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Signature:

Ariel Keller

Date

On Cycles, Chorded Cycles, and Degree Conditions

By

Ariel Keller

Doctor of Philosophy

Mathematics

Ronald J. Gould

Advisor

Vojtěch Rödl

Committee Member

Dwight Duffus

Committee Member

Hao Huang

Committee Member

Accepted:

Lisa A. Tedesco, Ph.D.

Dean of the James T. Laney School of Graduate Studies

Date

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By

Ariel Keller B.S., University of Tennessee, Chattanooga, 2011 M.Sc., Emory University, 2017

Advisor: Ronald J. Gould, Ph.D.

An abstract of

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Doctor of Philosophy

in Mathematics

2018

#### Abstract

# On Cycles, Chorded Cycles, and Degree Conditions By Ariel Keller

Sufficient conditions to imply the existence of certain substructures in a graph are of considerable interest in extremal graph theory, and conditions that guarantee a large set of cycles or chorded cycles are a recurring theme. This dissertation explores different degree sum conditions that are sufficient for finding a large set of vertexdisjoint cycles or a large set of vertex-disjoint chorded cycles in a graph.

For an integer  $t \ge 1$ , let  $\sigma_t(G)$  be the smallest sum of degrees of t independent vertices of G. We first prove that if a graph G has order at least 7k+1 and degree sum condition  $\sigma_4(G) \ge 8k-3$ , with  $k \ge 2$ , then G contains k vertex-disjoint cycles. Then, we consider an equivalent condition for chorded cycles, proving that if G has order at least 11k + 7 and  $\sigma_4(G) \ge 12k - 3$ , with  $k \ge 2$ , then G contains k vertex-disjoint chorded cycles. We prove that the degree sum condition in each result is sharp. Finally, we conjecture generalized degree sum conditions on  $\sigma_t(G)$  for  $t \ge 2$  sufficient to imply that G contains k vertex-disjoint cycles for  $k \ge 2$  and k vertex-disjoint chorded cycles for  $k \ge 2$ . This is joint work with Ronald J. Gould and Kazuhide Hirohata. On Cycles, Chorded Cycles, and Degree Conditions

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# Chapter 1

# Introduction

## 1.1 History

Extremal graph theory studies relationships between graph invariants, like the number of edges or vertices in a graph, and different graph properties. Often we are interested in how far we can push certain properties before other properties or substructures must exist in the graph. For example, we might ask what is the largest number of edges a graph of a fixed order may contain and still be acyclic. Alternatively, this tells us how many edges the graph must have to guarantee the existence of a cycle.

Over the years, many different results have been proved regarding cycles in graphs. Some such results include graph properties that guarantee a graph contains a Hamiltonian cycle, a set of cycles with specified graph elements, a large set of many different cycles, or a large set of many different chorded cycles or doubly chorded cycles.

The degree of a vertex x, d(x), is defined to be the number of edges incident with x. Let  $\delta(G)$  denote the minimum degree over all vertices in a graph G. Clearly, if the minimum degree is large enough relative to the number of vertices in the graph, the graph will contain a Hamiltonian cycle. In particular, Dirac's famous result [3] states that any graph G on  $n \geq 3$  vertices with minimum degree  $\delta(G) \geq n/2$  contains

a Hamiltonian cycle (see Figure 1.1).



Figure 1.1. A Hamiltonian cycle in a graph G with n = 6 and  $\delta(G) = 3$ .

Ore's Theorem [13] strengthens this result, giving a weaker degree condition sufficient to imply a graph contains a Hamiltonian cycle. It states that, for a graph G on n vertices, if the degrees of any pair of nonadjacent vertices total at least n, then the graph G contains a Hamiltonian cycle. This condition allows an individual vertex to have degree less than n/2; hence it is possible for a graph to satisfy the condition of Ore's Theorem while not satisfying the condition of Dirac's Theorem.

In the same vein as Dirac's Theorem and Ore's Theorem for Hamiltonian cycles, density conditions can be used to force a graph to contain many disjoint cycles or chorded cycles.

Cycles are called *vertex-disjoint* if they share no vertices. Let  $\delta(G)$  denote the minimum degree of G and

$$\sigma_t(G) = \min\{\sum_{x \in S} d_G(x) : S \text{ is an independent set of } G \text{ with } |S| = t\}.$$

In 1963, Corrádi and Hajnal [2] first considered a minimum degree condition that would imply a graph must contain k different vertex-disjoint cycles, proving that if  $|G| \ge 3k$  and  $\delta(G) \ge 2k$ , then G contains k vertex-disjoint cycles. Enomoto [4] and Wang [15] independently proved a more general result, requiring a weaker condition on the degree sum of any two independent vertices: if  $|G| \ge 3k$  and  $\sigma_2(G) \ge 4k - 1$ , then G contains k vertex-disjoint cycles. Fujita et al. [6] proved the most recent generalization of this result, showing that if  $k \ge 2$ ,  $|G| \ge 3k + 2$ , and  $\sigma_3(G) \ge 6k - 2$ , then G contains k vertex-disjoint cycles.

In all three theorems, the degree conditions are sharp as illustrated by the graph

 $G_0 = K_{2k-1} + mK_1$ . The only independent vertices in  $G_0$  are the vertices in  $mK_1$ , each of which has degree 2k-1. It follows that for any  $t \leq m$ ,  $\sigma_t(G_0) = t(2k-1) = 2kt-t$ . Any cycle in  $G_0$  must contain two vertices of  $K_{2k-1}$  since no two vertices of  $mK_1$  are adjacent. But then the graph  $G_0$  cannot contain k vertex-disjoint cycles. Thus, none of the conditions  $\delta(G) = 2k - 1$ ,  $\sigma_2(G) = 4k - 2$ ,  $\sigma_3(G) = 6k - 3$ , and in general for  $t \leq m$ ,  $\sigma_t(G) = t(2k-1) = 2kt - t$  is sufficient to imply G contains k vertex-disjoint cycles.

In Chapter 2, we consider the next value of t; that is, we show that if  $\sigma_4(G) \ge 8k - 3$ , then G contains k vertex-disjoint cycles. We also prove that the degree sum condition is sharp, and we conjecture a sharp degree sum condition on  $\sigma_t(G)$  for any fixed  $t \ge 2$  to imply that a graph contains k vertex-disjoint cycles.

An extension of the study of disjoint cycles is that of disjoint chorded cycles. A chord of a cycle is an edge between two vertices of the cycle that is not an edge of the cycle. We say a cycle is chorded if it induces at least once chord and doubly chorded if it induces at least two chords. In 1960, Pósa [14] asked what conditions would imply a graph contains a chorded cycle. In answer to the question, Czipzer (see Lovász [12], problem 10.2) proved in 1963 that if a graph has minimum degree at least 3, it must contain a chorded cycle. More recently, the relevant literature has focused on conditions to imply a graph contains many vertex-disjoint chorded cycles. Finkel [5] extended the work of Corrádi and Hajnal by showing that if  $|V(G)| \ge 4k$  and  $\delta(G) \ge 3k$ , then G contains k vertex-disjoint chorded cycles. Chiba et al. [1] extended this result, proving that for a graph G of order at least 3r + 4s, if  $\sigma_2(G) \ge 4r + 6s - 1$ , then G contains r + s vertex-disjoint cycles, with s of them chorded. In [8], doubly chorded cycles were considered, showing that if  $\sigma_2(G) \ge 6k - 1$ , then G contains k vertex-disjoint cycles.

In Chapter 3, we consider the degree condition for t = 4. In particular, we show that if G is a graph of order  $n \ge 11k + 7$ , and if  $\sigma_4(G) \ge 12k - 3$ , then G contains k vertex-disjoint chorded cycles. Furthermore, we prove that this degree condition is sharp, and we conjecture a sharp degree condition on  $\sigma_t(G)$  for any fixed  $t \ge 2$  to imply the graph G contains k vertex-disjoint chorded cycles.

## **1.2** Definitions and Notation

We consider only simple graphs, without loops or multiedges. Let G = (V(G), E(G))be a simple graph. Then |G| is the order of G,  $\delta(G)$  is the minimum degree of G, comp(G) is the number of components of G,  $\alpha(G)$  is the independence number of G. For a vertex  $u \in V(G)$ , the set of neighbors of u in G is denoted by  $N_G(u)$ , and we denote the degree of the vertex u by  $d_G(u) = |N_G(u)|$ . Let H be a subgraph of G. For  $u \in V(G) - V(H)$ , we denote the neighborhood of u in H by  $N_H(u) = N_G(u) \cap V(H)$ , and the degree of u in H is given by  $d_H(u) = |N_H(u)|$ . For  $X \subseteq V(G)$ , let  $d_H(X) = \sum_{x \in X} d_H(x)$ . For an integer  $t \ge 1$ , let

$$\sigma_t(G) = \min\{\sum_{v \in X} d_G(v) \mid X \text{ is an independent set of } G \text{ with } |X| = t.\},\$$

and  $\sigma_t(G) = \infty$  when  $\alpha(G) < t$ . Note that if t = 1, then  $\sigma_1(G) = \delta(G)$ .

For a set  $S \subset V(G)$ , the subgraph of G induced by S is denoted by  $\langle S \rangle$ . If there is no fear of confusion, then we use the same symbol for a graph and its vertex set. For graphs  $G_1$  and  $G_2$  with  $V(G_1) \cap V(G_2) = \emptyset$ ,  $G_1 \cup G_2$  denotes the union of  $G_1$  and  $G_2$ ,  $G_1 + G_2$  denotes the join of  $G_1$  and  $G_2$ , and mG denotes the union of m disjoint copies of G, see [7].

For a path (or a cycle) Q in a graph G, we write  $Q = x_1, x_2, \ldots, x_t$  (,  $x_1$ ), where  $V(Q) = \{x_1, x_2, \ldots, x_t\}$  and  $\{x_1, x_2\}, \{x_2, x_3\}, \ldots, \{x_{t-1}, x_t\}, \{x_t, x_1\}\} \in E(Q)$ . If Qis a path (or a cycle), say  $Q = x_1, x_2, \ldots, x_t$  (,  $x_1$ ), then we assume that an orientation of Q is given from  $x_1$  to  $x_t$ . We say that  $x_i$  precedes  $x_j$ , and  $x_j$  follows  $x_i$ , on Q if i < j. If  $x \in V(Q)$ , then  $x^+$  denotes the first successor of x on Q and  $x^-$  denotes the first predecessor of x on Q. For  $x, y \in V(Q)$ , we let Q[x, y] denote the path of Qfrom x to y (including x and y) in the given direction. The notation  $Q^{-}[x, y]$  denotes the path from y to x in the opposite direction. We also write  $Q(x, y] = Q[x^+, y]$ ,  $Q[x, y) = Q[x, y^-]$  and  $Q(x, y) = Q[x^+, y^-]$ . For  $u, v \in V(Q)$ , we define the path  $Q^{\pm}[u, v]$  as follows; if u precedes v on Q, then  $Q^{\pm}[u, v] = Q[u, v]$ , and if v precedes uon Q, then  $Q^{\pm}[u, v] = Q^{-}[u, v]$ . If T is a tree with at least one branch and  $x, y \in V(T)$ , where a branch vertex of a tree is a vertex of degree at least three, then we denote the path from x to y as T[x, y].

