity, marital status, household income and size, educational achievement, and parent/caregiver demographics (see page 249).

A poverty variable was used in order to report household income adjusted for household size. This variable was a two-level categorical variable based on the annual poverty thresholds from the US Census Bureau¹⁹⁴. Household income on the Demographic Information Form is reported as income within a specific range and the actual income is not reported. If the poverty threshold for a participant fell within or above the range reported by the participant, the participant was categorized as being at or below the poverty threshold. The poverty threshold specific to the year that the participant completed the Demographic Information form was used.

Study 3 Methods

For Study 3, baseline assessments were performed at the Emory University Clinical Interaction Site (CIS) of the Atlanta Clinical & Translational Science Institute (ACTSI) (previously known as the General Clinical Research Center (GCRC)). At baseline, participants were randomized into one of two supplementation groups and instructed to take the supplements daily for 4.5 months. After 4.5 months following the start of supplementation, participants returned to the CIS for assessment. Methods of communication between the participants and study staff included telephone, electronic mail, postal mail, facsimile, and in person contact.

Off-site study visits

If necessary, the study coordinator/doctoral student investigator traveled to a location closer to the participant's home to conduct a study visit. When possible, all of the data were collected at the participant's local metabolic clinic. The procedures for an off-site study visit are detailed in Appendix: Methods. In three cases, cognitive testing

was conducted in a quiet room in a library. In one case, the blood draw and vital sign measures were collected at the participant's home.

Participant selection

Eligible participants were females with PKU, at least 12 years of age, and able to complete neuropsychological testing. Ineligible participants were those who were pregnant or currently taking supplemental n-3 LC-PUFAs.

Study visit evaluations

Participants received a history and physical exam at baseline at the CIS, and height, weight, and vital signs were assessed at each study visit. At the CIS, height was assessed using a digital stadiometer (Measurement Concepts and Quick Medical, North Bend, WA), weight was assessed using a digital scale (Stand-On Scale; Scaletronics, White Plains, NY), and vital signs were assessed using DINAMAP 2019205-001 monitors (GE Healthcare, Waukesha, WI). Baseline body mass index (BMI) was calculated using the standard formula $BMI = (\text{kg body weight}) / (\text{m}^2 \text{ height})$.

Randomization

To reduce risk of bias and to promote similarity between the two groups with respect to known and unknown confounding factors and effect modifiers, several points in the study related to randomization were addressed (Table 20). First, participants were randomized into either the DHA supplement or placebo supplement group. Block randomization with a block size of four was used (See Appendix: Methods for further details). The CIS biostatistician developed the randomization assignments using a computer program and provided this list directly to the pharmacist at Emory University

Hospital Investigational Drug Services (IDS). The two supplements are described in the “Therapy” section on page 76.

Second, allocation of treatment was concealed from study investigators and participants through external storage and distribution by IDS.

Third, participants, data collectors, data analyzers, and investigators were masked (blinded) as to which group each participant belonged until the end of the data collection phase of the study. Masking was maintained by using supplements that are similar in appearance, weight, and smell.

Last, an intent-to-treat analysis was performed at the end of the study. To accomplish this, data were collected from all randomized participants regardless of participant compliance to the study protocol.

Table 20. Addressing bias

Issue	Potential bias	Action
Treatment allocation sequence generation	Selection bias	Computer-generated random number assignments
Allocation concealment	Selection bias	External storage and distribution of supplements
Blinding	Performance and detection bias	Mask participants, data collectors, data analyzers, and investigators to treatment allocation
Incomplete outcome data	Attrition bias	Perform intent to treat analysis

Where and when to call

Registration for this protocol was ongoing until sample size needs were met. The participants were directed to contact the principal investigator and doctoral student investigator with questions regarding the PKU & DHA Study by telephone, electronic mail, postal mail, facsimile, or in person.

Information to provide at entry

A short information session led by the student investigator was held at Metabolic Camps in 2007, 2008, and 2009 with time allotted for participant questions. Participants not attending Metabolic Camp were informed by the student investigator of the content discussed in the information session during the recruitment and/or baseline periods.

Participants received a study container before starting supplementation. The study container contained a study log book, supplies for monthly food records and filter paper, measuring cups and spoons, a ruler, a pen, and a supply of supplements. The study log book included study contact information, the participant's supplement prescription (number of capsules to take per day), medication logs, illness logs, a supplement calendar log, and food record instructions.

A study web-site was built for participants in the study to access study information and forms that were also given to the participants by mail, electronic mail, telephone, facsimile, or in person. This information included study staff contact information, directions to the study sites, and the PKU & DHA Study Information Sheet. Study forms include blank medication logs, illness logs, 3-day food records and instructions, filter paper blood test procedure instructions, and filter paper test requisition form.

Therapy

The number of capsules per day to provide each participant was based on baseline body weight. Based on previous dosing methods which resulted in increased plasma and erythrocyte DHA concentrations^{8, 18}, 10 (+5) mg DHA per 1 kg body weight per day or 1 capsule per 20 kg body weight per day were supplied by the supplement. Those randomized to receive DHA supplements received capsules containing DHA derived from the microalga *Schizochytrium spp* (“DHASCO-S”). Those randomized to receive placebo received capsules containing a mixture of soy and corn oils.

The capsules were provided by Martek Biosciences Corporation (Columbia, MD, USA). All capsules were provided in gelatin capsules containing approximately 500 mg of oil in the form of triglycerides and were all the same size, weight, color, and flavor. Ascorbyl palmitate and tocopherols are added to the DHASCO-S oil to prevent oxidation. The placebo oil is preserved with 60% of the ascorbyl palmitate and 100% of the tocopherols provided in the DHASCO-S capsules. The major fatty acids provided by each supplement are shown in Table 21¹⁹⁵. Up to five months of product at a time was given to each participant by shipment or in-person.

Table 21. Select fatty acid content per capsule

Fatty acid name	Shorthand formula	DHASCO-S capsule ^{a,b}	Placebo capsule ^{a,c}
Palmitic acid	C16:0	114 mg	55 mg
Oleic acid	C18:1n-9	7 mg	113 mg
LA	C18:2n-6	3 mg	267 mg
ALA	C18:3n-3	<0.5 mg	32 mg
EPA	C20:5n-3	7 mg	<0.5 mg
DPA _{n-6}	C22:5n-6	80 mg	<0.5 mg
DHA ^d	C22:6n-3	212 mg	<0.5 mg

Abbreviation: LA, linoleic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; DPA, docosapentaenoic acid; DHA, docosahexaenoic acid.

^a Absolute fatty acid contents estimated by dividing the average capsule fill weight by the average % fatty acid content.

^b Average (range) capsule fill weight of the DHASCO-S capsule was 535.9 (506-560) mg.

^c Average (range) capsule fill weight of the Placebo capsule was 528.2 (504-557) mg.

^d Average (range) analyzed DHA content per capsule was 199.8 (190-210) mg DHA.

Data shown are based on the Certificates of Analysis for the DHASCO-S and Corn/Soy Placebo lots used in the trial.

Expected dosage range

Based on participants with PKU (n=20) attending a previous Metabolic Camp who had a median age of 16.5 years (range: 12-50 years) and a median weight of 61.3 kg (range: 30.5-118.6 kg), the expected dosage range was as follows:

- Median number of capsules per day: 3 (range: 2-6)
- Median DHA per day: 11.2 mg/kg/day (range: 10.0-13.2 mg/kg/day)

Participant assessment

As seen in Table 22, follow up assessment after baseline was conducted at 4.5 months after supplementation start. Participants were asked to report any changes in supplement usage, illness, and medication usage between the study visits and at the end of the study. Participants were also asked to submit completed study log books, unused supplements, and all supplement bottles.

Table 22. Example study schedule

Date	Weekday	Visit	Day	Flexibility
7-Oct-07	Sunday	Baseline	1	
7-Oct-07	Sunday	(Start Supp)	1	+4 months
20-Feb-08	Wednesday	4.5 month	137	±2 weeks

Data collection

Data were collected at each visit as well as each month during supplementation. Assessment of the following was performed at both visits: plasma amino acid profile,

plasma and RBC total lipid fatty acid profiles, cognitive performance, 3-day diet intake, and questionnaires.

Monthly data collection

To monitor compliance to the study product and health status between study visits, participants were asked to submit a blood-spotted filter paper and three-day food record every month, and a completed study log book, unused supplements, and supplement bottles at the end of the study. Participants were provided with shipping materials and postage and were reminded of each submission by telephone call or electronic mail.

Study log books

In addition to study instructions, the study log book contained study supplement, medication, and illness logs (see Appendix: Methods for excerpts from the study log book). The study supplement log was used to provide a secondary data source for calculating compliance (see calculation in the *Unused Supplement* section). The medication and illness logs were reviewed to identify any changes in health status, adverse events, and medication usage not otherwise told to the study staff.

Unused supplement

In order to assess compliance to supplementation, participants returned unused supplements at the end of the study. Compliance to supplementation was assessed by comparing the number of capsules taken with the number of capsules instructed to take and expressed as a percentage. Compliance was defined as taking 80% or more of supplements prescribed.

All reasonable and ethical attempts were made to collect follow up data on all randomized participants, regardless of adherence to allocated treatment.

Protection of Human Subjects

Because this study involved human participants, careful consideration was taken towards the development of methods sensitive to recruitment and consent procedures, risks and benefits, and data safety monitoring.

1. Risks to the Subjects

a. Human Subjects Involvement and Characteristics

This research involved female human participants attending the annual summer Metabolic Camp held at Emory University and those identified from metabolic clinics in the US. We anticipated a total of approximately 30-35 different participants with PKU to enroll in the camp each year. Their expected age range was 12 to 50 years. Because most of these participants had been diagnosed and treated early in life for PKU and subsequently followed in metabolic clinics, they were expected to be healthy.

Inclusion criteria included diagnosis with PKU, female, at least 12 years of age, and able to complete neuropsychological testing. Exclusion criteria included pregnancy or current supplementation with omega-3 fatty acids.

b. Sources of Materials

Research material obtained from the human participants included blood specimens, medical records, and data collected from verbal or written health-related tests, questionnaires, and logs.

Each participant was assigned an identification number upon enrollment into the study. Data collected during neuropsychological testing and blood draws was coded with

this number to ensure confidentiality. Only the investigators and data collectors had access to the identities of these participants.

c. Potential Risks

It was not anticipated that there would be any serious health risks in taking the DHA or placebo supplements as directed in the study. It was expected that there would be a risk of gastrointestinal distress if taken on an empty stomach or in large quantities. High intake (>3g) of omega-3 fatty acids (DHA is an omega-3 fatty acid) may increase the risk of bleeding. Omega-3 fatty acids may contribute to small decreases in blood pressure and small changes in blood glucose levels. Vitamin E needs may increase and blood low-density lipoprotein cholesterol concentrations may increase a small amount. The risks of drawing blood from a vein or finger stick are small and include rare infection, mild discomfort, and bruising to the site where the blood was drawn. There was always a risk, even though remote, of a loss of confidentiality. There may have been risks, discomforts or side effects that were not yet known.

2. Adequacy of Protection Against Risks

a. Recruitment and Informed Consent

Attendants of the 2007, 2008, and 2009 Annual Metabolic Camps and/or Metabolic Camp Annual Banquet at Emory University were identified as potential participants in the study. Clinicians such as genetic metabolic dietitians or physicians also identified participants during clinic visits and other interactions.

Identified participants were approached by mailed letter, electronic mailing, telephone call, or in person to introduce and recruit for the study. Recruitment was conducted first by the patient's clinician and followed up by the Principal Investigators if

the patient expressed interest and consented to contact regarding the study. In person recruitment was also conducted at information tables at conferences, banquets, or other gathering of patients with PKU or clinicians managing patients with PKU.

The following web-sites were also utilized for recruitment:

- <http://www.clinicaltrials.gov> (Identifier: NCT00892554)
- http://genetics.emory.edu/NUTRITION/pku_dha_study

Recruitment was planned to continue until at least 24 participants completed the study. Recruitment and enrollment was discontinued after thirty-three participants were randomized, twenty-seven participants completed the follow up visit, and twenty participants completed the study per protocol. The final participants were randomized in September 2009.

3. Consent Procedures

All participants gave consent to participate in research in accordance with the policies Emory University and federal regulations, including the Code of Federal Regulations, Title 45 (Public Welfare), Part 46 (Protection of Human Subjects). Prior to participation, each participant signed informed consent and Health Insurance Portability and Accountability Act forms. If a participant was between the ages of 12 and 16 years, the participant signed an assent form designed for children and the participant's legal authorized representative signed the consent form. If the participant was 17 years, both the participant and the participant's legal authorized representative signed the consent form.

a. Protection Against Risk

The study staff took every reasonable measure to prevent injury or harm to the subjects in this study. Because most participants took 1.2 gram or less of DHA (10 mg/kg body weight), participants were unlikely to experience the risks associated with omega-3 fatty acids described above. The following precautions, however, were taken. Participants were directed to take supplements with food, during a meal, or after a meal to reduce risk of gastrointestinal distress; blood pressure and plasma lipid profile were checked at study visits; and antioxidants were included as part of the DHA supplement. To minimize effects of adjustment to the supplement, participants were advised to increase supplement dosage gradually at the beginning of the trial (i.e., add 1 capsule per day per week). A trained professional drew blood and took proper safety precautions. The possible risks related to maintaining patient confidentiality were addressed by storing data in a locked file cabinet and maintaining data in a password-secured database.

The safety of the study was monitored through reports of adverse events from participants. Please see *5. Data and Safety Monitoring Plan* for further details.

4. Potential Benefits of the Proposed Research to the Subjects and Others

The tests and supplementation provided in this study were free of charge to participants. Participants received a small stipend for travel costs and inconvenience for being in the study or possible travel reimbursement as described in the consent form under the **Compensation/Cost** section. There is evidence that omega-3 fatty acids may improve blood pressure¹⁹⁶ and triglyceride levels¹⁹⁷ and provide cardiovascular disease prevention¹⁹⁸⁻¹⁹⁹. Other potential health benefits are either unrelated to this population or are being investigated.

The risks to the participants were minimal and very reasonable in relation to the anticipated benefits of the intervention.

a. Importance of the Knowledge to be Gained

Without early and appropriate preventative diet treatment, people with the rare autosomal recessive disorder PKU exhibit delays in development and mental retardation. Even with early and lifelong dietary treatment patients display minor neuropsychological deficits which intensify over time. The causal factor leading to neuropsychological deficits in PKU is thought to be increased concentration of Phe in the brain, particularly in early infancy and childhood; the biochemical mechanism, however, is still uncertain and may be multifactorial. Because decreased concentrations of DHA have been found in the blood lipids of patients with PKU, it has been hypothesized that DHA may play a role in neuropsychological status in PKU. Supplemental DHA has been shown to have a positive impact on visual evoked potentials and motor control in children with PKU, while its impact on other neuropsychological outcomes typically impacted in PKU have not yet been studied nor has supplementation been studied in adolescents and adults with PKU. As part of a long term goal to optimize neuropsychological outcomes of patients with PKU receiving diet treatment, this study was the first to investigate the influence of DHA supplementation on processing speed and executive function in patients with PKU. The results of this study aimed to offer a new line of evidence regarding the relationship between DHA supplementation and neuropsychological outcomes in adolescent and adult females with PKU.

5. Data Safety Monitoring Plan

Adherence and Monitoring Statement: The Data Safety Monitoring Plan (DSMP) outlined below adhered to the protocol approved by the CIS Research Advisory Committee and the Emory University School of Medicine IRB. An IRB-approved written informed consent was obtained from each participant at entry into the study; elements of informed consent included: (a) having the participant and/or parent/guardian/proxy review the study consent form; (b) having the investigator(s) or study staff meet with the participant and/or guardian/proxy to review the consent, confirm understanding, and answer any questions; and (c) once the investigator(s) or study staff are convinced that the protocol is understood and that there is agreement to participate, having the consent signed in the presence of a witness.

The Principal Investigator (PI) reviewed all data collection forms at least annually for completeness and accuracy of the data as well as protocol compliance. The PI reviewed this protocol on a continuing basis for participant safety and included the results of the review in annual progress reports submitted to the IRB and CIS Research Safety Advocate (RSA). As with all CIS protocols AEs and SAEs (below) were reviewed by the CIS Safety Advisory Subcommittee and the CIS RSA at scheduled monthly meetings.

Patient Monitoring was performed by the PI, the student investigator, the study physician/co-investigator and the ACTSI staff.

Patient safety data examination, monitoring procedures/oversight: All adverse events (AEs) were graded as to their attribution (unrelated to protocol, or possibly, probably, or definitely related to protocol). Any AE that was reported to either the PI or her designated research associates by a study participant or by medical staff caring for the

participant and which met the criteria was documented as such. This study was entered into the Emory CIS computerized database system to permit tracking of adverse events. This system was used by investigators to report “expected” AEs (predefined AEs which were monitored over the course of the trial—see below), “observed” AEs (AEs which occur but which may or may not have been anticipated), and all serious AEs (SAEs, see below); this system was used in this trial. SAEs were predefined as: any experience that suggests a significant hazard, such as events which: a) are fatal, b) are life threatening, c) result in permanent disability, d) require inpatient hospitalization, or e) involve cancer, a congenital anomaly, or drug overdose.

Any AEs were reported to the Emory CIS Research Safety Advocate (RSA), within 15 days of the event and any SAEs were to be reported to the RSA and the Emory IRB within 24-48 hours of the event. The standard Emory IRB reporting guidelines for AE and SAE reporting were also followed. The PI submitted an annual report to the IRB reporting any observed AEs or SAEs.

SAEs were also to be reported to the medical monitor at Martek Biosciences Corporation immediately by phone. The Serious Adverse Event Form was to be faxed to the medical monitor within five days. AEs were included in the final report to Martek following completion of the study. Martek defines an AE as:

Any untoward medical occurrence in an investigational subject or recipient of a marketed or investigational product. The AE does not necessarily have to have a causal relationship with the treatment. An AE can be any unfavorable and unintended sign (including abnormal laboratory findings), symptom, or disease temporally associated with the use of the

product, whether or not considered to be related to the product (Nelson November 15, 2007).

Martek defines an SAE as:

An adverse event that: results in death, is a life-threatening experience, requires in-patient hospitalization, results in a persistent or significant disability or incapacity, is a congenital anomaly or birth defect; or requires, based on reasonable medical judgment, a medical or surgical intervention to prevent one of the outcomes described above (Nelson November 15, 2007; CFR 312.32).

Potential (“expected”) adverse events and plan for detecting problems and minimizing subject risk during this trial:

Expected adverse events were detailed in the Consent Form and included the following:

1. There may be mild discomfort and bruising to the site where the blood was drawn.
2. There may be a risk of gastrointestinal distress if taken on an empty stomach or if large quantities are taken.
3. High intake (>3g) of omega-3 fatty acids (DHA is in the family of omega-3 fatty acids) may increase the risk of bleeding.
4. Omega-3 fatty acids may contribute to small decreases in blood pressure and small changes in blood glucose levels.
5. Vitamin E needs may increase.
6. Low-density lipoprotein (bad cholesterol) levels may worsen a small amount.

There may be other risks that are currently unknown.

a. Procedures for minimizing risks:

1. Correct technique was used when drawing blood to minimize discomfort and bruising.
2. Participants were directed to take capsules with or following a meal.
3. The expected dosing range was 2-6 capsules per day, which provides 0.4-1.2 g DHA per day. Participants were told to take only their prescribed dose and not “make up” for missed doses.
4. Vital signs, including blood pressure, were monitored at the baseline and 4.5-month study visits.
5. Antioxidants were supplied with the supplement.
6. Blood was drawn to monitor total lipid profile at the baseline and 4.5-month study visits.

b. Plans for transmission of temporary or permanent suspension actions:

Any actions that mandated temporary or permanent suspension of study were to be transmitted to the CIS RSA, the Emory IRB, and, if appropriate, to Martek, the Food and Drug Association and the National Institutes of Health.

c. Plans for protecting subject confidentiality:

All information and materials was obtained for research purposes only and the data were kept in strict confidence. Confidentiality was assured by the use of participant codes rather than personal identifiers. The study database was secured, and information was only entered using participant identifier codes rather than personal identifiers.

Electronic communication involved only coded, unidentifiable information. CIS adverse

event tracking utilized password-protected access, and all adverse event reports and annual summaries did not include participant-identifiable material.

d. Plans for assuring data accuracy and protocol human safety compliance:

The above detailed plans should assure data accuracy and human safety compliance for this research. These included computerized database management, and both CIS RSA and IRB oversight and communication. This plan, together with proposed monitoring by the RSA and the IRB, was determined to be sufficient without the addition of more faculty members to constitute a DSMB.

Inclusion of Women and Minorities

All females regardless of ethnicity or race were recruited for the study. Ethnic and racial variation among those who are diagnosed with PKU is limited as seen in Table 23 and Table 24. The investigators recruited from a national sample of patients attending a summer metabolic camp held at Emory University, a state-wide sample of patients attending the metabolic clinic at Emory University's Division of Medical Genetics, and other clinics around the United States. Due to the already small sample size of the study, there were not adequate sample sizes of racial and ethnic minorities with PKU to assess potential differences.

Only females, not males, were included in this study. One reason for this restriction is that the metabolic camp from which the study population was recruited is held for females only. If these pilot data warrant a larger clinical trial, males should be included in the larger clinical trial.

Table 23. The demographic distribution of PKU in the United States

	American Indian or Alaskan Native	Asian or Pacific Islander	Black, not of Hispanic Origin	Hispanic	White, not of Hispanic Origin	Other or Unknown	Total
Female	1%	1%	1%	12%	70%	15%	100%
Male	0%	1%	1%	10%	75%	13%	100%
Children	0.5%	1%	1%	11%	72.5%	14%	100%
Year 2002, National Cases (PKU)							

Table 24. The demographic distribution of PKU in the Emory Clinic Population

	American Indian or Alaskan Native	Asian or Pacific Islander	Black, not of Hispanic Origin	Hispanic	White, not of Hispanic Origin	Other or Unknown	Total
Female	1%	0%	2.5%	2.5%	94%	0%	100%
Male	0%	0%	1%	1%	97%	1%	100%
Children	1%	0%	3%	3%	92%	1%	100%
Year 1996-2002, Emory Children's Clinic							

Participation of persons under the age of 21

This study recruited persons 12 years of age and above. About half of the participants were expected to be between the ages of 12 and 21 years. Data collection occurred primarily in a clinic or hospital-based research environment at baseline and 4.5 months. Study staff experienced in working with children between the ages of 12 and 21 years of age collected the data and interacted with the participants.

Children under the age of 12 years were not included in the study because both the educational material as well as the experience of being away from home requires a level of maturity for which children below 12 years of age, in the experience of this particular camp, may not be ready.

Biostatistical Design and Analysis

Data were analyzed and reported in accordance with the CONSORT (Consolidated Standards of Reporting Trials) statement²⁰⁰.

Sample size calculations for Study 3

Several studies of people with PKU assess cognitive inhibition and processing speed as main outcome measures. This study, however, was the first to assess the effect of DHA supplementation on test performance of adolescents and adults with PKU. The target sample size was therefore informed by the following calculations.

Anticipated sample pool

Thirty to thirty-five participants typically attend the one-week Metabolic Camp intervention study.

Anticipated change in blood DHA concentrations

The sample size calculations were based upon a 12-month study of 20 children with PKU in which 10 received DHA supplementation (10 mg/kg/day) and 10 received placebo. Those supplemented with DHA had a mean 1.2% increase in plasma total lipid DHA and 1.3% increase in red blood cell total lipid DHA over the control group (Table 25). To achieve this level of improvement over a 4.5-month period at $\beta = .80$ and $\alpha = .05$, 9 participants and 14 participants would be needed in each group for improvement of plasma and erythrocyte total lipid DHA concentrations, respectively²⁰¹⁻²⁰². To allow for an expected participant loss to follow up of 25% over 4.5 months, a total of 35 participants were planned to be recruited.

Table 25. Plasma and RBC total lipid DHA status of children with PKU before and after supplementation

	Placebo		LC-PUFA ^a	
	Baseline	Follow up	Baseline	Follow up
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Plasma DHA, % TLFA	1.2 (0.4)	1.1 (0.3)	1.1 (0.3)	2.3 (1.1) ^b
RBC DHA, % TLFA	1.1 (0.5)	1.5 (0.5)	1.3 (0.6)	2.8 (1.5) ^b

Abbreviations: RBC, red blood cell; DHA, docosahexaenoic acid; PKU, phenylketonuria; LC-PUFA, long chain polyunsaturated fatty acid; TLFA, total lipid fatty acids.

^a Dosage: 10 mg DHA per kg body weight per day.

^b Significantly different from baseline ($P < .05$).

Data from reference ²⁰¹.

Anticipated change in neuropsychological outcomes

The same neuropsychological tests to be used in this study were used at the beginning and end of the metabolic camp intervention in 2007. Using the results of the camp study, in order to see a significant change ($\beta = .80$, $\alpha = .05$) in a total sample size of 24 participants, the minimum mean changes needed in this study are listed in Table 26.

Table 26. Minimum mean changes needed to see significant differences in neuropsychological outcomes for n=24

Test	Standard	Change in SS:	Minimum mean
	Scores	Metabolic Camp 2007	changes in SS needed: PKU & DHA Study ^a
	Mean (SD)	Mean (SD)	Mean
Decision Speed ^b	100 (15)	22.30 (25.48)	18.28
Pair Cancellation ^b	100 (15)	13.70 (19.66)	14.10
Reading Fluency ^b	100 (15)	3.80 (24.30)	17.43
Math Fluency ^b	100 (15)	2.65 (26.04)	18.68
Word Reading ^c	10 (3)	0.16 (1.21)	2.71
Color Naming ^c	10 (3)	0.00 (2.26)	2.93
Inhibition ^c	10 (3)	1.58 (1.46)	3.07
Switching ^c	10 (3)	2.21 (2.10)	3.19

Abbreviations: SS, standard score; SD, standard deviation.

^a Assumptions: n=12 per group, $\beta \geq .80$, $\alpha \leq .05$.

^b n=20 females with PKU, ages 12-47 years.

^c n=19 females with PKU, ages 12-47 years.

Chapter 5. Short term changes in plasma phenylalanine and cognitive processing speed in females of reproductive age with phenylketonuria attending a metabolic camp

Short term changes in plasma phenylalanine and cognitive processing speed in females of reproductive age with phenylketonuria attending a metabolic camp

Sarah H. L. Yi,¹ MS, RD, Julie A. Kable,² PhD, Rani H. Singh,³ PhD, RD

¹Nutrition & Health Sciences Program of the Graduate Division of Biological & Biomedical Sciences, Emory University, ²Department of Pediatrics, Emory University School of Medicine, ³Department of Human Genetics, Emory University School of Medicine

All correspondence concerning this article should be addressed to Rani H. Singh, PhD, RD, Department of Human Genetics, Emory University, 2165 N. Decatur Rd, Decatur, GA 30033, USA. E-mail: rsingh@emory.edu

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Abstract

Objective: The objective of this study was to assess the impact of a 1-week camp intervention on cognitive processing speed in females with phenylketonuria (PKU).

Methods: Plasma phenylalanine (Phe), processing speed measures, and diet intake of 20 females with PKU (ages 12-47 years) were assessed at baseline and end-of-camp.

Processing speed was assessed in group format by Woodcock-Johnson III timed tests of Decision Speed and Pair Cancellation.

Results: As expected, mean plasma Phe concentrations and processing speed scores significantly improved ($p < .001$) and Pair Cancellation scores negatively correlated with change in plasma Phe concentrations after controlling for verbal ability ($R = -.54, p = .008$). Teenage campers had significantly higher change scores versus the comparison sample on the Pair Cancellation task ($p = .003, n = 14$); adult campers exhibited higher change scores at the level of a trend ($p = .106, n = 6$) versus the comparison sample.

Conclusions: Pair cancellation may be more sensitive to improved plasma Phe due to the task's reliance on attention and concentration. Short-term improved plasma Phe achieved in a camp setting appears to be associated with improved processing speed reliant on attention.

Keywords: phenylketonuria; phenylalanine; cognitive processing speed; camp intervention

Introduction

Phenylketonuria (PKU; OMIM 261600) is an autosomal recessive disorder that, if not treated soon after birth, can lead to severe developmental delays, eczema, seizures, and behavioral disorders³⁵. PKU is generally caused by a mutation in the gene encoding for phenylalanine (Phe) hydroxylase (PAH; EC 1.14.16.1) resulting in little or no PAH activity. Consequently, Phe metabolism is impaired and Phe in the plasma, brain, and other tissues increases above normal concentrations which can ultimately lead to neurological and psychological dysfunction^{38, 203}. Successful prevention of the harmful effects of PKU requires dietary Phe restriction and adequate nutrient provision through an amino acid-rich, Phe-free medical food initiated within the first few weeks of life^{36, 204}.

When maintained for a minimum of the first eight to twelve years of life, the diet treatment for PKU allows for the attainment of intelligence comparable to other family members without PKU and controls¹⁶⁰⁻¹⁶². Thereafter, when the diet is discontinued or poorly managed, psychological^{158, 205-206}, neurological²⁰⁷, and cognitive^{163, 208} declines commonly occur. In addition, for women, noncompliance during pregnancy places the fetus at risk for mental retardation, congenital heart defect, and other harmful outcomes²⁰⁹. Because of these negative outcomes, lifelong treatment is generally recommended^{34, 210}.

Even with early, lifelong treatment, subtle deficits in performance are seen on cognitive tasks in adolescents and adults with PKU, particularly in the domains of executive function (inhibition), attention, and processing speed^{164, 211-212}. Processing speed is postulated to be a fundamental component of cognition¹²⁵, and is significantly related to concurrent and lifelong control of blood Phe concentrations¹⁶⁵. Feldmann and

colleagues found lower scores on processing speed tasks in teens and young adults with PKU compared with teens and young adults with diabetes, and these lower scores were strongly correlated with concurrent and lifelong serum Phe concentrations¹⁶⁵.

Based on their recent meta-analysis, Albrecht and coworkers proposed there would be no difference in choice reaction time, an index of processing speed, between people with and without PKU when plasma Phe is well-controlled, which was defined as no higher than 320 $\mu\text{mol/L}$ (5.3 mg/dL) for ages 7-13 years and 570 $\mu\text{mol/L}$ (9.4 mg/dL) for ages 13-18 years²¹³; inadequate data were available to evaluate this theory for adults. Furthermore, brief interventions have shown the reversibility of the effects of blood Phe concentrations on performance of processing speed tasks including sustained attention³⁻⁴, reaction time^{1, 4-5}, and response latency² in individuals of varied treatment histories.

In this study, we assessed the impact of a 1-week Metabolic Camp intervention on measures of cognitive processing speed. The Metabolic Camp is held annually at Emory University (Atlanta, GA) for females of reproductive age with PKU and other inborn errors of metabolism. The camp's mission is to improve dietary adherence; prevent maternal PKU through educational, nutritional, and social support strategies; and evaluate these strategies through ongoing research. The camp intervention has been effective in reducing average plasma Phe concentrations of participants within the brief period¹⁷⁵. We predicted that: (1) both performance on processing speed tasks and control of plasma Phe concentrations would improve at the end of the brief intervention, (2) improved performance would exceed a practice effect, and (3) changes in plasma Phe concentrations and processing speed performance would be related.

Methods

Participants/Intervention

A convenience sample of teen and adult women with PKU attending the aforementioned camp were recruited for this study. To advertise, brochures were mailed to previous campers and distributed by clinicians to patients throughout the US. Eligible participants were diagnosed with PKU and willing and able to participate in camp and research activities. To ensure test comprehension, those performing below two standard deviations on a standardized verbal ability task were excluded. Tuition scholarships and travel assistance were available for those with financial need.

Participants gave informed consent to participate in research in accordance with Emory University policies and the Code of Federal Regulations, Title 45 (Public Welfare), Part 46 (Protection of Human Subjects). Participants between ages 12 and 16 years signed an assent form designed for children and the participant's legal authorized representative signed an informed consent form. Participants aged 17 years and the participant's legal authorized representative signed the consent form.

The test-retest reliability control group data were obtained from the W-J III technical manual¹⁸¹ to compare with changes observed in the camp participants. The purpose of this comparison was to evaluate if changes exceeded a practice effect. The test-retest study was conducted as part of the psychometric testing of the W-J III speeded tests. The test-retest participants consisted of healthy males and females aged 14-17 years (n=52) and 26-79 years (n=54), respectively. The time elapsed from baseline to follow up for the test-retest study was 1 day. As the results of the test-retest study were presented as

W-scores by age groups in the technical manual, the comparison between changes in the camp study was also presented this way.

Measures

The camp has been conducted as an ongoing clinical research protocol, thus a strong research infrastructure was already in place for this study. Baseline cognitive data were collected on the first and last afternoons and fasting blood was drawn on the first and last mornings of camp. Individual testing of verbal ability was performed at the hospital-based research center or in a private room in a camp house. Group testing of processing speed was performed in a camp house in which rows of tables and chairs were arranged. Each cognitive test was standardized to national demographics and demonstrates adequate reliability and validity^{124, 127, 181}.

Verbal Ability

The Peabody Picture Vocabulary Test—Third Edition, Form B (PPVT-IIIB) is (1) a verbally administered achievement test on standard English vocabulary, and (2) a screening test for verbal ability. Although the PPVT does not test overall intellectual capacity, it is highly correlated with measures of verbal and overall IQ¹²⁴; therefore, the score was also utilized to index cognitive ability. The test was individually administered once to each participant during the camp week by a licensed psychologist or a clinical psychology post-doctoral fellow.

Cognitive Processing Speed

Processing speed was assessed using two simple, timed tests: the Decision Speed and Pair Cancellation tests from the Woodcock-Johnson III Tests of Cognitive Ability and Achievement (W-J III; Riverside Publishing, Rolling Meadows, IL). Participants in

the camp study were administered the W-J III tests in a group setting led by a licensed psychologist and research assistants recorded participant finishing times. Participants were instructed to complete each test as quickly as possible.

Decision Speed

The Decision Speed task is reliant on the ability to connect a concept with a name. Participants were instructed to circle two pictures in each row that were conceptually most similar.

Pair Cancellation

The Pair Cancellation task involves circling every instance of a specific pairing of pictures appearing on a single page.

Blood amino acid profile

Fasting venous blood was collected into sodium heparin tubes to assess plasma amino acid profiles. Amino acid analyses were performed by Emory Genetics Laboratory's Biochemical Genetics Laboratory. Plasma was deproteinized and the resulting free amino acid concentrations were measured by quantitative ion-exchange chromatography on a Biochrom 30 Amino Acid Analyzer, using lithium buffer¹⁸⁴.

If a participant was unable to provide a venous sample, blood spots from a finger prick were collected on filter paper to quantify whole blood Phe and tyrosine (Tyr) concentrations using liquid chromatography-tandem mass spectrometry (LC-MS/MS)¹⁸⁵. Phe and Tyr were extracted from the blood spots into methanol containing internal standards (stable isotope labeled amino acids). Amino acid analysis was performed using a Micromass Quattro Micro tandem mass spectrometer with a Waters 2795 HPLC system. Amino acids were identified and quantified using NeoLynx software. Values of

whole blood Phe analyzed by LC MS/MS are about 19% lower than plasma Phe analyzed by ion-exchange chromatography¹⁸⁵; therefore, a factor of 1.19 was used to determine these values. The same type of analysis was used for each participant at baseline and end-of-camp blood draws. Amino acid concentrations are reported as $\mu\text{mol/L}$.