For an integer  $r \geq 1$  and two disjoint subgraphs A, B of G, we denote by  $(d_1, d_2, \ldots, d_r)$  a degree sequence from A to B such that  $d_B(v_i) \geq d_i$  and  $v_i \in V(A)$  for each  $1 \leq i \leq r$ . Throughout this dissertation, it is sufficient to consider the case of equality in the above inequality; hence, when we write  $(d_1, d_2, \ldots, d_r)$ , we will assume that  $d_B(v_i) = d_i$  for each  $1 \leq i \leq r$ . For  $X, Y \subseteq V(G)$ , E(X, Y) denote the set of edges of G joining a vertex in X and a vertex in Y. For vertex-disjoint subgraphs  $H_1, H_2$  of G, we simply write  $E(H_1, H_2)$  instead of  $E(V(H_1), V(H_2))$ . A forest is a graph each of whose components is a tree, and a leaf is a vertex of a forest whose degree is at most one. A cycle of length  $\ell$  is called an  $\ell$ -cycle.

**Definition 1.** Any chorded six-cycle must be one of two types. Either the chord splits the cycle into a three-cycle and a five-cycle—we call this *type 1*, or the chord splits the cycle into two four-cycles—we call this *type 2*.



Figure 1.2. Six-cycle types.

**Definition 2.** We say a set  $\mathscr{C} = \{C_1, \ldots, C_r\}$  of r vertex-disjoint cycles in a graph G is minimal if  $|\bigcup_{i=1}^r V(C_i)|$  is minimal over all such sets of r cycles.

**Definition 3.** Let  $C = v_1, \ldots, v_t, v_1$  be an oriented cycle with a chord  $v_i v_j, i \leq j$ . We say a chord  $v_k v_l \neq v_i v_j$  is *parallel* to  $v_i v_j$  if  $v_k, v_l \in C[v_i, v_j]$  or  $v_k, v_l \in C[v_j, v_i]$ . Note that if two chords share an endpoint, they are parallel. We say two chords are *crossing* if they are not parallel.

**Definition 4.** Let  $v_i u_j$  and  $v_k u_l$  be two edges between two oriented paths  $P_1 = v_1$ , ...,  $v_t$  and  $P_2 = u_1, \ldots, u_s$ . We say  $v_i u_j$  and  $v_k u_l$  are *parallel* if either  $i \leq k$  and  $j \leq l$ , or  $k \leq i$  and  $l \leq j$ . Note that if two edges between  $P_1$  and  $P_2$  share an endpoint, they are parallel. We say two edges between two oriented paths are *crossing* if they are not parallel.

**Definition 5.** Let  $v_i v_j$  and  $v_k v_l$  be two distinct edges between vertices of a path  $P_1 = v_1, \ldots, v_t$ , with i < j and k < l. We say  $v_i v_j$  and  $v_k v_l$  are *nested* if either  $i \leq k < l \leq j$  or  $k \leq i < j \leq l$ .

**Definition 6.** Let  $P = v_1, \ldots, v_t$  be a path. We say a vertex  $v_i$  on P has a *left edge* if there exists an edge  $v_j v_i$  for any j < i - 1. We say  $v_i$  has a *right edge* if there exists an edge  $v_i v_l$  for any l > i + 1.

**Definition 7.** Let X be a set of vertices in a graph H with |X| > 1. We call a vertex x of X isolated from the rest of X if it is the only vertex of X in some component  $H_i$  of H.

For terminology and notation not defined here, see [7].

# Chapter 2

# Degree Conditions to Imply the Existence of Vertex-Disjoint Cycles

In this chapter, we prove a result regarding the existence of a large set of vertexdisjoint cycles in a graph. Let G be a graph such that  $|G| \ge 7k+1$  and  $\sigma_4(G) \ge 8k-3$ for integer  $k \ge 2$ . We prove that such a graph contains a set of k vertex-disjoint cycles. We also conjecture a generalized result for  $\sigma_t(G)$ , and we show that the degree sums in the result on  $\sigma_4(G)$  and the conjecture for  $\sigma_t(G)$  are sharp.

## 2.1 Introduction

The study of cycles in graphs is an important and rich area. One of the more interesting questions is to find conditions that insure the existence of k ( $k \ge 2$ ) vertex-disjoint cycles. A number of such results exist. As noted in the introduction, Corrádi and Hajnal [2] proved that if a graph G has order at least 3k and  $\delta(G) \ge 2k$ , then Gcontains k disjoint cycles. Justesen [11] proved the same result from the condition  $\sigma_2(G) \ge 4k$ . Enomoto [4] and Wang [15] independently improved Justesen's bound to  $\sigma_2(G) \ge 4k - 1$ . Fujita et al. [6] proved that if  $|G| \ge 3k + 2$  and  $\sigma_3(G) \ge 6k - 2$ , then G contains k disjoint cycles. The purpose of this chapter is to further extend these results. We also conjecture the following:

**Conjecture 2.1** ([10]). Let G be a graph of sufficiently large order. If  $\sigma_t(G) \ge 2kt - (t-1)$  for any two integers  $k \ge 2$  and  $t \ge 1$ , then G contains k disjoint cycles.

The cases for t = 1, 2, 3 have already been shown. We add to the evidence for this conjecture by showing the following:

**Theorem 2.1** ([10]). Let G be a graph of order  $n \ge 7k + 1$  for an integer  $k \ge 2$ . If  $\sigma_4(G) \ge 8k - 3$ , then G contains k disjoint cycles.

The degree sum condition conjectured above would be sharp. And in particular, the degree sum condition of Theorem 2.1 is sharp. Sharpness is given by  $G = K_{2k-1} + mK_1$ . The only independent vertices in G are those in  $mK_1$ . Each of these vertices has degree 2k - 1. Thus, for any t with  $1 \le t \le m$ ,  $\sigma_t(G) = t(2k - 1) = 2kt - t$ , and G fails to contain k disjoint cycles as any such cycle must contain two vertices of  $K_{2k-1}$ .

# 2.2 Lemmas

In the proof of Theorem 2.1, we make use of the following lemmas. Fujita, Matsumura, Tsugaki and Yamashita proved Lemmas 2.A, 2.B and 2.C in [6]. The proofs of Lemmas 2.1 and 2.5 appear after the proof of Theorem 2.1, that is, in Section 2.4.

Let  $C_1, \ldots, C_r$  be r disjoint cycles of a graph G. If  $C'_1, \ldots, C'_r$  are r disjoint cycles of G and  $|\bigcup_{i=1}^r V(C'_i)| < |\bigcup_{i=1}^r V(C_i)|$ , then we call  $C'_1, \ldots, C'_r$  a shorter (family of) cycles than  $C_1, \ldots, C_r$ . We also call  $\{C_1, \ldots, C_r\}$  a minimal (family of) cycles if Gdoes not contain shorter r disjoint cycles than  $C_1, \ldots, C_r$ .

**Lemma 2.A** (Fujita et al. [6]). Let r be a positive integer and  $C_1, \ldots, C_r$  be r minimal disjoint cycles of a graph G. Then  $d_{C_i}(x) \leq 3$  for any  $x \in V(G) - \bigcup_{i=1}^r V(C_i)$  and

for any  $1 \le i \le r$ . Furthermore,  $d_{C_i}(x) = 3$  implies  $|C_i| = 3$ , and  $d_{C_i}(x) = 2$  implies  $|C_i| \le 4$ .

**Lemma 2.B** (Fujita et al. [6]). Suppose that F is a forest with at least two components and C is a triangle. Let  $x_1, x_2, x_3$  be leaves of F from at least two components. If  $d_C(\{x_1, x_2, x_3\}) \ge 7$ , then there exist two disjoint cycles in  $\langle F \cup C \rangle$  or there exists a triangle C' in  $\langle F \cup C \rangle$  such that  $comp(\langle F \cup C \rangle - C') < comp(F)$ .

**Lemma 2.1.** Suppose that F is a forest with at least two components and C is a triangle. Let  $x_1, x_2, x_3, x_4$  be leaves of F from at least two components. If  $d_C(\{x_1, x_2, x_3, x_4\}) \ge 9$ , then there exist two disjoint cycles in  $\langle F \cup C \rangle$  or there exists a triangle C' in  $\langle F \cup C \rangle$  such that  $comp(\langle F \cup C \rangle - C') < comp(F)$ .

**Lemma 2.C** (Fujita et al. [6]). Let C be a cycle and T be a tree with three leaves  $x_1$ ,  $x_2$ ,  $x_3$ . If  $d_C(\{x_1, x_2, x_3\}) \ge 7$ , then there exist two disjoint cycles in  $\langle C \cup T \rangle$  or there exists a cycle C' in  $\langle C \cup T \rangle$  such that |C'| < |C|.

**Lemma 2.2.** Let C be a cycle and T be a tree with four leaves  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ . If  $d_C(\{x_1, x_2, x_3, x_4\}) \ge 9$ , then there exist two disjoint cycles in  $\langle C \cup T \rangle$  or there exists a cycle C' in  $\langle C \cup T \rangle$  such that |C'| < |C|.

**Proof.** Let  $X = \{x_1, x_2, x_3, x_4\}$ . If  $d_C(x_{i_0}) \le 2$  for some  $1 \le i_0 \le 4$ , then  $d_C(X - \{x_{i_0}\}) \ge 7$ , and we apply Lemma 2.C to  $X - \{x_{i_0}\}$ . Otherwise,  $d_C(x_i) \ge 3$  for each  $1 \le i \le 4$ , and we apply Lemma 2.C to any three vertices in X.  $\Box$ 

**Lemma 2.3.** Let G be a graph satisfying the assumption of Theorem 2.1, and let  $\{C_1, \ldots, C_{k-1}\}$  be a minimal (family of) k - 1 disjoint cycles of G. Suppose that there exists a tree T with at least four leaves, which is a component of  $G - \bigcup_{i=1}^{k-1} C_i$ . Then G contains k disjoint cycles.

**Proof.** Let  $\mathscr{C} = \bigcup_{i=1}^{k-1} C_i$ , and let  $X = \{x_1, x_2, x_3, x_4\}$  be a set of leaves of T. Since X is an independent set,  $d_{\mathscr{C}}(X) \ge (8k-3) - 4 = 8(k-1) + 1$ . Then there exists a cycle

 $C_i$  for some  $1 \le i \le k - 1$  such that  $d_{C_i}(X) \ge 9$ . Since  $\{C_1, \ldots, C_{k-1}\}$  is minimal, there exist two disjoint cycles in  $\langle C_i \cup T \rangle$  by Lemma 2.2. Thus G contains k disjoint cycles.

**Lemma 2.4.** Let G be a graph satisfying the assumption of Theorem 2.1, and let  $C_1$ , ...,  $C_{k-1}$  be k-1 minimal disjoint cycles of G. Suppose that  $H = G - \bigcup_{i=1}^{k-1} C_i$  has at least two components at least one of which is a tree T with at least three leaves. Then there exist two disjoint cycles in  $\langle C_i \cup T \rangle$  for some  $1 \le i \le k-1$  or there exists a triangle C in  $\langle H \cup C_i \rangle$  such that  $comp(\langle H \cup C_i \rangle - C) < comp(H)$ .