Nutrient analysis

Three-day food records were collected and reviewed by a registered dietitian at baseline and end-of-camp in order to assess intakes of energy, intact protein, protein from medical food, phenylalanine, and tyrosine. If a participant did not have a three-day food record at baseline, a registered dietitian conducted a twenty-four hour dietary recall. Dietary intake data were analyzed using Nutrition Data System for Research software version 2009, developed by the Nutrition Coordinating Center (NCC), University of Minnesota, Minneapolis, MN.

Percent intake of estimated energy requirement (EER) was calculated using age-group-based equations. The equation used for girls aged 18 years or younger, assuming a low physical activity level, was $\text{EER} = 135.3 - (30.8 \times \text{age [y]}) + 1.16 \times (10.0 \times \text{weight [kg]} + 934 \times \text{height [m]}) + 25 \text{ kcal}$; the equation used for women, assuming a low physical activity level, was $\text{EER} = 354 - 6.91 \times \text{age (y)} + 1.12 \times (9.36 \times \text{wt [kg]} + 726 \times \text{ht [m]})^{39}$.

Data analysis

Baseline and end-of-camp outcomes were compared using repeated measures analysis of variance (ANOVA). Comparisons between the camp participants and the test-retest controls were conducted using independent samples *t* test. Mean change and standard deviation of the change in performance of the test-retest controls were calculated

using the method for “imputing standard deviations for changes from baseline” described in the Cochrane Handbook (<http://www.cochrane-handbook.org>: section 16.1.3.2).

Relationships between variables were assessed using Pearson product-moment correlation coefficient. To control for cognitive ability, PPVT standard score was added as a covariate. Multiple linear regression was used to assess the proportion of variance in task performance explained by the variance in plasma Phe concentrations and PPVT standard scores. To ensure the response to intervention was similar by age group, the analyses were also conducted according to age group (<18 years and \geq 18 years). A two-tailed p -value $\leq .05$ was considered statistically significant; p -values between $> .05$ and $\leq .10$ were considered trends. Statistical analyses were performed using SPSS Statistics 17.0 (SPSS Inc., Chicago, IL).

Results

Of the 31 camp attendees diagnosed with an inborn error of metabolism, 27 had PKU. Three of the 27 did not consent to research, two did not pass the verbal ability screening test, one did not participate in baseline testing, and one discontinued due to homesickness. Twenty participants were included in this analysis.

Participants ranged in age from 12 to 47 years, with 14 under 18 years (“teens”) (Table 27). While all participants under 18 years reported having health insurance, two of the six adults reported having no health insurance. The majority of the participants resided in the southern region of the US.

The teens were treated early and continuously for PKU, but only three of the six adults were detected through newborn screening with treatment starting as a newborn. The other three adult participants were diagnosed prior to implementation of newborn screening. Of these latter three, two started treatment within the first four months of life and one started treatment at 6 years. All six adults discontinued treatment at some point between the ages of 5 and 16 years and resumed treatment as adults. One early treated adult resumed treatment at the start of the camp after several years off diet.

Reported intakes at baseline revealed a mean intake of $138 \pm 97\%$ of prescribed Phe. Seventy percent reported consuming 100% of prescribed medical food. One participant was not consuming medical food at baseline. Of those consuming medical food, 84% of total protein was derived from medical food. Mean energy intake at baseline was $74 \pm 22\%$ of estimated energy requirement. Further intake data are shown in Table 28.

Distribution of baseline plasma Phe for the two age groups was similar. One participant had a plasma Phe concentration under 360 $\mu\text{mol/L}$, eight participants had plasma Phe concentrations between 360 and 600 $\mu\text{mol/L}$, and the remaining eleven had plasma Phe concentrations over 600 $\mu\text{mol/L}$ (overall range: 353-1357 $\mu\text{mol/L}$). Mean plasma Phe significantly improved with the camp intervention ($p < .001$; Table 28, Figure 4), and fourteen of the twenty participants completed the camp with plasma Phe concentration below 600 $\mu\text{mol/L}$ (five below 360 $\mu\text{mol/L}$). Phe concentrations of two participants increased at end-of-camp, however, one remained below 600 $\mu\text{mol/L}$.

Although participants under 18 years performed on average 8.5 points higher on the PPVT than those ≥ 18 years (105.3 ± 13.1 vs. 96.8 ± 14.2), the two age groups responded similarly to the intervention. Participants improved an average standard score of 21.6 ± 14.3 on the Decision Speed task and 12.9 ± 8.8 on the Pair Cancellation task ($p < .001$; Table 28, Figure 4). The mean improvements exceeded those of the test-retest controls, but were only statistically significant on the Pair Cancellation task in the teens ($p = .006$, Figure 5).

Mean changes in Decision Speed score were not related to changes in plasma Phe ($r = .082$, $p = .731$); the changes remained unrelated after controlling for verbal ability ($R = .091$, $p = .721$). Mean changes in Pair Cancellation score and plasma Phe were negatively related ($r = -.333$, $p = .151$); this correlation was significant after controlling for verbal ability ($R = -.604$, $p = .008$). Verbal ability and change in plasma Phe accounted for 49% (27.6%, 21.4% respectively; $p = .003$) of the variation in the Pair Cancellation change score.

Discussion

As expected, mean plasma Phe and Processing Speed scores significantly improved following the one-week camp-based intervention. Improvements in processing speed scores were greater than those achieved by the test-retest controls, indicating that the improvements were above a learning or practice effect. Changes in Decision Speed did not correspond with plasma Phe changes. Changes in Pair Cancellation corresponded, as expected, with changes in plasma Phe after controlling for verbal ability.

The Pair Cancellation task may be more sensitive to improved plasma Phe control than the Decision Speed task due to the former task's reliance on sustained attention. The Decision Speed task involves semantic processing which may not be as affected by concurrent plasma Phe. Other interventions have shown a positive impact of plasma Phe reduction on attentional domains^{1, 3-4}; the relationship between semantic processing and change in plasma Phe has not previously been reported. A larger sample size, preferably with a control group, would be necessary to confirm the differing relationships of the two cognitive tests with plasma Phe.

Improved cognitive outcomes have also been seen in other interventions designed to decrease plasma Phe concentrations^{1, 3, 5}. Huijbregts and colleagues demonstrated improved performance with modest decreases (mean: -186 $\mu\text{mol/L}$) in plasma Phe of young adolescents on tasks utilizing memory, sustained attention, and speed of processing³. Likewise, participants with modest increases in plasma Phe (mean: +260 $\mu\text{mol/L}$) showed less improvement or declines in performance.

The limitations of this study must be acknowledged. A control group with PKU assessed over the same time period as the camp participants would have been ideal for

the purposes of confirming the improved processing speed performance was not simply a learning effect. In addition, the test-retest controls were administered the follow up test only 24 hours after the initial test while the camp participants were administered the follow up test 96 hours after the initial test. It is possible that the differences in changes in performance of camp groups would have been even larger compared with the test-retest controls if the time elapsed had been the same (i.e., 96 hours) in both groups.

The small sample size may have limited the ability to detect subtle relationships between changes in plasma Phe and performance on processing speed tasks. In addition, the treatment histories of the participants were heterogeneous. While participants <18 years of age were treated early and continuously, actual lifelong compliance may have been variable. Treatment history varies even more in those ≥ 18 years of age due to differences in newborn screening practices and treatment recommendations. This heterogeneity may have resulted in variability in treatment outcomes as a result of differences in initial cognitive integrity. Even so, when data were analyzed by age group, the groups responded in a similar direction to the intervention.

These findings extend to females of reproductive age with PKU. Since neither children nor adolescent and adult males with PKU were included, caution should be taken when attempting to generalize results. In addition, these results may not extend to those who have normal concentrations of brain Phe despite increased plasma Phe²¹⁴.

The long term consequences of the camp intervention on cognitive outcomes were not evaluated in this study. It was previously shown that plasma Phe control returned to baseline levels by 4 months after the camp intervention¹⁷⁵. Thus, the improvements in plasma Phe, and in turn cognitive processing speed, seen in this study are also likely to be

temporary, lasting as long as participants maintain adherence to their diet Phe and medical food prescriptions.

Adherence to a restrictive diet is difficult to maintain, particularly in adolescence and adulthood. Although difficult, it is essential that adolescent and adult women with PKU maintain plasma Phe concentrations within treatment range to prevent the detrimental effects of maternal PKU on a developing fetus in the event of a planned or unplanned pregnancy. Identifying factors to help motivate women to maintain plasma Phe concentrations within treatment range continues to be a struggle for clinicians in the US and internationally. Offering intensive interventions several times during the year which utilize a structure similar to a camp may be one way to help women of reproductive age with PKU maintain dietary adherence for longer periods.

Additionally, communicating to women the cognitive benefits of improved plasma Phe control over a short time period, as observed during Metabolic Camp, may help improve perceived benefits of diet adherence in women with PKU and in turn improve motivation to adhere to the diet. At the least, this knowledge may promote maintenance of plasma Phe control during important events such as taking an exam in school or giving a presentation at work. In the aforementioned study, knowledge about PKU and the diet treatment significantly improved at the end of camp and persisted over the 12-month follow up period, even though plasma Phe control was only temporary. Perceived benefits of adhering to the diet, however, did not change from baseline at any time point including at the end of camp¹⁷⁵. Further research should investigate if communicating the short-term cognitive benefits would improve perceived benefits and thereby prolong plasma Phe control.

Conclusion

Short-term improved plasma Phe was associated with concurrent improved processing speed on a task requiring attention in females of reproductive age attending a metabolic camp. This finding confirms what has been seen in inpatient and outpatient-based interventions. Adherence to a restrictive diet is difficult to maintain, particularly in adolescence and adulthood. Communication of the potential cognitive benefits of short-term improved plasma Phe control may be helpful in motivating compliance. Offering frequent, intensive interventions to women of reproductive age with PKU may be one strategy to prolong improved plasma Phe control.

Table 27. Baseline characteristics of female camp participants with phenylketonuria stratified by age

Variable		<18 years n=14	≥18 years n=6
Age, mean (SD), y		14.9 (2.0)	38.1 (6.5)
Verbal ability, mean (SD), SS		105.3 (13.1)	96.8 (14.2)
Phe prescription, mean (SD), mg/d		318 (98)	376 (153)
Plasma Phe, mean (SD), $\mu\text{mol/L}^{\text{a}}$		699.0 (293.8)	768.5 (337.5)
Plasma Tyr, mean (SD), $\mu\text{mol/L}^{\text{b}}$		35.5 (15.3)	38.3 (8.2)
Overweight, No. (%) ^c		4 (29)	5 (83)
Parental marital status, No. (%) ^d	Married	11 (79)	
Participant marital status, No. (%) ^e	Married		4 (67)
Mother education, No. (%)	High school degree	14 (100)	
Father education, No. (%)	High school degree	10/13 (77)	
Participant education, No. (%)	High school degree		6 (100)
Employment, No. (%)	Employed		3 (50)
Poverty, No. (%) ^f		2 (18)	0 (0)
Race/ethnicity, No. (%) ^g	White/Caucasian	12 (86)	6 (100)
Insured, No. (%)		14 (100)	4 (66)
Residence, No. (%) ^h	South	14 (100)	5 (83)

Table 27 continued

Abbreviations: PKU, phenylketonuria; SS, standard score; Phe, phenylalanine; Tyr, tyrosine.

^a Treatment range: 120-360 $\mu\text{mol/L}$.

^b Normal range (2-18 years): 24-115 $\mu\text{mol/L}$; normal range (>18 years): 41-78 $\mu\text{mol/L}$.

^c ≤ 19 years: at risk for overweight + overweight defined as $>85^{\text{th}}$ percentile, >19 years: overweight defined as BMI >25.0 .

^d Data shown for participants <18 years old.

^e Data shown for participants ≥ 18 years old.

^f At or below poverty threshold based on annual poverty thresholds calculated by the US Census Bureau (<18 years, $n=11$).

^g Participants self-identified race/ethnicity as white/Caucasian or black/African American.

^h US Census region.

Table 28. Baseline and end-of-camp measures of cognitive processing speed and metabolic control in females of reproductive age with PKU

Field	Task	Baseline	End-of-camp	Intervention Effect ^a
		Mean (SD)	Mean (SD)	
Cognitive	Decision Speed, SS	96.1 (15.8)	117.6 (20.3)	$F(1, 19) = 45.3, p < .001$
Processing Speed	Pair Cancellation, SS	98.0 (13.4)	110.9 (14.6)	$F(1, 19) = 43.5, p < .001$
	Decision Speed Correct ^b	34.5 (6.0)	37.5 (4.2)	$F(1, 19) = 21.2, p < .001$
	Pair Cancellation Correct ^c	62.0 (7.7)	64.6 (6.5)	$F(1, 19) = 12.9, p < .002$
Biomarkers	Plasma Phe, $\mu\text{mol/L}$	720 (300)	472 (201)	$F(1, 19) = 15.1, p < .001$
	Plasma Tyr, $\mu\text{mol/L}$	36.4 (13.4)	35.4 (12.9)	$F(1, 19) = 0.1, p = .752$
Nutrient intake ^{d,e}	Phe, mg/d	477 (466)	385 (125)	$F(1, 18) = 1.0, p = .321$
	Tyr, mg/d	5015 (1317)	5585 (1288)	$F(1, 18) = 4.2, p = .055$
	Energy, kcal/d	1551 (394)	1974 (394)	$F(1, 18) = 18.5, p < .001$
	Intact Protein, g/d	10.9 (9.7)	8.8 (2.6)	$F(1, 18) = 1.3, p = .262$
	MF Protein, g pro eq/d	46.5 (16.8)	52.7 (14.8)	$F(1, 18) = 4.7, p = .044$

Table 28 continued

Abbreviations: PKU, phenylketonuria; SS, standard score; Phe, phenylalanine; Tyr, tyrosine; MF, medical food.

^a Repeated Measures ANOVA.

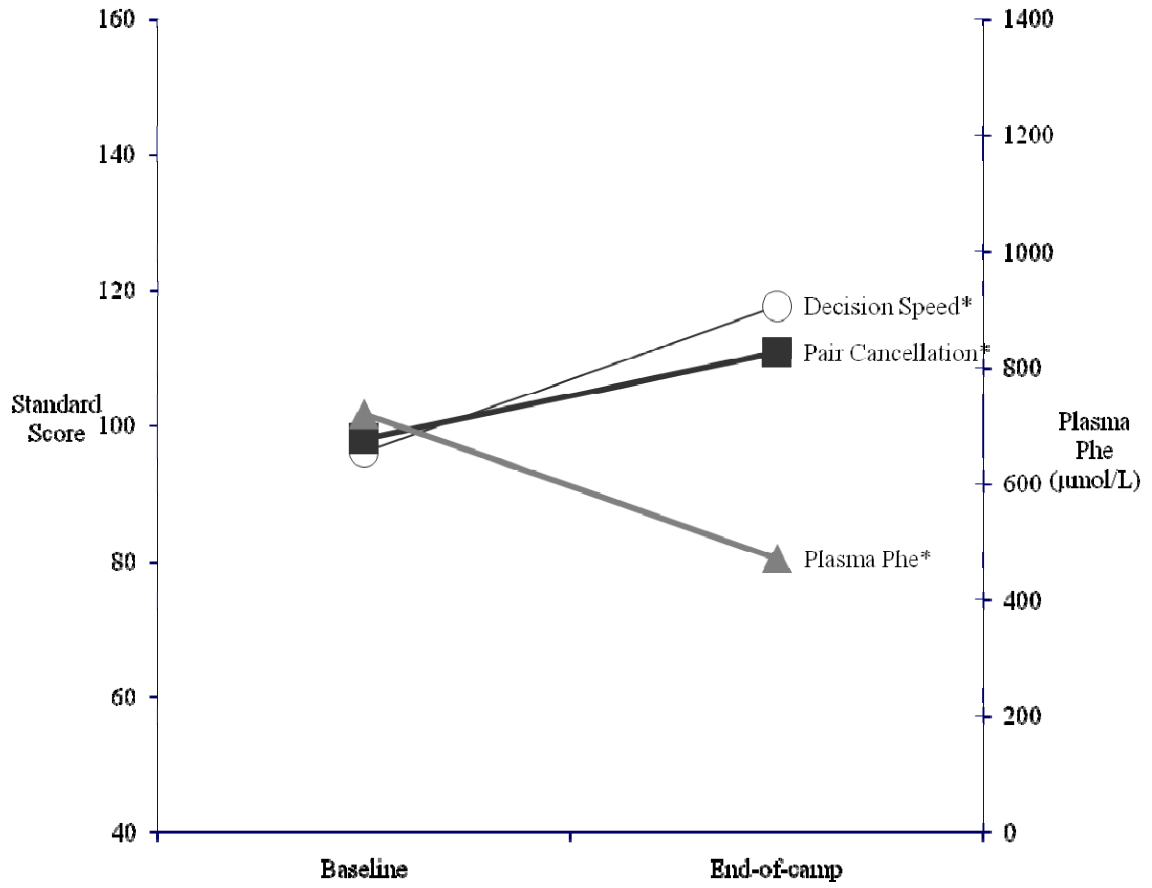
^b Decision Speed possible correct: 40.

^c Pair Cancellation possible correct: 69.

^d Dietary intake data were analyzed using Nutrient Data System for Research software version 2009, developed by the Nutrition Coordinating Center (NCC), University of Minnesota, Minneapolis, MN.

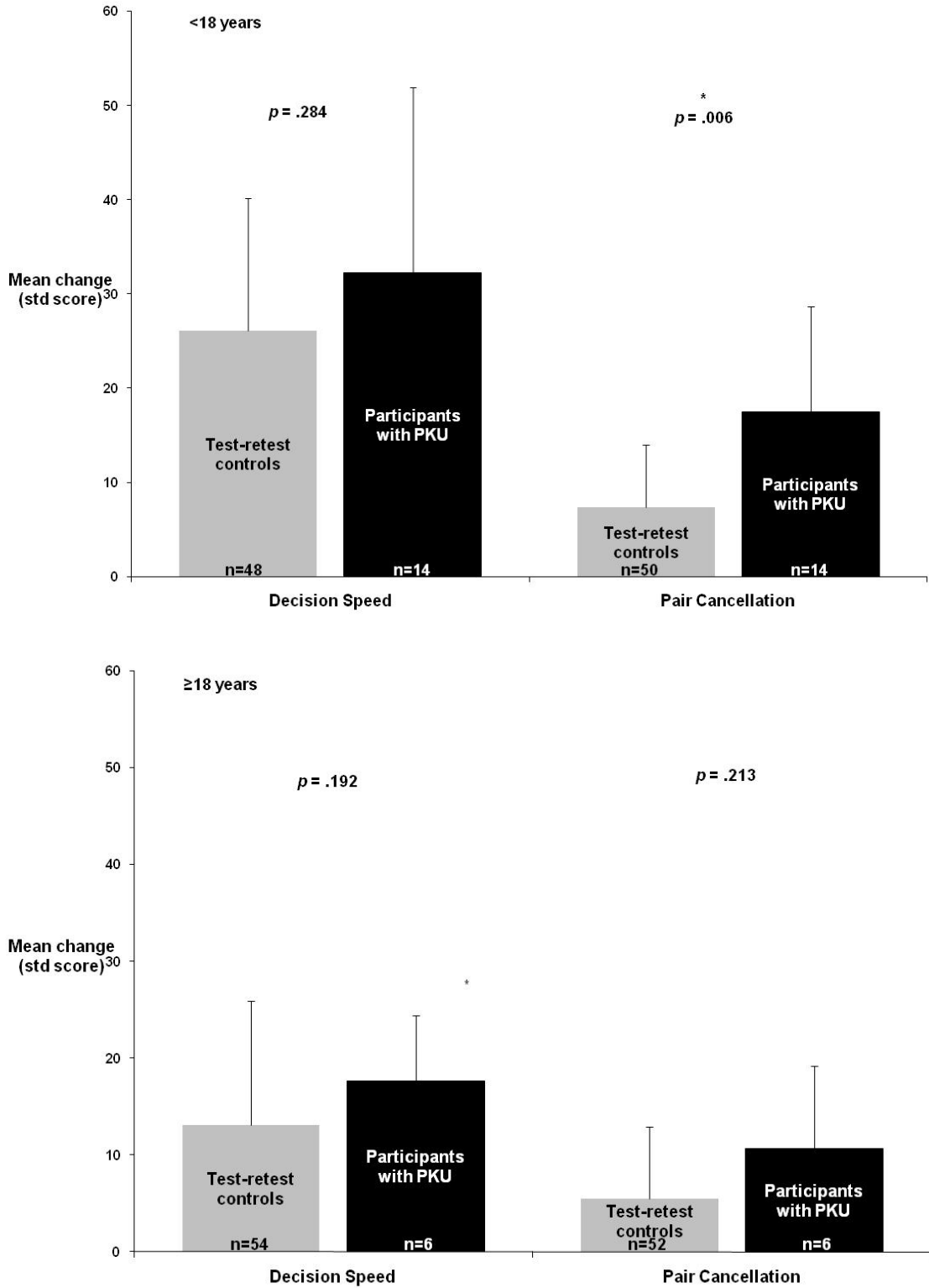
^e n=19.

Figure 4. Baseline and end-of-camp cognitive processing speed task performance and biomarkers of metabolic control in females of reproductive age with PKU



$n = 20$; $*p < .001$

Figure 5. Changes in performance on cognitive processing speed tasks (Mean (SD)) by age group: test-retest controls vs. females with PKU attending a camp intervention



Chapter 6. Verbal performance is associated with red blood cell docosahexaenoic acid accounting for plasma phenylalanine in females of reproductive age with phenylketonuria

Title: Verbal performance is associated with red blood cell docosahexaenoic acid accounting for plasma phenylalanine in females of reproductive age with phenylketonuria

Authors: S.H.L. Yi¹, J.A. Kable², M.L. Evatt³, R.H. Singh⁴.

Institutional affiliations: ¹Emory University, Nutrition & Health Sciences Program of the Graduate Division of Biological & Biomedical Sciences, ²Emory University, School of Medicine, Department of Pediatrics, ³Department of Veterans Affairs Medical Center, Atlanta, GA and Emory University School of Medicine, Department of Neurology, ⁴Emory University School of Medicine, Department of Human Genetics

Corresponding author:

Rani H. Singh

2165 N. Decatur Road

Decatur, GA 30033

Tel: 404-778-8519

Fax: 404-778-8562

rsingh@emory.edu

Requests for reprints: Rani H. Singh, 2165 N. Decatur Road, Decatur, GA 30033

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Summary

Diet therapy for phenylketonuria (PKU) requires restricted phenylalanine (Phe) intake with the majority of protein and other nutrients derived from synthetic medical food. The fatty acid docosahexaenoic acid (DHA) is important in brain development and function; low blood concentrations of DHA, however, have been reported in people treated for PKU. While the implications of low blood DHA are unclear, subtle cognitive deficits have been reported in those treated early and continuously for PKU. This study investigated the relationship between DHA status and cognitive performance in 41 females 12 years and older with PKU. Participants were attending the baseline visit of a research-based camp or a supplementation trial. The domains of verbal ability, processing speed, and executive function were assessed using standardized tests. Proportions of DHA in plasma and red blood cell (RBC) total lipids were assessed using gas chromatography/mass spectrometry. Percent plasma and RBC total lipid DHA were significantly lower in the participants compared with laboratory controls ($P < .001$), and participants consumed no appreciable DHA according to diet records. Plasma and RBC DHA both negatively correlated with plasma Phe ($P < .02$), and performance on the verbal ability task positively correlated with RBC DHA controlling for plasma Phe ($R = .32$, $P = .03$). The relationship between DHA and domains related to verbal ability, such as learning and memory, should be confirmed in a controlled trial. Domains of processing speed and executive function may require a larger sample size in order to clarify any association with DHA.

Synopsis: A positive association is seen between verbal performance and red blood cell docosahexaenoic acid status accounting for plasma phenylalanine control in females of reproductive age with phenylketonuria.

Abbreviated title (running head): Docosahexaenoic acid and cognitive performance in phenylketonuria

References to electronic databases: Phenylketonuria (PKU; OMIM 261600), phenylalanine hydroxylase (PAH; EC 1.14.16.1), docosahexaenoic acid (DHA; PubChem ID 445580)

List of abbreviations

AA	20:4(n-6)	arachidonic acid
ALA	18:3(n-3)	alpha-linolenic acid
DHA	22:6(n-3)	docosahexaenoic acid
DPA n-6	22:5(n-6)	docosapentaenoic acid
EPA	20:5(n-3)	eicosapentaenoic acid
LA	18:2(n-6)	linoleic acid
LC-PUFA		long-chain polyunsaturated fatty acid
MF		medical food
mPKU		maternal PKU
n-3 PUFA		a polyunsaturated fatty acid containing the first instance of unsaturation (i.e., double bond) at the third carbon from the methyl end of the fatty acid
PAH		phenylalanine hydroxylase
Phe		phenylalanine
PKU		phenylketonuria
PUFA		polyunsaturated fatty acid
RBC		red blood cell
Tyr		tyrosine

Each author contributed to the planning, conduct, and reporting of the work described in this article.

Rani H. Singh serves as guarantor for the article, accepts full responsibility for the work and/or the conduct of the study, had access to the data, and controlled the decision to publish.

All authors confirm that they have no competing interests for declaration.

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Approval was obtained to conduct this study from the Emory University Institutional Review Board. Informed consent was obtained from all participants and a parent and/or guardian if the participant was under age 18 years.

Introduction

Phenylketonuria (PKU; OMIM 261600) is a genetic disorder detected through newborn screening in the US, and is most commonly caused by a deficiency in the enzyme phenylalanine hydroxylase (PAH; EC 1.14.16.1). When diagnosed and treated soon after birth, associated developmental delays and behavioral disturbances can be prevented³⁵⁻³⁶; currently, lifelong diet treatment is recommended³⁴ to prevent cognitive, neurological, and psychiatric declines^{158, 163, 205-208}.

Despite successful prevention of mental retardation, adolescents and adults treated early for PKU reportedly still display minor cognitive deficits in domains including processing speed, executive function (inhibition), attention, and overall IQ^{165, 211-212, 215}. Debate remains over the existence, nature, etiology, and clinical significance of these deficits, but two recent meta-analyses of studies including children, adolescents, and adults treated early for PKU confirm a significant effect of PKU compared with controls across the aforementioned and other domains^{164, 216}.

Individuals treated early in life for PKU on average show lower plasma and red blood cell (RBC) content of the n-3 fatty acid docosahexaenoic acid (DHA) compared with controls without PKU^{6-7, 217-218}. DHA can be synthesized endogenously from the n-3 polyunsaturated fatty acid (PUFA) alpha-linolenic acid (ALA), which is found in some vegetable oils, however this process is generally inefficient in humans⁵⁷. The enzymes which catalyze the desaturation and elongation of ALA to DHA also catalyze the conversion of LA to its longer chain derivative arachidonic acid (AA). Presence of LA in the U.S. food supply is higher than ALA²¹⁹, and increased intake of LA relative to ALA

is thought to competitively inhibit the conversion of ALA to longer-chain n-3 fatty acids including eicosapentaenoic acid (EPA) and DHA⁵⁹⁻⁶¹.

Because DHA appears to have multiple effects on the central nervous system, the mechanism(s) by which DHA may affect cognitive performance continue to be clarified. DHA is the primary n-3 PUFA in the brain, and comprises 10-13.5% of total lipids of the cerebral cortex^{27, 220}. DHA is found primarily in membrane phospholipids and appears to affect a variety of structures and processes in the body, including cell membrane structure and neurochemistry. Effects on the cell membrane include increasing membrane disorder²²¹, enhancing lateral compressibility of the membrane²²², and allowing for vesicle formation and fusion²²³. DHA is a precursor to bioactive molecules neuroprotectin-D1 and resolvins, and appears to regulate neuronal apoptosis²²⁴. DHA supplementation may also increase brain concentrations of nitric oxide synthetase, dopamine, serotonin, and brain-derived neurotrophic factor^{104, 225}.

It has been proposed that inadequate docosahexaenoic acid (DHA; PubChem ID 445580) concentrations in neural lipids may be related to the minor cognitive deficits in people treated early for PKU^{119, 218, 226}. The question relevant to adolescents and adults with PKU is whether blood concentrations of DHA are related to cognitive performance. In infants and children with PKU, small but significant improvements have been shown in visual evoked potentials and motor skills with improved percent blood DHA⁸⁻¹¹. Studies have not yet investigated the relationship between biomarkers of DHA status and cognitive status in adolescents and adults with PKU. The present study evaluated the hypothesis that improved DHA status is associated with improved cognitive status. Specifically, we expected individuals with adequate plasma Phe control and improved

DHA concentrations to have the highest scores on cognitive tests and individuals with poor plasma Phe control and decreased DHA concentrations to have the lowest scores. In order to investigate this hypothesis, we compared the performance of females of reproductive age with PKU stratified into in four categories of plasma Phe control and DHA status on tasks of verbal ability, processing speed, and executive function in a cross-sectional study.

Participants and Methods

A convenience sample of participants attending the baseline visit of a research-based metabolic camp or a trial of supplemental DHA were included in this cross-sectional study. All participants were females ages 12 years and above, diagnosed with PKU, and not consuming supplemental DHA. Approval was obtained to conduct this study from the Emory University Institutional Review Board. Participants, and a parent and/or guardian if the participant was under 18 years, gave informed consent to participate in research in accordance with Emory University policies and the Code of Federal Regulations, Title 45 (Public Welfare), Part 46 (Protection of Human Subjects).

Procedures

Plasma amino acid profile, plasma and RBC total lipid fatty acid profiles, cognitive status, and three-day diet intakes were assessed as described below.

Blood amino acid profile

Amino acid analyses were performed by Emory Genetics Laboratory's Biochemical Genetics Laboratory. Venous blood was collected into sodium heparin tubes, plasma was deproteinized, and the resulting free amino acid concentrations were measured by quantitative ion-exchange chromatography on a Biochrom 30 Amino Acid Analyzer using lithium buffer¹⁸⁴. Amino acid concentrations are reported as $\mu\text{mol/L}$. These values can be converted to mg/dL ($\text{mg}\%$) by dividing by 60.54^{186} .

Plasma and RBC total lipid fatty acid profiles

Plasma and RBC total lipid fatty acid profiles were assessed by the Peroxisomal Diseases Laboratory at Kennedy Krieger Institute. Venous blood was drawn into tubes containing ethylenediaminetetraacetic acid (EDTA), and the whole blood was shipped

overnight at room temperature for processing and analysis. Excess plasma and RBCs were stored frozen at -80°C in case a repeat analysis was required. Plasma and RBC C10:0 to C26:0 total lipid fatty acids were quantified by capillary gas chromatography-electron-capture negative-ion mass spectrometry (GC/MS). The method used is modified from the method of Lagerstedt and colleagues¹⁸⁷. The content of plasma and RBC DHA are presented as percentage of total lipids; total lipid fatty acid concentrations are presented as $\mu\text{g/mL}$.

Diet assessment

Participants were given instructions and materials for the documentation of food intake and portion size estimation. Three-day food records were collected and reviewed with participants by a registered dietitian. Twenty-four hour recalls were conducted if food records were not available. Dietary intake data were analyzed using Nutrition Data System for Research software version 2009 developed by the Nutrition Coordinating Center (NCC), University of Minnesota, Minneapolis, MN. Nutrients assessed included energy, protein, medical food protein, fat, carbohydrates, Phe, Tyr, linoleic acid (LA), arachidonic acid (AA), alpha-linolenic acid (ALA), eicosapentaenoic acid (EPA), and DHA.

Cognitive assessments

Performance was assessed on tasks drawing upon the domains of verbal ability, executive function, and processing speed. Standard scores were calculated from raw scores using normative values included with the testing materials. The standard scores are age-adjusted and are based upon the performance of large normative samples which have

been standardized to national demographics. Evaluations demonstrate adequate reliability and validity of the tests^{124, 126-127, 181}.

Verbal Ability

The Peabody Picture Vocabulary Test—Third Edition, Form B (PPVT-III B) is (1) a verbally administered achievement test on standard English vocabulary, and (2) a screening test for verbal ability. Although the PPVT does not test overall intellectual capacity, it is highly correlated with measures of overall IQ¹²⁴. The PPVT was individually administered by a trained tester who asked the participant to match a series of orally presented vocabulary terms with one of four pictures per term. The results from the PPVT were used as a screening tool, to describe the sample, and as a proxy for verbal intelligence.

Participants scoring less than 70 (standard mean = 100, standard deviation = 15) on the PPVT were deemed to be severely impaired cognitively and were excluded from study. The results of the PPVT tests for these participants were not included in the analysis because complete cognitive data (i.e., performance on cognitive processing speed and executive function tasks) were not available.

Executive Functioning Skills

Inhibition and cognitive flexibility, two aspects of executive function, were evaluated using the Delis-Kaplan Executive Function System (D-KEFS) Color-Word Interference Test (CWIT) and were individually administered by a trained tester. CWIT evaluates automatic response inhibition and cognitive flexibility through two timed-conditions, Inhibition and Inhibition/Switching, and is based upon the original test developed by Stroop¹⁸². Performance on the CWIT has been shown to be sensitive to

mean lifetime blood Phe concentrations in children and adolescents treated continuously from soon after birth through at least 14 years old¹⁸³. Color Naming and Word Reading are fundamental skills needed to complete the Inhibition and Inhibition/Switching tasks and were also evaluated to differentiate poor performance due to deficits in fundamental skills versus inhibition and/or cognitive flexibility.

Cognitive Processing Speed

Processing speed was assessed using six simple, timed tests; the Decision Speed, Pair Cancellation, Reading Fluency, and Math Fluency tests were from the Woodcock-Johnson III Tests of Cognitive Ability and Achievement (W-J III; Riverside Publishing, Rolling Meadows, IL); and the Color Naming and Word Reading tasks were from the CWIT. Participants in the camp study took the W-J III tests in a group setting led by a licensed psychologist and research assistants recorded participant finishing times. Participants in the trial took the W-J III tests individually by a trained tester under the supervision of a licensed psychologist. Participants were instructed to complete each test as quickly as possible.

The Decision Speed task is reliant on the ability to connect a concept with a name. Participants were instructed to circle two pictures in each row that were conceptually most similar. The Pair Cancellation task involves circling every instance of a specific pairing of pictures appearing on a single page. The Reading Fluency task requires reading abilities. Participants were instructed to read a series of statements and record if the statement was true or false. The Math Fluency task is related to math achievement and requires the participant to perform simple arithmetic calculations (addition, subtraction, and multiplication). The Color Naming task requires the participant to identify verbally a

series of colors presented on a page. The Word Reading task requires participants to read aloud a series of color names presented on a page.

The six processing speed scores were reduced to one processing speed factor using principal components analysis with a varimax rotation. The processing speed factor explained 66.6% of the total variance among the variables and had an eigenvalue of 3.99. For analysis, the processing speed factor was standardized to a z-score.

Statistical analysis

Participant characteristics, biomarkers, nutrient intake, and cognitive performance were presented as mean \pm standard deviation (SD) for continuous variables or as number and percent for categorical variables. Pearson product-moment correlation coefficient was used to perform simple correlation analyses. Participants were categorized into two groups based on plasma Phe concentrations; low Phe was defined as having a plasma Phe concentration <600 $\mu\text{mol/L}$ and high Phe as having a plasma Phe concentration ≥ 600 $\mu\text{mol/L}$. Categories of RBC DHA status were constructed using the sample median RBC DHA value of each age group (12-17 and ≥ 18 years); the high DHA category was RBC greater than or equal to the sample median for age, and low DHA category less than the sample median for age. Intergroup comparisons for continuous variables were made using two-way ANOVA. The plasma Phe and RBC DHA categorical variables were then collapsed into one, four-level categorical variable, and multiple comparison testing was conducted using Tukey's post-hoc test. Intergroup comparisons for categorical variables were made using Fisher's Exact Test. Multiple linear regression was used to assess the proportion of variance in cognitive performance attributed to the variation in plasma or RBC DHA controlling for plasma Phe concentrations. A P value $\leq .05$ was considered

statistically significant, and P values between $>.05$ and $\leq.10$ were considered trends informative for future research. Statistical analyses were performed using SPSS Statistics 17.0 (SPSS Inc.; Chicago, IL).

Results

Of the 47 individuals with PKU attending the baseline visit, three declined participation, two had impaired scores on the screening test (PPVT SS<70), and one was not able to provide venous blood at the blood draw. A final sample of 41 participants was included in this analysis. As shown in Table 29, the four participant groups were similar in most demographic characteristics. Average Phe prescription was lower in Group 3 (high Phe/high DHA) compared with the other groups. A higher percentage of participants in Group 4 (high Phe/low DHA) reported lower maternal education. In addition, higher percentages of participants in Groups 3 (high Phe/high DHA) and 4 (high Phe/low DHA) were at or below the poverty threshold compared with the other two groups.

On average, participants displayed concentrations of plasma Phe exceeding treatment recommendations (Table 30), and plasma and RBC DHA contents significantly below laboratory controls (Table 31, Table 32). Concentrations of plasma Phe were inversely correlated with plasma DHA ($r = -.42$, $P = .003$, $n = 41$) and RBC DHA ($r = -.33$, $P = .02$, $n = 41$).

Table 33 shows no differences in estimated intake of DHA or Phe between the four groups of participants. Absolute intake of LA and ALA significantly differed by group, but the dietary LA:ALA ratios were similar (range: $7.7 \pm 1.6 - 8.4 \pm 1.7$; $F_{2,36} = 0.2$, $P = .84$). Participants with poor plasma Phe concentrations (Groups 2 and 4; $\text{Phe} \geq 600 \mu\text{mol/L}$) reportedly consumed significantly less energy during the three days prior to the blood draw compared with participants with better plasma Phe ($\text{Phe} < 600 \mu\text{mol/L}$; $F_{1,37} = 5.8$, $P = .02$).

Plasma Phe concentration was inversely correlated with measures of verbal ability ($r = -.45, P = .002, n = 41$), cognitive processing speed ($r = -.37, P = .009, n = 41$), cognitive inhibition ($r = -.32, P = .02, n = 41$), and cognitive flexibility ($r = -.35, P = .02, n = 38$).

RBC DHA content was positively related to performance on the PPVT before and after adjusting for plasma Phe ($r = .42, P = .003, n = 41$; $R = .32, P = .02, n = 41$, respectively). RBC DHA explained an additional 9.3% of the variance in PPVT performance after controlling for plasma Phe ($\Delta F_{1,38} = 4.8, P = .03$). An initial trend in the relationship between plasma DHA and PPVT ($r = .20, P = 0.10, n=41$) was diminished after controlling for plasma Phe ($R = .02, P = 0.46, n=41$). Plasma and RBC DHA were not found to be related to measures of cognitive processing speed, inhibition, and flexibility.

As shown in Table 34, PPVT scores differed significantly by group status. Specifically, Group 1 (low Phe/high DHA) performed significantly better on the PPVT than Group 4 (high Phe/low DHA; Tukey's post-hoc test: mean difference: 15.89, std error: 4.30, $P = .004$; 95% confidence interval: 4.32-27.46). Controlling for maternal education did not change this relationship (Table 52). Differences in performance speed and accuracy on the processing speed and executive function tasks were not seen between the four groups (Table 34, Table 35, Table 36).

Discussion and Conclusions

In this study, combined high RBC DHA content and low plasma Phe concentrations was associated with the highest mean performance on a verbal ability task in adolescent and adult women with PKU. Relationships between biomarkers of DHA status and performance on tasks of processing speed and executive function, however, were not apparent.

Due to the cross-sectional nature of this study, the results that participants with the best Phe and DHA status had the highest mean PPVT scores and those with the poorest Phe and DHA status had the lowest mean PPVT scores suggest a number of possible interpretations. If consistent with the stated hypothesis, plasma Phe control <600 $\mu\text{mol/L}$ and improved DHA status may allow for enhanced performance on specific cognitive tasks, such as verbal ability.

Verbal ability has been shown to be related to DHA status in other studies. Similar to the present study, performance on the PPVT and whole blood DHA concentrations ($r^2 = .14$, $P = .018$) were positively related in healthy four year old children supplemented with 400 mg DHA for four months⁹¹. They found no differences, however in change in performance on tests of verbal ability between the DHA- and placebo-supplemented groups⁹¹. Furthermore, Birch and colleagues found four year olds who were fed formula supplemented with DHA (0.36% fatty acids) and AA (0.72% fatty acids) as infants had verbal IQ similar to breastfed infants⁸⁶. Four year olds fed formula without preformed LC-PUFAs as infants, however, had significantly lower verbal IQ than those who were breastfed.

Not all studies have shown statistically significant differences, however this is likely due to inadequate statistical power^{47-48, 227}. The lower concentrations of blood DHA seen in people with PKU are comparable with those seen in other populations consuming diets lacking pre-formed long-chain polyunsaturated fatty acids such as a vegan diet¹²⁰ or the low protein diet to treat maple syrup urine disease²²⁸ and are thus suspected to be an artifact of the restrictive diet.

Interestingly, in this study those with low Phe/low DHA and high Phe/high DHA, similarly displayed average performance on the PPVT. This suggests a protective effect of DHA in the domain of verbal ability in the event of poor plasma Phe control. It also suggests that while important, plasma Phe control may not be the sole diet-related predictor of cognitive status in PKU.

These results could be interpreted alternatively that participants with higher performance on the PPVT (low Phe/high DHA) are more compliant with their diet therapy and thus have both better control of plasma Phe and a higher quality diet. Also, people with average PPVT scores (Groups 2 and 3: low Phe/low DHA and high Phe/high DHA Groups) may have diet-related health behaviors that are more beneficial than those with lower scores (Group 4: high Phe/low DHA).

The lack of association between measures of processing speed and executive function and biomarkers of DHA suggests that the mechanism by which DHA is related to PPVT performance may be distinct. In the aforementioned study, Ryan and Nelson also found no differences in change in performance on tests of sustained attention, inhibition, and processing speed between the DHA- and placebo-supplemented groups⁹¹. de Groot and colleagues similarly found either no association or instances of negative

associations between measures of processing speed, executive function, and DHA status in pregnant and non-pregnant women⁹⁷⁻⁹⁸. In a smaller study, Llorente and colleagues also found no significant differences in cross-sectional measures of processing speed and executive function in 27 lactating women supplemented with 200 mg DHA or placebo; however, small improvements in performance were seen in the supplemented group, but the lack of significance may have been due to inadequate statistical power⁹⁹.

In this study, lower reported mean energy intakes were seen in the two groups with plasma Phe >600 $\mu\text{mol/L}$. This finding was consistent with results of a separate analysis of the camp intervention in which we showed that change in energy intake was inversely correlated with change in plasma Phe concentration (Yi et al 2010, unpublished manuscript). A common explanation for this relationship is that in individuals with PKU inadequate energy and/or protein intake may lead to catabolism of skeletal muscle and subsequent release of Phe into the circulation³⁸; additional studies are still needed to confirm this interpretation.

Because of the small sample size in the current study, extra care was taken in the interpretation of the results. Scores standardized to age were used for all cognitive outcomes. Also, to reduce the chance of a significant result due to random error, multiple variables assessing the same domain were aggregated for analysis whenever possible. In addition, because this study was conducted only with females, these results are not necessarily extendable to males.

Conclusions

This is the first study assessing the relationship between cognitive performance and DHA status in adolescents and adults with PKU. This study found a positive

relationship between RBC DHA and performance on a verbal ability task, but did not find support for a relationship between performance on tasks of processing speed and executive function. A controlled trial should be conducted to confirm the relationship between DHA status and verbal ability; this trial should also assess performance on tasks utilizing memory and learning.

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Tables

Table 29. Characteristics of females of reproductive age with PKU stratified by plasma Phe and RBC DHA status

	Low Phe/ High DHA ^a Group 1, n=12	Low Phe/ Low DHA Group 2, n=7	High Phe/ High DHA Group 3, n=8	High Phe/ Low DHA Group 4, n=14	
Age, mean (SD), y	24.2 (10.7)	23.0 (9.1)	21.9 (8.1)	25.2 (12.2)	
Phe prescription, mean (SD), mg/d ^b	384 (132)	434 (104)	265 (69)	350 (127)	
Medical food prescription, mean (SD), g pro eq/d ^c	54.1 (15.1)	59.4 (11.8)	49.4 (8.6)	56.9 (7.7)	
Poverty, No. (%) ^d	1 (11)	1 (17)	3 (43)	4 (36.4)	
Overweight, No. (%) ^e	4 (33)	4 (57)	4 (50)	8 (57)	
Maternal education, No. (%)	HS degree or less	2 (17)	3 (43)	4 (50)	9 (64)
Race/ethnicity, No. (%) ^f	white/Caucasian	11 (92)	7 (100)	7 (88)	12 (86)
Insured, No. (%)		12 (100)	6 (86)	6/7 (86)	13 (93)
Residence, No. (%) ^g	South	10 (83)	5 (71)	6 (75)	14 (100)

Table 29 continued

Abbreviations: PKU, phenylketonuria; Phe, phenylalanine; DHA, docosahexaenoic acid; pro eq, protein equivalent; HS, high school.

^a Low Phe: <600 $\mu\text{mol/L}$; High DHA: RBC DHA median by age group, <18 years: $\geq 2.23\%$; ≥ 18 years: $\geq 2.40\%$.

^b Phe prescription: Group 3, n=7.

^c Medical food prescription: Group 3, n=1 taking large neutral amino acids not included in mean; Group 4, n=13.

^d At or below poverty threshold based on annual poverty thresholds calculated by the US Census Bureau (Group 1, n=9; Group 2, n=6; Group 3, n=7; Group 4, n=11).

^e <20 years: at risk for overweight + overweight defined as BMI $\geq 85^{\text{th}}$ percentile, ≥ 20 years: overweight defined as BMI ≥ 25.0 .

^f Participants self-identified race/ethnicity as white/Caucasian, black/African American, or Native American.

^g US Census regions; other participants from Northeast, West, or Midwest.

Table 30. Biomarkers of metabolic control in females of reproductive age with PKU stratified by age group

	<18 years		≥18 years		Treatment Range ^a
	PKU n=20 Mean (SD)	Reference Range	PKU n=21 Mean (SD)	Reference Range	
Plasma Phe, μmol/L	843.1 (463.9)	26-91	707.8 (464.8)	49-76	120-360
Plasma Tyr, μmol/L	42.1 (20.1)	24-115	48.5 (24.5)	41-78	
Phe : Tyr Ratio	23.9 (14.0)		16.3 (11.3)		

Abbreviations: PKU, phenylketonuria; Phe, phenylalanine; Tyr, tyrosine.

^a NIH consensus statement (2000) treatment range goals: <12 years, preconception, and pregnancy: 120-360 μmol/L; adolescents: 120-600; adults: 120-900³⁴.

Table 31. Select biomarkers of plasma total lipid fatty acid status in females of reproductive age with PKU compared with female laboratory controls without PKU

	<18 years		≥18 years		Statistics	
	PKU	Lab controls	PKU	Lab controls	<i>F</i> test ^a	<i>P</i> value
	n=20	n=5	n=21	n=17		
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)		
Age, y	15.1 (1.8)	15.7 (0.97)	32.3 (7.4)	38.7 (8.1)	$F_{1,61} = 10.9$.002
Plasma LA, % TLFA	30.37 (4.24)	27.97 (4.49)	29.78 (3.71)	29.33 (2.45)	$F_{1,61} = 1.2$.28
Plasma AA, % TLFA	5.96 (1.44)	5.86 (1.09)	6.86 (1.40)	6.38 (0.970)	$F_{1,61} = 0.2$.65
Plasma ALA, % TLFA	0.841(0.201)	0.612 (0.085)	0.839 (0.340)	0.691 (0.289)	$F_{1,61} = 5.5$.02
Plasma EPA, % TLFA	0.271(0.087)	1.027 (0.436)	0.365 (0.200)	0.618 (0.459)	$F_{1,61} = 23.1$	<.001
Plasma DHA, % TLFA	0.932 (0.299)	3.177 (1.129)	1.137 (0.326)	2.889 (0.817)	$F_{1,61} = 157.8$	<.001
Plasma AA/DHA ratio	6.67 (1.47)	2.16 (1.13)	6.45 (2.10)	2.36 (0.68)	$F_{1,58} = 110.6$	<.001
Plasma TLFA, μg/mL	2476 (1100)	2609 (322)	2588 (786)	2547 (382)	$F_{1,58} = 0.0$.90

Table 31 continued

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; LA, linoleic acid; AA, arachidonic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; TLFA, total lipid fatty acids.

^a 1-way ANOVA: all PKU (n=41) vs. all lab controls (n=23).

Table 32. Select biomarkers of RBC total lipid fatty acid status in females of reproductive age with PKU compared with female laboratory controls without PKU

	<18 years		≥18 years		Statistics	
	PKU	Lab controls	PKU	Lab controls	<i>F</i> test ^a	<i>P</i> value
	n=20	n=5	n=21	n=20		
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)		
Age, y	15.1 (1.8)	13 (2.1)	32.3 (7.4)	36.8 (9.9)	$F_{1,64} = 7.9$.007
RBC LA, % TLFA	9.85 (1.15)	9.57 (1.09)	9.73 (1.28)	9.63 (1.22)	$F_{1,64} = 0.3$.58
RBC AA, % TLFA	12.45 (1.17)	13.64 (0.49)	12.71 (1.75)	14.08 (1.34)	$F_{1,64} = 15.9$	<.001
RBC ALA, % TLFA	0.130 (0.025)	0.079 (0.021)	0.126 (0.036)	0.125 (0.048)	$F_{1,64} = 1.4$.23
RBC EPA, % TLFA	0.196 (0.030)	0.332 (0.121)	0.282 (0.133)	0.494 (0.205)	$F_{1,64} = 34.6$	<.001
RBC DHA, % TLFA	2.12 (0.50)	3.66 (0.45)	2.69 (0.82)	3.92 (1.04)	$F_{1,64} = 48.8$	<.001
RBC AA/DHA ratio	6.27 (1.99)	3.77 (0.48)	5.13 (1.57)	3.88 (1.21)	$F_{1,64} = 20.1$	<.001
RBC TLFA, µg/mL	1329 (165)	1423 (145)	1453 (257)	1328 (204)	$F_{1,64} = 0.7$.41

Table 32 continued

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; LA, linoleic acid; AA, arachidonic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; TLFA, total lipid fatty acids.

^a 1-way ANOVA: all PKU (n=41) vs. all lab controls (n=25).

Table 33. Estimates of diet intake in females of reproductive age with PKU stratified by plasma Phe and RBC DHA status

	Low Phe/ High DHA ^a Group 1, n=11	Low Phe/ Low DHA Group 2, n=7	High Phe/ High DHA Group 3, n=7	High Phe/ Low DHA Group 4, n=14	Statistics	
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	<i>F</i> test ^b	<i>P</i> value
Energy, kcal/d ^c	1799 (362)	1793 (631)	1461 (373)	1491 (357)	$F_{3,35} = 1.8$.16
Protein, g pro eq/d	60.4 (10.3)	66.5 (24.2)	57.6 (12.2)	52.9 (10.8)	$F_{3,35} = 1.6$.22
MF Protein, g pro eq/d	51.9 (14.0)	53.7 (17.9)	48.2 (7.3)	40.3 (18.3)	$F_{3,36} = 1.7$.19
Fat, g/d	61 (24)	52 (12)	38 (16)	46 (16)	$F_{3,35} = 2.6$.07
Carbohydrates, g/d	266 (72)	275 (116)	234 (63)	224 (65)	$F_{3,35} = 1.0$.41
Phe, g/d	.526 (.328)	.581 (.535)	.373 (.273)	.564 (.563)	$F_{3,35} = 0.3$.81
Tyr, g/d	5.535 (.910)	5.648 (1.834)	5.350 (1.109)	4.515 (1.515)	$F_{3,35} = 1.7$.20
LA, g/d	18.3 (6.9)	14.5 (4.2)	8.9 (2.6)	13.2 (6.3)	$F_{3,35} = 4.0$.01
AA, g/d	.002 (.002)	.014 (.023)	.006 (.008)	.008 (.014)	$F_{3,35} = 1.3$.30
ALA, g/d	2.49 (1.23)	1.95 (.67)	1.12 (.30)	1.57 (.62)	$F_{3,35} = 4.6$.008

Table 33 continued

	Low Phe/ High DHA ^a	Low Phe/ Low DHA	High Phe/ High DHA	High Phe/ Low DHA	Statistics	
	Group 1, n=11	Group 2, n=7	Group 3, n=7	Group 4, n=14		
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	<i>F</i> test ^b	<i>P</i> value
EPA, g/d	.000 (.001)	.002 (.003)	.000 (.001)	.001 (.001)	<i>F</i> _{3,35} = 1.2	.31
DHA, g/d	.001 (.001)	.003 (.005)	.002 (.003)	.001 (.002)	<i>F</i> _{3,35} = 1.0	.39

Abbreviations: PKU, phenylketonuria; Phe, phenylalanine; DHA, docosahexaenoic acid; pro eq, protein equivalent; MF, medical food; Tyr, tyrosine; LA, linoleic acid; AA, arachidonic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid.

^a Low Phe: <600 μmol/L; High DHA: RBC DHA median by age group, <18 years: ≥ 2.23%; ≥18 years: ≥ 2.40%.

^b Two-way ANOVA; statistics include interaction factor.

^c Dietary intake data were analyzed using Nutrient Data System for Research software version 2009, developed by the Nutrition Coordinating Center (NCC), University of Minnesota, Minneapolis, MN.

Table 34. Cognitive performance in females of reproductive age with PKU stratified by plasma Phe and RBC DHA status

Domain	Low Phe/ High DHA ^a Group 1, n=12	Low Phe/ Low DHA Group 2, n=7	High Phe/ High DHA Group 3, n=8	High Phe/ Low DHA Group 4, n=14	Statistics	
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	<i>F</i> test ^b	<i>P</i> value
Verbal ability, SS ^c	108.8 (12.4)	100.9 (10.9)	100.4 (11.7)	92.9 (9.0)	$F_{2,38} = 7.0$.003
Processing speed, factor score	102.8 (7.3)	101.4 (15.8)	101.6 (12.2)	97.4 (18.3)	$F_{2,38} = 0.5$.61
Cognitive inhibition, SS	11.3 (2.6)	9.4 (3.7)	9.4 (4.7)	9.4 (3.8)	$F_{2,38} = 0.8$.48
Cognitive flexibility, SS ^d	9.9 (2.7)	10.2 (4.6)	10.0 (3.7)	9.2 (4.5)	$F_{2,35} = 0.1$.90

Abbreviations: PKU, phenylketonuria; Phe, phenylalanine; DHA, docosahexaenoic acid; SS, standard score.

^a Low Phe: <600 µmol/L; High DHA: RBC DHA median by age group, <18 years: ≥ 2.23%; ≥18 years: ≥ 2.40%.

^b Two-way ANOVA.

^c PPVT standard scores of Group 1 significantly differed compared with scores of Group 4 (mean difference = 15.9, $P = .004$; 95% confidence interval: 4.3, 27.5).

^d Cognitive flexibility: Group 2, n=6; Group 3, n=7; Group 4, n=13.

Table 35. Number and percent of participants making 2 or more errors on processing speed and executive function tasks of the Color-Word Interference Test by females of reproductive age with PKU stratified by plasma Phe and RBC DHA status

Task ^a	Low Phe/	Low Phe/	High Phe/	High Phe/	Statistics	
	High DHA ^b	Low DHA	High DHA	Low DHA		
	Group 1, n=12	Group 2, n=7	Group 3, n=8	Group 4, n=14	Exact test ^c	P value
	No. (%)	No. (%)	No. (%)	No. (%)		
Color Naming, SS ^d	0 (0)	1 (14)	2 (25)	4 (29)	4.4	.20
Word Reading, SS ^d	1 (8)	0 (0)	1 (13)	2 (14)	1.2	.91
Inhibition, SS ^e	4 (33)	3 (43)	4 (50)	10 (71)	4.0	.28
Inhibition/Switching, SS ^{e,f}	7 (58)	5 (71)	4 (50)	9 (69)	1.2	.82

Abbreviations: PKU, phenylketonuria; Phe, phenylalanine; DHA, docosahexaenoic acid; SS, standard score.

^a 80 items per task.

^d Cognitive processing speed.

^b Low Phe: <600 µmol/L; High DHA: RBC DHA median by age group, <18 years: ≥ 2.23%; ≥18 years: ≥ 2.40%.

^e Executive function.

^f Cognitive flexibility: Group 4, n=13.

^c Fisher's Exact Test.

Table 36. Average number of correct answers on W-J III processing speed tasks by females of reproductive age with PKU stratified by plasma Phe and RBC DHA status

	Low Phe High DHA ^a Group 1, n=12	Low Phe Low DHA Group 2, n=7	High Phe High DHA Group 3, n=8	High Phe Low DHA Group 4, n=14	Statistics	
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	<i>F</i> test ^b	<i>P</i> value
W-J Decision Speed ^c	35.0 (4.3)	34.1 (6.7)	35.6 (3.2)	33.9 (5.9)	$F_{2,38} = 0.3$.72
W-J Pair Cancellation ^d	64.8 (2.8)	62.1 (7.9)	62.4 (6.4)	58.1 (9.2)	$F_{2,38} = 3.1$.06
W-J Reading Fluency ^e	78.3 (17.3)	73.4 (19.3)	70.6 (19.4)	65.1 (18.5)	$F_{2,38} = 1.7$.19
W-J Math Fluency ^f	112.8 (27.1)	107.7 (41.4)	111.0 (26.0)	90.2 (32.6)	$F_{2,38} = 1.8$.18
≥1 error	No. (%)	No. (%)	No. (%)	No. (%)	Exact test ^g	<i>P</i> value
W-J Reading Fluency	4 (33)	1 (14)	4 (50)	5 (36)	2.1	.63

Table 36 continued

Abbreviations: W-J, Woodcock-Johnson; PKU, phenylketonuria; Phe, phenylalanine; DHA, docosahexaenoic acid.

^a Low Phe: <600 $\mu\text{mol/L}$; High DHA: RBC DHA median
by age group, <18 years: $\geq 2.23\%$; ≥ 18 years: $\geq 2.40\%$.

^b Two-way ANOVA.

^c Possible number correct: 0-40.

^d Possible number correct: 0-69.

^e Possible number correct: 0-98.

^f Possible number correct: 0-160.

^g Fisher's Exact Test.

Chapter 7. A randomized, placebo-controlled, double-blind trial of supplemental docosahexaenoic acid on cognitive processing speed and executive function in females of reproductive age with phenylketonuria: a pilot study

Title: A randomized, placebo-controlled, double-blind trial of supplemental docosahexaenoic acid on cognitive processing speed and executive function in females of reproductive age with phenylketonuria: a pilot study

Authors: S.H.L. Yi¹, J.A. Kable², M.L. Evatt³, R.H. Singh⁴.

Institutional affiliations: ¹Emory University, Nutrition & Health Sciences Program of the Graduate Division of Biological & Biomedical Sciences, ²Emory University, School of Medicine, Department of Pediatrics, ³Department of Veterans Affairs Medical Center, Atlanta, GA and Emory University School of Medicine, Department of Neurology, ⁴Emory University School of Medicine, Department of Human Genetics

Corresponding author:

Rani H. Singh

2165 N. Decatur Road

Decatur, GA 30033

Tel: 404-778-8519

Fax: 404-778-8562

rsingh@emory.edu

Requests for reprints: Rani H. Singh, 2165 N. Decatur Road, Decatur, GA 30033

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Abstract

The functional implications of low blood docosahexaenoic acid (DHA) in adolescents and adults with phenylketonuria (PKU) are not known. The objective of this study was to investigate the effect of supplemental DHA on cognitive processing speed and executive function, two domains in which individuals treated early for PKU exhibit subtle deficits. In a parallel, randomized, placebo-controlled, double-blind clinical trial, 33 females with PKU ages 12-47 years were randomly assigned to receive either a DHA (10 mg/kg/day) or placebo supplement for 4.5 months. Main outcomes included performance on tasks involving cognitive processing speed, inhibition, and flexibility. Changes in plasma Phe, diet intake, and biomarkers of DHA status; compliance; and adverse events were also assessed. Seventeen participants were randomized to the DHA Group and 16 to the Placebo Group. The intention to treat analysis included 27 participants (DHA Group: n=12; Placebo Group: n=15). The per protocol analysis included 20 participants (DHA Group: n=9; Placebo Group: n=11). At follow up, plasma and RBC biomarkers of DHA were significantly higher in the DHA Group. Assessed performance on cognitive processing speed, inhibition, and flexibility tasks was not different between the two groups at follow up nor was plasma Phe control. The proportion of participants reporting adverse events deemed related to treatment was similar between the two groups. No serious adverse events were reported. In this small pilot study, supplementation with DHA at a dose of 10 mg/kg/day for 4.5 months effectively increased biomarkers of DHA status, and did not show an increase in treatment-related adverse events compared with controls taking placebo. In conclusion, alternative cognitive domains, such as verbal ability, memory, and learning, may be more

responsive to DHA supplementation and should be used in future trials investigating the cognitive effects of DHA. While the implications of low blood DHA in adolescents and adults with PKU still remain to be answered, this study confirms that supplementation with pre-formed DHA appears to be safe and effective in increasing biomarkers of DHA. www.clinicaltrials.gov; Identifier: NCT00892554

Keywords: docosahexaenoic acid; phenylketonuria; phenylalanine; cognitive tests; protein-restricted diet; clinical trial

List of abbreviations

AA	20:4(n-6)	arachidonic acid
ALA	18:3(n-3)	alpha-linolenic acid
DHA	22:6(n-3)	docosahexaenoic acid
DPA n-6	22:5(n-6)	docosapentaenoic acid
EPA	20:5(n-3)	eicosapentaenoic acid
LA	18:2(n-6)	linoleic acid
LC-PUFA		long-chain polyunsaturated fatty acid
MF		medical food
mPKU		maternal PKU
PAH		phenylalanine hydroxylase
Phe		phenylalanine
PKU		phenylketonuria
PUFA		polyunsaturated fatty acid
Tyr		tyrosine

Each author contributed to the planning, conduct, and reporting of the work described in this article.

Rani H. Singh serves as guarantor for the article, accepts full responsibility for the work and/or the conduct of the study, had access to the data, and controlled the decision to publish.

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- United to Support Metabolic Disorders (USMD-PKU) in America had no involvement in the study design; collection, analysis, and interpretation of the data; writing of the report; and the decision to submit the report for publication.
- The Clinical and Translational Science Award and General Clinical Research Center program was involved in the critique of the study design, data collection, and analysis of the data; but, not involved in the original study design, interpretation of the data, writing the report, and the decision to submit the report for publication.
- Martek Biosciences Corporation reviewed this report prior to publication and minor feedback was given. Martek had no involvement in the study design; collection, analysis, and interpretation of the data; writing the report; and the decision to submit the report for publication.
- The Atlanta VA Medical Center had no involvement in the study design; collection, analysis, and interpretation of the data; writing the report; and the decision to submit the report for publication.

Approval was obtained to conduct this study from the Emory University Institutional Review Board. Informed consent was obtained from all participants and a parent and/or guardian if the participant was under age 18 years.

1. Introduction

Phenylketonuria (PKU; OMIM 261600) is a genetic disorder detected through newborn screening in the US, and is most commonly caused by a deficiency in the enzyme phenylalanine hydroxylase (PAH). When diagnosed and treated soon after birth, associated developmental delays and behavioral disturbances can be prevented³⁵⁻³⁶. The traditional treatment for PKU consists of a diet restricted in the amino acid phenylalanine (Phe) with the majority of dietary protein equivalents provided by a Phe-free, amino acid-based medical food. Achievement of a diet low in Phe relies on choosing foods that are low in protein (e.g., measured amounts of fruits, vegetables, and grains) and avoidance of foods high in protein (e.g., meats, eggs, dairy products, beans, and nuts). The goal of treatment is to control plasma Phe concentrations while maintaining adequate nutrient intake. Currently, lifelong diet treatment is recommended³⁴ to prevent cognitive, neurological, and psychiatric declines^{158, 163, 205-208}.

Despite successful prevention of major developmental delay, adolescents and adults treated early for PKU reportedly still display minor cognitive deficits in domains including processing speed, executive function (inhibition), attention, and overall IQ^{165, 211-212, 215}. Debate remains over the existence, nature, etiology, and clinical significance of these deficits, but two recent meta-analyses of studies including children, adolescents, and adults treated early for PKU confirm a significant effect of PKU compared with controls across the aforementioned and other domains^{164, 216}.

DHA is a major fatty acid in the brain^{27, 220} and its presence in the cell membrane affects multiple membrane properties including increased membrane disorder²²¹, enhanced lateral membrane compressibility²²², and formation and fusion of synaptic

vesicles²²³. Interest in the relationship between cognition and DHA was sparked following animal studies showing the impact of omega-3 fatty acid deficiency on learning ability and attention^{69-72, 229}. Animal studies continue to reveal positive effects of DHA adequacy and supplementation on behavior and cognitive performance²¹; however, human studies have not reached a consensus on the effect of DHA status on cognitive performance in infants, children, adults, or older adults²³⁰.

DHA is a precursor to the bioactive molecules neuroprotectin D1 and resolvins^{224, 231}; and, increased brain concentrations of nitric oxide synthetase, dopamine and serotonin, brain-derived neurotrophic factor have been shown in DHA-supplemented animals^{104, 225}. DHA appears to regulate neuronal apoptosis^{224, 232} and n-3 fatty acids may regulate neurogenesis²³³⁻²³⁴ in adults. Because DHA has multiple potential short-term and long-term effects on neuronal composition, chemistry, and activities, there is much interest in the functional implications of inadequacy of DHA in the diet, blood, and brain.

Individuals treated early in life for PKU show on average lower plasma and RBC percentage of DHA compared with controls without PKU^{6-7, 217-218}. Accordingly, it has been proposed that inadequate DHA concentrations in neural lipids may be related to cognitive deficits in people treated early for PKU^{119, 218, 226}.

Supplementation with preformed DHA in children with PKU significantly improved plasma and red blood cell (RBC) DHA content in children with PKU using doses of 10-15 mg/kg/day DHA for 3-12 months^{8-9, 11, 18}. It is hypothesized that high intake of linoleic acid (LA) relative to alpha-linolenic acid (ALA) inhibits endogenous synthesis of DHA from ALA due to competition since arachidonic acid (AA) synthesis from LA necessitates the same desaturase and elongase enzymes⁵⁹⁻⁶¹. Improving the

dietary linoleic:alpha-linoleic acid (LA:ALA) ratio, however, has shown either a small change⁶² or no change in blood DHA concentrations¹⁸ in children with PKU. This latter finding is consistent with isotope tracer studies⁵⁷ and ALA supplementation trials⁶³⁻⁶⁵ which demonstrate the inefficiency of conversion from ALA to DHA in humans.

In infants and children with PKU, small but significant improvements have been shown in visual function and motor skills with improved blood DHA from supplementation for a period as short as 3 months⁸⁻¹¹. Studies have not yet investigated the effect of DHA supplementation on cognitive status in adolescents and adults with PKU. In the present study, we investigated in a randomized controlled trial whether performance on tests of cognitive processing speed, inhibition, and flexibility would be higher compared with those supplemented with placebo after 4.5 months of supplementation with 10 mg/kg DHA (www.clinicaltrials.gov; Identifier: NCT00892554).

2. Materials and Methods

2.1 Study participants

Eligible participants were females with PKU and aged at least 12 years. They were not eligible if pregnant, currently taking supplemental DHA, or scored less than 2 standard deviations below average on a standardized verbal ability task.

Volunteers were recruited primarily from an Atlanta-based metabolic clinic and an Atlanta-based metabolic camp. Recruitment was also conducted at regional and national meetings for individuals with PKU and clinicians treating individuals with PKU. Online recruitment tools included a study website and registration on clinicaltrials.gov.

Approval was obtained to conduct this study from the Emory University Institutional Review Board. Participants, and a parent and/or guardian if the participant was under 18 years, gave informed consent to participate in research in accordance with Emory University policies and the Code of Federal Regulations, Title 45 (Public Welfare), Part 46 (Protection of Human Subjects).

Baseline and end of study assessments were performed at the Emory University Clinical Interaction Site (CIS) of the Atlanta Clinical & Translational Science Institute (ACTSI; previously known as the General Clinical Research Center (GCRC)). If necessary, the primary data collector for the study traveled to a location closer to the participant to complete data collection.

At baseline, each participant received a container to store their study log book, monthly food records and filter paper supplies, measuring cups and spoons, a ruler, a pen, and the supplements. The study log book included study contact information, the participant's supplement prescription (number of capsules to take per day), medication

logs, illness logs, a supplement calendar log, and food record instructions. A web-site was created for participants in the study as an additional way to access study information (see Appendix: Methods for examples).

To monitor compliance to the study protocol and changes in health status during the study, participants were asked to submit blood spotted on a filter paper and a three-day food record every month, and a completed study log book, unused supplements, and supplement bottles at the end of the study. Participants were provided with shipping materials and postage and reminded of each submission by telephone call or electronic mail.

2.2 Intervention

Participants were randomized to receive either a DHA supplement or placebo orally at a dose of 10 mg/kg/day for 4.5 months. This dosage is based on previous methods which resulted in increased plasma and RBC DHA content (measured as a percentage of total lipid fatty acids) in children with PKU^{8,18}. The study length of 4.5 months was chosen because cognitive effects of DHA have been shown in children with PKU after 3 months of supplementation^{9,11}. DHA was provided in microalgae oil (“DHASCO-S”) capsules. Each DHASCO-S capsule contained approximately 200 mg DHA and is described in detail elsewhere¹⁹⁵. The placebo oil was a mixture of soy and corn oils and was provided in capsules of matching size, weight, color, and flavor to the DHASCO-S capsules. The capsules were provided by Martek Biosciences Corporation (Columbia, MD, USA).

2.3 Objective

The primary objective of this study was to investigate whether performance on tests of cognitive processing speed, inhibition, and flexibility would improve after supplementation with DHA.

2.4 Outcomes

Performance on tasks of cognitive processing speed, inhibition, and flexibility at follow up were the primary outcome measures. Biomarkers of DHA, plasma Phe, and diet intake at follow up and compliance and adverse events were also assessed.

2.4.1 Blood amino acid profile

Amino acid analyses were performed by Emory Genetics Laboratory's Biochemical Genetics Laboratory (Atlanta, GA). Venous blood was collected into sodium heparin tubes, plasma was deproteinized, and the resulting free amino acid concentrations were measured by quantitative ion-exchange chromatography on a Biochrom 30 Amino Acid Analyzer using lithium buffer¹⁸⁴.