**Proof.** Let  $\mathscr{C} = \bigcup_{i=1}^{k-1} C_i$ . Let  $x_1, x_2, x_3$  be three leaves of the tree T, and let  $x_4$  be a leaf from another component, and  $X = \{x_1, x_2, x_3, x_4\}$ . Since X is an independent set,  $d_{\mathscr{C}}(X) \ge (8k-3) - 4 = 8(k-1) + 1$ . Then there exists a cycle  $C_i$  for some  $1 \le i \le k - 1$  such that  $d_{C_i}(X) \ge 9$ . If  $d_{C_i}(x_4) \le 2$ , then  $d_C(\{x_1, x_2, x_3\}) \ge 7$ . By Lemma 2.C, there exist two disjoint cycles in  $\langle C_i \cup T \rangle$  or there exists a cycle C in  $\langle C_i \cup T \rangle$  such that  $|C| < |C_i|$ . Since  $\{C_1, \ldots, C_{k-1}\}$  is minimal, the lemma holds. If  $d_{C_i}(x_4) \ge 3$ , then  $C_i$  is a triangle by Lemma 2.A. Thus the lemma holds by Lemma 2.1.

**Lemma 2.5.** Let  $C_1$  and  $C_2$  be two disjoint cycles such that  $|C_2| \ge 6$ . Suppose that  $C_2$  contains vertices with at least one of the following degree sequences from  $C_2$  to  $C_1$ .

- (i) (2, 2, 2, 2, 2)
- (ii) (5,3)
- (iii) (3, 1, 1, 1, 1, 1)
- (iv) (3, 2, 1, 1)
- (v) (3,3,1)

Then  $\langle C_1 \cup C_2 \rangle$  contains two disjoint cycles  $C'_1$  and  $C'_2$  such that  $|C'_1| + |C'_2| < |C_1| + |C_2|$ .

**Lemma 2.6.** Let H be a graph with two components  $H_1, H_2$ , where  $H_1 = x_1, \ldots, x_s$ 

 $(s \ge 1)$  is a path and  $H_2 = y_1, \ldots, y_t$   $(t \ge 3)$  is a path. Let  $W = \{x_1, y_1, y_i, y_t\}$  for any  $2 \le i \le t - 1$ , and let C be a triangle. If there exists a degree sequence (3, 3, 2, 0)or (3, 3, 1, 1) from W to C, then  $\langle H \cup C \rangle$  contains two disjoint cycles.

# 2.3 Proof of Theorem 2.1

For convenience, we restate our main result.

**Theorem 2.1.** Let G be a graph of order  $n \ge 7k + 1$  for an integer  $k \ge 2$ . If  $\sigma_4(G) \ge 8k - 3$ , then G contains k disjoint cycles.

Proof of Theorem 2.1. Suppose that the theorem does not hold. Let G be an edgemaximal counterexample. If G is a complete graph, then G contains k disjoint cycles. Thus we may assume that G is not a complete graph. Let  $xy \notin E(G)$  for some  $x, y \in V(G)$ , and define G' = G + xy. Since G' is not a counterexample by the maximality of G, G' contains k disjoint cycles  $C_1, \ldots, C_k$ . Without loss of generality, we may assume that  $xy \notin \bigcup_{i=1}^{k-1} E(C_i)$ , that is, G contains k - 1 disjoint cycles  $C_1, \ldots, C_{k-1}$ . Let  $\mathscr{C} = \bigcup_{i=1}^{k-1} C_i$  and  $H = G - \mathscr{C}$ . Choose  $C_1, \ldots, C_{k-1}$  such that

- (1)  $\sum_{i=1}^{k-1} |C_i|$  is minimal, and
- (2) subject to (1), comp(H) is minimal.

Note that any cycle C in  $\mathscr{C}$  has no chords by (1). Clearly, H is a forest, otherwise, since H contains a cycle, G contains k disjoint cycles, a contradiction. If H contains at least two components at least one of which is a tree with at least three leaves, then by Lemma 2.4, either G contains k disjoint cycles, or we contradict (2). Thus if Hcontains at least two components, H must be a collection of paths. If H has only one component, then it is a tree. If H is a tree with at least four leaves, then the theorem holds by Lemma 2.3. Thus if H has only one component, then H is a tree with at most three leaves. Now, we consider two cases on |H|.

#### **Case 1.** $|H| \le 7$ .

Let C be a longest cycle in  $\mathscr{C}$ . Suppose that  $|C| \leq 7$ . Then  $|C'| \leq 7$  for any cycle C' in  $\mathscr{C}$ , and  $|\mathscr{C}| \leq 7(k-1)$ . Since  $|G| \geq 7k+1$ ,  $|H| = |G| - |\mathscr{C}| \geq (7k+1) - 7(k-1) = 8$ , contradicting the assumption of this case. Thus  $|C| \geq 8$ . Let |C| = 4t + r,  $t \geq 2$  and  $0 \leq r \leq 3$ . Then there exist at least t disjoint independent sets in V(C) each of which has four vertices. By (1) and  $|C| \geq 8$ ,  $d_C(v) \leq 1$  for any  $v \in V(H)$ . Thus  $|E(H,C)| \leq 7$ .

Suppose that k = 2. Then  $\mathscr{C}$  has only one cycle C, and H = G - C. Since  $|C| \ge 8$ , C contains at least two independent sets each of which has four vertices. Let  $X_1$  and  $X_2$  be such sets. Since  $d_C(X_i) = 8$  for each  $i \in \{1, 2\}$ ,  $d_H(X_i) \ge (8k - 3) - 8 =$  8k - 11. Then  $d_H(X_1 \cup X_2) \ge 16k - 22 \ge 10$ , since  $k \ge 2$ . Thus  $|E(C, H)| \ge 10$ , a contradiction.

Suppose that  $k \ge 3$ . We claim that  $|E(C, C')| \ge 8t$  for some cycle C' in  $\mathscr{C} - C$ . Note that each of t disjoint independent sets in V(C) sends at least (8k-3) - 8 = 8k - 11 edges out of C. Since  $|E(C, H)| \le 7$  and  $t \ge 2$ ,  $|E(C, \mathscr{C} - C)| \ge t(8k - 11) - 7 > 8t(k-2)$ . Thus the claim holds. Since  $|C| = 4t + r \le 4t + 3$  and  $|E(C, C')|/|C| \ge 8t/(4t+3) > 8t(4t+4) = 2t/(t+1) > 1$ ,  $d_{C'}(v) \ge 2$  for some  $v \in V(C)$ .

Suppose that  $\max\{d_{C'}(v)|v \in V(C)\} = 2$ . Let  $X = \{v \in V(C)|d_{C'}(v) \leq 1\}$  and Y = V(C) - X. Then noting that  $t \geq 2$  and  $r \leq 3$ ,

$$8t \le |E(C, C')| \le |X| + 2|Y| = (|C| - |Y|) + 2|Y| = |C| + |Y|$$
$$\Rightarrow |Y| \ge 8t - |C| = 8t - (4t + r) = 4t - r$$
$$\ge 8 - 3 = 5.$$

Thus we have the degree sequence (2,2,2,2,2) from C to C'. By Lemma 2.5(i),  $\langle C \cup C' \rangle$ 

contains two shorter disjoint cycles, contradicting (1).

Suppose that  $h = \max\{d_{C'}(v)|v \in V(C)\} \ge 3$ . Let  $d_{C'}(v^*) = h$  for some  $v^* \in V(C)$ . Since  $|C'| \le |C| = 4t + r$  by the choice of C,  $d_{C'}(v^*) \le |C'| \le 4t + r$ . Then since  $t \ge 2$  and  $r \le 3$ ,  $|E(C - v^*, C')| \ge 8t - (4t + r) = 4t - r \ge 5$ . This implies that  $N_{C'}(C - v^*) \ne \emptyset$ . Let  $Z = \{v \in V(C) | N_{C'}(v) \ne \emptyset\}$ . Then  $|Z| \ge 2$ .

Suppose that |Z| = 2. Then  $d_{C'}(v) \ge 5$  for any  $v \in Z$  by the above observations. By Lemma 2.5(ii),  $\langle C \cup C' \rangle$  contains two shorter disjoint cycles, contradicting (1).

Suppose that  $|Z| \geq 3$ . Since  $|E(C - v^*, C')| \geq 5$ , we may assume that the minimum degree sequence S from vertices of C to C' is at least one of (h, 4, 1), (h, 3, 2), (h, 3, 1, 1), (h, 2, 2, 1), (h, 2, 1, 1, 1), or (h, 1, 1, 1, 1, 1), where by the definition of h, if S = (h, 4, 1), then  $h \geq 4$ , and if S is the other degree sequence, then  $h \geq 3$ . If S = (h, 4, 1) or (h, 3, 2), then by Lemma 2.5(v),  $\langle C \cup C' \rangle$  contains two shorter disjoint cycles. If S = (h, 3, 1, 1), (h, 2, 2, 1) or (h, 2, 1, 1, 1), then by Lemma 2.5(iv),  $\langle C \cup C' \rangle$  contains two shorter disjoint cycles. If S = (h, 3, 1, 1), (h, 2, 2, 1) or (h, 2, 1, 1, 1), then by Lemma 2.5(iv),  $\langle C \cup C' \rangle$  contains two shorter disjoint cycles. If S = (h, 1, 1, 1, 1, 1), then by Lemma 2.5(iii),  $\langle C \cup C' \rangle$  contains two shorter disjoint cycles.

**Case 2.**  $|H| \ge 8$ .

#### Claim 1. *H* is connected.

**Proof.** Suppose to the contrary that H is disconnected. Then note that H is a collection of paths. Suppose that X is an independent set that consists of four leaves from at least two components in H such that  $d_H(X) \leq 4$ . Then  $d_{\mathscr{C}}(X) \geq (8k-3)-4 = 8(k-1)+1$ , and  $d_{C_{i_0}}(X) \geq 9$  for some  $1 \leq i_0 \leq k-1$ . Thus  $d_{C_{i_0}}(x) \geq 3$  for some  $x \in X$ , and  $|C_{i_0}| = 3$  by Lemma 2.A. By Lemma 2.1 and (2),  $\langle H \cup C_{i_0} \rangle$  contains two disjoint cycles, and G contains k disjoint cycles, a contradiction. Thus H does not contain such an independent set.

Now, we consider three cases on comp(H).

Case 1.  $comp(H) \ge 4$ .



We take four leaves  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$ , one from each component of H. Then  $X = \{x_1, x_2, x_3, x_4\}$  is an independent set such that  $d_H(X) \leq 4$ , a contradiction.

Case 2. comp(H) = 3.

We take three leaves  $x_1$ ,  $x_2$ ,  $x_3$ , one from each component of H. Since  $|H| \ge 8$ , some component of H, say  $H_1$ , has order at least 3. Now, we take the other leaf from  $H_1$ , call it  $x_4$ . Then  $X = \{x_1, x_2, x_3, x_4\}$  is an independent set such that  $d_H(X) \le 4$ , a contradiction.