For monthly monitoring or if a participant was unable to provide a venous sample, blood spots from a finger prick were collected on filter paper to quantify whole blood Phe and tyrosine (Tyr) concentrations using liquid chromatography-tandem mass spectrometry (LC-MS/MS). Phe and Tyr were extracted from the blood spots into methanol containing internal standards (stable isotope labeled amino acids). Amino acid analyses were performed using a Micromass Quattro Micro tandem mass spectrometer with a Waters 2795 HPLC system. Amino acids were identified and quantified using NeoLynx software. Concentrations of whole blood Phe analyzed by LC MS/MS are reportedly 19% lower than plasma Phe concentrations analyzed by ion-exchange

chromatography¹⁸⁵; therefore, the blood Phe concentrations obtained by LC MS/MS were multiplied by a factor of 1.19. Amino acid concentrations are reported as $\mu\text{mol/L}$. These values can be converted to mg/dL (commonly referred to as “mg%”) by dividing by 60.54¹⁸⁶.

2.4.2 Plasma and RBC total lipid fatty acid profiles

Plasma and RBC total lipid fatty acid profiles were assessed by the Peroxisomal Diseases Laboratory (PDL) at Kennedy Krieger Institute (Baltimore, MD). Venous blood was drawn into ethylenediaminetetraacetic acid (EDTA) tubes, and shipped overnight at room temperature for processing and analysis. Excess plasma and RBCs were stored frozen at -80°C in case a repeat analysis was required. Plasma and RBC C10:0 to C26:0 total lipid fatty acids were quantified by capillary gas chromatography-electron-capture negative-ion mass spectrometry (GC/MS). The method used is modified from the method of Lagerstedt and colleagues¹⁸⁷. Plasma and RBC DHA content are presented as percent of total lipids; total lipid fatty acids are presented as $\mu\text{g/mL}$.

2.4.3 Diet assessment

Participants were given instructions and materials for the documentation of dietary intake and portion size estimation. Three-day food records were collected and reviewed with participants by a registered dietitian. Dietary intake data were analyzed using Nutrition Data System for Research software version 2009 developed by the Nutrition Coordinating Center (NCC), University of Minnesota, Minneapolis, MN. Average intakes of energy, protein, medical food protein, fat, carbohydrates, Phe, and Tyr; the n-6 PUFAs LA and AA; the n-3 PUFAs ALA, EPA, and DHA were estimated.

Baseline intakes of other nutrients with potential cognitive associations were also assessed, including folate, iron, zinc, vitamin B12, and vitamin B6.

2.4.4 Cognitive assessments

Cognitive performance was assessed on tasks drawing upon verbal ability, cognitive processing speed, cognitive inhibition, and cognitive flexibility. Standard scores were calculated from raw scores using normative values included with the testing materials. The standard scores are age-specific and are based upon the performance of large normative samples which have been standardized to national demographics. Evaluations demonstrate adequate reliability and validity of the tests^{124, 126-127, 181}.

2.4.4.1 Verbal Ability

The Peabody Picture Vocabulary Test—Third Edition, Form B (PPVT-III B) is (1) a verbally administered achievement test on standard English vocabulary, and (2) a screening test for verbal ability. The PPVT was individually administered by a trained tester who asked the participant to match a series of orally presented vocabulary terms with one of four pictures per term. The results from the PPVT are used to describe the sample, to screen for the ability to complete further cognitive testing, and as a proxy for verbal intelligence.

2.4.4.2 Executive Functioning Skills

Inhibition and cognitive flexibility, two aspects of executive function, were evaluated using the Delis-Kaplan Executive Function System (D-KEFS) Color-Word Interference Test (CWIT) individually administered by a trained tester. CWIT evaluates automatic response inhibition and cognitive flexibility through two timed-conditions, inhibition and inhibition/switching, and is based upon the original test developed by

Stroop²³⁵. Performance on the CWIT has been shown to be sensitive to mean lifetime plasma Phe concentrations in children and adolescents treated continuously from soon after birth through at least 14 years¹⁸³. Color naming and word reading are fundamental skills needed to complete the inhibition and inhibition/switching tasks and thus were also evaluated to differentiate poor performance due to deficits in fundamental skills versus inhibition and/or cognitive flexibility.

2.4.4.3 Cognitive Processing Speed

Processing speed was assessed using six simple, timed tests; the Decision Speed, Pair Cancellation, Reading Fluency, and Math Fluency tests were from the Woodcock-Johnson III Tests of Cognitive Ability and Achievement (W-J III; Riverside Publishing, Rolling Meadows, IL); and the Color Naming and Word Reading tasks were from the CWIT. Participants were instructed to complete each test as quickly as possible.

Due to time constraints, participants who completed baseline evaluation as part of the camp study took the W-J III tests in a group setting led by a licensed psychologist and research assistants recorded participant finishing times. All other participants took the W-J III tests individually by a trained tester under the supervision of a licensed psychologist. Thirteen participants completed the baseline W-J III in a group setting while twenty completed individually. Mean scores on these tasks did not differ between those who completed the tasks in the group setting versus individually.

2.4.4.3.1 Decision Speed

The Decision Speed task relies on the ability to connect a concept with a name. Participants were instructed to circle two pictures in each row that were conceptually most similar.

2.4.4.3.2 Pair Cancellation

The Pair Cancellation task involves circling every instance of a specific pairing of pictures appearing on a single page.

2.4.4.3.3 Reading Fluency

The Reading Fluency task requires reading abilities. Participants were instructed to read a series of statements and circle if the statement was true or false.

2.4.4.3.4 Math Fluency

The Math Fluency task is related to math achievement and requires the participant to perform simple arithmetic calculations (addition, subtraction, and multiplication).

2.4.4.3.5 Color Naming

The Color Naming task requires the participant to identify verbally a series of colors presented on a page.

2.4.4.3.6 Word Reading

The Word Reading task requires the participant to read aloud a series of color names presented on a page.

2.4.4.3.7 Processing Speed Score

The six processing speed scores were reduced one processing speed factor score for each time point using principal components analysis with a varimax rotation. The processing speed factors explained 67.9% and 62.0% of the total variance among the baseline (n=33) and follow up (n=27) variables and had eigenvalues of 4.1 and 3.7, respectively. For analysis, the baseline and follow up processing speed factors were standardized to a z-score.

2.5 *Sample size*

The target sample size was informed by the following calculations.

2.5.1 Anticipated change in blood DHA concentrations

In a 12-month study of 20 children with PKU in which 10 received DHA supplementation (10 mg/kg/day) and 10 received placebo, those supplemented with DHA had a mean 1.2% increase in plasma total lipid DHA and 1.3% increase in RBC total lipid DHA over the control group. At $\beta = .80$ and $\alpha = .05$, a sample size of 9 participants and 14 participants in each group was required to see this level of improvement in plasma and RBC total lipid DHA content, respectively²⁰¹⁻²⁰². A participant loss during follow up of 25% over 4.5 months was expected, therefore, a recruitment goal of at least 35 volunteers was set.

2.5.2 Anticipated change in cognitive outcomes

Based on the results of a study conducted at the baseline of this trial (Yi et al 2010, unpublished manuscript), in order to see a significant change ($\beta = .80$, $\alpha = .05$) in a total sample size of 24 participants, the minimum mean changes needed in this study would be about 1 standard deviation of the baseline score for the cognitive tests.

2.6 *Randomization: generation*

An ACTSI biostatistician provided a computer generated list of randomly assigned treatments to the Emory University Hospital Investigational Drug Services. Assigned treatment was either DHA or placebo supplement. Block randomization was used with a block size of four.

2.7 Allocation concealment

Allocation of treatment was concealed from study investigators and participants through external storage and distribution by the Investigational Drug Services.

2.8 Randomization: implementation

The investigators assessed participant eligibility, discussed the trial, obtained informed consent, and enrolled participants in the trial. The Investigational Drug Services dispensed DHA or placebo supplements according to the computer generated randomization list provided by the biostatistician.

2.9 Blinding

Participants and study personnel were blinded as to which group each participant belonged until recruitment, data collection, cognitive test scoring, laboratory analyses, data entry, and blinded analyses were complete. Only the ACTSI biostatistician and Investigational Drug Services were privy to treatment allocation; however, they did not have contact with study participants. Blinding was maintained by using supplements similar in appearance, weight, and smell.

2.10 Statistical methods

Data were analyzed and reported in accordance with the CONSORT (Consolidated Standards of Reporting Trials) statement²⁰⁰. A two-tailed P value $\leq .05$ was considered statistically significant. Statistical analyses were performed using SPSS Statistics 17.0 (SPSS Inc.; Chicago, IL).

2.10.1 Baseline characteristics

To assess similarity of the two groups (DHA vs. placebo), baseline clinical and demographic characteristics were estimated. These characteristics included: plasma

amino acid concentrations; diet Phe prescription; plasma and RBC DHA content; dietary energy, macronutrient, amino acid, and fatty acid intakes; BMI; exposure to cigarette smoke; and, performance on cognitive tests. Continuous variables are presented as mean (standard deviation), and categorical variables are presented as number (percent). In accordance with CONSORT guidelines, significance testing of baseline differences between the two treatment groups was not conducted²³⁶.

2.10.2 Primary outcome measures

The primary outcome measures of the study consisted of performance on tasks of cognitive processing speed, inhibition, and flexibility after 4.5 months of supplementation. The effect of DHA supplementation on follow up cognitive score was assessed using analysis of covariance (ANCOVA) with the corresponding baseline score as a covariate and treatment group as a fixed factor. Change in plasma Phe concentration and number of days between baseline and follow up were added as covariates to confirm that these findings were not affected by confounding factors. The primary analyses were conducted using intention to treat analysis. The intention to treat analysis included all randomized participants with complete baseline and follow up data.

2.10.3 Secondary outcome measures

The same measures were assessed for the secondary analysis; however, only randomized participants with complete baseline and follow up data who completed the follow up visit within the 4.5±0.5 month after starting supplementation were included. These assessments are referred to as per protocol analysis.

2.10.4 Other analyses

Biomarkers of DHA status were compared to evaluate the effectiveness of DHA supplementation.

Compliance to prescribed supplement regime was assessed and defined as taking >80% of prescribed treatment as indicated by: <20% of prescribed number of capsules returned, log book entries detailing <20% missed doses, in the DHA-supplemented group an increase in plasma DHA content of at least 1.2% and an increase in RBC DHA content of at least 1.3%, and in the placebo-supplemented group no such increase.

Changes in plasma Phe concentration and diet intake were assessed in order to evaluate potential confounding effects.

2.10.5 Adverse events

The proportion of participants reporting any adverse events and adverse events deemed to be related to treatment was calculated for each study group and compared using Fisher's Exact Test.

3. Results

3.1 Flow of participants & protocol deviations

Figure 6 shows the number of participants who were randomized, completed the follow up visit, and were included in the intention to treat analysis.

3.2 Dates of recruitment and follow up

Participants were recruited from June 2007 to September 2009. Randomization and the start of supplementation occurred within 1 week of the baseline visit for 19 participants and up to 3.5 months after the baseline visit for 14 participants. Twenty participants completed the follow up visit 4.5 (0.5) months after the start of supplementation. Thirteen participants withdrew from the study, and 7 of the 13 completed follow up visits at time points ranging from 1.5 months to 8 months after start of supplementation. Only 1 of the 7 continued taking the supplement until the follow up visit.

3.3 Baseline characteristics

As shown in Table 37, the two participant groups were similar in most clinical and demographic characteristics at baseline. Mean plasma Phe and BMI were clinically different between the two groups; the medians of plasma Phe were still clinically different while the medians of BMI were not clinically different between the two groups.

3.4 Number of participants

Because six participants did not complete a follow up visit the intention to treat analysis included 27 of the 33 randomized participants. Seven participants were considered protocol violators because they terminated supplementation early and completed the follow up visit outside the 4.5 (0.5) month follow up window. The per

protocol analysis included 20 of the 33 randomized participants. Reported adverse events are presented for the 32 randomized participants who took the supplement.

3.5 Summary of primary and secondary results

The intention to treat and per protocol analyses failed to show a difference between the follow up cognitive outcomes of the DHA Group and Placebo Group (Table 39, Table 40).

3.6 Other analyses

Mean plasma and RBC DHA content at follow up were significantly higher in the DHA Group compared with the Placebo Group in both the intention to treat and per protocol analyses, controlling for baseline levels (plasma DHA, intention to treat (n=24): 3.05 (0.93)% vs. 1.06 (0.88)%, $P < .001$; per protocol (n=19): 3.33 (0.70)% vs. 0.99 (0.65)%, $P < .001$; RBC DHA, intention to treat (n=24): 5.49 (1.72)% vs. 2.44 (1.57)%, $P < .001$; per protocol (n=19): 5.98 (1.35)% vs. 2.47 (1.26)%, $P < .001$). The DHA Group and Placebo Group had similar proportions of compliance to allocated treatment (Table 42). Mean plasma Phe at follow up did not differ between groups after controlling for baseline concentrations (intention to treat (n=27): 861 (608) $\mu\text{mol/L}$ vs. 895 (540) $\mu\text{mol/L}$, $P = .837$; per protocol (n=20): 770 (492) $\mu\text{mol/L}$ vs. 856 (443) $\mu\text{mol/L}$, $P = .575$).

3.7 Adverse events

Most participants reported one or more adverse events, and the proportion of participants reporting any adverse event was similar between the DHA and Placebo Groups (Table 43). The categories of reported adverse events deemed to be related to

treatment also did not differ by treatment group (Table 44). No serious adverse event was reported.

4. Discussion

This 4.5 month pilot study failed to find an effect of DHA supplementation on measures of cognitive processing speed, inhibition, and flexibility in females of reproductive age with PKU. The data did confirm that DHA supplementation was effective in increasing concentrations of plasma and RBC DHA. In addition, there was no increase in the number of adverse events attributed to treatment in the DHA Group compared with the Placebo Group.

One possible reason for the lack of cognitive effects seen in this study is that the domains tested, namely cognitive processing speed, inhibition, and flexibility, may not be markedly affected by DHA. Recently, other trials have also failed to find an effect of DHA supplementation on measures of processing speed and executive function in children⁹¹, lactating women⁹⁹, and older adults^{102, 237}. In two observational studies, de Groot and colleagues found either no association or instances of negative associations between measures of processing speed, executive function, and DHA status in pregnant and non-pregnant women⁹⁷⁻⁹⁸.

In a 4-month trial of older women, those who received 800 mg DHA (n=14), 12 mg lutein (n=11), or 800 mg DHA and 12 mg lutein (n=14) performed significantly better on verbal fluency task after four months of supplementation compared with those receiving placebo capsules (n=10)²³⁷. The 800 mg DHA plus 12 mg lutein group also showed significant improvements in measures of learning and memory. The intervention showed no effect on performance on the Stroop test performance, which assesses aspects of cognitive processing speed and executive function. In a cross-sectional study performed at baseline in the present study, verbal ability was significantly correlated with

RBC DHA content after accounting for plasma Phe concentrations (Yi et al 2010, unpublished manuscript). These findings suggest that measures of verbal fluency, memory, and learning may be affected by DHA to a greater extent than cognitive processing speed, inhibition, and flexibility.

Alternatively, known and unknown methodological issues in this study could be contributing to the lack of effect. In the trial of lactating women, for example, the sample size was only 27 and the dosage was 200 mg DHA during the first four months after delivery. Small improved performance was seen on the Color Naming (cognitive processing speed) and Interference (cognitive inhibition) conditions of the Color Word Interference Test in the supplemented group; however, the differences were not statistically significant⁹⁹. A larger sample size and higher dose of supplemental DHA may have improved the power to detect an effect of DHA on measures of cognitive processing speed and inhibition.

The dosage of DHA used in this and other recent studies assessing cognitive processing speed and/or executive function range between approximately 200 mg and 1000 mg per day. While this dosage may produce changes in other domains, it may be inadequate for affecting measures of cognitive processing speed and executive function.

Correspondingly, there may be a threshold for neural cell membrane DHA composition after which changes may be seen. Harris and Von Schacky proposed that RBC EPA + DHA content at or above 8% of total lipids were most protective and content at or below 4% were least protective as a risk factor for coronary heart disease mortality²³⁸. Building upon this proposal, McNamara suggested 1.6% EPA and 7% DHA RBC total lipid content as a protective factor against affective disorders based primarily

on observational studies as well as clinical trials²³⁹. In the present study, participants at baseline presented with means of 0.24(0.08)% EPA and 2.42(0.80)% DHA of RBC total lipids (n=32). The mean follow up values in the per protocol DHA Group were of 0.34(0.07)% EPA and 5.97(1.24) DHA of RBC total lipids (n=8). Perhaps, the threshold of effect was not achieved in this study.

The small sample size in the present study may have limited the power to assess whether DHA supplementation may have an effect on cognitive processing speed, inhibition, and flexibility. A post hoc sample size calculation utilizing the observations from the current study projected that sample sizes of 853, 426, and 95 in each group would be needed to observe a statistically significant effect of DHA supplementation on cognitive processing speed, inhibition, and flexibility, respectively (see Table 57). Recruitment was conducted through multiple avenues, and participants from other states were included. Because the incidence of PKU is relatively uncommon³⁴, and participant retention was suboptimal, obtaining an adequate sample size was a challenge. The sample size for this study was comparable to other single-center studies of PKU. Regardless, extra care must be taken in the interpretation of the results.

Finally, it should be taken into account that this study was conducted with females with PKU between the ages of 12-47 years. These results may not extend to individuals outside of this population.

5. Conclusions

This is the first report of a trial conducted with adolescents and adults with PKU assessing the impact of supplemental DHA on measures of cognitive processing speed and executive function, two domains commonly affected in people with PKU even with

early and lifelong treatment. This study suggests a larger sample size is required to investigate potential associations between biomarkers of DHA status and the domains of cognitive processing speed, inhibition, and flexibility. Due to the small observed effect size and the limited population of people with PKU, further investigation into the effect of DHA on these particular domains would be best suited in a larger population, such as adults without a rare disorder.

Further research is needed to clarify which domains are affected by changes in DHA status, and the length and strength of exposure to DHA that is required to see these changes. Future investigations assessing the cognitive effect of DHA should measure cognitive domains more likely to be affected such as verbal ability, memory, and learning.

While the cognitive implications of low blood DHA in adolescents and adults with PKU are still unknown, this study did not find harm with taking 10 mg/kg/day DHA for 4.5 months compared with placebo and confirms previous reports that supplementation with pre-formed DHA is effective at increasing biomarkers of DHA.

Clinicians should refer to guidelines suggested by national organizations and expert panels if interested in suggesting supplementation to patients. The American Heart Association and the International Society for the Study of Fatty Acids and Lipids²⁴⁰⁻²⁴¹, for example, recommend consumption of two servings of fish per week or 500 mg EPA + DHA per day for the primary prevention of cardiovascular disease. Of relevance to females of reproductive age, expert panels recommend a minimum maternal intake of 200-300 mg pre-formed DHA per day during pregnancy and lactation^{82, 84}.

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Tables

Table 37. Baseline demographic characteristics of females with PKU randomized to receive DHA or Placebo supplement

		DHA Group	Placebo Group
		n=17	n=16
Age, mean (SD), y		24.4 (10.6)	25.6 (10.7)
Poverty, No. (%) ^a	At or below threshold	4 (27)	2(14)
Maternal education, No. (%)	HS degree or less	6 (35)	8 (50)
Race/ethnicity, No. (%) ^b	white/Caucasian	15 (88)	15 (94)
Insurance, No. (%)	Yes	17 (100)	14 (88)
Residence, No. (%) ^c	South	14 (82)	13 (81)

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; HS, high school.

^a Based on annual poverty thresholds calculated by the US Census Bureau (DHA Group, n=15; Placebo Group, n=14).

^b Participants self-identified race/ethnicity as white/Caucasian, black/African American, or Native American.

^c US Census regions; other participants from Northeast, West, or Midwest.

Table 38. Baseline health characteristics and cognitive performance of all of females with PKU randomized to receive DHA or Placebo supplement

Baseline characteristics	DHA Group	Placebo Group
	n=17	n=16
Phe prescription, mean (SD), mg/d	411 (133)	343 (105)
% Intake of Phe prescription, mean (SD) ^b	141.0 (84.8)	125.8 (64.5)
Medical food prescription, mean (SD), g pro eq/d ^a	54.4 (10.4)	56.8 (12.4)
% Intake of medical food prescription, mean (SD) ^a	89.8 (23.9)	90.5 (15.4)
Dietary energy, mean (SD), kcal/d ^b	1644 (444)	1616 (441)
Dietary protein, mean (SD), % energy ^b	15.1 (3.9)	15.0 (3.6)
Dietary carbohydrate, mean (SD), % energy ^b	59.2 (5.9)	60.8 (7.3)
Dietary fat, mean (SD), % energy ^b	28.4 (6.9)	26.7 (5.4)
Dietary LA, mean (SD), % energy ^b	7.5 (2.5)	7.7 (3.2)
Dietary ALA, mean (SD), % energy ^b	0.98 (0.44)	0.94 (0.43)
Dietary DHA, mean (SD), g/d ^b	0.002 (0.003)	0.001 (0.004)
Dietary LA:ALA, mean (SD), ratio ^b	8.2 (2.0)	8.6 (2.2)
Dietary Tyr, mean (SD), mg/d ^b	4955 (1535)	5435 (1356)
Dietary folate, mean (SD), µg/d ^b	732 (217)	830 (341)
Dietary iron, mean (SD), mg/d ^b	24.4 (9.6)	27.7 (8.9)
Dietary zinc, mean (SD), mg/d ^b	19.6 (8.9)	23.3 (9.4)
Dietary vitamin B12, mean (SD), µg/d ^b	5.6 (2.3)	7.7 (3.6)
Dietary vitamin B6, mean (SD), mg/d ^b	3.0 (1.3)	3.2 (1.4)
BMI, mean (SD), kg/m ²	25.8 (6.6)	30.4 (9.4)

Table 38 continued

Baseline characteristics	DHA Group n=17	Placebo Group n=16
Plasma Phe, mean (SD), $\mu\text{mol/L}$	683 (523)	915 (446)
Plasma Tyr, mean (SD), $\mu\text{mol/L}$	45 (18)	53 (26)
Plasma DHA, mean (SD), % TLFA ^b	1.07 (0.32)	0.97 (0.39)
RBC DHA, mean (SD), % TLFA ^b	2.48 (0.81)	2.34 (0.81)
Smoker, No. (%) ^c	1(6)	1 (6)
Smoker in household, No. (%)	4(25)	4 (27)
Verbal ability, mean (SD), SS	99.7 (12.5)	102.2 (13.6)
Cognitive processing speed , mean (SD), factor score	99.8 (12.5)	100.2 (16.4)
Cognitive inhibition, mean (SD), SS	10.4 (3.8)	9.8 (3.6)
Cognitive switching, mean (SD), SS ^a	10.2 (3.9)	9.9 (3.8)

Abbreviations: PKU, phenylketonuria; Phe, phenylalanine; pro eq, protein equivalent; LA, linoleic acid; ALA, alpha-linolenic acid; DHA, docosahexaenoic acid; Tyr, tyrosine; TLFA, total lipid fatty acid; RBC, red blood cell; SS, standard score.

^a DHA Group: Medical food prescription, % Intake of Medical food prescription, n=16; Cognitive switching, n=15.

^b Placebo Group: diet intake, plasma & RBC TLFA, n=15.

^c Smoker defined as smoking cigarettes at least once per week.

Table 39. The effect of DHA supplementation on mean follow up cognitive test scores controlling for baseline score in females with PKU: intention-to-treat analysis

	DHA Group	Placebo Group	ANCOVA ^{a,b}		
	n=12	n=15	Difference	95% CI	<i>P</i> value
	Mean (SD) ^c	Mean (SD)			
Cognitive processing speed, factor score	98.8 (5.3)	101.0 (5.4)	-2.23	-6.5, 2.0	.29
Cognitive inhibition, SS	11.3 (1.5)	11.4 (1.5)	-0.05	-1.3, 1.2	.93
Cognitive flexibility, SS ^d	11.1 (1.4)	10.8 (1.4)	0.28	-0.9, 1.5	.63

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; ANCOVA, analysis of covariance; SS, standard score.

^a ANCOVA model: follow up score = $\beta_0 + \beta_1(\text{baseline score}) + \beta_2(\text{treatment group}) + \text{error}$.

^b Statistics are presented for $\beta_2(\text{treatment group})$.

^c Mean (SD) adjusted for baseline score.

^d DHA Group: cognitive flexibility, n=2 missing.

Table 40. The effect of DHA supplementation on mean follow up cognitive test scores controlling for baseline score in females with PKU: per protocol analysis

	DHA Group	Placebo Group	ANCOVA ^{a,b}		
	n=9	n=11	Difference	95% CI	<i>P</i> value
	Mean (SD) ^c	Mean (SD)			
Cognitive processing speed, factor score	101.7 (5.1)	101.6 (5.1)	0.14	-4.7, 5.0	.95
Cognitive inhibition, SS	11.7 (1.5)	11.4 (1.5)	0.25	-1.2, 1.7	.72
Cognitive flexibility, SS ^d	11.6 (1.3)	11.1 (1.3)	0.49	-0.9, 1.9	.45

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; ANCOVA, analysis of covariance; SS, standard score.

^a ANCOVA model: follow up score = $\beta_0 + \beta_1(\text{baseline score}) + \beta_2(\text{treatment group}) + \text{error}$.

^b Statistics are presented for $\beta_2(\text{treatment group})$.

^c Mean and standard deviation adjusted for baseline score.

^d DHA Group: cognitive flexibility, n=2 missing.

Table 41. Estimates of participant compliance to treatment allocation in females with PKU: intention-to-treat analysis

	DHA Group	Placebo Group	Fisher's Exact Test
	n=11	n=14	
	No. (%)	No. (%)	<i>P</i> value
Self-reported capsules taken ^a	8 (67)	10 (67)	1.0
Plasma DHA ^b	9 (82)	13 (100)	.20
RBC DHA ^c	10 (91)	13 (100)	.46

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; RBC, red blood cell.

^a Expected capsules taken, self-report: log book reports $\geq 80\%$ non-missed doses.

^b Expected change in plasma DHA: $\geq 1.2\%$ in DHA Group and $< 1.2\%$ in Placebo Group.

^c Placebo Group: Plasma DHA, RBC DHA, n=13.

^d Expected change in RBC DHA: $\geq 1.3\%$ in DHA Group and $< 1.3\%$ in Placebo Group.

Table 42. Estimates of participant compliance to treatment allocation in females with PKU: per protocol analysis

	DHA Group n=9	Placebo Group n=11	Fisher's Exact Test
	No. (%)	No. (%)	<i>P</i> value
Self-reported capsules taken ^a	8 (89)	10 (91)	1.0
Plasma DHA ^b	8 (89)	10 (100)	.47
RBC DHA ^c	9 (100)	10 (100)	-

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic acid; RBC, red blood cell.

^a Expected capsules taken, self-report: log book reports $\geq 80\%$ non-missed doses.

^b Expected change in plasma DHA: $\geq 1.2\%$ in DHA Group and $< 1.2\%$ in Placebo Group.

^c Placebo Group: Plasma DHA, RBC DHA, n=10.

^d Expected change in RBC DHA: $\geq 1.3\%$ in DHA Group and $< 1.3\%$ in Placebo Group.

Table 43. Summary of reported adverse events by intention-to-treat group in females with PKU

Adverse Event ^a	DHA Group	Placebo Group	Fisher's Exact Test
	n=17 No. (%)	n=15 ^b No. (%)	<i>P</i> value
1 or more adverse events	14 (82)	15 (100)	.23
Angioedema	0 (0)	1 (7)	.47
Diarrhea	3 (18)	3 (20)	1.0
Fishy eructation	1 (6)	0 (0)	1.0
Nausea	3 (18)	3 (20)	1.0
Vomiting	0 (0)	3 (20)	.09
Other ^c	13 (76)	14 (93)	.34

Abbreviation: PKU, phenylketonuria; DHA, docosahexaenoic acid.

^a Each participant is counted no more than once per adverse event.

^b Participant who never took the supplement is excluded.

^c Other includes all other reported events, including but not limited to: backache, common cold, dysuria, ear infection, headache, nasal congestion, oily skin.

Table 44. Adverse events judged possibly, probably, or definitely associated with supplement in females with PKU

Adverse Event ^{a,b}	DHA Group	Placebo Group	Fisher's Exact Test
	n=17 No. (%)	n=15 ^b No. (%)	<i>P</i> value
Angioedema	0 (0)	1 (7)	.47
Diarrhea	2 (12)	2 (13)	1.0
Fishy eructation	1 (6)	0 (0)	1.0
Nausea	1 (6)	3 (20)	.32
Vomiting	0 (0)	1 (7)	.47

Abbreviation: PKU, phenylketonuria; DHA, docosahexaenoic acid.

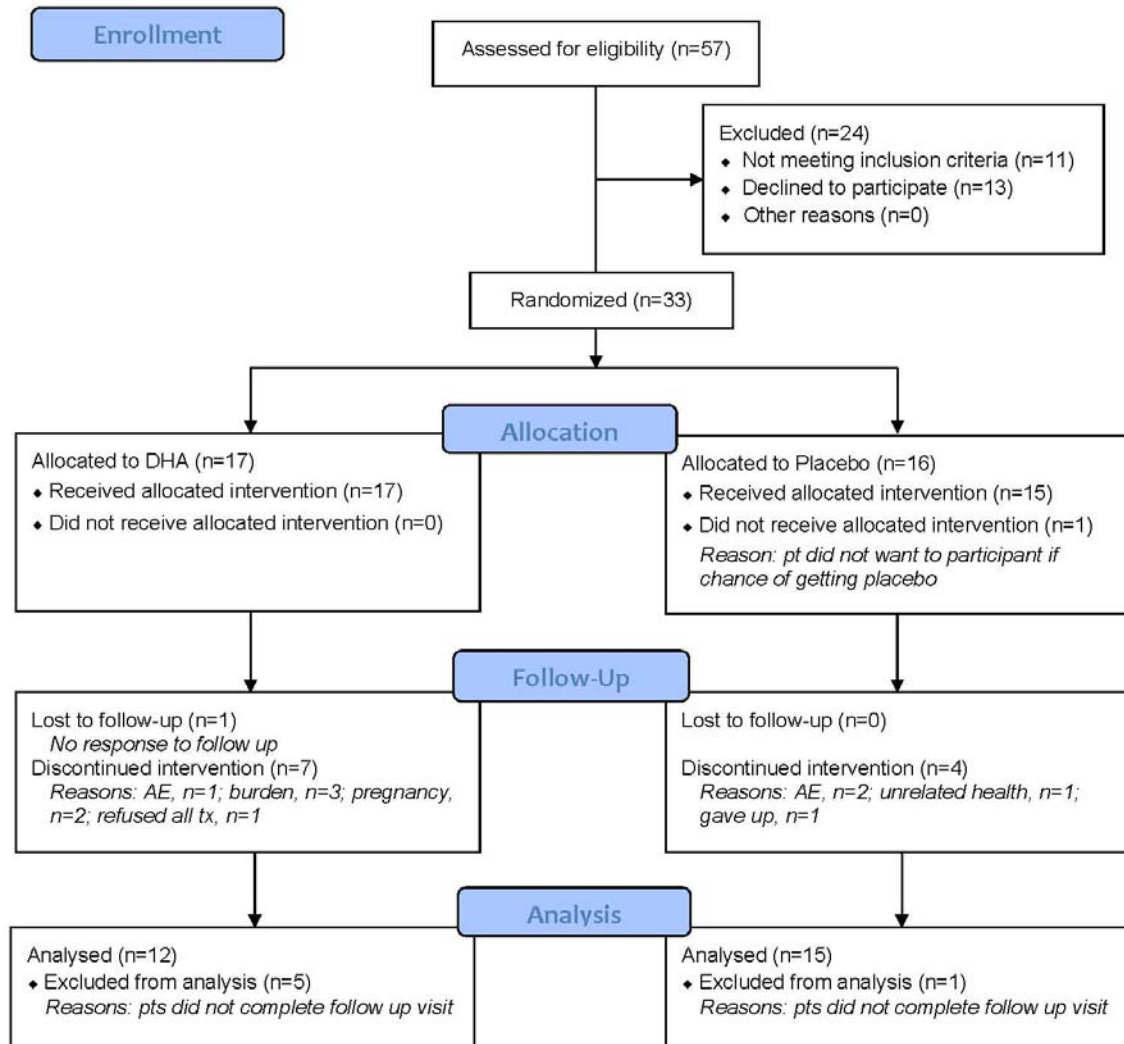
^a Each participant is counted no more than once per adverse event.

^b Association determined by investigators.

Captions to illustrations

Figure 6. Flow diagram of a randomized controlled trial of supplemental docosahexaenoic acid on cognitive outcomes in females of reproductive age with phenylketonuria based on the revised template of the CONSORT (Consolidated Standards of Reporting Trials) diagram

CONSORT 2010 Flow Diagram



From Schulz KF, Altman DG, Moher D, for the CONSORT Group. CONSORT 2010 Statement: updated guidelines for reporting parallel group randomised trials. *BMJ* 2010;340:c332.

For more information, visit www.consort-statement.org.

From reference ²⁴².

Chapter 8. Conclusions

These studies demonstrated that multiple diet-related factors are related to blood Phe control, and that blood Phe control may not be the sole diet-related predictor of cognitive performance.

Study one demonstrated improved plasma Phe and cognitive processing speed in females of reproductive age at the end of a metabolic camp. Second, improved performance appeared to exceed a practice effect in both measures when compared with the test-retest controls; however, a larger sample size is needed to reach statistical significance. Third, changes in Pair Cancellation scores corresponded with changes in plasma Phe after controlling for verbal ability.

The results of study 2 confirmed that individuals with PKU have lower proportions of DHA in plasma and RBC total lipids compared with controls without PKU. Second, dietary intake of pre-formed DHA was close to nil for individuals following a Phe-restricted diet. Third, dietary LA/ALA ratio was unrelated to RBC DHA level. Fourth, the cognitive effects of DHA may be domain-specific, with specific effects related to verbal ability but not executive function or processing speed.

Study 3 did not show a difference between the DHA and placebo groups in performance on tasks of cognitive processing speed, inhibition, or flexibility. Either the study was underpowered to detect an effect of DHA supplementation or these domains are not specifically affected by DHA. Statistically and clinically significant improved plasma and red blood cell concentrations of DHA were seen in those supplemented with DHA, which confirms previous investigations. Importantly, there was no difference in

reported adverse events between the two groups, which is also consistent with other studies.

Clinical implications

The findings of Study 1 confirm the positive relationship between improved plasma Phe and processing speed, however, these improvements are likely to be temporary, lasting as long as participants maintain adherence to their diet Phe and medical food prescriptions. Adherence to a restrictive diet is difficult to maintain, particularly in adolescence and adulthood. Although difficult, it is essential that adolescent and adult women with PKU maintain plasma Phe concentrations within treatment range to prevent the detrimental effects of maternal PKU on a developing fetus in the event of a planned or unplanned pregnancy.

Communicating to women the cognitive benefits of improved Phe control over a short time period, as observed during Metabolic Camp, may help improve perceived benefits of diet adherence in women with PKU and in turn improve motivation to adhere to the diet. Alternatively, more frequent interventions may be warranted in this population.

Study 2 suggests that improved DHA status in addition to adequate Phe control may benefit aspects of cognitive performance, specifically verbal ability. While the findings of this study are observational and deserve further investigation, the findings suggest diet adequacy and quality beyond Phe control may be beneficial.