#### Case 3. comp(H) = 2.

Let  $H_1, H_2$  be two distinct components in H. Without loss of generality, we may assume that  $|H_1| \leq |H_2|$ . Suppose that  $|H_1| \geq 3$ . Then we take two leaves from each component of H, yielding a set X of four independent vertices such that  $d_H(X) = 4$ , a contradiction. Suppose that  $|H_1| \in \{1, 2\}$ . Since  $|H| \geq 8$ ,  $|H_2| \geq 6$ . Let  $H_1 = x_1$ ,  $x_s$  ( $s \in \{1, 2\}$ );  $H_2 = y_1, y_2, \ldots, y_t$  ( $t \geq 6$ ), and let  $W = \{x_1, y_1, y_3, y_t\}$  (see Figures 2.1 and 2.2). Since W is an independent set and  $d_H(W) \leq 5$ ,  $d_{\mathscr{C}}(W) \geq (8k-3)-5 =$ 8(k-1). Then there is a cycle  $C_0$  in  $\mathscr{C}$  such that  $d_{C_0}(W) \geq 8$ . By Lemma 2.A,  $d_{C_0}(u) \leq 3$  for any  $u \in W$ , and  $|C_0| \leq 4$ . Then the minimum possible degree sequence S from W to  $C_0$  is (3,3,2,0), (3,3,1,1), (3,2,2,1) or (2,2,2,2).

Suppose that  $|C_0| = 4$ . Let  $C_0 = v_1, v_2, v_3, v_4, v_1$ . Then  $d_{C_0}(u) \leq 2$  for any  $u \in W$  by Lemma 2.A. Thus we must have degree sequence (2,2,2,2). If some  $u \in W$  has consecutive neighbors in  $C_0$ , then u and these two neighbors form a 3-cycle, contradicting (1). Thus for any  $u \in W$ , its neighbors in  $C_0$  are not consecutive. It follows that for any  $u \in W$ , either  $N_{C_0}(u) = \{v_1, v_3\}$  or  $N_{C_0}(u) = \{v_2, v_4\}$ . Without

loss of generality, we may assume that  $N_{C_0}(x_1) = \{v_1, v_3\}$ . If  $y_{i_0}, y_{j_0}$  with some  $i_0$ ,  $j_0 \in \{1, 3, t\}$  and  $i_0 < j_0$  do not share neighbors in  $C_0$  with  $x_1$ , then we can easily find two disjoint cycles, as follows. Since  $N_{C_0}(y_m) = \{v_2, v_4\}$  for each  $m \in \{i_0, j_0\}$ ,  $H_2[y_{i_0}, y_{j_0}], v_4, y_{i_0}$  is a cycle, and  $x_1, v_3, v_2, v_1, x_1$  is the other disjoint cycle (see Figure 2.3).



Figure 2.3. An example where  $i_0 = 1$  and  $j_0 = t$ .

Thus at most one vertex in  $\{y_1, y_3, y_t\}$  does not share neighbors in  $C_0$  with  $x_1$ . Suppose that some vertex in  $\{y_1, y_3, y_t\}$  does not share neighbors in  $C_0$  with  $x_1$ . First, suppose that such a vertex is  $y_1$ , that is,  $N_{C_0}(y_1) = \{v_2, v_4\}$ . Then  $y_1, v_4, v_3, v_2, y_1$  is a cycle. Since  $v_1 \in N_{C_0}(y_i)$  for each  $i \in \{3, t\}$ ,  $H_2[y_3, y_t]$ ,  $v_1$ ,  $y_3$  is the other disjoint cycle. If  $N_{C_0}(y_t) = \{v_2, v_4\}$ , then  $y_t, v_4, v_3, v_2, y_t$  and  $H_2[y_1, y_3], v_1, y_1$  are two disjoint cycles. Suppose that  $N_{C_0}(y_3) = \{v_2, v_4\}$ . Then we form a 4-cycle  $C'_0 = y_3, v_4, v_3, v_2,$  $y_3$ . Since  $v_1 \in N_{C_0}(y_i)$  for each  $i \in \{1, t\}$ ,  $\langle H \cup C_0 \rangle - C'_0$  is connected, contradicting (2) (see Figure 2.4). Thus  $N_{C_0}(x_1) = N_{C_0}(y_i)$  for each  $i \in \{1, 3, t\}$ . Then  $C'_0 = H_2[y_1, y_3],$  $v_1, y_1$  is a 4-cycle. Since  $v_3 \in N_{C_0}(u)$  for each  $u \in \{x_1, y_t\}, \langle H \cup C_0 \rangle - C'_0$  is connected, contradicting (2). Thus if there exists a 4-cycle in  $\mathscr{C}$ , we get a contradiction.



Figure 2.4. A new cycle  $C'_0$  such that  $\langle H \cup C_0 \rangle - C'_0$  is connected.

Suppose that  $|C_0| = 3$ . Let  $C_0 = v_1, v_2, v_3, v_1$ .

Subcase 1. S = (3, 3, 2, 0) or S = (3, 3, 1, 1).

By Lemma 2.6, we can find two disjoint cycles in  $\langle C_0 \cup H \rangle$ , a contradiction.

Subcase 2. S = (3, 2, 2, 1).

If  $d_{C_0}(y_3) = 1$ , then since  $\{x_1, y_1, y_t\}$  satisfies the conditions of Lemma 2.B, we get a contradiction. Thus  $d_{C_0}(y_3) \in \{2, 3\}$ .

First, suppose that  $d_{C_0}(x_1) = 1$ . Let  $v_1 \in N_{C_0}(x_1)$ . Note that  $d_{C_0}(y_i) \ge 2$  for each  $i \in \{1, 3, t\}$ . If  $v_1 \notin N_{C_0}(y_{i_0})$  for some  $i_0 \in \{1, t\}$ , then  $d_{C_0}(y_{i_0}) = 2$ , and  $C'_0 = y_{i_0}$ ,  $v_3, v_2, y_{i_0}$  is a 3-cycle. Since  $d_{C_0}(y_{i_1}) = 3$  for some  $i_1 \in \{1, 3, t\} - \{i_0\}, v_1 \in N_{C_0}(y_{i_1})$ . Then  $\langle C_0 \cup H \rangle - C'_0$  is connected, contradicting (2) (see Figure 2.5). Thus  $v_1 \in N_{C_0}(y_i)$  for each  $i \in \{1, t\}$ . Since  $d_{C_0}(y_{i_2}) = 3$  for some  $i_2 \in \{1, 3, t\}, C''_0 = y_{i_2}, v_3, v_2, y_{i_2}$  is a 3-cycle. Then  $\langle C_0 \cup H \rangle - C''_0$  is connected, contradicting (2).



Figure 2.5. The case when  $i_0 = 1$  and  $i_1 = 3$ .

Next, suppose that  $d_{C_0}(x_1) = 2$ . Without loss of generality, we may assume that  $v_1, v_2 \in N_{C_0}(x_1)$ . Suppose that  $d_{C_0}(y_3) = 2$ . Since  $|C_0| = 3$ , we may assume that  $v_1 \in N_{C_0}(x_1) \cap N_{C_0}(y_3)$ . Since  $d_{C_0}(y_{j_0}) = 3$  for some  $j_0 \in \{1, t\}, C'_0 = y_{j_0}, v_3, v_2, y_{j_0}$  is a 3-cycle. Then  $\langle C_0 \cup H \rangle - C'_0$  is connected, contradicting (2). Suppose that  $d_{C_0}(y_3) = 3$ . If  $v_3 \in N_{C_0}(y_{m_0})$  for some  $m_0 \in \{1, t\}$ , then  $H_2^{\pm}[y_3, y_{m_0}], v_3, y_3$  and  $x_1, v_2, v_1, x_1$  are two disjoint cycles. Thus  $v_3 \notin N_{C_0}(y_m)$  for each  $m \in \{1, t\}$ , that is,  $N_{C_0}(y_m) \subseteq \{v_1, v_2\}$ . Since one of  $y_1$  and  $y_t$  has the degree 1 and the other has the degree 2, without loss of generality, we may assume that  $v_1 \in N_{C_0}(y_1) \cap N_{C_0}(y_t)$ . Since  $d_{C_0}(y_3) = 3$ ,  $C''_0 = y_3, v_3, v_2, y_3$  is a 3-cycle, and  $\langle C_0 \cup H \rangle - C''_0$  is connected, contradicting (2) (see Figure 2.6).

Finally, suppose that  $d_{C_0}(x_1) = 3$ . Since  $d_{C_0}(y_{i_0}) = d_{C_0}(y_{j_0}) = 2$  for some  $i_0$ ,  $j_0 \in \{1, 3, t\}$  with  $i_0 < j_0$ , we may assume that  $v_1 \in N_{C_0}(y_{i_0}) \cap N_{C_0}(y_{j_0})$ . Then  $H_2[y_{i_0}, y_{j_0}], v_1, y_{i_0}$  is a cycle. Since  $d_{C_0}(x_1) = 3$ , a second disjoint cycle is given by  $x_1$ ,  $v_3, v_2, x_1$  (see Figure 2.7), a contradiction.



Figure 2.6. The case when  $v_1 \in N_{C_0}(y_1) \cap N_{C_0}(y_t)$ .



Figure 2.7. The case when  $v_1 \in N_{C_0}(y_{i_0}) \cap N_{C_0}(y_{j_0})$ .

Subcase 3. S = (2, 2, 2, 2).

Without loss of generality, we may assume that  $N_{C_0}(x_1) = \{v_1, v_2\}$ . If  $v_3 \in N_{C_0}(y_{i_0}) \cap N_{C_0}(y_{j_0})$  for some  $i_0, j_0 \in \{1, 3, t\}$  with  $i_0 < j_0$ , then  $H_2[y_{i_0}, y_{j_0}], v_3, y_{i_0}$ and  $x_1, v_2, v_1, x_1$  are two disjoint cycles. Thus at most one in  $\{y_1, y_3, y_t\}$  can be adjacent to  $v_3$ . Suppose that  $v_3 \in N_{C_0}(y_{i_0})$  for some  $i_0 \in \{1, 3, t\}$ . Since  $d_{C_0}(y_{i_0}) = 2$ , we may assume that  $v_2 \in N_{C_0}(y_{i_0})$ . Then  $C'_0 = y_{i_0}, v_3, v_2, y_{i_0}$  is a 3-cycle. For each  $i \in \{1, 3, t\} - \{i_0\}, N_{C_0}(y_i) = \{v_1, v_2\}$ . Then  $\langle C_0 \cup H \rangle - C'_0$  is connected, contradicting (2). Thus  $v_3 \notin N_{C_0}(y_i)$  for each  $i \in \{1, 3, t\}$ , that is,  $N_{C_0}(y_i) = \{v_1, v_2\}$ . Then  $C''_0 = H_2[y_1, y_3], v_2, y_1$  is a 3-cycle, and  $\langle C_0 \cup H \rangle - C''_0$  is connected, contradicting (2). This completes the proof of Claim 1.

Claim 2. H is a path.

**Proof.** Suppose that H is not a path. Then recall that H is a tree with one branch vertex of degree 3 in H. Then H has three leaves, say  $x_1, x_2, x_3$ . Removing the branch vertex in H, there exist three disjoint paths each of which has one vertex from  $\{x_1, x_2, x_3\}$  as an endpoint. Also, some path has order at least three, say P, since there exist at least seven vertices distributed over three paths. Without loss of generality, we may assume that  $x_1$  is one of the endpoints of P, and let the other endpoint be  $x_4$ . Let  $X = \{x_1, x_2, x_3, x_4\}$  (see Figure 2.8). Then X is an independent set. Since  $d_H(X) = 5$ ,  $d_{\mathscr{C}}(X) \ge (8k-3) - 5 = 8(k-1)$ . Thus there exists a cycle  $C_{i_0}$  in  $\mathscr{C}$  such that  $d_{C_{i_0}}(X) \ge 8$  for some  $1 \le i_0 \le k - 1$ . Then  $d_{C_{i_0}}(x) \ge 2$  for some  $x \in X$ . By Lemma 2.A,  $d_{C_{i_0}}(x) \le 3$  and  $|C_{i_0}| \le 4$ .