Study 3 affirms that more research is needed before pre-formed DHA supplements should be recommended for the specific purpose of improving or preserving cognition. However, this does not preclude the recommendation to supplement with

preformed DHA for the purposes of improving biomarkers of DHA status and achieving dietary recommendations. The current DRI for alpha-linolenic acid allows up to 10% of the intake from n-3 LC-PUFAs³⁹ (e.g., 0.06%-0.12% total energy or 133-267 mg EPA + DHA in a 2000 kcalorie diet). Several national and international organizations²⁴⁰⁻²⁴¹ recommend consumption of two servings of fish per week or 500 mg EPA + DHA per day for the primary prevention of cardiovascular disease. In addition, some experts recommend a minimum maternal intake of 2-300 mg pre-formed DHA per day during pregnancy and lactation^{82, 84}. Alternatively, the American Dietetic Association suggests that vegetarians decrease the n-6:n-3 ratio by increasing intake of omega-3 rich oils to improve ALA to DHA conversion; with the caveat that pregnant and lactating vegetarian women may still benefit from pre-formed DHA²⁴³.

Suggestions for future research

Communication of the findings of Study 1 to patients, that blood Phe concentrations and processing speed can be improved in a short period, may help motivate adherence to diet therapy. Future research should investigate if sharing these and other related research results with campers as part of the camp intervention will improve long-term compliance.

If possible, future studies investigating the short-term changes in cognitive performance associated with a metabolic camp should include a control group. The test-retest reliability sample used in Study 1 was useful estimating the magnitude of improved performance on the processing speed tasks without an intervention. A more ideal comparison, though, would have been a test-retest comparison group with PKU with a similar elapsed time to the time elapsed in the camp study (i.e., 4 days instead of 1 day).

At the very least, the control group could have the plasma Phe concentrations and cognitive performance measured according to the same schedule. Incorporating a social component, even if not a camp, would be helpful in reducing the potentially confounding influence of social interactions and support on cognitive performance.

The finding that although performance on the Decision Speed and Pair Cancellation tasks both significantly improved at the end of camp, only Pair Cancellation changes were related to changes in plasma Phe concentration was interesting. Realizing the different properties of the two tasks helped to explain the differing results: that a speeded task dependent on attention and concentration would be responsive to changes in plasma Phe, but a speeded task dependent on semantic processing skills would not. This finding raises the question of whether it is processing speed that is affected by plasma Phe control or other qualities present in many speeded tasks (i.e., attention and concentration) are affected by plasma Phe control.

Study 2 had a number of strengths that should be retained or built upon in future studies. For this population, there was a relatively large sample size and the age groups were balanced between teens (12-17 years) and adults (18-47 years). Several cognitive domains affected in early treated PKU were assessed using standardized cognitive tests. And, nutrient intake was assessed alongside biomarkers and cognitive performance.

The limitations to Study 2 reveal suggestions for future research. As a small observational study, confounding factors, such as maternal education, are difficult to control for when evaluating the relationship between cognitive performance, DHA status, and Phe status. In addition, as a cross-sectional study, it is impossible to know the directionality of relationship; do people with better plasma Phe control and DHA status

because they have higher cognitive performance on a verbal ability task or do the improved Phe and DHA improve cognitive performance? A randomized-controlled trial would help to balance potential confounding factors between the participant groups and will allow investigators to investigate directionality of the relationship. Specifically, a randomized controlled trial should be conducted to confirm the relationship between DHA status and verbal ability observed in the cross-sectional study. The trial should utilize tasks designed to assess verbal ability, learning, and memory since these domains have shown the strongest link with DHA status in animal and human studies.

The major strength of Study 3 was that it was a randomized, placebo-controlled, double-blinded trial. This allowed us to investigate the effect of supplementation of DHA on cognitive performance while balancing potential confounding factors between the DHA and Placebo supplemented groups. Of the 33 participants, 13 withdrew from the study.

While a certain percentage of study participants is expected to withdraw from any study, and their right to do so should be respected, it is essential for investigators to ensure that study participation places minimal burden to the participant. Several participants who withdrew from the study cited the burden of taking capsules every day as their primary reason for withdrawing from the study. If the trial involves participants consuming medical food, investigators should consider adding DHA to the medical food instead of capsules to ease participant burden and potentially improve participant retention. Clearly what constitutes a burden is subjective; several participants did not think that taking the supplements was a burden when asked.

Recruitment of an adequate sample size was challenging, and participants from other states and clinics who enrolled in the study were critical in getting close to the goal sample size. Future studies should consider a multi-center approach to allow for larger sample sizes.

Another limitation of all of these studies is that they are not generalizable to males. While it is a high priority to ensure that females of reproductive age with PKU are following their diet prescriptions and practicing health behaviors to allow for optimal fetal outcomes in the event of a pregnancy, males treated early for PKU in this age group also show cognitive deficits. Ideally, a future trial should include both males and females in order to provide more generalizable information to the PKU community.

In these studies, a wide age range was included (i.e., 12 to 47 years). Narrowing the age range of participants included in the study and/or having adequate sample sizes to allow for stratification of analysis by age is also important since there may be specific differences in response to any intervention by age group. Adolescents, for example, may be accumulating DHA in the brain at a higher rate than adults. If DHA supplementation does affect certain cognitive domains, there may be differences in magnitude of response to supplementation by age group.

Finally, we plan to expand upon the work of the preliminary studies. Specifically, we plan to investigate with a larger sample size whether there is no difference in essential fatty acid status between consumers of medical foods with fat vs. without fat. In this analysis we will aim to confirm the finding that consumers of medical foods without fat do not compensate for the missing fat.

Chapter 9. Appendix: Background

Table 45. Summary of reports of blood DHA status in people with PKU

Author	Year	n	Location	Age (y)	Phe ($\mu\text{mol/L}$)	DHA: units, blood fraction	Result	Controls	<i>P</i>
LaVoie ²²⁷	2009	20	US (OR)	0.75-7		$\mu\text{mol/L}$ RBC TLFAs	58.0 \pm 3.7 ^a	68.6 \pm 4.2	.070
Fiori ²⁴⁴	2006	43	Milan, Italy	14 \pm 2	on diet	% Plasma TL EPA+DHA	0.6 \pm 0.3 ^b	1.6 \pm 0.5	<.01
		16		13 \pm 1.9	off diet	% Plasma TL EPA+DHA	0.8 \pm 0.2		
Moseley ⁶	2002	27	US (CA)	10-50	831.2 \pm 366.1	% Plasma TLFAs	1.14 \pm 0.38 ^b	1.33 \pm 0.48	<.001
						% RBC TLFAs	2.91 \pm 0.92	3.46 \pm 1.05	.013
Acosta ⁴⁸	2001	13	US locations	3.0 \pm 0.4	Phenex group	% Plasma TLFAs	1.65 \pm 0.11 ^a	2.10 \pm 0.17	ns
						% RBC TLFAs	0.77 \pm 0.06	0.96 \pm 0.10	ns
		6		5.0 \pm 1.0	Phenylfree group	% Plasma TLFAs	1.80 \pm 0.14	1.97 \pm 0.29	ns
						% RBC TLFAs	0.85 \pm 0.13	0.97 \pm 0.22	ns
		7		5.8 \pm 1.3	XPhe Max group	% Plasma TLFAs	1.62 \pm 0.14	2.36 \pm 0.28	ns
						% RBC TLFAs	0.72 \pm 0.07	1.23 \pm 0.24	<.05
van Gool ⁷	2000	9	Netherlands	0.5-25	200-500	% Plasma PLs	1.41 \pm 0.11 ^a	2.74 \pm 0.17	.0002
						% RBC PLs	1.26 \pm 0.01	2.53 \pm 0.13	.0001
Pöge ⁴⁷	1998	8	Germany	1-6	272 ($\frac{1}{2}$ -yr med)	% Plasma PLs	1.72 (0.92-2.42) ^c	2.15 (0.87-4.19)	ns
						% Plasma CE	0.25 (0.14-0.41)	0.54 (0.16-0.68)	<.05
						% RBC PC	1.02 (0.43-1.14)	1.25 (0.70-1.48)	<.05

Table 45 continued

Author	Year	n	Location	Age (y)	Phe ($\mu\text{mol/L}$)	DHA: units, blood fraction	Result	Controls	<i>P</i>
						% RBC PE	4.21 (1.76-5.31)	5.85 (4.80-6.68)	<.01
		9		11-16	714 ($\frac{1}{2}$ -yr med)	% Plasma PLs	2.13 (0.79-3.97)	2.38 (1.59-3.11)	ns
						% Plasma CE	0.34 (0.12-0.74)	0.51 (0.29-0.62)	ns
						% RBC PC	1.22 (0.41-2.24)	1.80 (1.16-2.02)	ns
						% RBC PE	5.35 (3.73-8.03)	6.28 (5.89-7.98)	ns
Cockburn ²¹⁸	1996	16	Scotland	10-16	on treatment	% RBC PLs	3.08±0.87 ^b	4.92±0.82	<.0001
						% RBC PE	4.46±1.59	6.03±1.47	.013
Agostoni ¹⁸	1995	10	Milan, Italy	5-10: BLFO	324±75 (1y ave)	% Plasma TLFAs	0.65±0.10 ^b	1.78±0.52	<.05
		11		5-10: BLBCO	284±139 (1y ave)	% Plasma TLFAs	0.66±0.12	1.78±0.52	<.05
Galli ²¹⁷	1991	15	Milan, Italy	3-12	380±76	% Plasma TLFAs	0.51±0.04 ^a	1.01±0.08	<.01
						% Plasma PLs	0.50±0.02	1.21±0.29	<.02
						% RBC TLFAs	0.99±0.14	1.77±0.49	ns

Table 45 continued

Abbreviations: Phe, phenylalanine; DHA, docosahexaenoic acid; OR, Oregon; RBC, red blood cell; TLFA, total lipid fatty acids; TL, total lipid; EPA, eicosapentaenoic acid; CA, California; XPhe Max, XPhe Maxamaid or XPhe Maxamum; PL, phospholipid; CE, cholesterol ester; PC, phosphatidylcholine; PE, phosphatidylethanolamine; BLFO, baseline fish oil group; BLBCO, baseline blackcurrant seed oil group.

^a Mean \pm SEM.

^b Mean \pm SD.

^c Median (min-max).

Table 46. Fat source(s) and select nutrients in medical foods containing fat available in June 2007

Medical Food ^a	Phenylfree 2	Periflex Advance	XPhe Maxamum Drink Box	Phenylfree 2 HP	Phenex-2	PhenylAde Amino Acid Bar	Phenylade	Add-Ins	Phlexy-10 Bar
Company	Mead Johnson	Nutricia	Nutricia	Mead Johnson	Abbott Nutrition	Applied Nutrition	Applied Nutrition	Nutricia	Nutricia
Canola oil		✓	✓						
Soybean oil	✓		✓	✓	✓				✓H
Coconut oil		✓FR			✓	✓ ^b	✓PH		
Safflower oil		✓HO			✓HO				
Sunflower oil			✓HO						
Palm oil								✓H	✓H
Peanut oil									✓
Cocoa butter						✓			
Flaxseed oil									
Fish oil									
Other ^c		✓	✓		✓	✓	✓		✓
Energy (kcal)	280	165	160	146	205	405	240	129	268

Table 46 continued

Medical Food ^a	Phenylfree 2	Periflex Advance	XPhe Maxamum Drink Box	Phenylfree 2 HP	Phenex-2	PhenylAde Amino Acid Bar	Phenylade	Add-Ins	Phlexy-10 Bar
Company	Mead Johnson	Nutricia	Nutricia	Mead Johnson	Abbott Nutrition	Applied Nutrition	Applied Nutrition	Nutricia	Nutricia
Protein eq (g)	15	15	15	15	15	15	15	15	15
Fat (g)	5.9	5.4	5	2.4	7	26-27	7.8	7.6	6.7
LA (g)	3.178	0.879	0.710	1.205	1.100	0.375	0.228	0.355	1.152
ALA (g)	0.420	0.240	0.210	0.161	0.113	0.105	0.036	0.035	0.018
EPA (g)									
DHA (g)									

Abbreviations: LA, linoleic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid; Protein eq, protein equivalent; H, hydrogenated; FR, fractionated; PH, partially hydrogenated; HO, high oleic.

^a Medical foods containing >1g fat/15 g protein eq for older children and adults with PKU in the US.

^b In 2 of 3 flavors.

^c Other: other sources of fatty acids including additives (e.g., mono and diglycerides and emulsifiers).

Table 47. Fat source(s) and select nutrients in medical foods containing fat in new products available as of January 2010

Medical Food ^a	PhenylAde Essential	PKUCoolers
Company	Applied Nutrition	Vitaflo USA
Canola oil	✓	
Soybean oil	✓	
Coconut oil	✓	
Safflower oil	✓	
Sunflower oil		
Palm oil		
Peanut oil		
Cocoa butter		
Flaxseed oil	✓	
Fish oil		✓
Other ^b		✓
Energy (kcal)	234	92
Protein eq (g)	15	15
Fat (g)	7.5	0.5
LA (g)	1.215	0.005
ALA (g)	0.315	0.003
EPA (g)		0.023
DHA (g)		0.100

Abbreviations: LA, linoleic acid; ALA, alpha-linolenic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid; Protein eq, protein equivalent.

^a Medical foods containing >1g fat/15 g protein eq for older children and adults with PKU in the US.

^b Other: other sources of fatty acids including additives (e.g., mono and diglycerides and emulsifiers).

Chapter 10. Appendix: Methods

PKU & DHA Study
GCRC Day-To-Day Protocol
Outpatient Procedure

GCRC Study #: 7014 / **Title** The impact of docosahexaenoic acid status and phenylalanine control on neuropsychological status in females with phenylketonuria

Principal Investigator Rani H. Singh, PhD, RD, LD; PIC #14097
Co-Investigator/Coordinator Sarah Yi, MS, RD, LD; phone: 404-778-1286
Co-Investigator/Physician Marian L. Evatt, MD, MSCR; pager: 404-778-3801 ID#15496
Co-Investigator/Psychologist Julie A. Kable, PhD

Participant Name _____ **Study Visit:** ___ **Baseline**
Medical Record # _____ (please check) ___ **Follow up**
Diagnosis Phenylketonuria
Admission Date _____
Appointment Time _____

Day: _____, **Date:** _____, ___:___ AM/PM – ___:___ AM/PM

- ___ 1. NPO after midnight except water.
- ___ 2. Notify **Sarah Yi at 404-778-1286** of patient's arrival.
- ___ 3. On-call: 1. _____ (**PIC #** _____); 2. _____ (**PIC #** _____).
- ___ 4. Informed consent will be signed upon admission unless signed previously.
- ___ 5. Admit to GCRC.
- ___ 6. Obtain Vital signs: blood pressure ___ / ___ mmHg, heart rate ___ bpm, temperature ___ . ___ °F, respiratory rate ___ breaths per min, pain ___ (0-10, low-high).
- ___ 7. Obtain Weight ___ . ___ (kg) Height ___ . ___ (cm) (**GCRC Nursing Staff**).
- ___ 8. Complete 1-page questionnaire before blood draw (**Coordinator/Nursing**).

- _____ 9. Blood Draw (draw in the following order):
 - 9a. Drip from syringe 5 spots of blood on filter paper and allow to dry (**Nursing/Phlebotomist**).
 - 9b. Draw 10 mL venous blood (fasting) into a red top tube (**Nursing/Phlebotomist**).
Protect specimen from light. Send to **Core Lab** for processing.
 - 9c. Draw 10 mL venous blood (fasting) into a sodium heparin green top tube (**Nursing/Phlebotomist**). Send to **Core Lab** for processing.
 - 9d. Draw 8 mL venous blood (fasting) into an EDTA lavender top tube (**Nursing/Phlebotomist**). Samples are to be kept at room temperature and given to **Coordinator**.
- _____ 10. Low phenylalanine snack to be provided following blood draw (**Bionutrition**).
- _____ 11. H & P to be done by _____ (**PIC # _____**). *Optional after Visit 1.*
_____ Brief neurological testing, if not conducted by physician (**Trained tester**).
- _____ 13. Neuropsychological testing to be done in a quiet room or cubicle with accordion partition after snack (**Psychologist/trained tester**).
- _____ 14. Collect and review for accuracy 3-day food records (**Dietitian/Bionutrition**).
- _____ 15. Complete additional questionnaires (**Coordinator**).
- _____ 16. Review study plan and study materials with participant (**Coordinator**).
- _____ 17. The patient may be discharged after data collection has been completed (**Nursing**).

Physician's Signature: _____ PIC #: _____ Date: _____

Variance and Actions:	Nurse Signature	Initials
_____	_____	_____
_____	_____	_____

Inpatient Procedure**PKU & DHA Study / GCRC Day-To-Day Protocol / Inpatient Procedure / Page 1 of 2**

GCRC Study #: 7014 / **Title:** The impact of docosahexaenoic acid status and phenylalanine control on neuropsychological status in females with phenylketonuria

Participant Name _____ **Admission Date** _____ **Study Visit:** ___1___2

Medical Record # _____ **Appointment Time** _____ (please check)

Diagnosis Phenylketonuria

Day 0: _____, **Date:** _____, ___:___ PM – ___:___ PM

- _____ 1. Notify **Sarah Yi at 404-778-1286** of patient's arrival (leave voicemail).
- _____ 2. Informed consent will be signed upon admission unless signed previously.
- _____ 3. Admit to GCRC.
- _____ 4. Obtain Vital signs: blood pressure ___ ___ / ___ ___ mmHg, heart rate ___ ___ bpm, temperature ___ ___ . ___ °F, respiratory rate _____ breaths per minute, pain ___ (0-10, low-high).
- _____ 5. Obtain Weight ___ ___ . ___ (kg) Height ___ ___ . ___ (cm) (**GCRC Nursing Staff**).
- _____ 6. H & P to be done by _____ (**PIC #** _____) (Day 0 or Day 1).

- _____ 7. Brief neurological testing, if not conducted by physician (**Trained tester**).
- _____ 8. Neuropsychological Testing to be done in a quiet room after snack (**Psychologist or trained tester**).
- _____ 9. Collect and review for accuracy 3-day food records (**Dietitian or GCRC Bionutrition**).
- _____ 10. Complete additional questionnaires (**Coordinator**).
- _____ 11. Review study materials with participant (**Coordinator**).
- _____ 12. Low-phenylalanine dinner to be provided at 6 PM. Water provided at meals and as needed.
- _____ 13. Low phenylalanine snacks to be provided at 3 PM and 9 PM.
- _____ 14. On-call: 1. Dr. Singh PIC #14097, 2. Dr. Evatt pager 404-778-3801 ID#15496.
- _____ 15. NPO after midnight except water.

Physician's Signature: _____ PIC #: _____ Date: _____

Variance and Actions:	Nurse Signature	Initials
_____	_____	_____
_____	_____	_____
_____	_____	_____

PKU & DHA Study / GCRC Day-To-Day Protocol / Inpatient Procedure: Inpatient Procedure / Page 2 of 2

GCRC Study #: 7014 / **Title:** The impact of docosahexaenoic acid status and phenylalanine control on neuropsychological status in females with phenylketonuria

Participant Name _____ **Admission Date** _____ **Study Visit:** ___1 ___2

Medical Record # _____ **Appointment Time** _____ (please check)

Diagnosis Phenylketonuria_____

Day 1: _____, **Date:** _____, ___:___ AM – ___:___ AM

- _____ 1. NPO after midnight except water.
- _____ 2. Obtain Vital signs: blood pressure _____ / _____ mmHg, heart rate _____ bpm, temperature _____ . ___ °F, respiratory rate _____ breaths per minute, pain _____ (0-10, low-high).
- _____ 3. Obtain Weight _____ . _____ (kg) Height _____ . _____ (cm) (**GCRC Nursing Staff**).
- _____ 4. Complete 1-page questionnaire before blood draw (**Coordinator/GCRC Nursing Staff**).
- _____ 5. Blood Draw (draw in the following order) (**GCRC Nursing Staff/Phlebotomist**):
 - 5a. Drip from syringe 5 spots of blood on filter paper and allow to dry.
 - 5b. Draw 10 mL venous blood (fasting) into a red top tube. Wrap in foil to protect from light.

5c. Draw 10 mL venous blood (fasting) into a sodium heparin green top tube.

5d. Draw 10 mL venous blood (fasting) into an EDTA lavender top tube.

- _____ 6. Send all blood draw tubes to **GCRC Core Lab** for processing.
- _____ 7. Low phenylalanine breakfast to be provided following blood draw (**GCRC Bionutrition Staff**).
- _____ 8. Complete any remaining tasks from Day 0.
- _____ 9. The patient may be discharged after data collection has been completed.

Physician's Signature: _____ PIC #: _____ Date: _____

Variance and Actions:	Nurse Signature	Initials
_____	_____	_____
_____	_____	_____
_____	_____	_____

PKU & DHA Study, GCRC #7014**GCRC Core Lab****Blood processing instructions**

Study title: The impact of docosahexaenoic acid status and phenylalanine control on neuropsychological status in females with phenylketonuria

Principal Investigator: Rani H. Singh, PhD, RD, LD; PIC #14097

Investigator/Coordinator: Sarah Yi, MS, RD, LD; phone: 404-778-1286

Bar code label #1

Bar code label #2

Red top tube (10 mL)

- Protect specimen from light by wrapping in foil.
- Allow blood to clot for 30 minutes from time of collection, upright at room temperature.
- Spin sample to separate serum (3000 rpm x 10 minutes at 4°C).
- Aliquot serum into 4 cryovials each containing 1-2 mL of serum.
- Store cryovials frozen at -80°C in the GCRC Core Lab.

Green top tube (sodium heparin) (10 mL)

- Spin sample to separate plasma (3000 rpm x 10 minutes at 4°C).
- Aliquot 0.5-1 mL plasma into a vial for lipid profile.

Blood processing instructions, continued

- Store vial frozen in specimen box at GCRC Core Lab for Cardiovascular Specialties Lab (Dr. Anh Le).
- ☐ Aliquot 1 mL plasma into a vial for amino acid profile.
 - Store vial in the refrigerator at GCRC Core Lab.
 - Study coordinator will pick up on the same day.
- ☐ Aliquot remaining plasma into 2 cryovials each containing 1-2 mL plasma
 - Store vials frozen in specimen box at -80°C in the GCRC Core Lab.

Lavender top tube (EDTA) (10 mL)

- ☐ Pour 2-3 mL whole blood into storage tube with strong cap.
 - Store tube at room temperature.
 - Study coordinator will pick up on the same day.
- ☐ Spin the remaining sample to separate plasma (3000 rpm x 10 minutes at 4°C).
 - Aliquot plasma into 2 cryovial(s) each containing 1-2 mL plasma.
 - Cryovial(s) should be stored frozen at -80°C in the GCRC Core Lab.

PKU & DHA Study

Off Site Procedure

Vital Signs

Participant Name _____

Collection Date _____

Collection Location _____

Please initial completed tasks:

____ 1. Obtain vital signs Time: ____:____ AM/PM

1a. Blood pressure ____ / ____ mm Hg

1b. Heart rate ____ beats per minute

1c. Temperature ____ . ____ °F

1d. Respiratory rate ____ breaths per minute

1e. Pain ____ (0-10, low-high)

____ 2. Obtain height and weight: Time: ____:____ AM/PM

2a. Weight ____ . ____ (kg) *(if different from above)*

2b. Height ____ . ____ (cm)

Notes:

Signature

Initials

_____	_____	_____
_____	_____	_____
_____	_____	_____

PKU & DHA Study**Off Site Procedure****Blood Draw**

Participant Name _____

Collection Date _____

Collection Location _____

Please initial completed tasks:

_____ 1. NPO after midnight except water.

_____ 2. Complete 1-page questionnaire before blood draw.

_____ 3. Blood Draw (draw in the following order): Time: ____:____ **AM/PM**

3a. Drip from syringe 5 spots of blood on filter paper and
allow to dry.

3b. Draw 10 mL venous blood (fasting) into SST tube.

Protect specimen from light by wrapping in foil.

3c. Draw 2x4 or 1x10 mL whole venous blood (fasting)
into a sodium heparin (green top) tube. Samples are to
be kept at room temperature.

3d. Draw 2x4 mL venous blood (fasting) into an EDTA
(lavender top) tube. Samples are to be kept at room
temperature.

PKU & DHA Study**Off Site Procedure****Blood Processing & Shipment**

Participant Name _____

Collection Date _____

Collection Location _____

SST tube (tiger top) (10 mL)

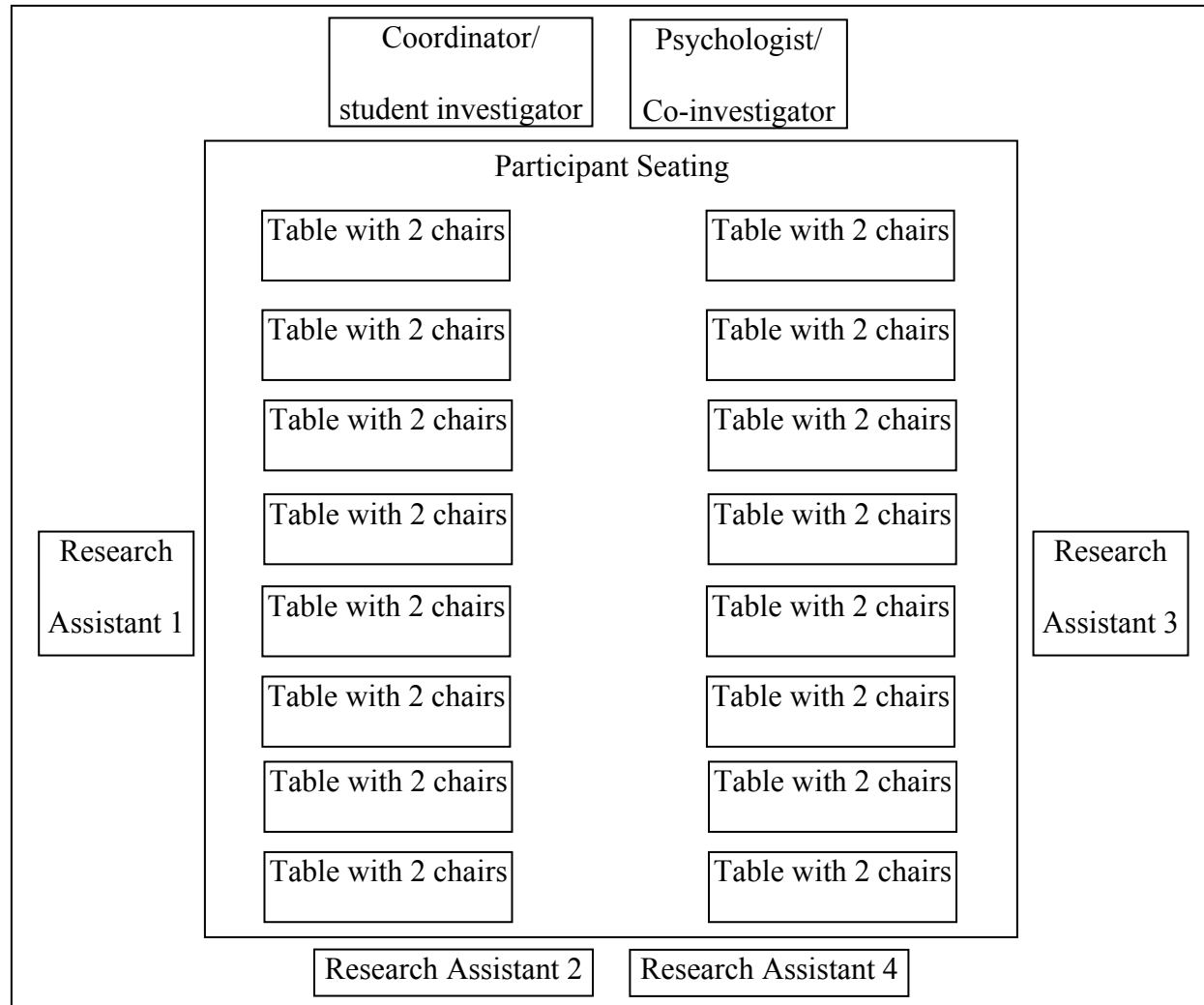
- Protect specimen from light by wrapping in **foil**.
- Allow blood to **clot** for 30 minutes from time of collection, upright at room temperature.
- Spin** sample to separate serum (3000 rpm x 15 minutes at 4°C).
- Refrigerate** sample until ready to package
- Pack tube(s) into FedEx box with ice packs.
- Send overnight chilled (not frozen) with ice packs to Emory University
 - Must be delivered within 24 hours of collection

Sodium heparin tube (green top) (10 mL)

- Send overnight at **room temperature** to Emory University
 - Must be delivered within 24 hours of collection

EDTA tube (lavender top) (2 x 4 mL)

- Send tube 1 overnight at **room temperature** to Kennedy Krieger Institute
- Send tube 2 overnight at **room temperature** to Emory University
 - Must be delivered within 24 hours of collection

Group Testing (W-J III): Room Map

Participants were seated by last name in alphabetical order (A → Z) starting in the upper left corner

Scoring Datasheet for W-J III Tests

PKU & DHA Study

Participant ID: _____

Test Date: _____

Decision Speed – COG 16

Total Correct _____

Time _____

Standard Score _____

<u>Definitions:</u>	
Correct:	correct answer
Commission Error:	wrong answer
Omission Error:	missing answer

Pair Cancellation – COG 20

Total Correct _____

Total Commission Errors _____

Time _____

Standard Score _____

Reading Fluency – ACH 2 – Version A / B

Total Correct _____

Total Errors _____

Time _____

Standard Score _____

Math Fluency – ACH 6 – Version A / B

Total Correct _____

Total Errors _____

Time _____

Standard Score _____

Website for Study 3



Singh Research Group Emory PKU & DHA Study



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[Home](#)

[Contact Information](#)

[Directions to Emory](#)

[Study Forms](#)

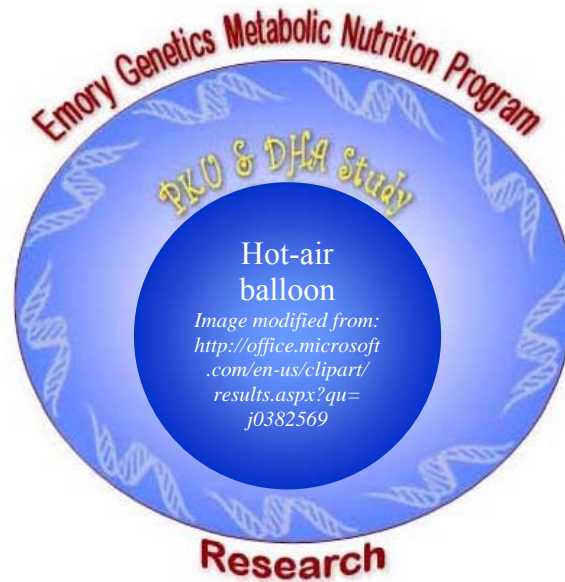
[Filter Paper Test](#)

[Filter Paper Test Example](#)

[Study Staff](#)

[Study Funding](#)

[Emory Links](#)



If you may be interested in participating,
please read below for more information:

[Invitation Letter](#)

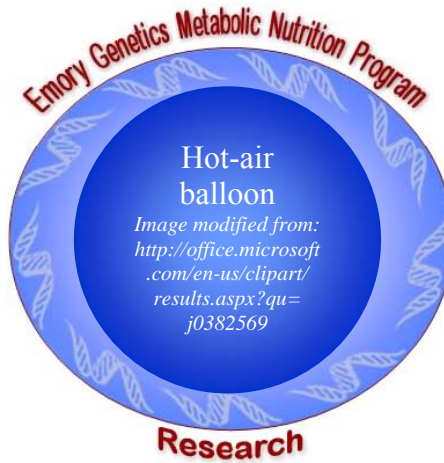
[Study Flyer](#)

Contact information:

- Telephone: 404-778-1286
- e-mail: sarah.yi@emory.edu
- Toll-free: dial 1-800-366-1502, press 0, ask for extension 8-1286

Last updated March 18, 2009

Logbook for Study 3



PKU & DHA Study

Log Book

for

— — —

from

Month ___ to Month ___

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



PKU & DHA Study

Participant ID ___ ___ ___

Participant Initials ___ ___ ___

Prescribed dose ___ capsules per day

Next visit date _____

This log book should be used between the dates of:

_____ and _____

(Study Month ___ and Study Month ___)

Date log book sent/given _____

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



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Department of Human Genetics
Division of Medical Genetics
www.genetics.emory.edu

Dear **PKU & DHA Study** Participant,

Thank you for choosing to take part in the PKU & DHA study! We are eager to start the study with you!

Study Purpose

For this study, we are asking you to take either a DHA or placebo supplement for 4.5 months to learn whether the DHA supplement will have a beneficial impact on your blood levels of DHA and your brain function.

Study Visit Schedule

Because we want this study to be a convenient part of your life, we will have only two study visits. These visits be at the beginning (0 months) and the end (4.5 months) of the study. The visits will take place either at the Emory University Hospital's General Clinical Research Center, the Emory Genetics Clinic, or at a clinic closer to your home. We will be contacting you to schedule these visits, but you can also feel free to contact Sarah, the main study contact, to schedule your study visits at the phone number or e-mail below.

Monthly Communication

Every month we will be checking in with you. We will ask you to:

1. **Update us** on any changes in medications and if you have gotten sick.
2. **Send us** a filter paper and 3-day diet record.

DHA & PKU Study Log Book

This Log Book has your "Study Supplement Instructions" and other important documents for you to use during this study. The pages you need to complete are on yellow paper.

Mailing the Supplements

If we need to give you more supplements during the study we will send you the supply of study supplements. We will mail these to you via Federal Express to your home address. Please contact Sarah with any specific delivery needs. Alternatively, you can pick up your supplements at the Emory Genetics Clinic. Please save all unused supplements and any empty bottles to return at the end of the study.

DHA & PKU Study Container

We are sending you a DHA & PKU Study Container to store your supplements, study Log Book, and other items. Please keep all of your study materials in this container!

We look forward to working with you on this exciting project. Please call or e-mail us with any questions or concerns you may have.

Sincerely,

Rani H. Singh, PhD, RD, LD
Principal Investigator

and

Sarah Yi, MS, RD, LD
Doctoral Student Investigator

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



Table of Contents

<u>Section Title</u>	<u>Page</u>
Contact Information	9
Study Details	10
Supplement Instructions	13
Supplement Calendar	14
Medication Log	18
Illness Log	21
Filter Paper Test Procedure	24
Three-Day Food Record Instructions	27
Directions	34
Notes	37



Contact Information

To enroll in the study, schedule a study visit, and ask other study-related questions, please contact:

Sarah Yi, MS, RD
 Study Coordinator/Co-Investigator
 404-778-1286
 sarah.yi@emory.edu

Mary Jane Kennedy, RN
 Backup Study Coordinator
 404-778-8522
 mjkenney@genetics.emory.edu

For more information concerning the research and research-related risks or injuries, you may call:

Rani H. Singh, PhD, RD, LD
 Principal Investigator
 404-778-8566
 rsingh@genetics.emory.edu

To call any of the above phone numbers toll-free, dial 1-800-366-1502, press 0, and ask to be connected to one of the extensions below:

- Sarah Yi ext. 81286
- Mary Jane Kennedy ext. 88522
- Dr. Rani H. Singh ext. 88566

Other Contact Information:

Fax 404-778-8562

Address Emory Genetics Metabolic Nutrition Program
 2165 North Decatur Road
 Decatur, GA 30033-5307

Study Website http://www.genetics.emory.edu/NUTRITION/pku_dha_study

If you have any questions regarding your rights as a study participant, you may call:

Colleen K. Dilorio, PhD
 Interim Chair
 Emory University Institutional Review Board
 404-712-0720

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



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Study Details

STUDY OVERVIEW

The purpose of the PKU & DHA Study is to learn whether DHA supplementation improves brain function in people with PKU. You have been randomly assigned to take DHA or placebo (mixture of corn and soy oils) capsules for 4.5 months. Listed below are important details of the study:

STUDY VISITS

Schedule of study visits

The PKU & DHA Study has 2 visits:

1. beginning of the study (baseline visit)
2. 4.5 month visit (end of the study)

Your next visit is visit _____. If you have not scheduled this visit or do not know when you are scheduled, please contact us at 404-778-1286.