Figure 2.8. The graph H and an independent set  $X = \{x_1, x_2, x_3, x_4\}$ .

Case 1.  $|C_{i_0}| = 3$ .

Let  $C_{i_0} = v_1, v_2, v_3, v_1$ . Suppose that  $d_{C_{i_0}}(x) = 2$  for each  $x \in X$ . Without loss of generality, let  $v_1, v_2 \in N_{C_{i_0}}(x_1)$ . Since  $|C_{i_0}| = 3, N_{C_{i_0}}(x_2) \cap N_{C_{i_0}}(x_3) \neq \emptyset$ . If  $v_3 \in N_{C_{i_0}}(x_2) \cap N_{C_{i_0}}(x_3)$ , then  $H[x_2, x_3], v_3, x_2$  and  $x_1, v_2, v_1, x_1$  are two disjoint cycles (see Figure 2.9). Thus without loss of generality, we may assume that  $v_1 \in N_{C_{i_0}}(x_2) \cap N_{C_{i_0}}(x_3)$ . Then  $H[x_2, x_3], v_1, x_2$  is a cycle. Since  $d_{C_{i_0}}(x_4) = 2$ ,  $N_{C_{i_0}-v_1}(x_4) \neq \emptyset$ . If  $v_2 \in N_{C_{i_0}}(x_4)$ , then  $H[x_1, x_4], v_2, x_1$  is the other disjoint cycle (see Figure 2.10), and if  $v_3 \in N_{C_{i_0}}(x_4)$ , then  $H[x_1, x_4], v_3, v_2, x_1$  is the other disjoint cycle. Thus there exists at least one vertex  $x \in X$  such that  $d_{C_{i_0}}(x) = 3$ . Then the minimum possible degree sequences from X to  $C_{i_0}$  are (3,3,2,0), (3,3,1,1) or (3,2,2,1).

**Subclaim 2.1.** If there exists a degree sequence at least (3,3,1,0) from X to  $C_{i_0}$ ,

then there exist two disjoint cycles in  $\langle H \cup C_{i_0} \rangle$ .

First, suppose that  $d_{C_{i_0}}(x_{j_0}) = 1$  for some  $1 \leq j_0 \leq 3$ . Let  $v_1 \in N_{C_{i_0}}(x_{j_0})$ . If  $d_{C_{i_0}}(x_4) = 0$ , then since  $d_{C_{i_0}}(x_m) = 3$  for each  $m \in \{1, 2, 3\} - \{j_0\}$ ,  $H[x_{j_0}, x_m]$ ,  $v_1$ ,  $x_{j_0}$  is a cycle. Since  $d_{C_{i_0}}(x_{m'}) = 3$  for  $m' \in \{1, 2, 3\} - \{j_0, m\}$ , it follows that  $x_{m'}$ ,  $v_3, v_2, x_{m'}$  forms another cycle, vertex-disjoint from the first (see Figure 2.11). If  $d_{C_{i_0}}(x_4) = 3$ , then  $H[x_{j_0}, x_4]$ ,  $v_1, x_{j_0}$  is a cycle, and since  $d_{C_{i_0}}(x_{m_0}) = 3$  for some  $m_0 \in \{1, 2, 3\} - \{j_0\}$ , the other disjoint cycles is given by  $x_{m_0}, v_3, v_2, x_{m_0}$ . Next, suppose that  $d_{C_{i_0}}(x_4) = 1$ . Let  $v_1 \in N_{C_{i_0}}(x_4)$ . Then  $d_{C_{i_0}}(x_{m_1}) = 3$  and  $d_{C_{i_0}}(x_{m_2}) = 3$  for some  $1 \leq m_1 < m_2 \leq 3$ , and  $H[x_{m_1}, x_4]$ ,  $v_1, x_{m_1}$  and  $x_{m_2}, v_3, v_2, x_{m_2}$  are two disjoint cycles, and Subclaim 2.1 holds.

Thus by the claim, we have only to consider the degree sequence (3,2,2,1). If the degree 3 vertex does not lie on the path in H connecting the degree 2 vertices, then since the two vertices with degree 2 must have a common neighbor by  $|C_{i_0}| = 3$ , we can easily find two disjoint cycles. Thus the degree 3 vertex does lie on the path connecting the two vertices with degree 2. This implies that  $d_{C_{i_0}}(x_4) = 3$ ,  $d_{C_{i_0}}(x_1) = 2$  (see Figure 2.8), and we may assume that  $d_{C_{i_0}}(x_2) = 1$  and  $d_{C_{i_0}}(x_3) = 2$ . Let  $v_1 \in N_{C_{i_0}}(x_2)$ . Since  $|N_{C_{i_0}}(x_1) \cap N_{C_{i_0}}(x_4)| = 2$ , there exists  $v_{h_0} \in N_{C_{i_0}}(x_1) \cap N_{C_{i_0}}(x_4)$  for some  $h_0 \in \{2,3\}$ . Then  $H[x_1, x_4]$ ,  $v_{h_0}$ ,  $x_1$  is a cycle. Since  $d_{C_{i_0}}(x_3) = 2$ , there exists  $v_{h_1} \in N_{C_{i_0}}(x_3)$  for some  $h_1 \in \{1, 2, 3\} - \{h_0\}$ . If  $h_1 = 1$ , then  $H[x_2, x_3]$ ,  $v_{h_1}$ ,  $v_{h_1}$ ,  $x_2$  is the other disjoint cycle (see Figure 2.12), and if  $h_1 \in \{2,3\}$ , then  $H[x_2, x_3]$ ,  $v_{h_1}$ ,  $v_{h_1}$ ,  $v_{h_2}$  is the other disjoint cycle.



Figure 2.9. The case when  $v_3 \in N_{C_{i_0}}(x_2) \cap N_{C_{i_0}}(x_3)$ .



Figure 2.10. The case when  $v_2 \in N_{C_{i_0}}(x_4)$ .



Figure 2.11. An example with  $j_0 = 1, m = 2, m' = 3$ .

Case 2.  $|C_{i_0}| = 4$ .

Let  $C_{i_0} = v_1, v_2, v_3, v_4, v_1$ . By Lemma 2.A,  $d_{C_{i_0}}(x) \leq 2$  for each  $x \in X$ . Since  $d_{C_{i_0}}(X) \geq 8$ ,  $d_{C_{i_0}}(x) = 2$  for each  $x \in X$ . No vertex in X has consecutive neighbors in  $C_{i_0}$ , otherwise, we can immediately find a 3-cycle, contradicting (1). Thus for each  $x \in X$ , either  $N_{C_{i_0}}(x) = \{v_1, v_3\}$  or  $N_{C_{i_0}}(x) = \{v_2, v_4\}$ .

Subcase 1. All four vertices in X have the same two neighbors in  $C_{i_0}$ .

We may assume that  $N_{C_{i_0}}(X) = \{v_1, v_3\}$ . Then  $H[x_1, x_4], v_1, x_1$  and  $H[x_2, x_3], v_3, x_2$  are two disjoint cycles.

Subcase 2. Three vertices in X have the same two neighbors in  $C_{i_0}$ .

Suppose that  $x_1, x_4$  have the same two neighbors in  $C_{i_0}$ . Then we may assume that



Figure 2.12. An example with  $h_0 = 2$  and  $h_1 = 1$ .



Figure 2.13. An example where  $v_2 \in N_{C_{i_0}}(x_2)$  and  $v_4 \in N_{C_{i_0}}(x_3)$ .



Figure 2.14. The case when  $x_1$  and  $x_4$  have the same neighbors in  $C_{i_0}$ .

 $v_1 \in N_{C_{i_0}}(x_1) \cap N_{C_{i_0}}(x_4)$ , and  $H[x_1, x_4]$ ,  $v_1$ ,  $x_1$  is a cycle. Since  $d_{C_{i_0}}(x_j) = 2$  for each  $j \in \{2, 3\}$ ,  $N_{C_{i_0}-v_1}(x_j) \neq \emptyset$ . Then  $\langle H[x_2, x_3] \cup (C_{i_0} - v_1) \rangle$  contains the other disjoint cycle (see Figure 2.13). Suppose that  $x_1$ ,  $x_4$  do not have the same two neighbors in  $C_{i_0}$ . Since  $x_2$ ,  $x_3$  have the same two neighbors in  $C_{i_0}$ , we repeat the above arguments, replacing  $x_1$ ,  $x_4$  with  $x_2$ ,  $x_3$ .

Subcase 3. Two vertices of X have the same two neighbors in  $C_{i_0}$ , and the other two vertices of X have the same two neighbors, different from the neighbors of the first two.

Suppose that  $x_1, x_4$  have the same two neighbors. We may assume that  $v_1 \in N_{C_{i_0}}(x_1) \cap N_{C_{i_0}}(x_4)$ . Then  $H[x_1, x_4]$ ,  $v_1$ ,  $x_1$  is a cycle. Since  $x_2$ ,  $x_3$  have the same two neighbors, different from the neighbors of  $x_1$  and  $x_4$ ,  $H[x_2, x_3]$ ,  $v_2$ ,  $x_2$  is the other disjoint cycle (see Figure 2.14). Suppose that  $x_1$ ,  $x_4$  have different neighbors. We may assume that  $v_1 \in N_{C_{i_0}}(x_1)$  and  $v_2 \in N_{C_{i_0}}(x_4)$ . Then  $H[x_1, x_4]$ ,  $v_2$ ,  $v_1$ ,  $x_1$  is a cycle. Since  $x_2$ ,  $x_3$  have the neighbors, different from  $v_1$ ,  $v_2$ ,  $\langle H[x_2, x_3] \cup \{v_3, v_4\} \rangle$  contains the other disjoint cycle. This completes the proof of Claim 2.

Since H is a path by Claim 2, let  $H = x_1, x_2, \ldots, x_t$   $(t \ge 8)$ . Let X =

 $\{x_1, x_3, x_5, x_t\}$ . Then X is an independent set with  $d_H(X) = 6$ , and  $d_{\mathscr{C}}(X) \ge (8k-3)-6=8k-9\ge 7(k-1)$ , since  $k\ge 2$ . Thus either  $d_{C_0}(X)\ge 8$  for some cycle  $C_0$  in  $\mathscr{C}$ , or  $d_C(X)=7$  for every cycle C in  $\mathscr{C}$ . If  $d_C(X)\ge 8$  for some cycle C in  $\mathscr{C}$ , then we have the minimum possible degree sequences (3,3,2,0), (3,3,1,1), (3,2,2,1) or (2,2,2,2) from X to C. If  $d_C(X)=7$  for some cycle C in  $\mathscr{C}$ , then we have the minimum possible degree sequences (3,3,1,0), (3,2,1,1), (3,2,2,0) or (2,2,2,1) from X to C.

**Claim 3.** If there exists a degree sequence at least (3,3,1,0) from X to C, then there exist two disjoint cycles in  $\langle H \cup C \rangle$ .

Proof. By Lemma 2.A, |C| = 3. Let  $C = v_1, v_2, v_3, v_1$ . We may assume that  $d_C(x_{i_0}) = 1$  for some  $i_0 \in \{1, 3\}$ , otherwise,  $i_0 \in \{5, t\}$ , and we may argue in a similar manner from the other end of the path H. Let  $v_1 \in N_C(x_{i_0})$ . First, suppose that  $i_0 = 1$ , that is,  $d_C(x_1) = 1$ . Then  $d_C(x_{j_1}) = d_C(x_{j_2}) = 3$  for some  $j_1, j_2 \in \{3, 5, t\}$  with  $j_1 < j_2$ . Thus  $H[x_1, x_{j_1}], v_1, x_1$  and  $x_{j_2}, v_3, v_2, x_{j_2}$  are two disjoint cycles. Next, suppose that  $i_0 = 3$ , that is,  $d_C(x_3) = 1$ . If  $d_C(x_1) = 0$ , then since  $d_C(x_j) = 3$  for each  $j \in \{5, t\}, x_3, x_4, x_5, v_1, x_3$  and  $x_t, v_3, v_2, x_t$  are two disjoint cycles. If  $d_C(x_1) = 3$ , then  $x_1, x_2, x_3, v_1, x_1$  is a cycle, and since  $d_C(x_{j_0}) = 3$  for some  $j_0 \in \{5, t\}, x_{j_0}, v_3, v_2, x_{j_0}$  is the other disjoint cycle.

**Claim 4.** If there exists a degree sequence at least (2, 2, 2, 1) from X to C, then there exist two disjoint cycles in  $\langle H \cup C \rangle$ .

Proof. By Lemma 2.A,  $|C| \leq 4$ . Let  $C = v_1, v_2, \ldots, v_q, v_1$ , where q = |C|. We may assume that  $d_C(x_{i_0}) = 1$  for some  $i_0 \in \{5, t\}$ , otherwise,  $i_0 \in \{1, 3\}$ , and we may argue in a similar manner from the other end of the path H. Let  $v_1 \in N_C(x_{i_0})$ .

Case 1.  $N_C(x_1) \cap N_C(x_3) \neq \emptyset$ .

First, suppose that  $v_{j_0} \in N_{C-v_1}(x_1) \cap N_{C-v_1}(x_3)$  for some  $2 \le j_0 \le q$ . Then  $x_1$ ,  $x_2, x_3, v_{j_0}, x_1$  is a cycle. Since  $d_C(x_r) = 2$  for  $r \in \{5, t\} - \{i_0\}, N_{C-v_{j_0}}(x_r) \ne \emptyset$ . Then  $\langle H[x_5, x_t] \cup (C - v_{j_0}) \rangle$  contains the other disjoint cycle. Next, suppose that  $v_1 \in N_C(x_1) \cap N_C(x_3)$ . Then  $x_1, x_2, x_3, v_1, x_1$  is a cycle. Since  $d_C(x_r) = 2$  for  $r \in \{5, t\} - \{i_0\}$ , if  $v_1 \notin N_C(x_r)$ , then  $\langle x_r \cup (C - v_1) \rangle$  contains the other disjoint cycle. Thus we may assume that  $v_1 \in N_C(x_r)$ . Then  $H[x_5, x_t], v_1, x_5$  is a cycle. Since  $d_C(x_i) = 2$  for each  $i \in \{1, 3\}, N_{C-v_1}(x_i) \neq \emptyset$ , and  $\langle H[x_1, x_3] \cup (C - v_1) \rangle$  contains the other disjoint cycle.

### Case 2. $N_C(x_1) \cap N_C(x_3) = \emptyset$ .

In this case, if |C| = 3, then since  $d_C(x_i) = 2$  for each  $i \in \{1, 3\}, N_C(x_1) \cap N_C(x_3) \neq \emptyset$ , contradicting our assumption. Thus |C| = 4, and either  $N_C(x_1) = \{v_1, v_3\}$  and  $N_C(x_3) = \{v_2, v_4\}$  or  $N_C(x_1) = \{v_2, v_4\}$  and  $N_C(x_3) = \{v_1, v_3\}$ .

Suppose that  $N_C(x_1) = \{v_1, v_3\}$  and  $N_C(x_3) = \{v_2, v_4\}$ . Suppose that  $d_C(x_5) = 1$ . Then  $x_5v_1 \in E(G)$  by our earlier assumption, and  $d_C(x_t) = 2$ . If  $x_tv_1 \in E(G)$ , then  $H[x_5, x_t], v_1, x_5$  is a cycle, and  $x_3, v_4, v_3, v_2, x_3$  is the other disjoint cycle. Thus  $N_C(x_t) = \{v_2, v_4\}$ . Then  $H[x_3, x_t], v_4, x_3$  and  $x_1, v_3, v_2, v_1, x_1$  are two disjoint cycles. Suppose that  $d_C(x_t) = 1$ . Then we can find two disjoint cycles in  $\langle H \cup C \rangle$  similar to the case where  $d_C(x_5) = 1$ .

Suppose that  $N_C(x_1) = \{v_2, v_4\}$  and  $N_C(x_3) = \{v_1, v_3\}$ . Then  $x_1, v_4, v_3, v_2, x_1$  is a cycle, and since  $d_C(x_{i_0}) = 1$  for some  $i_0 \in \{5, t\}$  and  $x_{i_0}v_1 \in E(G), H[x_3, x_{i_0}], v_1, x_3$  is the other disjoint cycle.

By Claims 3 and 4, if  $d_C(X) \ge 8$  for some cycle C in  $\mathscr{C}$ , noting the minimum possible degree sequences, then  $\langle H \cup C \rangle$  contains two disjoint cycles. Thus we may assume that  $d_C(X) = 7$  for every cycle C in  $\mathscr{C}$ .



Figure 2.15. Sets X and X'.

Let  $X' = \{x_2, x_4, x_6, x_t\}$  (see Figure 2.15). Then X' is an independent set with  $d_H(X') = 7$ , and  $d_{\mathscr{C}}(X') \ge (8k-3) - 7 = 8k - 10 \ge 6(k-1)$ , since  $k \ge 2$ . Thus we can choose some cycle C in  $\mathscr{C}$  such that  $d_C(X') \ge 6$ . And we know that  $d_C(X) = 7$ , since X sends seven edges into every cycles in  $\mathscr{C}$ . Since  $d_C(x_t) \le 3$  by Lemma 2.A, note that  $d_C(X' - \{x_t\}) \ge 6 - 3 = 3$ . Now, we have only to consider degree sequences (3,2,1,1) and (3,2,2,0) from X to C by Claims 3 and 4. Since both degree sequences contain degree 3, |C| = 3 by Lemma 2.A. Let  $C = v_1, v_2, v_3, v_1$ .

**Case 1.** The sequence is (3,2,1,1).

Suppose that  $d_C(x_1) = 3$ . By the degree sequence of this case, and since |C| = 3, there are distinct integers  $i_1, i_2 \in \{3, 5, t\}$  with  $i_1 < i_2$  such that  $N_C(x_{i_1}) \cap N_C(x_{i_2}) \neq \emptyset$ . Without loss of generality, we may assume that  $v_1 \in N_C(x_{i_1}) \cap N_C(x_{i_2})$ . Then  $H[x_{i_1}, x_{i_2}], v_1, x_{i_1}$  is a cycle. Since  $d_C(x_1) = 3$ ,  $x_1, v_3, v_2, x_1$  is the other disjoint cycle. If  $d_C(x_t) = 3$ , then we can find two disjoint cycles similar to the case where  $d_C(x_1) = 3$ . Thus we may assume that  $d_C(x_{i_0}) = 3$  for some  $i_0 \in \{3, 5\}$ .



Suppose that  $d_C(x_1) = 2$ . Without loss of generality, we may assume that  $v_1, v_2 \in N_C(x_1)$ . First, suppose that  $d_C(x_3) = 1$ . Then  $d_C(x_5) = 3$ . If  $x_3v_1 \in E(G)$ , then  $x_1$ ,  $x_2, x_3, v_1, x_1$  and  $x_5, v_3, v_2, x_5$  are two disjoint cycles. If  $x_3v_2 \in E(G)$ , then we can find two disjoint cycles similar to the case where  $x_3v_1 \in E(G)$ , replacing  $v_1$  with  $v_2$ . If  $x_3v_3 \in E(G)$ , then  $x_3, x_4, x_5, v_3, x_3$  and  $x_1, v_2, v_1, x_1$  are two disjoint cycles. Next, suppose that  $d_C(x_3) = 3$ . If  $x_5v_3 \in E(G)$ , then  $x_3, x_4, x_5, v_3, x_3$  and  $x_1, v_2, v_1, x_1$  are two disjoint cycles. Next,  $x_5v_1 \in E(G)$ , then  $x_3, v_3, v_2, x_3$  is a 3-cycle, and  $\langle (H - x_3) \cup v_1 \rangle$  is connected and

not a path. Thus we can find two disjoint cycles in  $\langle H \cup C \rangle$  as in the proof of Claim 2. Similarly, we can prove the case where  $j_0 = 2$ .

If  $d_C(x_t) = 2$ , then we can find two disjoint cycles similar to the case where  $d_C(x_1) = 2$ . Thus we may assume that  $d_C(x_{m_0}) = 2$  for some  $m_0 \in \{3, 5\}$ .

Then  $d_C(x_i) = 1$  for each  $i \in \{1, t\}$ . Let  $x_1v_1 \in E(G)$ . Then we may assume that  $d_C(x_3) = 2$  and  $d_C(x_5) = 3$ , otherwise,  $d_C(x_3) = 3$  and  $d_C(x_5) = 2$ , and we may argue in a similar manner from the other end of the path H. If  $x_3v_1 \in E(G)$ , then  $H[x_1, x_3]$ ,  $v_1, x_1$  and  $x_5, v_3, v_2, x_5$  are two disjoint cycles (see Figure 2.16). Thus  $x_3v_i \in E(G)$  for each  $i \in \{2, 3\}$ . If  $x_tv_1 \in E(G)$ , then  $H[x_5, x_t]$ ,  $v_1, x_5$  and  $x_3, v_3, v_2, x_3$  are two disjoint cycles. If  $x_tv_2 \in E(G)$ , then  $H[x_5, x_t], v_2, x_5$  and  $H[x_1, x_3], v_3, v_1, x_1$  are two disjoint cycles. If  $x_tv_3 \in E(G)$ , then  $H[x_5, x_t], v_3, x_5$  and  $H[x_1, x_3], v_2, v_1, x_1$  are two disjoint cycles.



Figure 2.16. Two disjoint cycles when  $x_3v_1 \in E(G)$ .

Case 2. The sequence is (3,2,2,0).

We may assume that  $d_C(x_{i_0}) = 0$  for some  $i_0 \in \{1,3\}$ , otherwise,  $i_0 \in \{5,t\}$ , and we may argue in a similar manner from the other end of the path H. Let  $j_0 \in \{1,3\} - \{i_0\}$ . Then  $d_C(x_{j_0}) \ge 2$ . Without loss of generality, we may assume that  $v_1, v_2 \in N_C(x_{j_0})$ .