How to prepare for study visits

1. Complete a 3-day diet record before your study visit
2. Bring this log book and all supplement bottles
3. Fast starting at midnight the morning of the study visit

Study visit location

The study visits will usually be conducted at the General Clinical Research Center (GCRC) at Emory University. You have the option of spending the night at the GCRC or arriving at the GCRC in the morning for the study visit.

What to expect at study visits

- Fasting blood draw
- Neuropsych testing
- 3-day diet record collection and review
- Height and weight
- Vital signs
- History & physical exam

MONTHLY MAILINGS

Every month we will ask you to mail the below items.

Month ____	Month ____	Month ____	Month ____
Mail by _____	Mail by _____	Mail by _____	Mail by _____
<input type="checkbox"/> Filter paper card	<input type="checkbox"/> Filter paper card	<input type="checkbox"/> Filter paper card	<input type="checkbox"/> Filter paper card
<input type="checkbox"/> 3-day diet record	<input type="checkbox"/> 3-day diet record	<input type="checkbox"/> 3-day diet record	<input type="checkbox"/> 3-day diet record
<input type="checkbox"/> Test requisition form	<input type="checkbox"/> Test requisition form	<input type="checkbox"/> Test requisition form	<input type="checkbox"/> Test requisition form

PKU & DHA Study

MONTHLY TELEPHONE COMMUNICATION

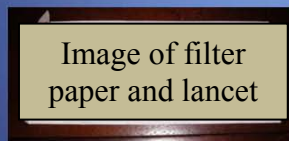
Every month we will contact you by telephone to check on how you are doing. During these phone calls we will go over the **PKU & DHA Study Log Book** and ask if you have any questions.

STUDY CONTAINER CONTENTS



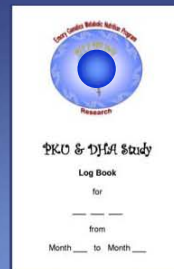
Supplements

- 5-month supply



Filter Paper Cards

- Filter paper blood test procedure
- Filter paper cards
- Test requisition forms
- Self-addressed envelopes



Log Book

- Contact information
- Supplement instructions
- Medication log
- Illness log
- Supplement calendar



Diet Records

- 3-day diet records
- Ruler
- Measuring cups and spoons

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



Study Supplement Instructions

Initials: ___ ___ ___

Dose

Take ___ capsules **every day**.

- Start date: _____
- Time you plan to take supplement daily: _____ AM / PM

Directions

- Take your study supplement with a meal or snack.
- Drink a glass of water or other beverage with your study supplement.
- Take your study supplement at the same time every day.
- Please do not share your study supplement with anyone.
- If you forget to take your dose, take it as soon as you remember. If it is almost time for the next dose, skip the missed dose and take your next dose at the normal time. Take only one dose per day.
- Record any missed doses on the Supplement Calendar (pages 8-11)
 - If you miss a daily dose, write zero (0) on the day you missed
 - If you take only part of your daily dose, write the number of capsules you actually took on that day
- Store the study supplements in the plastic study container. Avoid exposure to heat, direct sunlight, and moisture.
- If you have any questions, please call or e-mail Sarah or Dr. Singh:

Sarah	Dr. Singh
Phone: 404-778-1286	Phone: 404-778-8566
e-mail: sarah.yi@emory.edu	e-mail: rsingh@genetics.emory.edu
- Please **save** all unused capsules in the bottles. We will send you the shipping materials to send the bottles to:

Emory Genetics Metabolic Nutrition Program, Attn: Sarah Yi
2165 North Decatur Road; Decatur, GA 30033-5307

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



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Supplement Calendar

Directions

- If you miss a daily dose, write zero (0) on the day you missed
- If you take only part of your daily dose, write the number of capsules you actually took on that day
- If you take your entire daily dose, leave the day blank

Month _____ Year _____

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday

PKU & DHA Study

-404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



Emory PKU & DJIA Study Illness Log

Your Initials:
First Middle Last

Illness	Start Date <small>Month/Day/Year</small>	Stop Date <small>Month/Day/Year</small>	Did you list medication on Medication Log?	Life- threatening?*	Comments
Headache	Friday, 12/28/2007	Friday, 12/28/2007	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Stayed up late - didn't get enough sleep last night
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	

*Examples of Life-threatening illness: serious illness, requiring admission to hospital overnight, or disabling permanently
 In case of serious or life-threatening illness, seek emergency treatment. Afterwards, please inform Sarah (404-778-1286) or Dr. Singh (404-778-8566) 21



Filter Paper Blood Test Procedure

Important: Blood tests are analyzed every Thursday and results are reported on Fridays. Please mail the filter paper by Monday so that it arrives by Wednesday. All tests that arrive after Wednesday afternoon will not be reported until the following Friday.

Blood spots should be taken at least 1.5-2 hours after a meal

1. Fill out filter paper card with patient's name, date of birth, collection date.
2. Fill out parts of pages 1 and 3 of the Emory Genetics Lab Test Requisition Form where highlighted.
3. Do not touch the filter paper before or after applying blood spot.
4. Wash hands with soap and warm water and dry thoroughly.
5. Clean fingertip to be pricked with 70% alcohol pad and air dry. **Tip:** You can rub the fingertip to increase the blood flow before pricking.
6. Prick side of fingertip near fingernail with a sterile lancet. Lancets can be found in any drug store. Discard the lancet after use.
7. Allow drop of blood to form. Wipe first drop of blood away with gauze or cotton ball. Allow second drop of blood to form.
8. Apply large drop of blood to filter paper. One drop will fill a circle from front to back. Do not layer additional drops of blood, and apply blood to only one side of the filter paper.
9. Fill **TWO** circles on card.
10. Re-stick finger if blood does not flow freely. Follow steps 5 to 8.
11. Allow to dry for four hours on a flat, clean, nonabsorbent surface that is away from heat and sunlight.
12. Please send the filter paper, a copy of insurance card, and test requisition form completed in the provided envelope to: Emory Genetics Metabolic Nutrition Program; Attn: Sarah Yi; 2165 North Decatur Road; Decatur, GA 30033-5307
 - The cost of this test is \$50.00 which is billed to your insurance.
 - If your insurance does not cover this test or if you do not have insurance, please contact Sarah Yi at 404-778-1286. To make a toll-free call, dial 1-800-366-1502, press 0, and ask to be connected to extension 81286.

PKU & DHA Study



Filter Paper Blood Test Procedure

Filter Paper Card

Date Collected
 0 2 0 3 0 8

Baby's Name
 D o e , J a n e

Date of Birth
 0 1 0 1 9 2

Sex
 2. Female

Substitute for actual filter paper example image

Test Requisition Form: Page 1

EMORY GENETICS LABORATORY TEST REQUISITION FORM - Page 1 of 3
 2165 N. Decatur Rd., Atlanta, GA. 30033 • (404)778-8500 or 1-800-366-1502 • FAX (404)778-8559 • www.geneticlab.emory.edu

PATIENT INFORMATION Last Name: <u>Doe</u> First: <u>Jane</u> MI: <u>A</u> Parent Name (if pt is a minor)/Spouse: _____ DOB: <u>01/01/1992</u> Gender: Male <input type="checkbox"/> Female <input checked="" type="checkbox"/> Unknown <input type="checkbox"/> Address: <u>1 DNA Road</u> City: <u>Decatur</u> State: <u>GA</u> Zip: <u>30033</u> Preferred Phone# (<u>404</u>) <u>555</u> - <u>0100</u> Is this # (Home <input checked="" type="checkbox"/> Work <input type="checkbox"/> Cell <input type="checkbox"/> Other: _____ Other # where pt. can be reached (_____) _____ SS# _____ Pt. ID/Med Rec# _____ Ethnicity of Pt. (circle all that apply): African-American <input type="checkbox"/> Asian <input type="checkbox"/> Caucasian/NY <input type="checkbox"/> European <input type="checkbox"/> Eastern Indian <input type="checkbox"/> Hispanic <input type="checkbox"/> Jewish-Ashkenazi <input type="checkbox"/> Jewish-Sephardic <input type="checkbox"/> Mediterranean <input type="checkbox"/> Native American <input type="checkbox"/> Native Hawaiian/Other Pacific Islander <input type="checkbox"/> Other: _____	SPECIMEN INFORMATION Date Collected: <u>02.03.08</u> Time: <u>8:30 AM</u> SAMPLE TYPE ___ Serum(S) ___ Urine(U) ___ Blood(B) ___ Plasma(P) ___ POC ___ Fibroblast Cult(FC) ___ Amniotic F(AF) ___ Blood Spot (BS) ___ CSF ___ Skin(SK) ___ DNA ___ Chorionic Villi(CV) ___ Muscle(M) ___ Buccal Cells(BC) Other: _____ FOR SPECIMEN REQUIREMENTS: WWW.GENETICSLAB.EMORY.EDU REASONS FOR REFERRAL: _____ PREVIOUS LAB RESULTS: _____ What time did you last eat or drink something (besides water)? _____ AM/PM
---	--

Test Requisition Form: Page 3

EMORY GENETICS LABORATORY - PAYMENT OPTIONS - Page 3 of 3
 2165 N. Decatur Rd., Atlanta, GA. 30033 • (404)778-8500 or 1-800-366-1502 • FAX (404)778-8559 • www.geneticlab.emory.edu

PATIENT NAME: Last Doe First Jane MI A

Submit this completed payment options form with the specimen. For insurance billing, submission of the Patient Insurance Benefit Verification/Authorization Form prior to sending the sample is encouraged. Billing policy information is available on our website at www.geneticlab.emory.edu. Please call the billing office at (404)778-8500 or (800)366-1502 with questions or to establish an institutional account.

- Self-Pay Cashier Check Visa MasterCard Amount: \$ _____
 Credit Card #: _____ Expiration Date: ____/____/____
 Cardholder Name: _____
 Cardholder Billing Address: _____ Zip: _____
 Cardholder Signature: _____ Date: _____
- Insurance (Includes Wellcare, Amerigroup and Peachstate for GA Residents)
 Front and back copy of insurance card and insurance authorization must be included.
 Policyholder Name: Janet Doe SS# _____ DOB 01/01/1957
 Relationship to the Patient: Self Spouse Dependent Other Parent
 Gender: Male Female
 Name of Primary Insurance: Great Health Insurance, Inc.
 Name of Secondary Insurance: _____
 Authorization Number: _____
- Georgia Medicaid (Include front and back copy of Medicaid card)
 EGL DOES NOT ACCEPT NON-GEORGIA MEDICAID
- Bill Referring Client/Institution:
 Contact Name: _____ Phone: _____
 Client ID Number: _____ Fax: _____
 Institution: _____
 Address: _____
 Authorized Signature: _____ Date: ____/____/____
- International Samples:
 Payment must be received with the sample. Payment by credit card can be indicated under option 1 above. Banker's checks or money orders must be made payable to Emory Genetics Laboratory. Please contact the EGL billing office for further arrangements at (404)778-8500 or (800)366-1502.

Authorization to contact health insurance carrier, and release confidential medical information:
 I understand Emory Genetics Laboratory will contact my insurance carrier regarding coverage of genetic testing. I authorize the disclosure of insurance benefit coverage and payment information to Emory Genetics Laboratory. I authorize my physician or other medical entity to release confidential medical information to Emory Genetics Laboratory concerning my medical history. I authorize Emory Genetics Laboratory to release confidential medical information to my health insurance carrier to facilitate reimbursement of my medical fees.

Authorization to assign benefits, and accept financial responsibility for my account:
 I assign and authorize insurance payments to Emory Genetics Laboratory. I understand my insurance carrier may not approve and reimburse my medical genetic services in full due to usual and customary rates, benefit exclusions, coverage limits, lack of authorization, or medical necessity. I understand I am responsible for fees not paid in full, co-payments, and policy deductibles except where my liability is limited by contract or State or Federal law. A duplicate or faxed copy of this authorization is considered the same as the original document.

Signature of Patient or Guardian: Janet Doe Date: 02.03.07
 Printed Name of Patient or Guardian: Janet Doe Date: 02.03.07

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



PKU & DHA Study

Three-Day Food Record Instructions

- We will ask you to keep a three-day food record:
 - ◊ Three days before each study visit, and
 - ◊ Three days before taking a blood sample for each filter paper card
- **Please record everything you actually eat and drink** for three days in a row
- Weigh and/or measure your food before you eat it
 - ◊ Subtract any amount that you did not eat and record your actual intake on the food record
- To estimate portion sizes, use the instructions in this packet, measuring spoons and cups, a ruler, and a scale
- Record what you eat and drink as soon as you can to reduce the chance of forgetting
- Write only one food or drink item per line
- Estimate the amount of phenylalanine (Phe) in milligrams or exchanges for the serving of food or drink that you actually ate (15 mg of Phe equals 1 Phe exchange)
- **Double-check your food record.** Did you remember to write down:
 - All meals, snacks, nibbling, and beverages including cocktails?
 - Recipes?
 - Ingredients used in mixed dishes, sandwiches, etc.?
 - Seasonings, spices, or condiments added to foods?
 - Whether weights are for cooked or raw portions?
 - How the food was prepared (uncooked vs. cooked)
 - ◊ Specify how the food was cooked: baked, boiled, broiled, fried, grilled, steamed, toasted
 - If the food is a specialty low protein item?
 - Whether there was ice in any beverages?

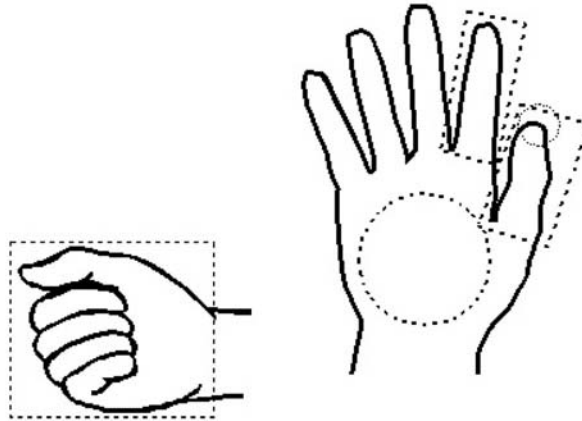
**If you have any questions about your food record,
please call Sarah at 404-778-1286 or Dr. Singh at 404-778-8566**

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



A Handy Way to Estimate Portion Size



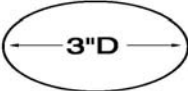
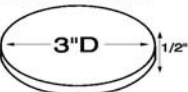
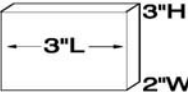
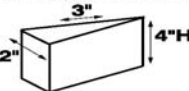
Part of Hand	Portion Size	Examples of Foods
• Whole Fist	1 cup	2 servings of vegetables, 1 piece of fruit
• Palm of Hand	1 oz	1 serving of snack chips or pretzels
• Finger Length	2.5 inches	Diameter of 1 fruit serving or 1 tennis ball
• Whole Thumb	2 Tbsp, 20 mL, 1 fl oz, 1 oz, 28 g	1 serving of low protein peanut butter or cheese
• Thumb Tip	1 tsp, 5 mL	1 serving of margarine

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Ways to Describe Portion Size

Description	Examples
Measuring cups (C), teaspoons (t or tsp), tablespoons (T or Tbsp)	Vegetables, canned or frozen fruits, pasta, casseroles, all liquids such as water, beverages, soups, sauces, salad dressings, sorbet, or smoothies.
Fluid Ounces (fl oz)	All liquids such as water, beverages, soups, sauces, salad dressings, sorbet, or smoothies.
Weight in grams (g) or ounces (oz)	Any solid food such as low protein cheese, frozen entrees, or dry medical food powder (formula).
Fraction of the whole	1/8 of 9" pie or 1/4 of 6" cantaloupe.
Diameter (D) 	Any sphere, such as a 3" diameter apple, roll, or tomato.
Diameter and Thickness 	Any cylinder or disk, such as a pancake, cracker, cookie, or low protein burger (eg: a 3" diameter, 1/2" thick low protein burger patty).
Length and Height and Width 	Any rectangle or square such as a 3" long, 3" high, 2" wide piece of chocolate cake or low protein bread.
Length and Height and Width of Arc 	Any wedge, such as a slice of low protein pizza or pie.

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★SAMPLE★

Participant Initials: _____

Day of Week: _____

Date: _____

Food Record: DAY _____, Page 1

Month _____ / Day _____ / Year _____

	Medical Food (Phe-free formula)		Other Ingredients		Amount	Brand	Volume Mixed	Volume Consumed
	Product Name	Amount	Other Ingredients	Brand				
1	Phenex-2	100 g	Strawberry Syrup	Hershey's	1 T		24 fl oz	19 fl oz
			Water					
2	Amino Acid Blend	26 g	Lemonade Mix	Country Time	3/8 cap (51 g)		24 fl oz	50%
			Water					
3								

of servings per day: _____

Please circle: Did you take the SAME, MORE, or LESS medical food (Phe-free formula) than usual?

Medications			Vitamins, Minerals, or Other Supplements		
Medication Name	Brand Name	Total amount taken	Supplement Name	Brand Name	Total Amount taken
Advil 200 mg Ibuprofen per tablet	Wyeth	1 Tablet	Calcium 500 mg tablets with Vitamin D	CVS	1 Tablet

Please continue on the reverse side if you need more space.

PKU & DHA Study

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★SAMPLE★
Recipes

<p><u>Recipe 1: Low-protein spaghetti</u></p> <p>2 oz Dry Low-Pro Spaghetti (Loprofin) 4 cups Water 1 Tbsp Butter (Cabot salted butter) 1 tsp Salt</p> <p>Boiled for 10 minutes.</p>	<p><u>Recipe 2:</u></p>
<p><u>Recipe 3:</u></p>	<p><u>Recipe 4:</u></p>



Directions to the GCRC

Address

W. Dean Warren General Clinical Research Center (GCRC)
 Emory University Hospital, Suite GG-23
 1364 Clifton Road, NE
 Atlanta, GA 30322

Parking

Parking for the Emory University Hospital is available in front of the Emergency Department and across Clifton road in the visitor parking tower. We will provide you with a voucher to pay for your parking.

Directions

From the Northeast (I-85):

Take I-85 South to Clairmont Road (Exit #91). Turn left on Clairmont Road and follow the signs towards Decatur. Continue on Clairmont Road for 3.8 miles to North Decatur Road. Turn right on North Decatur Road. Proceed one mile to Clifton Road. Turn Right on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

From the North (GA 400):

Take GA 400 South to Sidney Marcus Boulevard exit. Turn left on Sidney Marcus Boulevard. Turn left on Buford Highway. Turn right on Lenox Road. Get on I-85 North. Take I-85 North to Clairmont Road (Exit #91). Turn right on Clairmont Road and follow the signs towards Decatur. Continue on Clairmont Road for 3.8 miles to North Decatur Road. Turn right on North Decatur Road. Proceed one mile to Clifton Road. Turn right on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

-or-

Take GA 400 South to I-285. Take I-285 East for approximately 5.6 miles. Take I-85 South to Clairmont Road (Exit #91). Turn left on Clairmont Road and follow the signs towards Decatur. Continue on Clairmont Road for 3.8 miles to North Decatur Road. Turn right on North Decatur Road. Proceed one mile to Clifton Road. Turn right on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

From the South (I-75):

Take I-75 North to Freedom Parkway/Carter Center/International Boulevard (Exit #248-C). Bear right off the exit and continue straight for approximately 2.1 miles following the signs to the Carter Center and Moreland Avenue. Turn left on Moreland Avenue. Continue north on Moreland Avenue (Moreland Avenue becomes Briarcliff Road) for approximately 1.7 miles. Turn right on North Decatur Road and travel approximately 1.1 miles, going through Emory Village. Turn left on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

PKU & DHA Study



Directions to the GCRC, Continued

From the East (US 78):

Take 285 to Stone Mountain Freeway/US-78, follow signs towards Decatur. Proceed on Scott Blvd towards North Decatur Road. Turn Right on North Decatur Road. Proceed approximately two miles on North Decatur Road. Turn right on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

From the East (I-20):

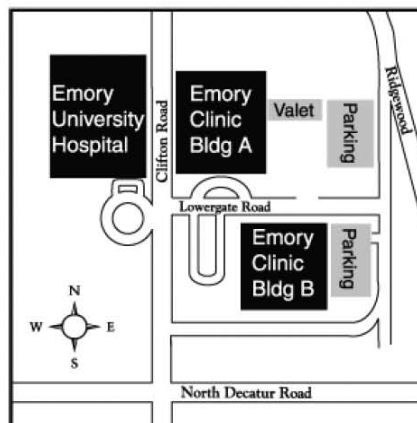
Take I-20 West to Moreland Avenue (Exit 60). Turn right (north) on Moreland Avenue. Continue on Moreland Avenue (Moreland Avenue becomes Briarcliff Road) for approximately 3.4 miles. Turn right on North Decatur Road and travel approximately 1.1 miles, going through Emory Village. Turn left on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

From the Northwest (I-75):

Take I-75S to I-85N. Take I-85 to Clairmont Road (Exit #91). Turn right and follow the signs towards Decatur. Stay on Clairmont Road for 3.8 miles to North Decatur Road. Turn right on North Decatur Road. Proceed one mile to Clifton Road. Turn right on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.

From the West (I-20):

Take I-20 East to Moreland Avenue (Exit 60). Turn right (north) on Moreland Avenue. Continue on Moreland Avenue (Moreland Avenue becomes Briarcliff Road) for approximately 3.4 miles. Turn right on North Decatur Road and travel approximately 1.1 miles, going through Emory Village. Turn left on Clifton Road. The hospital and ambulance entrance are located 0.2 miles on the left. Clinic Buildings A and B, as well as Visitor Parking, are located 0.2 miles on the right.



PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study



Directions to Emory Genetics

Address

Emory Genetics Clinic Building
2165 North Decatur Rd.
Decatur, GA 30033

Parking

Free parking is available in front of the building.

Directions

From Interstate 20 East

Take exit 60-B, the Moreland Avenue North exit. Turn right on Moreland and follow it approximately 3 miles. Moreland will change to Briarcliff Road once it crosses Ponce de Leon Avenue. Continue straight on Briarcliff approximately 2 miles to North Decatur Road. Turn right and follow North Decatur one mile to the Oxford Road intersection. Stay on North Decatur for 2 miles until you reach the intersection of North Decatur and Clairmont Rd. **See below *****

From Interstate 20 West

Take exit 60-B, the Moreland Avenue North exit. Turn right on Moreland and follow it approximately 3 miles. Moreland will change to Briarcliff Road once it crosses Ponce de Leon Avenue. Continue straight on Briarcliff approximately 2 miles to North Decatur Road. Turn right and follow North Decatur one mile to the Oxford Road intersection. Stay on North Decatur for 2 miles until you reach the intersection of North Decatur and Clairmont Rd. ***

From Interstate 75 North or South

Take exit 248-C, the Freedom Parkway exit. Cross Boulevard; continue on Freedom Parkway; veer left at split; continue until it ends at Ponce de Leon Avenue; then turn right. Off Ponce, turn left on Briarcliff Road. Go approximately 2 miles to North Decatur Road. Turn right and follow North Decatur Road one mile to the Oxford Road intersection. Stay on North Decatur for 2 miles until you reach the intersection of North Decatur and Clairmont Rd. ***

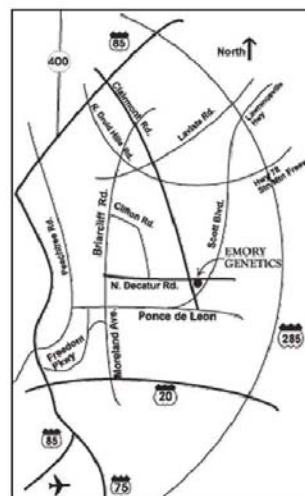
From Interstate 85 North and Hartsfield Atlanta International Airport

The airport is located in the southwest section of the city, approximately 25 minutes from the Emory University campus. Visitors driving from the airport should take I-85 North. To Emory from Interstate 85 North, take exit 248-C, the Freedom Parkway exit. Cross Boulevard; continue on Freedom Parkway; veer left at split; continue until it ends at Ponce de Leon Avenue; then turn right. Off Ponce, turn left on Briarcliff Road. Go approximately 2 miles to North Decatur Road. Turn right and follow North Decatur Road one mile to the Oxford Road intersection. Stay on North Decatur for 2 miles until you reach the intersection of North Decatur and Clairmont Rd. ***

***Continue straight on North Decatur through the intersection. We are located just past the Publix on the right.

From Interstate 85 South

Take exit 91, the Clairmont Road exit. Turn left (east) on Clairmont and follow it approximately 3 miles to North Decatur Road. Turn left on North Decatur. We are just past the Publix on the right.



PKU & DHA Study



Notes

PKU & DHA Study

404-778-1286 ~ http://www.genetics.emory.edu/NUTRITION/pku_dha_study

Questionnaires

Medical Information Form

ID # _____

MEDICAL INFORMATION FORM

Please complete this form and return it in the enclosed envelope.

PATIENT INFORMATION

Formula Prescription

For example: Phenylfree 2 180 grams+ _____
Kool-Aid 2 Tbsp+water to make 20 fl oz _____

Diet Prescription

For example: 20 exchanges, 300 mg Phe, _____
or 6 grams of protein per day _____

Current Medications

Include dose and how often _____

Current Supplements

vitamins, minerals, herbal _____

List any operations or serious injuries and date(s) _____

Activities to be encouraged or limited by physician's advice _____

Any current or previous psychological counseling? Yes No Date: _____

Any current or previous psychiatric care? Yes No Date: _____

Any previous psychiatric hospitalization? Yes No Date: _____

Have you menstruated? Yes No

Is your menstrual history normal? Yes No

Do you have children? Yes No If yes, how many? _____

Ages of child/children: _____

ID # _____

Page 2: MEDICAL INFORMATION FORM

DIET RESTRICTION <i>(for example: low Phe or low Leu diet)</i>	FORMULA <i>(for example: Phe-free or Leu free formula)</i>
<p>I first started my diet restriction when I was:</p> <p><input type="checkbox"/> A baby</p> <p><input type="checkbox"/> _____ years old</p> <p><input type="checkbox"/> I have never restricted my diet</p> <p>I stopped my diet restriction between ages:</p> <p><input type="checkbox"/> _____ and _____ years old</p> <p><input type="checkbox"/> I have never stopped my diet restriction</p> <p>I have kept the diet restriction since I was:</p> <p><input type="checkbox"/> A baby</p> <p><input type="checkbox"/> _____ years old</p> <p><input type="checkbox"/> I am not currently on a diet restriction</p> <p>Even though I currently keep a diet restriction:</p> <p><input type="checkbox"/> Some days I eat high protein foods like cheese, beans, eggs, meat/chicken/fish, nuts</p> <p><input type="checkbox"/> Some days I eat too much potatoes/French fries, bread, pasta, or _____</p> <p><input type="checkbox"/> I don't currently keep a diet restriction</p>	<p>I first started taking my formula when I was:</p> <p><input type="checkbox"/> A baby</p> <p><input type="checkbox"/> _____ years old</p> <p><input type="checkbox"/> I have never taken formula</p> <p>I stopped taking my formula between ages:</p> <p><input type="checkbox"/> _____ and _____ years old</p> <p><input type="checkbox"/> I have never stopped taking my formula</p> <p>I have taken formula since I was:</p> <p><input type="checkbox"/> A baby</p> <p><input type="checkbox"/> _____ years old</p> <p><input type="checkbox"/> I am not currently taking formula</p> <p>Even though I currently take formula:</p> <p><input type="checkbox"/> Some days don't finish all of my formula</p> <p><input type="checkbox"/> Some days I don't drink any of my formula</p> <p><input type="checkbox"/> I don't currently take formula</p>

During the year, how often do you visit a doctor's office or clinic for:

- Routine check-ups? _____
- Diet evaluations and blood levels (not including check-ups or other illnesses)? _____
- Illnesses (not including check-ups or diet evals/blood levels)? _____

Which method(s) do you use to monitor your blood levels (Phe, Leu, other) and how often?

- For example: weekly, monthly, every other month*
- Filter paper blood spots How often? _____
- Blood drawn in Clinic or Doctor's office How often? _____

My levels (for example, blood Phe or blood Leu) are:

- Usually within the recommended range
- Usually above the recommended range
- Sometimes within recommended range and sometimes above recommended range
- Other: _____

Have you ever participated in any **other** educational program for PKU, MSUD, or other inborn errors of metabolism (not including Metabolic Camp at Emory)?

- Yes No If yes, when and where? _____

Do you have health insurance? Yes No

Demographic Information Form

ID # _____

DEMOGRAPHIC INFORMATION FORM

Please complete this form and return it in the enclosed envelope.

The following answers will be used for research purposes only and will be kept strictly confidential.

YOUR DEMOGRAPHICS:

What racial or ethnic group do you belong to?

- Caucasian
 African American
 Hispanic/Latino
 Asian
 Other: _____
 Mixed race: _____

What is your marital status?

- Single (never married)
 Married
 Widowed
 Separated
 Divorced
 Other: _____

YOUR EMPLOYMENT:Are you employed? Yes No

If yes, what type of work do you do? _____

YOUR CURRENT HOUSEHOLD:Excluding yourself, how many adults (age 18 and older) currently live with you? _____

- Who do you live with (check all that apply)?

- | | |
|--|---|
| <input type="checkbox"/> Biological mother | <input type="checkbox"/> Aunt |
| <input type="checkbox"/> Biological father | <input type="checkbox"/> Uncle |
| <input type="checkbox"/> Adopted mother | <input type="checkbox"/> Husband |
| <input type="checkbox"/> Adopted father | <input type="checkbox"/> Roommate |
| <input type="checkbox"/> Grandmother | <input type="checkbox"/> Foster caretaker |
| <input type="checkbox"/> Grandfather | <input type="checkbox"/> Other _____ |

Excluding yourself, how many children under the age of 18 currently live with you? _____

- Number of siblings in household (include step-siblings): _____

Who is the primary caretaker of you (check **all** that apply)?

- | | |
|---|---|
| <input type="checkbox"/> Self | <input type="checkbox"/> Grandmother |
| <input type="checkbox"/> Biological parents | <input type="checkbox"/> Grandfather |
| <input type="checkbox"/> Biological mother | <input type="checkbox"/> Aunt |
| <input type="checkbox"/> Biological father | <input type="checkbox"/> Uncle |
| <input type="checkbox"/> Adopted mother | <input type="checkbox"/> Foster caretaker |
| <input type="checkbox"/> Adopted father | <input type="checkbox"/> Other _____ |

What is the combined household income currently? (Check appropriate average)

- | | |
|---|--|
| <input type="checkbox"/> \$5,000 or less | <input type="checkbox"/> \$5,001 – 10,000 |
| <input type="checkbox"/> \$10,001 – 20,000 | <input type="checkbox"/> \$20,001 – 30,000 |
| <input type="checkbox"/> \$30,001 – 40,000 | <input type="checkbox"/> \$40,001 – 50,000 |
| <input type="checkbox"/> \$50,001 – 60,000 | <input type="checkbox"/> \$60,001 – 70,000 |
| <input type="checkbox"/> \$70,001 – 100,000 | <input type="checkbox"/> More than \$100,000 |

ID # _____

Page 2: DEMOGRAPHIC INFORMATION FORM

- If you do not (or did not) live with your biological parents for part or all of your childhood (birth through 18 years), please also describe the caretaker(s) who you lived with (for example, your adopted parents).
- If you are an adult, please answer based on your childhood (between birth and age 18 years).

YOUR BIOLOGICAL PARENTS:

How long have you lived (or did you live) with your biological parents? _____ years

What is the marital status of your parents?

- Married to each other
- Separated
- Divorced
- Widowed
- Married to other people
- Single and never married
- Living with partner
- Unknown
- Other

Your biological mother

Mother's age: _____ years

Mother's occupation (give title if possible)
(or occupation before retirement/lay off)

How many hours per week are spent:
working: _____ with camper: _____

Mother's racial/ethnic group:

- Caucasian
- African American
- Hispanic/Latino
- Asian
- Other: _____
- Mixed race: _____

Your biological father

Father's age: _____ years

Father's occupation (give title if possible)
(or occupation before retirement/lay off)

How many hours per week are spent:
working: _____ with camper: _____

Father's racial/ethnic group:

- Caucasian
- African American
- Hispanic/Latino
- Asian
- Other: _____
- Mixed race: _____

YOUR GUARDIANS/CARETAKERS:

How long have you lived (or did you live) with your primary caretakers? _____ years

What is the marital status of your caretakers?

- Married to each other
- Separated
- Divorced
- Widowed
- Married to other people
- Single and never married
- Living with partner
- Unknown
- Other

Caretaker 1

Caretaker 1's age: _____ years

Caregiver 1's sex: FEMALE MALE

Caretaker 1's occupation (give title if possible)
(or occupation before retirement/lay off)

How many hours per week are spent:
working: _____ with camper: _____

Caretaker 1's racial/ethnic group:

- Caucasian
- African American
- Hispanic/Latino
- Asian
- Other: _____
- Mixed race: _____

Caretaker 2

Caretaker 2's age: _____ years

Caregiver 1's sex: FEMALE MALE

Caretaker 2's occupation (give title if possible)
(or occupation before retirement/lay off)

How many hours per week are spent:
working: _____ with camper: _____

Caretaker 2's racial/ethnic group:

- Caucasian
- African American
- Hispanic/Latino
- Asian
- Other: _____
- Mixed race: _____

ID # _____

Page 3: DEMOGRAPHIC INFORMATION FORM

EDUCATION:

What is the highest grade of school completed by you, your biological parents, your caregiver(s), and your spouse (if applicable)?

You	Biological Mother	Biological Father	Caretaker 1	Caretaker 2	Spouse	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	No school completed
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Nursery school/preschool to 4 th grade
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	5 th or 6 th grade
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	7 th or 8 th grade
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	9 th grade
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	10 th grade
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	11 th grade
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	12 th grade, no diploma
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	High school graduate (diploma or equivalent, such as GED)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Technical training (for example: mechanic or plumber)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Some college credit, but less than 1 year
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 or more years of college, no degree
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Associate's degree (for example: AA, AS)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Bachelor's degree (for example: BA, AB, BS)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Some graduate school, no degree
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Master's degree (for example: MA, MS)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Professional degree (for example: MD, DDS, DVM, JD, PsyD)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Doctorate degree (for example: PhD, EdD)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Other: _____
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Unknown

Do you/did you require extra help in school, such as special education classes or an individualized education program (IEP)? Yes No If yes, describe: _____

Other Medical Information Form

OTHER MEDICAL INFORMATION FORM

Please complete this form and return it in the enclosed envelope.