Suppose that  $d_C(x_5) = 2$ . If  $d_C(x_{j_0}) = 2$ , then  $N_C(x_{j_0}) \cap N_C(x_5) \neq \emptyset$ ; say  $v \in N_C(x_{j_0})$ , and  $H[x_{j_0}, x_5]$ ,  $v, x_{j_0}$  is a cycle. Since  $d_C(x_t) = 3$ ,  $\langle x_t \cup (C-v) \rangle$  contains the other disjoint cycle. If  $d_C(x_{j_0}) = 3$ , then  $d_C(x_j) = 2$  for each  $j \in \{5, t\}$ . Since  $N_C(x_5) \cap N_C(x_t) \neq \emptyset$ , say  $v \in N_C(x_5) \cap N_C(x_t)$ ,  $H[x_5, x_t]$ ,  $v, x_5$  is a cycle. Since

 $d_C(x_{j_0}) = 3, \langle x_{j_0} \cup (C - v) \rangle$  contains the other disjoint cycle.

Suppose that  $d_C(x_5) = 3$ . If  $|N_C(x_{j_0}) \cap N_C(x_t)| = 1$ , then let  $v \in N_C(x_{j_0}) - N_C(x_t)$ . Then  $H[x_{j_0}, x_5]$ ,  $v, x_{j_0}$  is a cycle, and  $\langle x_t \cup (C-v) \rangle$  contains the other cycle (see Figure 2.17). Thus  $x_{j_0}, x_t$  have all the same neighbors in C, say  $v_1, v_2$ . Recall that  $d_C(X') \ge$ 6. It follows that  $d_C(X' - \{x_t\}) \ge 4$  and  $d_C(X' - \{x_t\} - \{x_5\}) = d_C(\{x_4, x_6\}) \ge 1$ . Suppose that  $N_C(x_6) \neq \emptyset$ . If  $N_C(x_6) \cap N_C(x_t) \neq \emptyset$ , say  $v \in N_C(x_6) \cap N_C(x_t)$ , then  $H[x_6, x_t], v, x_6$  is a cycle, and  $\langle x_5 \cup (C - v) \rangle$  contains the other disjoint cycle. If  $N_C(x_6) \cap N_C(x_t) = \emptyset$ , then  $x_6v_3 \in E(G)$ . Thus  $x_5, x_6, v_3, x_5$  and  $x_t, v_2, v_1, x_t$  are two disjoint cycles.



Figure 2.17. Two disjoint cycles. Example when  $v = v_3$ .

Suppose that  $N_C(x_4) \neq \emptyset$ . Then replacing  $x_6$  in the above argument with  $x_4$  and  $x_t$  with  $x_1$ , we can prove this case by the same arguments above. Thus  $N_C(x_i) = \emptyset$  for each  $i \in \{4, 6\}$ . This implies that  $d_C(x_2) = 3$ . Then  $x_{j_0}, x_2, v_1, x_{j_0}$  and  $x_5, v_3, v_2, x_5$  are two disjoint cycles.

# 2.4 Proofs of Lemmas

#### 2.4.1 Proof of Lemma 2.1

Let  $F, C, x_i (1 \le i \le 4)$  be as in Lemma 2.1. Let  $F_1, F_2$  be two components of F,  $C = v_1, v_2, v_3, v_1$ , and  $X = \{x_1, x_2, x_3, x_4\}$ . Now, we consider two cases.

Case 1. At most two vertices of X lie in the same component of F.

Since  $d_C(X) \ge 9$ ,  $d_C(x_{i_0}) \ge 3$  for some  $1 \le i_0 \le 4$ . By |C| = 3,  $d_C(x_i) \le 3$ 

for each  $1 \leq i \leq 4$ . Thus  $d_C(x_{i_0}) = 3$ . Without loss of generality, we may assume that  $i_0 = 1$ , that is,  $d_C(x_1) = 3$ . Then  $d_C(\{x_2, x_3, x_4\}) \geq 6$ . Also, we may assume that  $d_C(x_2) \geq d_C(x_3) \geq d_C(x_4)$ . Now, we claim that  $d_C(\{x_2, x_3\}) \geq 4$ . Otherwise, if  $d_C(\{x_2, x_3\}) \leq 3$ , then  $d_C(x_{j_0}) \leq 1$  for some  $j_0 \in \{2, 3\}$ . That implies that  $d_C(x_4) \leq 1$ , since  $d_C(x_4)$  is the smallest degree in  $\{x_2, x_3, x_4\}$ . Then  $d_C(\{x_2, x_3, x_4\}) \leq 3+1 = 4$ , a contradiction. Thus the claim holds. Noting our assumption of this case,  $\{x_1, x_2, x_3\}$ is a set of leaves from at least two components of F. Since  $d_C(\{x_1, x_2, x_3\}) \geq 3+4 = 7$ , Lemma 2.B applies, completing this case.

**Case 2.** Three vertices of X lie in the same component of F.

Without loss of generality, we may assume that  $x_1, x_2, x_3 \in V(F_1), x_4 \in V(F_2)$ , and  $d_C(x_1) \ge d_C(x_2) \ge d_C(x_3)$ . Recall that  $d_C(X) \ge 9$ . It follows that the minimum possible degree sequence S from X to C is (3,3,3,0), (3,3,2,1) or (3,2,2,2).

Subcase 1. S = (3, 3, 3, 0).

If  $d_C(x_{i_0}) = 0$  for some  $1 \le i_0 \le 3$ , then  $i_0 = 3$ , that is,  $d_C(x_3) = 0$ . Now, we take  $\{x_1, x_2, x_4\}$  that is a set of leaves from at least two components of F. Since  $d_C(\{x_1, x_2, x_4\}) = 9$ , Lemma 2.B applies. If  $d_C(x_4) = 0$ , then  $d_C(x_i) = 3$  for each  $1 \le i \le 3$ . Since all the  $x_i$ s are leaves,  $x_3$  does not lie on the path in  $F_1$  connecting  $x_1$  and  $x_2$ . Then  $F_1[x_1, x_2], v_1, x_1$  and  $x_3, v_3, v_2, x_3$  are two disjoint cycles in  $\langle F \cup C \rangle$ .

#### **Subcase 2.** S = (3, 3, 2, 1).

Take  $\{x_1, x_2, x_4\}$ . If  $d_C(x_4) \in \{1, 2\}$ , then  $d_C(\{x_1, x_2\}) \ge 6$ . If  $d_C(x_4) = 3$ , then  $d_C(\{x_1, x_2\}) \ge 5$ . Since  $d_C(\{x_1, x_2, x_4\}) \ge 7$  for all cases, Lemma 2.B applies.

#### **Subcase 3.** S = (3, 2, 2, 2).

Take  $\{x_1, x_2, x_4\}$ . If  $d_C(x_4) = 2$ , then  $d_C(\{x_1, x_2\}) \ge 5$ . If  $d_C(x_4) = 3$ , then  $d_C(\{x_1, x_2\}) \ge 4$ . Since  $d_C(\{x_1, x_2, x_4\}) \ge 7$  for all cases, Lemma 2.B applies.  $\Box$
## 2.4.2 Proof of Lemma 2.5

**Proof of (i).** Let  $v_1$ ,  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_5$  be the vertices such that  $d_{C_1}(v_i) = 2$  for each  $1 \le i \le 5$ , appearing in this order on  $C_2$ . Let  $w_1, w_2 \in N_{C_1}(v_1)$  appear in this order on  $C_1$ . The neighbors of  $v_1$  partition  $C_1$  into two intervals  $C_1(w_1, w_2]$  and  $C_1(w_2, w_1]$ . We claim that each of  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_5$  has one neighbor in different interval of  $C_1$ .

First, suppose that  $v_{i_1}, v_{i_2}, v_{i_3}$  for some  $2 \leq i_1 < i_2 < i_3 \leq 5$  have both their neighbors in a common interval of  $C_1$ , say  $C_1(w_1, w_2]$ . We may assume that at least one of their neighbors is not  $w_2$ . Let  $z_{i_1} \in N_{C_1(w_1, w_2)}(v_{i_1})$  and  $z_{i_2} \in N_{C_1(w_1, w_2)}(v_{i_2})$ . Then  $C_1^{\pm}[z_{i_1}, z_{i_2}], C_2^{-}[v_{i_2}, v_{i_1}], z_{i_1}$  and  $C_1[w_2, w_1], v_1, w_2$  form a shorter pair of disjoint cycles, since  $v_{i_3}$  is not used (see Figure 2.18).



Figure 2.18. Shorter cycles in  $\langle C_1 \cup C_2 \rangle$ .

Next, suppose that  $v_{i_1}, v_{i_2}$  for some  $2 \leq i_1 < i_2 \leq 5$  have both their neighbors in a common interval of  $C_1$ , say  $C_1(w_1, w_2]$ . Then we may assume that  $i_1 = 2$  and  $i_2 = 5$ , otherwise, we can prove the other pairs of  $i_1$  and  $i_2$  by the same arguments above. Let  $z_{i_1} \in N_{C_1(w_1,w_2)}(v_2)$  and  $z_{i_2} \in N_{C_1(w_1,w_2)}(v_5)$ . If  $N_{C_1(w_1,w_2)}(v_{j_0}) \neq \emptyset$  for some  $j_0 \in \{3,4\}$ , then there exist shorter two disjoint cycles. Thus  $N_{C_1(w_1,w_2)}(v_j) = \emptyset$ for each  $j \in \{3,4\}$ . Since  $d_{C_1}(v_j) = 2$  for each  $j \in \{3,4\}$ ,  $N_{C_1(w_2,w_1]}(v_j) \neq \emptyset$ . Let  $z_{i_3} \in N_{C_1(w_2,w_1]}(v_3)$  and  $z_{i_4} \in N_{C_1(w_2,w_1]}(v_4)$ . Then  $C_1^{\pm}[z_{i_3}, z_{i_4}]$ ,  $C_2^{-}[v_4, v_3]$ ,  $z_{i_3}$  and  $C_1^{\pm}[z_{i_1}, z_{i_2}]$ ,  $C_2[v_5, v_2]$ ,  $z_{i_1}$  are shorter two disjoint cycles, since  $w_2$  is not used (see Figure 2.19).



Figure 2.19. Shorter cycles in  $\langle C_1 \cup C_2 \rangle$ .