NAME (FIRST, MIDDLE, LAST): _____	
SEX: <input type="checkbox"/> FEMALE <input type="checkbox"/> MALE	BIRTH DATE: _____ SOCIAL SEC #: _____ - _____ - _____
ADDRESS: _____ _____	
HOME PHONE: () _____	OKAY TO LEAVE MESSAGE? <input type="checkbox"/> Yes <input type="checkbox"/> No
_____ PHONE: () _____	OKAY TO LEAVE MESSAGE? <input type="checkbox"/> Yes <input type="checkbox"/> No
ELECTRONIC MAIL: _____	DIAGNOSIS: _____

Name of Dietitian: _____ Dietitian Phone #: () _____

Name of Metabolic Clinic: _____

Name of Physician: _____ Physician Phone #: () _____

EMERGENCY CONTACT INFORMATION:

NAME: _____ PHONE #: () _____

RELATIONSHIP TO CAMPER: _____

Parent/Guardian Information if < 18 years old

MOTHER'S NAME: _____ ADDRESS: _____

PHONE # (HOME): _____ PHONE # (WORK/CELL): _____

FATHER'S NAME: _____ ADDRESS: _____

PHONE # (HOME): _____ PHONE # (WORK/CELL): _____

INSURANCE INFORMATION:

Primary Insurance

Name of primary insurance: _____

Policyholder's name: _____ SS#: _____ - _____ - _____

Policyholder's relationship to the camper: Self Spouse Parent Other

Policyholder's gender: Male Female Policyholder's birth date: _____

Secondary Insurance

Name of primary insurance: _____

Policyholder's name: _____ SS#: _____ - _____ - _____

Policyholder's relationship to the camper: Self Spouse Parent Other

Policyholder's gender: Male Female Policyholder's birth date: _____

Please include a copy of both sides of your insurance card.

Pre-Blood Draw Questionnaire

PKU & DHA Study

Date:	_____
ID #:	_____
FML:	_____

Before we draw your blood, please answer these questions. Please answer honestly. Your answers help make sure the blood test results are accurate. All information will be kept confidential.

1. Have you eaten any food, formula/medical food, juice, soda, or taken vitamins (not including water) since midnight last night?

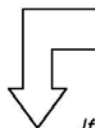
Yes No If yes, what did you eat and when? _____

2. What time did you last eat or drink something (besides water)? ____:____ AM/PM

3. Does anyone in your current household smoke cigarettes?

Yes No

4. How often do you usually smoke cigarettes?

- A I do not smoke
 - B At least one time per day
 - C At least one time per week
 - D At least one time per month
 - E Less than one time per month
 - F Less than one time per year
- 

If you circled B, C, D, E, or F, please answer the following questions about the past 3 days:

5. During the past 3 days, which days did you smoke cigarettes?

- I did not smoke during the past 3 days
- Today:
- Yesterday:
- The day before yesterday:

6. During the past 3 days, on the days you smoked, how many cigarettes did you smoke per day?

- A I did not smoke cigarettes during the past 3 days
- B Less than 1 cigarette per day
- C 1 cigarette per day
- D 2 to 5 cigarettes per day
- E 6 to 10 cigarettes per day
- F 11 to 20 cigarettes per day
- G More than 20 cigarettes per day

Thank you!

Weight Control and Physical Activity Questionnaire

Dear Participant,

This survey is about health behavior. Your honest answers will help us make sure females with metabolic disorders get the best care possible.

The answers you give will be kept private. Answer the questions based on what you really do.

Completing the survey is voluntary. If you are not comfortable answering a question, just leave it blank.

Make sure to read every question. When you are finished, follow the instructions of the person giving you the survey.

Thank you for your help!

The next 7 questions ask about body weight.

Date:	_____
ID #:	_____
DOB:	_____

Please circle the best answer

- How do **you** describe your weight?
 - Very underweight
 - Slightly underweight
 - About the right weight
 - Slightly overweight
 - Very overweight
- Which of the following are you trying to do about your weight?
 - Lose** weight
 - Gain** weight
 - Stay** the same weight
 - I am **not trying to do anything** about my weight

Please check all that apply

During the past 30 days:

- In order to **lose or keep from gaining weight**, did you:
 - I am not trying to lose or keep from gaining weight
 - Exercise
 - Eat less food, fewer calories or foods low in fat
 - Drink less formula/medical food than your prescription
 - Go without eating for 24 hours or more (also called fasting)
 - Take any diet pills, powders, or liquids without a doctor's advice
 - Vomit or take laxatives

Please circle the best answer

During the past 30 days:

- In order to **gain weight**, did you drink more formula than your prescription?
 - I am not trying to gain weight
 - Yes
 - No
- In the past 12 months, has a doctor, nurse, or dietitian given you advice about your weight?
 - Yes, I was given advice to lose weight
 - Yes, I was given advice to gain weight
 - Yes, I was given advice to maintain weight
 - No, I was not given advice about my weight
- Compared with one year ago (last _____), have you:
 - Gained weight
 - Lost weight
 - Stayed the same weight
- Compared with one year ago (last _____), have you:
 - Grown taller
 - Lost height
 - Stayed the same height

The next 4 questions ask about physical activity.

Date: _____
ID #: _____

Please circle the best answer

1. In the past week (_____ - _____), on how many days were you:

a. exercising or physically active for **at least 20 minutes that made you sweat and breathe hard?**

Examples: basketball, soccer, running, swimming laps, fast bicycling, fast dancing, or similar aerobic activities

- | | |
|-----------|-----------|
| a. 0 days | e. 4 days |
| b. 1 day | f. 5 days |
| c. 2 days | g. 6 days |
| d. 3 days | h. 7 days |

b. physically active for **at least 30 minutes** that did **not** make you sweat or breathe hard?

Examples: fast walking, slow bicycling, skating, pushing a lawn mower, or mopping floors

- | | |
|-----------|-----------|
| a. 0 days | e. 4 days |
| b. 1 day | f. 5 days |
| c. 2 days | g. 6 days |
| d. 3 days | h. 7 days |

c. lightly active for **at least 30 minutes?**

Examples: slow walking, light house chores, or stretching

- | | |
|-----------|-----------|
| a. 0 days | e. 4 days |
| b. 1 day | f. 5 days |
| c. 2 days | g. 6 days |
| d. 3 days | h. 7 days |

2. Compared with the past year, was your activity level in the past week the same, lower, or higher than usual?

- a. The same
- b. Lower
- c. Higher

3. On an average school or work day, how many hours do you watch TV per day?

- | | |
|--|----------------------------|
| a. I do not watch TV on an average school/work day | e. 3 hours per day |
| b. Less than 1 hour per day | f. 4 hours per day |
| c. 1 hour per day | g. 5 or more hours per day |
| d. 2 hours per day | |

4. On an average weekend or vacation day, how many hours do you watch TV per day?

- | | |
|---|----------------------------|
| a. I do not watch TV on an weekend/vacation day | e. 3 hours per day |
| b. Less than 1 hour per day | f. 4 hours per day |
| c. 1 hour per day | g. 5 or more hours per day |
| d. 2 hours per day | |

Thank you for completing this survey!

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YRBSS

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2005 CDC YRBSS High School Questionnaire

Treatment History Questionnaire

**Phenylketonuria Transition Project
Clinical Treatment Summary**

Date of summary: _____

ID #: _____

Age: _____

I. Health Status:

General health _____

Specific concerns _____

II. PKU Treatment History:

Age at Dx: _____ Newborn peak phe level: _____

Identified by Newborn Screening? _____ Which state? _____

Blood phe levels:

Mean blood phe level 1st year: _____ Range: _____

Mean blood phe level age 1-5 years: _____ Range: _____

Mean blood phe level age 6-10 years: _____ Range: _____

Mean blood phe level age 11-15 years: _____ Range: _____

Mean blood phe level age 16-present: _____ Range: _____

Mean blood phe level in past year: _____ Range: _____

III. Intellectual Functioning:

General assessment _____

Psychometric Evaluations

Date	Age	Test	Score	Comments

School History:

Grade completed: _____ Academic goals: _____

Resources required: _____



Cristine M. Trahms Program for Phenylketonuria
 University of Washington - CHDD - Box 357920, Seattle, WA 98195
 (206) 598-1800, Toll Free in Washington State 877-685-3015
<http://depts.washington.edu/pku>

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IV. Current PKU Treatment:

Phenylalanine prescription:
Medical food prescription:
Medications:
Comments:

V. Support System/Level of Independence:

Where living?
Completed high school? IEP in place?
Working? What job? Hours/week:
Marital status:
Insurance coverage:

VI. Level of Independence:

Rank as 1=Independent, able to complete task; **2=Parent support** required for activity or task; **3=Dependent** on parents and others for activity or task

Task	Rating	Comments
Provides regular blood levels:	1 2 3	
Makes/keeps appointments:	1 2 3	
Understands basics of disorder:	1 2 3	
Understands basics of treatment:	1 2 3	
Success with self-management:	1 2 3	
Prepares meals:	1 2 3	
Monitors own medications:	1 2 3	
Does food shopping:	1 2 3	
Driver's license:	Yes/No	
Checking account:	1 2 3	

VII. General Assessment of Transition Readiness:

Completed Adolescent Autonomy Checklist?
Can describe plans for future?
Has met with Transition Team to develop Timeline?
Has met with Genetic Counselor to discuss adult PKU and reproductive issues?
Has met with Nutritionist to discuss adult PKU dietary management?



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*Menstrual History Questionnaire***PKU & DHA Study**

Date:	_____
ID #:	_____
FML:	_____

Menstrual history

1. Have you ever had your period? Yes No
2. When did you first start getting your period?
 Age: _____ years
 Date: _____
3. Do you still get your period (i.e., pre/peri/post menopausal)? Yes No
4. What was the first day of your last period?
 Date: _____
5. How often do you get your period?
 - a. Every _____ days/weeks/months/years
 - b. Is your period regular? Yes No
 - c. Have you ever gone for a time without getting your period (besides pregnancy)? Yes No
 - d. If Yes, how long? _____ days/weeks/months/years
6. Are your periods painful? Yes No
 - a. Do you ever take medication to reduce the pain? Yes No
 - b. If yes, which medication(s)?
7. Have you ever been pregnant? Yes No
 - a. # pregnancies: _____
 - b. Last 3-trimester pregnancy: _____

Notes:

Randomization plan

Block randomization (using one block size):

1. Total number of participants to randomize: 52
 2. Number of participants per block: 4
 3. Total number of blocks: 13
 4. Starting ID number: 101
 5. Treatment groups: DHA or Placebo
- Randomization plan designed by student investigator
 - Allocation sequence generated by CIS biostatistician
 - Sequence given by the CIS biostatistician directly to the pharmacist at Investigational Drug Services for implementation

Chapter 11. Appendix: Results

Study 1

Table 48. Relationships between changes in cognitive processing speed, markers of metabolic control, and dietary intake in females with PKU attending a metabolic camp intervention

	Δ Plasma Phe ^a		Δ Plasma Tyr ^a		Δ Energy intake ^b		Δ MF Protein intake ^a		Δ Phe intake ^b	
	<i>r</i> ^c	<i>p</i> ^d	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>	<i>r</i>	<i>p</i>
Δ Decision Speed	.082	.731	-.321	.167	.315	.189	-.091	.703	.292	.225
Δ Pair Cancellation	-.333	.151	-.160	.501	.379	.109	.037	.878	-.003	.990
Δ Plasma Phe					-.432	.065	-.232	.324	-.102	.677
Δ Plasma Tyr	-.035	.883			-.237	.329	-.107	.653	-.076	.757

Abbreviations: PKU, phenylketonuria; Δ , change; Phe, phenylalanine; Tyr, tyrosine; MF, medical food.

^a *n* = 20.

^b *n* = 19.

^c Pearson's correlation coefficient.

^d Two-tailed *p* value.

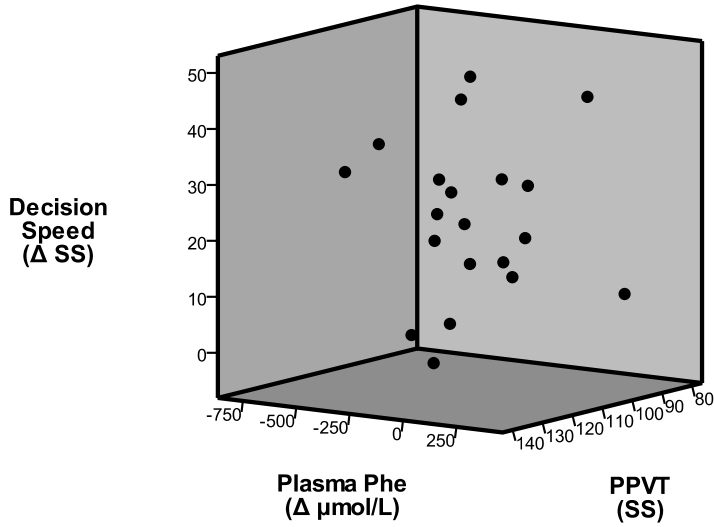
Table 49. Baseline and end-of-camp plasma Phe concentrations by change in intakes of energy and protein from medical food in females with PKU attending a metabolic camp intervention

	Increased intake			Decreased intake			Statistic
	n	Baseline	End-of-camp	n	Baseline	End-of-camp	
	Increased energy intake			Increased energy intake			
Plasma Phe, mean (SD), $\mu\text{mol/L}$	16	768 ^a (311)	452 (199)	3	573 (164)	631 (186)	$F(1, 17) = 5.2, p = .036$
	Increased medical food protein intake			Increased medical food protein intake			
Plasma Phe, mean (SD), $\mu\text{mol/L}$	8	924 (320)	526 (209)	12	584 (200)	436 (196)	$F(1, 18) = 4.4, p = .051$

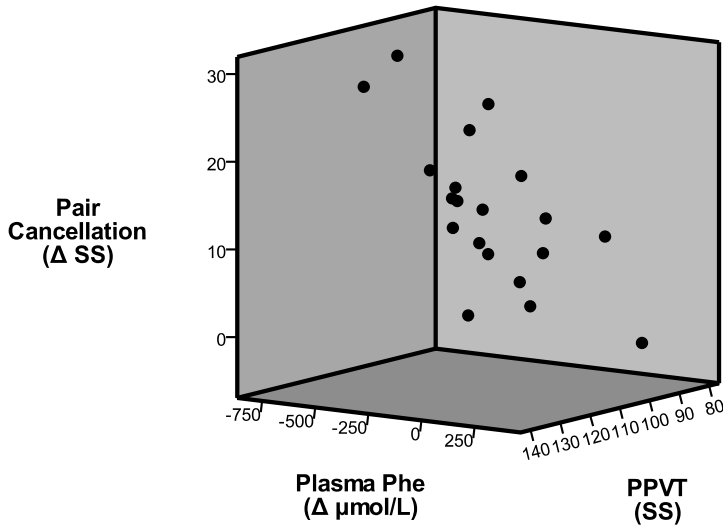
Abbreviations: Phe, phenylalanine; PKU, phenylketonuria.

^aPlasma Phe ($\mu\text{mol/L}$).

Figure 7. The relationship between changes in plasma Phe concentration and performance on cognitive processing speed tasks



$n = 20, R = .091, p = .721$



$n = 20, R = -.604, p = .008$

Study 2

Table 50. Categories of plasma Phe and RBC DHA as predictors of verbal ability using linear regression

Model Summary^c

Model	R	R Square	Adjusted R Square	Std. Error of the Estimate	Change Statistics				
					R Square Change	F Change	df1	df2	Sig. F Change
1	.420 ^a	.177	.156	11.309	.177	8.377	1	39	.006
2	.519 ^b	.270	.231	10.791	.093	4.833	1	38	.034

a. Predictors: (Constant), Phecat

b. Predictors: (Constant), Phecat, pctRBC_DHA_BLcat

c. Dependent Variable: PPVTSS

Coefficients^a

Model		Unstandardized Coefficients		Standardized	t	Sig.	95.0% Confidence Interval for B		Collinearity Statistics	
		B	Std. Error	Beta			Lower Bound	Upper Bound	Tolerance	VIF
1	(Constant)	105.842	2.594		40.795	.000	100.594	111.090		
	Phecat	-10.251	3.542	-.420	-2.894	.006	-17.415	-3.087	1.000	1.000
2	(Constant)	100.984	3.318		30.431	.000	94.266	107.702		
	Phecat	-8.190	3.507	-.336	-2.335	.025	-15.290	-1.090	.929	1.077
	pctRBC_DHA_BLcat	7.692	3.499	.316	2.198	.034	.609	14.775	.929	1.077

a. Dependent Variable: PPVTSS

Table 51. Categories of plasma Phe and RBC DHA as predictors of verbal ability using ANCOVA

Tests of Between-Subjects Effects

Dependent Variable:PPVTSS

Source	Type III Sum of Squares	df	Mean Square	F	Sig.	Partial Eta Squared	Noncent. Parameter	Observed Power ^b
Corrected Model	1634.190 ^a	2	817.095	7.017	.003	.270	14.034	.907
Intercept	413810.743	1	413810.743	3553.606	.000	.989	3553.606	1.000
Phecat	635.009	1	635.009	5.453	.025	.125	5.453	.624
pctRBC_DHA_BLcat	562.815	1	562.815	4.833	.034	.113	4.833	.573
Error	4425.029	38	116.448					
Total	418864.000	41						
Corrected Total	6059.220	40						

a. R Squared = .270 (Adjusted R Squared = .231)

b. Computed using alpha = .05

Parameter Estimates

Dependent Variable: PPVTSS

Parameter	B	Std. Error	t	Sig.	95% Confidence Interval		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower Bound	Upper Bound			
Intercept	100.486	3.202	31.385	.000	94.004	106.967	.963	31.385	1.000
[Phecat=.00]	8.190	3.507	2.335	.025	1.090	15.290	.125	2.335	.624
[Phecat=1.00]	0 ^b
[pctRBC_DHA_BLcat=.00]	-7.692	3.499	-2.198	.034	-14.775	-.609	.113	2.198	.573
[pctRBC_DHA_BLcat=1.00]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Coefficients^a

Model	Unstandardized Coefficients		Standardized Coefficients	t	Sig.	95.0% Confidence Interval for B		Collinearity Statistics		
	B	Std. Error	Beta			Lower Bound	Upper Bound	Tolerance	VIF	
	1	(Constant)	100.984			3.318		30.431	.000	94.266
	Phecat	-8.190	3.507	-.336	-2.335	.025	-15.290	-1.090	.929	1.077
	pctRBC_DHA_BLcat	7.692	3.499	.316	2.198	.034	.609	14.775	.929	1.077
2	(Constant)	92.506	6.690		13.827	.000	78.950	106.061		
	Phecat	-6.745	3.597	-.277	-1.875	.069	-14.034	.543	.858	1.166
	pctRBC_DHA_BLcat	6.650	3.523	.273	1.888	.067	-.488	13.787	.890	1.124
	mated2cat	5.260	3.621	.215	1.453	.155	-2.077	12.597	.855	1.170

a. Dependent Variable: PPVTSS

Table 52. Categories of plasma Phe and RBC DHA as predictors of verbal ability controlling for maternal education (categorical) using ANCOVA

Tests of Between-Subjects Effects

Dependent Variable: PPVTSS (verbal ability)

Source	Type III Sum of Squares	df	Mean Square	F	Sig.	Partial Eta Squared	Noncent. Parameter	Observed Power ^b
Corrected Model	1872.970 ^a	3	624.323	5.518	.003	.309	16.554	.915
Intercept	400435.779	1	400435.779	3539.236	.000	.990	3539.236	1.000
Plasma Phe cat	397.812	1	397.812	3.516	.069	.087	3.516	.447
RBC DHA cat	403.135	1	403.135	3.563	.067	.088	3.563	.452
Maternal education cat	238.780	1	238.780	2.110	.155	.054	2.110	.293
Error	4186.250	37	113.142					
Total	418864.000	41						
Corrected Total	6059.220	40						

a. R Squared = .309 (Adjusted R Squared = .253)

b. Computed using alpha = .05

Note: maternal education category may attenuate the relationship between plasma Phe, RBC DHA, and performance on a verbal ability task. A larger sample size would be needed to investigate these relationships with adequate statistical power.

Parameter Estimates

Dependent Variable:PPVTSS

Parameter	B	Std. Error	t	Sig.	95% Confidence Interval		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower Bound	Upper Bound			
Intercept	102.931	3.577	28.779	.000	95.684	110.178	.957	28.779	1.000
[Phecat=.00]	6.745	3.597	1.875	.069	-.543	14.034	.087	1.875	.447
[Phecat=1.00]	0 ^b
[pctRBC_DHA_BLcat=.00]	-6.650	3.523	-1.888	.067	-13.787	.488	.088	1.888	.452
[pctRBC_DHA_BLcat=1.00]	0 ^b
[mated2cat=1.00]	-5.260	3.621	-1.453	.155	-12.597	2.077	.054	1.453	.293
[mated2cat=2.00]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Univariate Analysis of Variance

Descriptive Statistics

Dependent Variable:PPVTSS

Phe_DHA_cat	mated2cat	Mean	Std. Deviation	N
1.00	1.00	101.00	7.071	2
	2.00	110.30	12.876	10
	Total	108.75	12.381	12
2.00	1.00	99.00	14.000	3
	2.00	102.25	10.079	4
	Total	100.86	10.915	7
3.00	1.00	93.00	9.764	4
	2.00	107.75	9.032	4
	Total	100.38	11.747	8
4.00	1.00	93.44	6.948	9
	2.00	91.80	12.872	5
	Total	92.86	9.020	14
Total	1.00	95.11	8.649	18
	2.00	104.43	13.331	23
	Total	100.34	12.308	41

Tests of Between-Subjects Effects

Dependent Variable:PPVTSS

Source	Type III Sum of Squares	df	Mean Square	F	Sig.	Partial Eta Squared	Noncent. Parameter	Observed Power ^b
Corrected Model	1873.120 ^a	4	468.280	4.027	.008	.309	16.109	.870
Intercept	374291.264	1	374291.264	3218.864	.000	.989	3218.864	1.000
Phe_DHA_cat	995.330	3	331.777	2.853	.051	.192	8.560	.634
mated2cat	238.597	1	238.597	2.052	.161	.054	2.052	.286
Error	4186.100	36	116.281					
Total	418864.000	41						
Corrected Total	6059.220	40						

a. R Squared = .309 (Adjusted R Squared = .232)

b. Computed using alpha = .05

Parameter Estimates

Dependent Variable: PPVTSS

Parameter	B	Std. Error	t	Sig.	95% Confidence Interval		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower Bound	Upper Bound			
Intercept	96.244	3.728	25.818	.000	88.684	103.805	.949	25.818	1.000
[Phe_DHA_cat=1.00]	13.384	4.589	2.916	.006	4.076	22.692	.191	2.916	.810
[Phe_DHA_cat=2.00]	6.871	5.054	1.360	.182	-3.378	17.120	.049	1.360	.263
[Phe_DHA_cat=3.00]	6.765	4.808	1.407	.168	-2.986	16.516	.052	1.407	.278
[Phe_DHA_cat=4.00]	0 ^b
[mated2cat=1.00]	-5.269	3.678	-1.432	.161	-12.728	2.191	.054	1.432	.286
[mated2cat=2.00]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Descriptive Statistics

Dependent Variable: PPVTSS

Phe_DHA_cat	mated2cat	Mean	Std. Deviation	N
1.00	1.00	101.00	7.071	2
	2.00	110.30	12.876	10
	Total	108.75	12.381	12
4.00	1.00	93.44	6.948	9
	2.00	91.80	12.872	5
	Total	92.86	9.020	14
Total	1.00	94.82	7.278	11
	2.00	104.13	15.343	15
	Total	100.19	13.230	26

Tests of Between-Subjects Effects

Dependent Variable:PPVTSS

Source	Type III Sum of Squares	df	Mean Square	F	Sig.	Partial Eta Squared	Noncent. Parameter	Observed Power ^b
Corrected Model	1653.449 ^a	2	826.725	6.984	.004	.378	13.968	.889
Intercept	249605.997	1	249605.997	2108.632	.000	.989	2108.632	1.000
Phe_DHA_cat	1102.781	1	1102.781	9.316	.006	.288	9.316	.832
mated2cat	21.375	1	21.375	.181	.675	.008	.181	.069
Error	2722.589	23	118.373					
Total	265377.000	26						
Corrected Total	4376.038	25						

a. R Squared = .378 (Adjusted R Squared = .324)

b. Computed using alpha = .05

Parameter Estimates

Dependent Variable: PPVTSS

Parameter	B	Std. Error	t	Sig.	95% Confidence Interval		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower Bound	Upper Bound			
Intercept	94.202	4.299	21.915	.000	85.310	103.095	.954	21.915	1.000
[Phe_DHA_cat=1.00]	14.896	4.880	3.052	.006	4.800	24.992	.288	3.052	.832
[Phe_DHA_cat=4.00]	0 ^b
[mated2cat=1.00]	-2.093	4.925	-.425	.675	-12.280	8.095	.008	.425	.069
[mated2cat=2.00]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

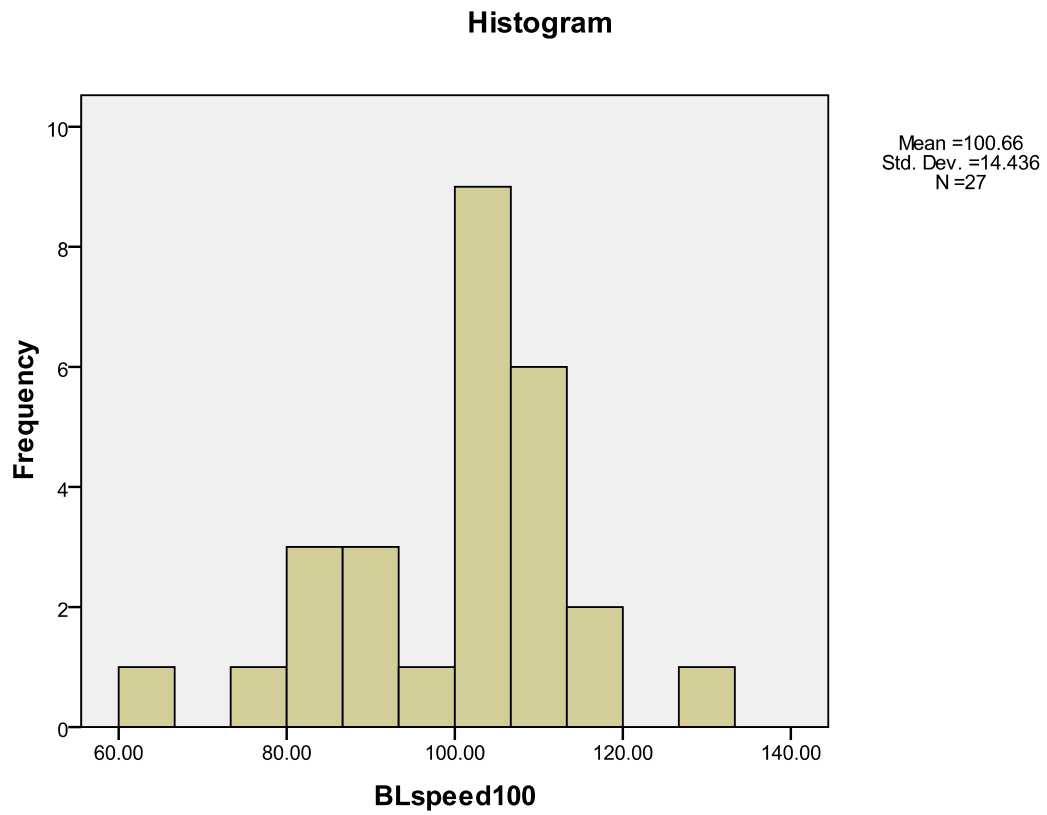
Study 3

Distribution of key outcome variables:

Baseline processing speed factor score

n=27

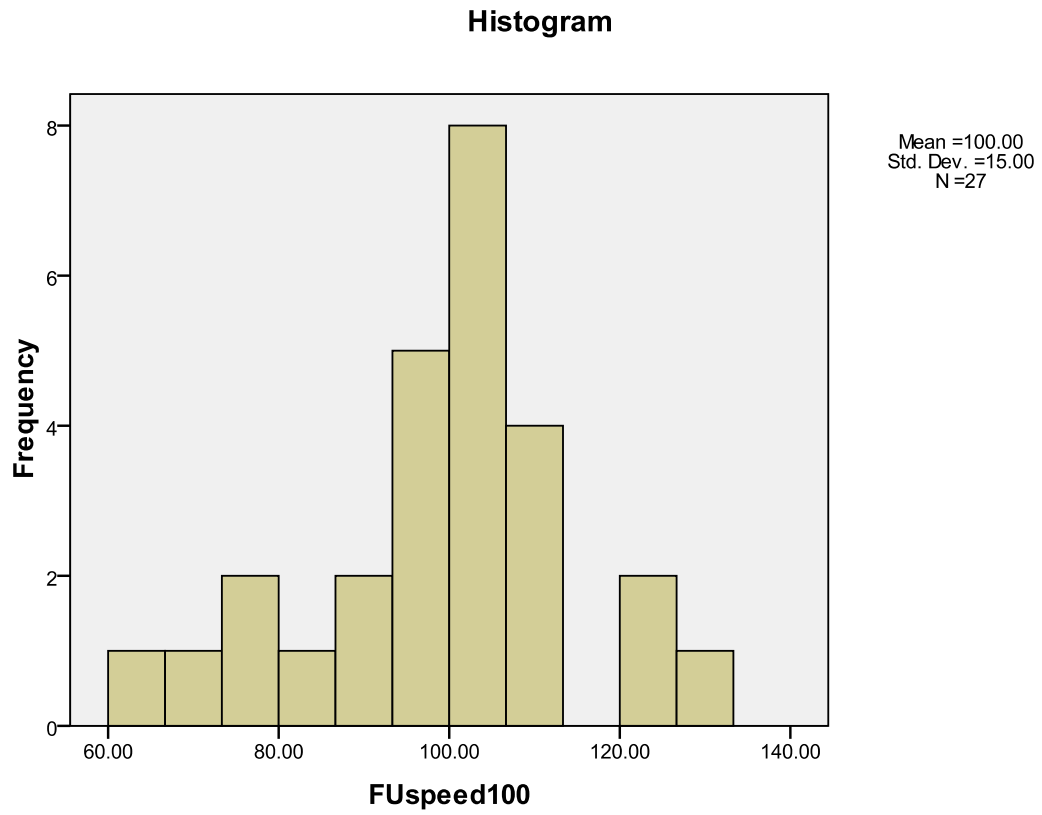
Shapiro-Wilk test: $P = .282$



Follow up processing speed factor score

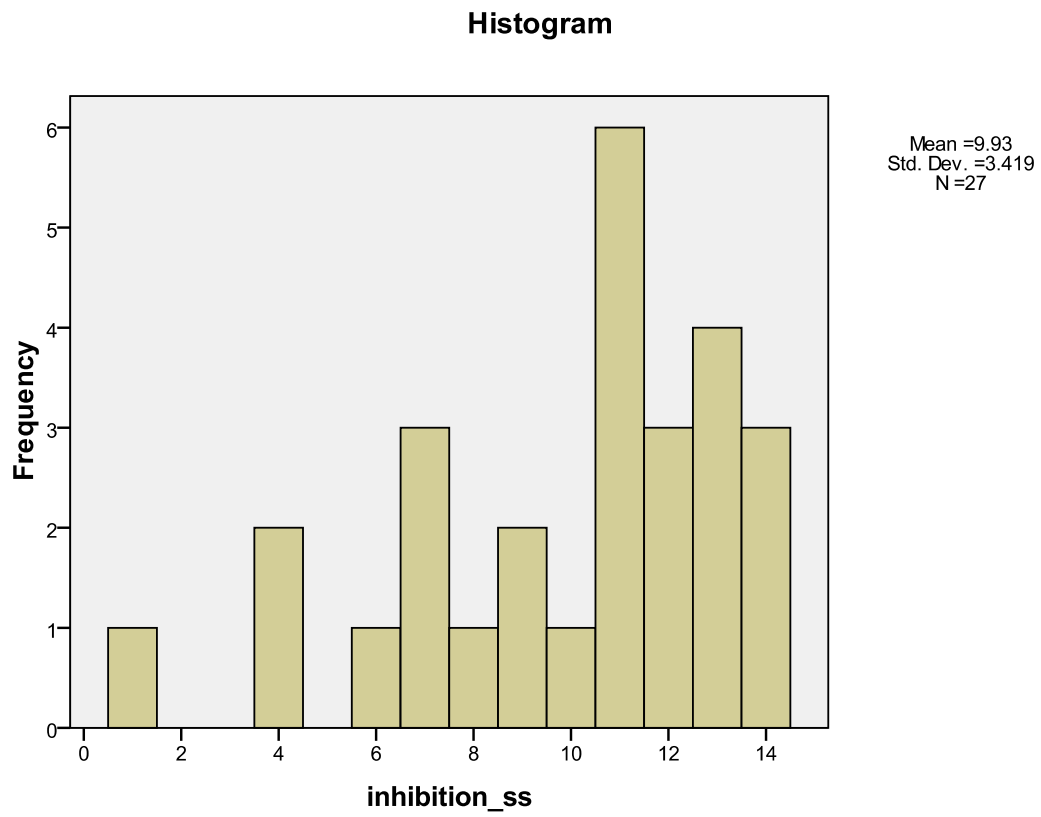
n=27

Shapiro-Wilk test: $P = .326$



Baseline cognitive inhibition score

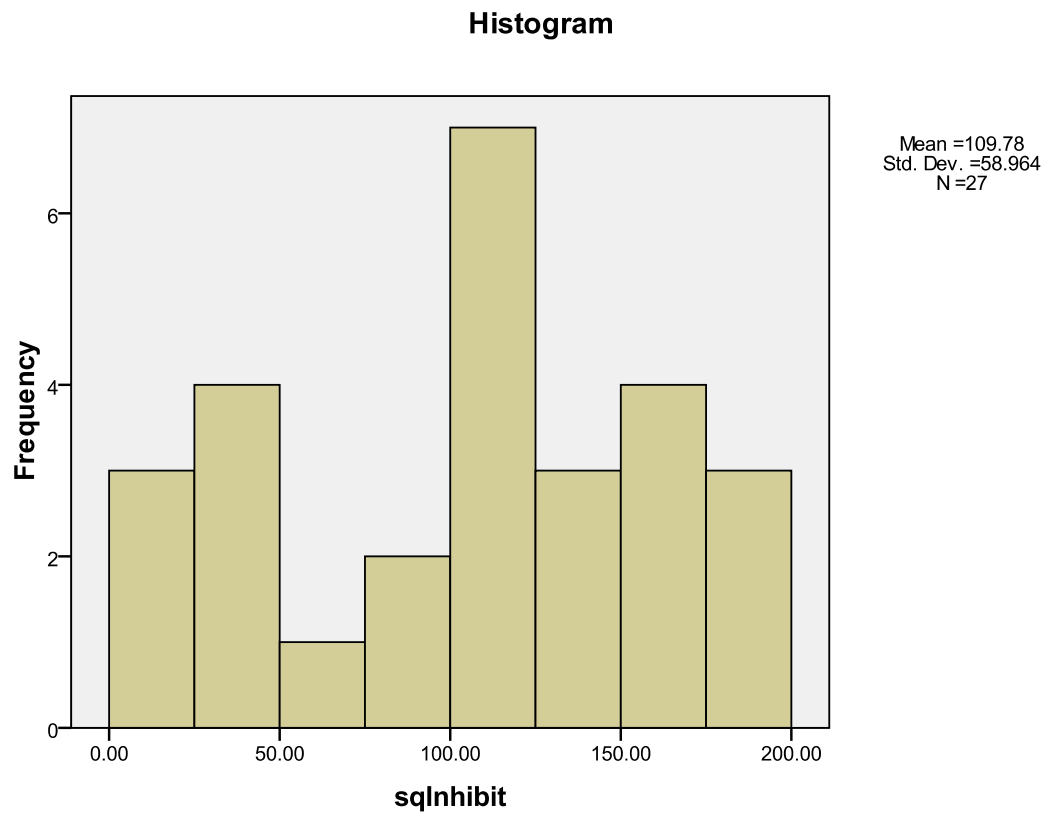
n=27

Shapiro-Wilk test: $P = .019$ 

Baseline cognitive inhibition score: squared

n=27

Shapiro-Wilk test: $P = .136$

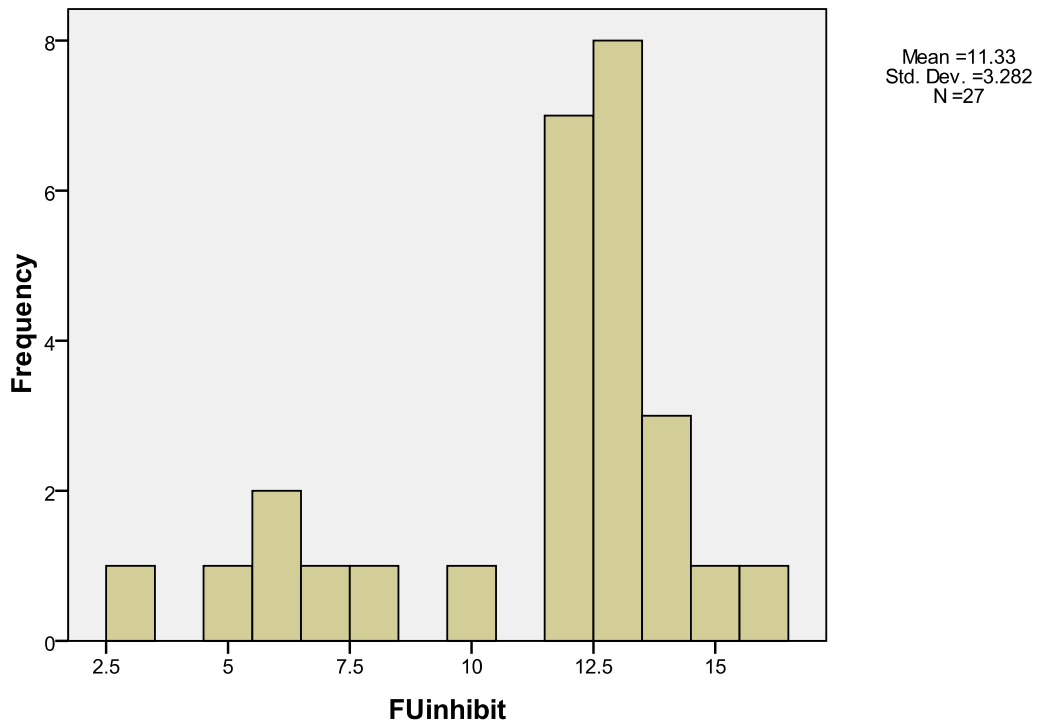


Follow up cognitive inhibition score

n=27

Shapiro-Wilk test: $P = .001$

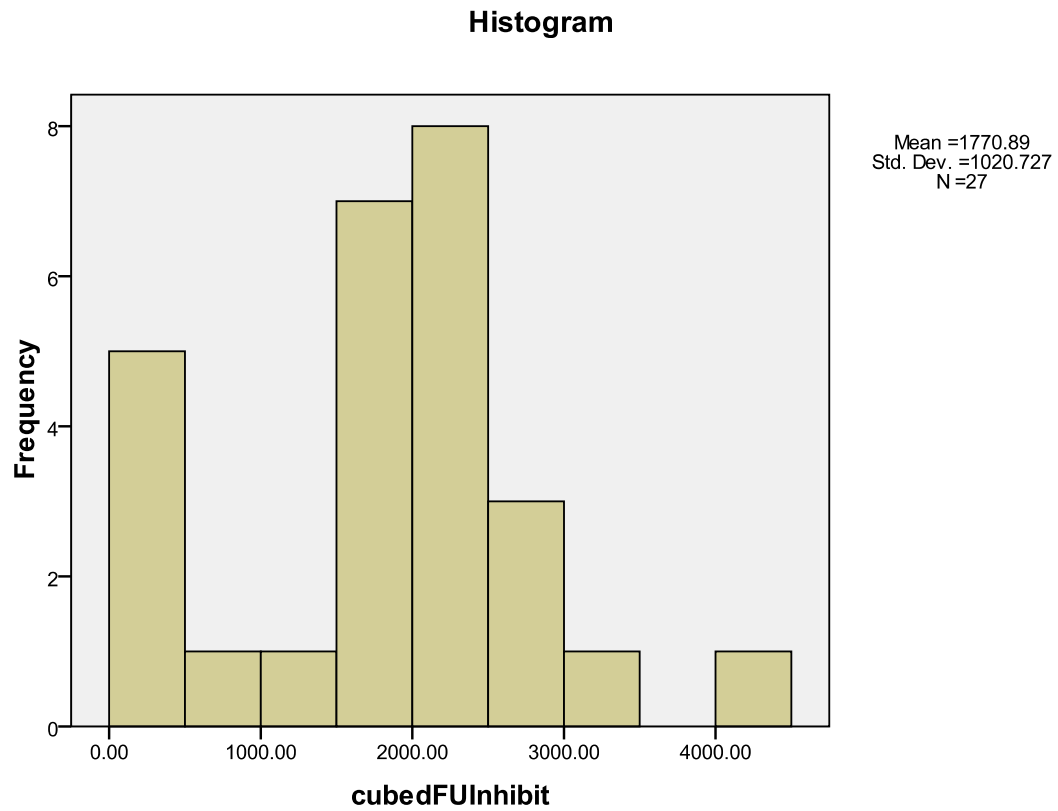
Histogram



Follow up cognitive inhibition score: cubed

n=27

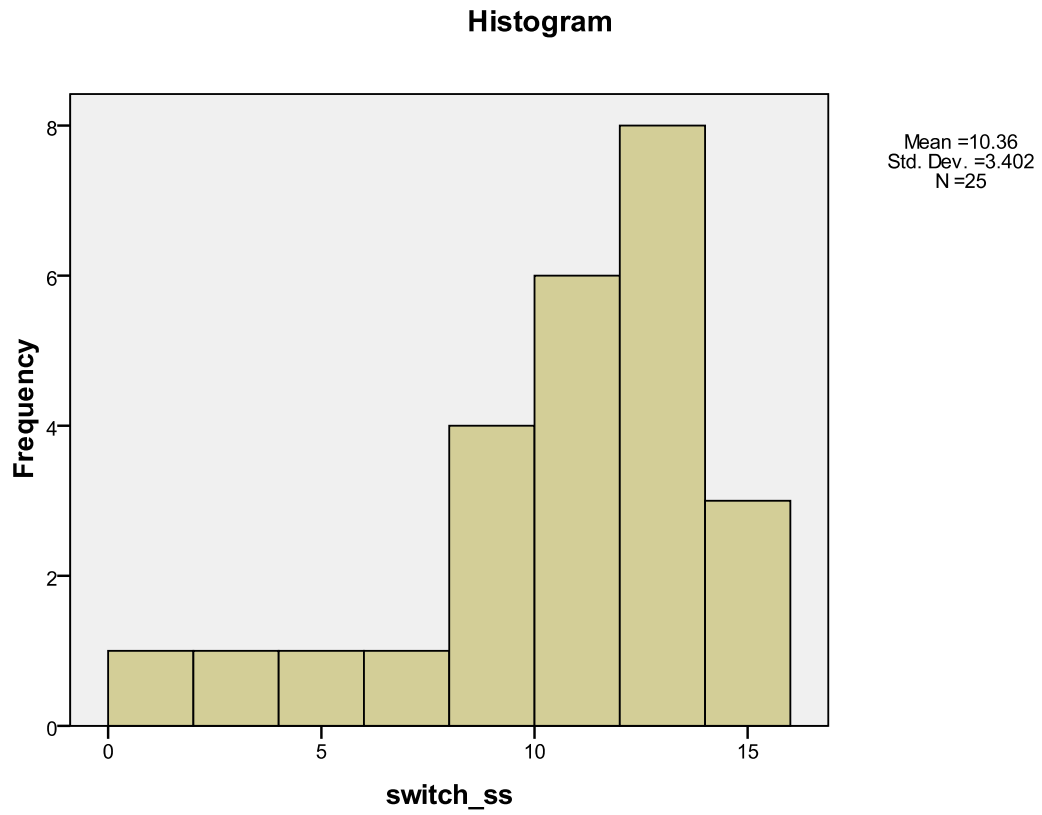
Shapiro-Wilk test: P = .041



Baseline cognitive flexibility score

n=25

Shapiro-Wilk test: $P = .009$

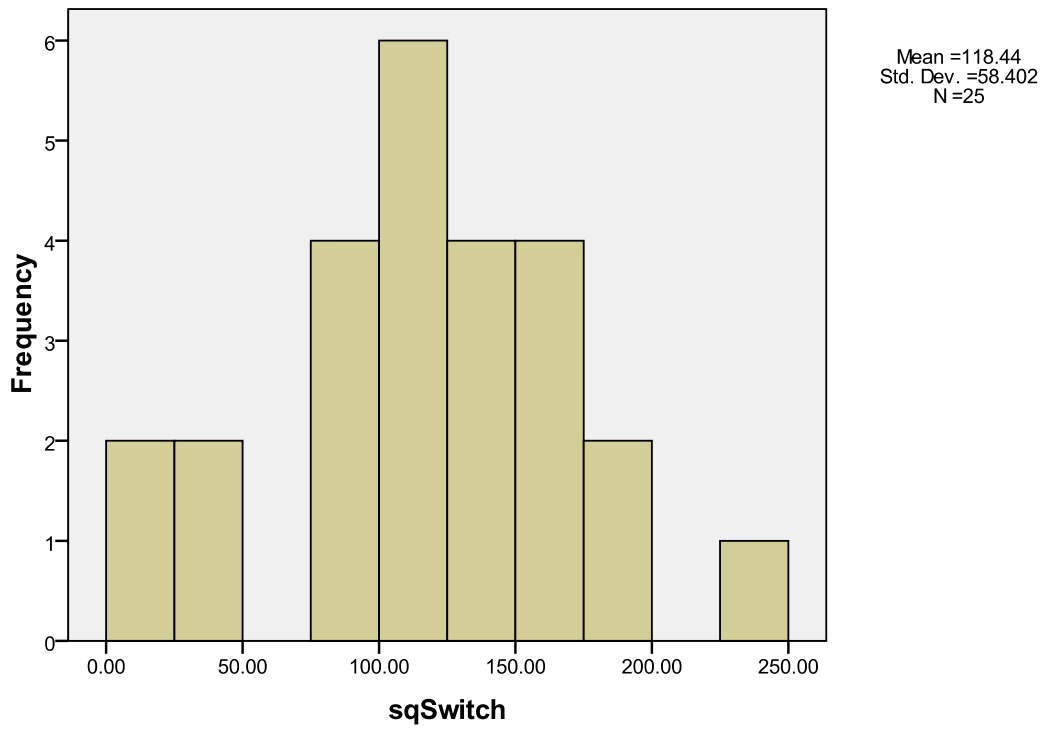


Baseline cognitive flexibility score: squared

n=25

Shapiro-Wilk test: $P = .547$

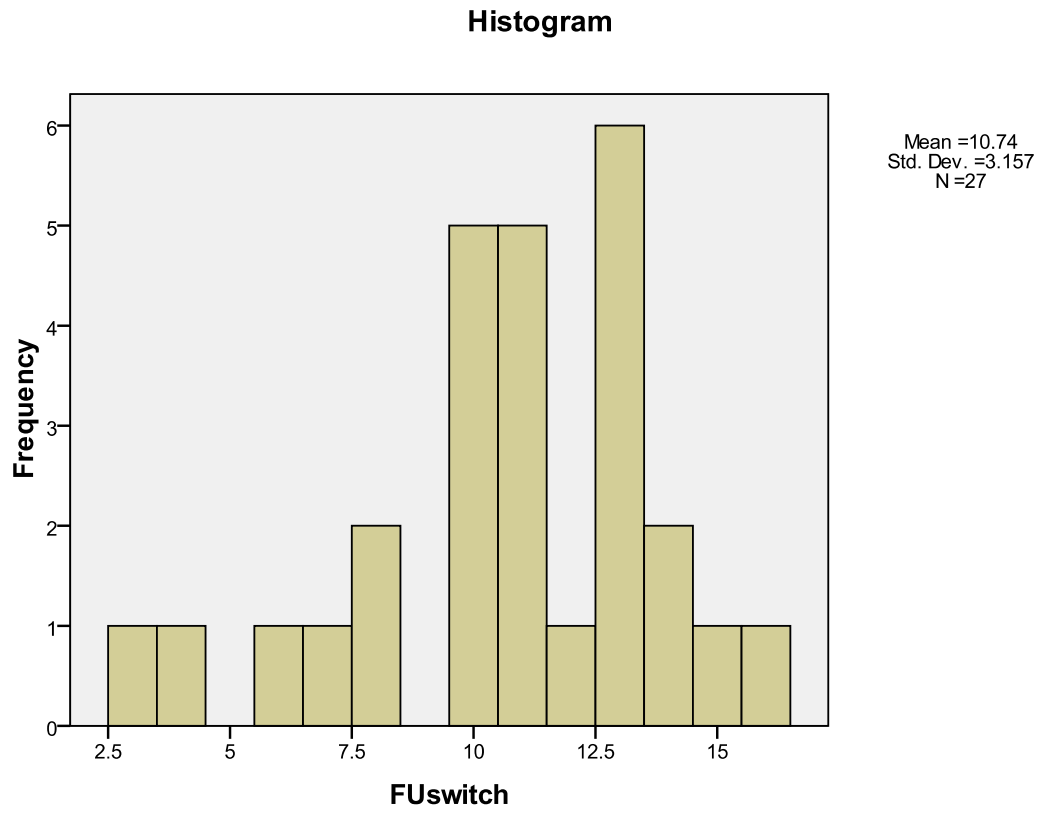
Histogram



Follow up cognitive flexibility score

n=25

Shapiro-Wilk test: $P = .107$



Intent to treat analyses using transformed variables

n=27

Parameter Estimates

Dependent Variable:cubedFUInhibit

Parameter	B	Std. Error	t	Sig.	95% Confidence Interval		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower	Upper			
					Intercept	127.460			
sqInhibit	15.086	1.744	8.648	.000	11.485	18.686	.757	8.648	1.000
[TxGrp_num=1]	-28.468	203.129	-.140	.890	-447.705	390.770	.001	.140	.052
[TxGrp_num=2]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Parameter Estimates

Dependent Variable:FUSwitch

Parameter	B	Std. Error	t	Sig.	95% Confidence Interval		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower	Upper			
					Intercept	5.459			
sqSwitch	.045	.005	9.429	.000	.035	.055	.802	9.429	1.000
[TxGrp_num=1]	.434	.557	.779	.444	-.721	1.590	.027	.779	.116
[TxGrp_num=2]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Per protocol analyses using transformed variables

n=20

Parameter Estimates

Dependent Variable:cubedFUInhibit

Parameter	B	Std. Error	t	Sig.	95% CI		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower	Upper			
Intercept	215.125	282.950	.760	.457	-381.848	812.098	.033	.760	.111
sqInhibit	14.231	2.049	6.946	.000	9.909	18.554	.739	6.946	1.000
[TxGrp_num=1]	47.641	257.877	.185	.856	-496.432	591.714	.002	.185	.053
[TxGrp_num=2]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Parameter Estimates

Dependent Variable:FUSwitch

Parameter	B	Std. Error	t	Sig.	95% CI		Partial Eta Squared	Noncent. Parameter	Observed Power ^a
					Lower	Upper			
Intercept	5.541	.834	6.643	.000	3.763	7.319	.746	6.643	1.000
sqSwitch	.045	.006	6.934	.000	.031	.058	.762	6.934	1.000
[TxGrp_num=1]	.552	.741	.744	.468	-1.028	2.131	.036	.744	.107
[TxGrp_num=2]	0 ^b

a. Computed using alpha = .05

b. This parameter is set to zero because it is redundant.

Transformed vs. untransformed variable usage in ANCOVA for Study 3

ANCOVA is commonly used in the analysis of randomized controlled trials that have baseline measures assessed prior to randomization, and these same measures are repeated at follow up. Based on simulation studies, Vickers concluded ANCOVA may be preferred even when data are moderately skewed²⁴⁵. The preceding tables demonstrate the ANCOVA results using variables transformed to better approximate the normal distribution. The results do not differ from those using the untransformed variables; therefore, the untransformed variables were kept for the final report.

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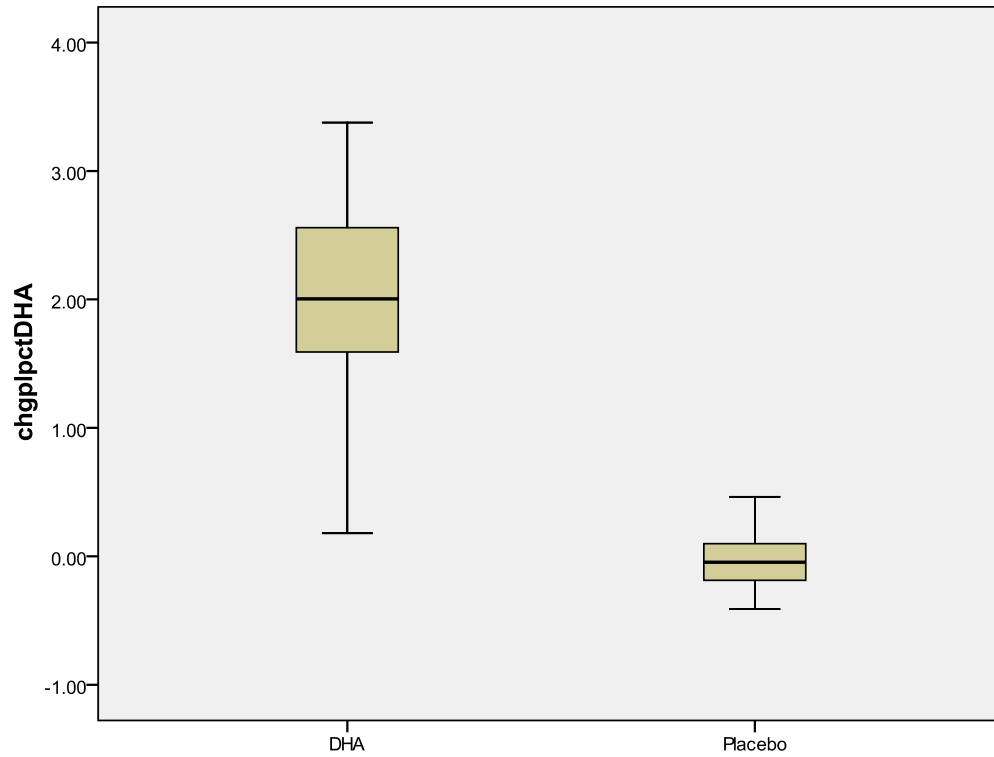
Table 53. Summary of baseline and follow up plasma and RBC total lipid % DHA for each participant categorized by treatment allocation (N=33)

DHA Group	Participant	% plasma DHA		% RBC DHA	
		Baseline	Follow up	Baseline	Follow up
Per protocol	1	1.89	3.52	4.10	6.73
	2	1.43	3.44	4.09	5.94
	3	1.32	3.95	2.68	7.04
	4	1.04	3.73	2.06	7.14
	5	1.03	2.11	2.37	3.88
	6	1.00	2.77	1.92	5.61
	7	.97	3.46	2.40	4.48
	8	.93	4.31	2.43	6.67
	9	.89	2.94	2.89	6.97
Intention to treat	10	1.54	3.10	3.24	5.33
	11	.75	.	1.91	.
	12	.75	.93	2.42	2.06
Withdrawn	13	1.16	.	3.05	.
	14	.95	.	1.58	.
	15	.95	.	1.61	.
	16	.94	.	2.25	.
	17	.61	.	1.21	.

Table 53 continued

Placebo Group	Participant	% plasma DHA		% RBC DHA	
		Baseline	Follow up	Baseline	Follow up
Per protocol	1	1.86	1.45	3.26	3.19
	2	1.52	1.27	3.39	3.04
	3	1.36	1.46	3.41	2.71
	4	1.15	.83	2.17	2.04
	5	.98	.79	3.82	3.08
	6	.96	.81	1.82	1.82
	7	.94	1.24	2.57	3.58
	8	.69	.54	1.65	1.67
	9	.66	.67	2.04	1.38
	10	.58	.53	1.13	1.49
	11	.	1.04	.	1.87
Intention to treat	12	1.25	1.34	2.52	2.68
	13	.82	.	2.36	.
	14	.70	1.14	1.46	1.74
	15	.46	.92	1.83	1.87
Withdrawn	16	.70	.	1.70	.

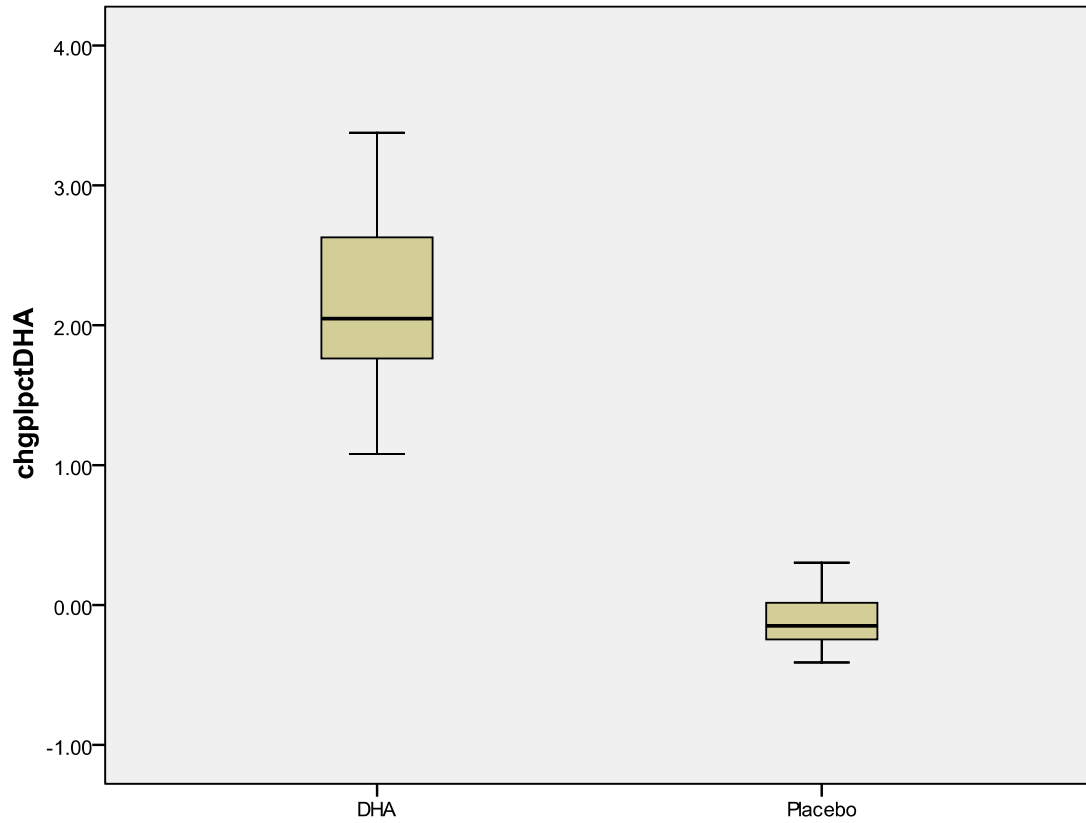
Figure 8. Change in plasma total lipid % DHA by treatment group: intention to treat



	N	Mean	Std. Deviation	Std. Error	95% Confidence Interval for Mean		Minimum	Maximum
					Lower Bound	Upper Bound		
DHA	11	1.9487	.86756	.26158	1.3659	2.5316	.18	3.38
Placebo	13	-.0071	.27875	.07731	-.1756	.1613	-.41	.46
Total	24	.8893	1.16567	.23794	.3971	1.3815	-.41	3.38

ANOVA	Sum of Squares	df	Mean Square	F	Sig.
Between Groups	22.793	1	22.793	59.280	.000
Within Groups	8.459	22	.384		
Total	31.252	23			

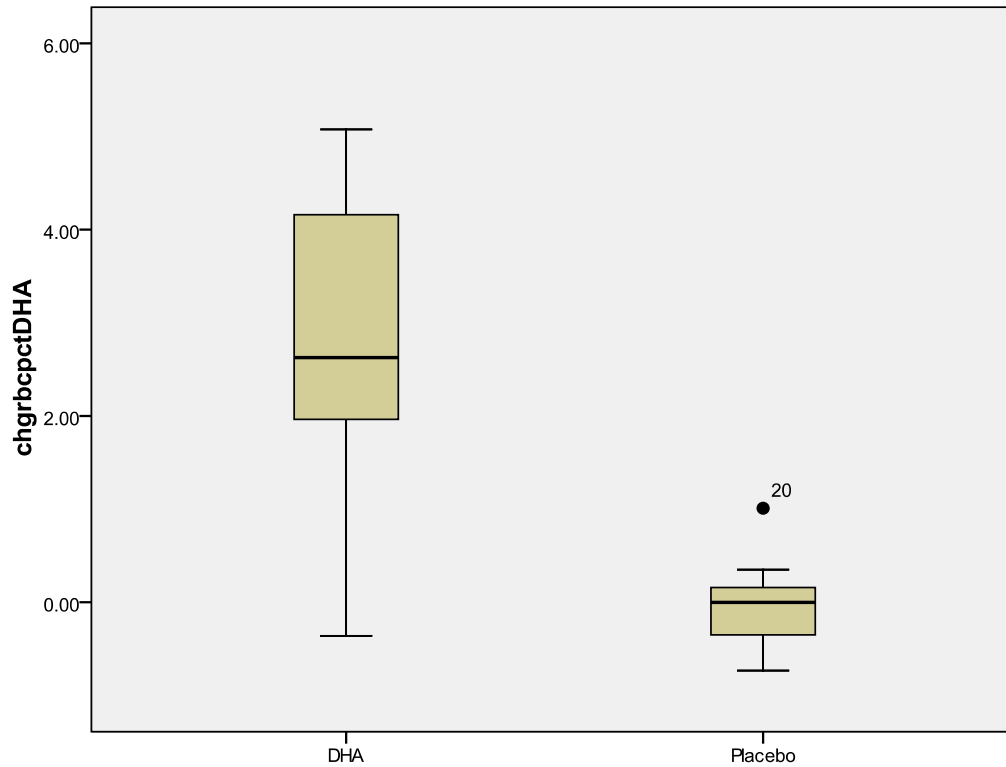
Figure 9. Change in plasma total lipid % DHA by treatment group: per protocol



	N	Mean	Std. Deviation	Std. Error	95% CI		Minimum	Maximum
					Lower Bound	Upper Bound		
DHA	9	2.1892	.68194	.22731	1.6650	2.7134	1.08	3.38
Placebo	10	-.1090	.20987	.06637	-.2591	.0411	-.41	.30
Total	19	.9796	1.27227	.29188	.3664	1.5928	-.41	3.38

ANOVA	Sum of Squares	df	Mean Square	F	Sig.
Between Groups	25.019	1	25.019	103.318	.000
Within Groups	4.117	17	.242		
Total	29.136	18			

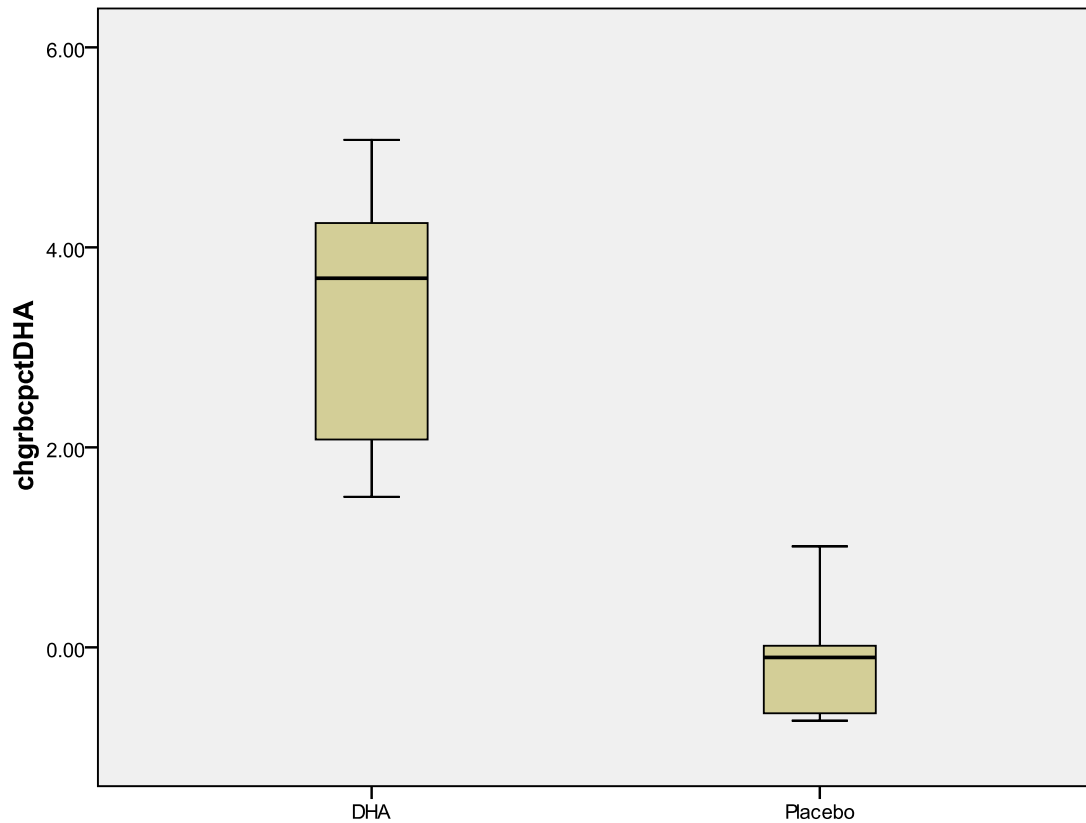
Figure 10. Change in RBC total lipid % DHA by treatment group: intention to treat



	N	Mean	Std. Deviation	Std. Error	95% Confidence Interval for Mean		Minimum	Maximum
					Lower Bound	Upper Bound		
DHA	11	2.8403	1.60446	.48376	1.7625	3.9182	-.36	5.08
Placebo	13	-.0604	.48307	.13398	-.3523	.2315	-.73	1.01
Total	24	1.2691	1.84955	.37754	.4881	2.0501	-.73	5.08

ANOVA	Sum of Squares	df	Mean Square	F	Sig.
Between Groups	50.136	1	50.136	38.643	.000
Within Groups	28.543	22	1.297		
Total	78.679	23			

Figure 11. Change in RBC total lipid % DHA by treatment group: per protocol



	N	Mean	Std. Deviation	Std. Error	95% Confidence Interval		Minimum	Maximum
					Lower Bound	Upper Bound		
DHA	9	3.2788	1.28513	.42838	2.2910	4.2667	1.50	5.08
Placebo	10	-.1269	.53554	.16935	-.5100	.2562	-.73	1.01
Total	19	1.4863	1.98238	.45479	.5308	2.4418	-.73	5.08

ANOVA	Sum of Squares	df	Mean Square	F	Sig.
Between Groups	54.943	1	54.943	59.140	.000
Within Groups	15.794	17	.929		
Total	70.737	18			

Table 54. Relationship between changes in measures of cognitive and DHA status in females with PKU

	Plasma DHA ^a			RBC DHA ^a		
	n	<i>r</i> ^b	<i>P</i> ^c	n	<i>r</i>	<i>P</i>
Processing Speed	24	.01	.96	24	-.02	.93
Inhibition	24	-.11	.61	24	.04	.84
Switching	22	-.01	.95	22	-.02	.95

Abbreviations: PKU, phenylketonuria; DHA, docosahexaenoic

acid; RBC, red blood cell.

^a % DHA in total lipid.

^b Pearson's correlation.

^c Two-tailed *P* value.

Table 55. Relationship between changes in measures of cognitive and DHA status in females with PKU, controlling for plasma Phe

	Plasma DHA ^a			RBC DHA ^a		
	n	<i>R</i> ^{b,c}	<i>P</i> ^d	n	<i>R</i> ^{b,c}	<i>P</i> ^d
Processing Speed	24	-.02	.94	24	-.02	.92
Inhibition	24	-.11	.62	24	.04	.85
Switching	22	.01	.96	22	.05	.82

Abbreviations: DHA, docosahexaenoic acid; PKU, phenylketonuria; Phe, phenylalanine; RBC, red blood cell.

^a % DHA in total lipid.

^b Pearson's correlation.

^c Controlling for change in plasma Phe.

^d Two-tailed *P* value.

Table 56. Relationship between changes in measures of cognitive and DHA status in females with PKU, controlling for verbal ability

	Plasma DHA ^a			RBC DHA ^a		
	n	<i>R</i> ^{b,c}	<i>P</i> ^d	n	<i>R</i> ^{b,c}	<i>P</i> ^d
Processing Speed	24	-.01	.97	24	-.02	.93
Inhibition	24	-.10	.66	24	.02	.92
Switching	22	.01	.96	22	-.02	.95

Abbreviations: DHA, docosahexaenoic acid; PKU, phenylketonuria; RBC, red blood cell.

^a % DHA in total lipid.

^b Pearson's correlation.

^c Controlling for verbal ability assessed by performance on the Peabody Picture Vocabulary Test.

^d Two-tailed *P* value.

Table 57. Post-hoc sample size calculation: estimated sample size needed to detect a significant difference between DHA and Placebo supplemented groups in measures of cognitive processing speed, inhibition, and flexibility at follow up utilizing ANCOVA

	Data Source	Cognitive Processing Speed	Cognitive Inhibition	Cognitive Flexibility
Difference	Per protocol analysis	0.14	0.25	0.49
$SD_{Y(a,b)}$	Standardized SD	15	3	3
$r^2_{xy(a,b)}$	Per protocol analysis	.88	.81	.84
Significance (alpha)		.05	.05	.05
Power (beta)		.80	.80	.80
Tailedness		2-tailed	2-tailed	2-tailed
Sample size per group ^a		853	426	95

Abbreviations: DHA, docosahexaenoic acid; ANCOVA, analysis of variance; SD, standard deviation.

^a Estimated using the power analysis calculator in the web version of the Handbook of Biological Statistics (<http://udel.edu/~mcdonald/statancova.html>; accessed January 28, 2010)²⁴⁶.

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