Finally, suppose that  $v_{i_0}$  for some  $2 \le i_0 \le 5$  has both the neighbors in an interval of  $C_1$ , say  $C_1(w_1, w_2]$ . Then we have only to consider  $i_0 = 2$  or  $i_0 = 3$ , otherwise, we take a cycle from  $v_1$  in the opposite direction. First, suppose that  $i_0 = 2$ . Let  $x_1, x_2 \in N_{C_1(w_1, w_2]}(v_2)$ , appearing in this order on  $C_1$ . If  $x_2 \neq w_2$ , then  $C_1[x_1, x_2]$ ,  $v_2$ ,  $x_1$  and  $C_1[w_2, w_1]$ ,  $v_1$ ,  $w_2$  are shorter two disjoint cycles, since  $v_3$  is not used. Thus  $x_2 = w_2$ . Let  $y_1, y_2 \in N_{C_1}(v_3)$ , appearing in this order on  $C_1$ . Suppose that  $y_1 \in C_1(w_1, w_2)$ . Then  $C_1^{\pm}[x_1, y_1], C_2^{-}[v_3, v_2], x_1$  and  $C_1[w_2, w_1], v_1, w_2$  are shorter two disjoint cycles, since  $v_4$  is not used. Thus  $y_1 \notin C_1(w_1, w_2)$ , that is,  $y_1 \in C_1[w_2, w_1]$ . Note that  $y_2 \in C_1(w_2, w_1]$ . If  $y_1 \neq w_2$ , then  $C_1[x_1, w_2]$ ,  $v_2$ ,  $x_1$  and  $C_1[y_1, y_2]$ ,  $v_3$ ,  $y_1$ are shorter two disjoint cycles, since  $v_1$  is not used. Thus  $y_1 = w_2$ . If  $y_2 \neq w_1$ , then  $C_1[w_2, y_2], v_3, w_2$  and  $C_1[w_1, x_1], C_2^-[v_2, v_1], w_1$  are shorter two disjoint cycles, since  $v_4$  is not used. Thus  $y_2 = w_1$ . Let  $z_1, z_2 \in N_{C_1}(v_4)$ , appearing in this order on  $C_1$ . Suppose that  $z_1 \in C_1[w_1, w_2)$ . Then  $C_1[w_1, z_1]$ ,  $C_2^-[v_4, v_3]$ ,  $w_1$  and  $C_2[v_1, v_2]$ ,  $w_2$ ,  $v_1$ are shorter two disjoint cycles, since  $v_5$  is not used. Suppose that  $z_1 \in C_1[w_2, w_1)$ . Then  $C_1[w_1, x_1]$ ,  $C_2^-[v_2, v_1]$ ,  $w_1$  and  $C_1[w_2, z_1]$ ,  $C_2^-[v_4, v_3]$ ,  $w_2$  are shorter two disjoint cycles, since  $v_5$  is not used. Next, suppose that  $i_0 = 3$ . Then, by the same arguments as the case where  $i_0 = 2$ , we have shorter two disjoint cycles, replacing  $v_2$  with  $v_3$ .

Thus each of  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_5$  has one neighbor in each interval of  $C_1$ . Let  $x \in N_{C_1(w_1,w_2]}(v_2), y \in N_{C_1(w_1,w_2]}(v_3), z \in N_{C_1(w_2,w_1]}(v_4), u \in N_{C_1(w_2,w_1]}(v_5)$ . Then  $C_1^{\pm}[x,y]$ ,  $C_2^{-}[v_3,v_2], x$  and  $C_1^{\pm}[z,u], C_2^{-}[v_5,v_4], z$  are shorter two disjoint cycles, since  $v_1$  is not used.

**Proof of (ii).** Let  $v_1, v_2 \in V(C_2)$  such that  $d_{C_1}(v_1) = 5$  and  $d_{C_1}(v_2) = 3$ , appearing in this order on  $C_2$ . Let  $w_1, w_2, w_3, w_4, w_5 \in N_{C_1}(v_1)$ , appearing in this order on  $C_1$ , and let  $u_1, u_2, u_3 \in N_{C_1}(v_2)$ , appearing in this order on  $C_1$ . The neighbors of  $v_1$  partition  $C_1$  into five intervals  $C_1(w_i, w_{i+1}], 1 \leq i \leq 5 \pmod{5}$ . Suppose that  $u_{i_0}$ ,  $u_{j_0} \in C_1(w_{m_0}, w_{m_0+1}] \pmod{5}$  for some  $1 \leq i_0 < j_0 \leq 3$  and for some  $1 \leq m_0 \leq 5$ . Without loss of generality, we may assume that  $i_0 = 1, j_0 = 2$  and  $m_0 = 1$ . Then  $C_1[u_1, u_2], v_2, u_1$  and  $C_1[w_3, w_4], v_1, w_3$  are shorter two disjoint cycles, since  $w_1$  is not used. Thus neighbors of  $v_2$  are contained in different intervals. Since  $C_1$  is partitioned into five intervals, some two neighbors of  $v_2$  lie in neighboring intervals, say  $u_1 \in (w_1, w_2]$  and  $u_2 \in C_1(w_2, w_3]$ . Then  $C_1[u_1, u_2], v_2, u_1$  and  $C_1[w_4, w_5], v_1, w_4$ are shorter two disjoint cycles, since  $w_1$  is not used.  $\Box$ 

**Proof of (iii).** Let  $v_1$ ,  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_5$ ,  $v_6$  be the vertices on  $C_2$  with the degree sequence (3,1,1,1,1,1), appearing in this order on  $C_2$ . Without loss of generality, we may assume that  $d_{C_1}(v_1) = 3$  and  $d_{C_1}(v_i) = 1$  for each  $2 \le i \le 6$ . Let  $w_1, w_2, w_3 \in$  $N_{C_1}(v_1)$ , appearing in this order on  $C_1$ . The neighbors of  $v_1$  partition  $C_1$  into three intervals:  $C_1(w_1, w_2]$ ,  $C_1(w_2, w_3]$ ,  $C_1(w_3, w_1]$ . Then there exist some integer  $1 \le$  $i_0 \le 3$  and distinct integers  $2 \le j_1 < j_2 \le 5$  such that  $N_{C_1(w_{i_0}, w_{i_0+1}]}(v_{j_1}) \ne \emptyset$  and  $N_{C_1(w_{i_0}, w_{i_0+1}]}(v_{j_2}) \ne \emptyset$ . Without loss of generality, we may assume that  $i_0 = 1$ . Let  $u_1 \in N_{C_1(w_1, w_2]}(v_{j_1})$  and  $u_2 \in N_{C_1(w_1, w_2]}(v_{j_2})$ . Then  $C_1^{\pm}[u_1, u_2]$ ,  $C_2^{-}[v_{j_2}, v_{j_1}]$ ,  $u_1$  and  $C_1[w_3, w_1]$ ,  $v_1$ ,  $w_3$  are shorter two disjoint cycles, since  $v_6$  is not used.

**Proof of (iv).** Let  $v_1$ ,  $v_2$ ,  $v_3$ ,  $v_4$  be the vertices on  $C_2$  with the degree sequence

(3,2,1,1), say  $d_{C_1}(v_1) = 3$ ,  $d_{C_1}(v_2) = 2$  and  $d_{C_1}(v_i) = 1$  for each  $i \in \{3,4\}$ . Suppose that  $v_1$ ,  $v_2$  are in this order on  $C_2$ . Let  $w_1$ ,  $w_2$ ,  $w_3 \in N_{C_1}(v_1)$  be in this order on  $C_1$ , and let  $u_1$ ,  $u_2 \in N_{C_1}(v_2)$  be in this order on  $C_1$ . Let  $v_3$ ,  $v_4$  be in this order on  $C_2$ . Let  $z_1 \in N_{C_1}(v_3)$ , and let  $z_2 \in N_{C_1}(v_4)$ . The neighbors of  $v_1$  partition  $C_1$  into three intervals:  $C_1(w_1, w_2]$ ,  $C_1(w_2, w_3]$ ,  $C_1(w_3, w_1]$ . If  $v_2$  has both its neighbors in the same interval in  $C_1$ , then we can find shorter two disjoint cycles. If the neighbors of  $v_2$  are into two different intervals of  $C_1$  and neither is in  $\{w_1, w_2, w_3\}$ , then we can also find shorter two disjoint cycles. Thus the neighbors of  $v_2$  are into two different intervals of  $C_1$  and at least one of them is at an endpoint of these intervals. Without loss of generality, we may assume that  $u_1 \in C_1(w_1, w_2]$  and  $u_2 \in C_1(w_2, w_3]$ . Now, we consider two cases.

**Case 1.**  $v_3, v_4 \in C_2(v_1, v_2)$  or  $v_3, v_4 \in C_2(v_2, v_1)$ .

Without loss of generality, we may assume that  $v_3$ ,  $v_4 \in C_2(v_1, v_2)$ . If  $z_2 \in C_1(w_1, w_3)$ , then  $C_1^{\pm}[u_1, z_2]$ ,  $C_2[v_4, v_2]$ ,  $u_1$  and  $C_1[w_3, w_1]$ ,  $v_1$ ,  $w_3$  are shorter two disjoint cycles, since  $v_3$  is not used. If  $z_2 \in C_1[w_3, w_1)$ , then  $C_1[u_2, z_2]$ ,  $C_2[v_4, v_2]$ ,  $u_2$  and  $C_1[w_1, w_2]$ ,  $v_1$ ,  $w_1$  are shorter two disjoint cycles, since  $v_3$  is not used. Thus  $z_2 = w_1$ .

If  $u_2 \in C_1(w_2, w_3)$ , then  $C_1[u_1, u_2]$ ,  $v_2$ ,  $u_1$  and  $C_2[w_3, w_1]$ ,  $v_1$ ,  $w_3$  are shorter two disjoint cycles, since  $v_3$  is not used. Thus  $u_2 = w_3$ .

If  $z_1 \in C_1(w_3, u_1)$ , then  $C_1^{\pm}[z_1, w_1]$ ,  $C_2[v_1, v_3]$ ,  $z_1$  and  $C_1[u_1, w_3]$ ,  $v_2$ ,  $u_1$  are shorter two disjoint cycles, since  $v_4$  is not used. Thus  $z_1 \in C_1[u_1, w_3]$ .

Suppose that  $u_1 \in C_1(w_1, w_2)$ . If  $z_1 \in C_1[u_1, w_2)$ , then  $C_1[w_1, z_1]$ ,  $C_2[v_3, v_4]$ ,  $w_1$ and  $C_1[w_2, w_3]$ ,  $v_1$ ,  $w_2$  are shorter two disjoint cycles, since  $v_2$  is not used. If  $z_1 = w_2$ , then  $C_2[v_1, v_3]$ ,  $w_2$ ,  $v_1$  and  $C_1[w_1, u_1]$ ,  $C_2^-[v_2, v_4]$ ,  $w_1$  are shorter two disjoint cycles, since  $w_3$  is not used. If  $z_1 \in C_1(w_2, w_3]$ , then  $C_1[z_1, w_3]$ ,  $C_2[v_1, v_3]$ ,  $z_1$  and  $C_1[w_1, u_1]$ ,  $C_2^-[v_2, v_4]$ ,  $w_1$  are shorter two disjoint cycles, since  $w_2$  is not used. Thus  $u_1 = w_2$ .

Now, we consider two disjoint cycles  $C' = w_1$ ,  $C_2[v_1, v_4]$ ,  $w_1$  and  $C'' = C_1[w_2, w_3]$ ,  $v_2$ ,  $w_2$ . Note that  $|C_2| \ge 6$ . If  $C_2(v_4, v_2) \ne \emptyset$  or  $C_2(v_2, v_1) \ne \emptyset$ , then C' and C'' are shorter two disjoint cycles. Thus  $C_2(v_4, v_2) = \emptyset$  and  $C_2(v_2, v_1) = \emptyset$ . First, suppose that  $z_1 \in C_1[w_2, w_3)$ . If  $C_2(v_1, v_3) \neq \emptyset$ , then  $C_1[w_3, w_1]$ ,  $v_1$ ,  $w_3$  and  $C_2[v_3, v_2]$ ,  $C_1[w_2, z_1]$ ,  $v_3$  are shorter two disjoint cycles. If  $C_2(v_3, v_4) \neq \emptyset$ , then  $C_1[w_2, z_1]$ ,  $C_2^-[v_3, v_1]$ ,  $w_2$  and  $C_1[w_3, w_1]$ ,  $C_2[v_4, v_2]$ ,  $w_3$  are shorter two disjoint cycles. Next, suppose that  $z_1 = w_3$ . If  $C_2(v_1, v_3) \neq \emptyset$ , then  $C_1[w_1, w_2]$ ,  $v_1$ ,  $w_1$  and  $C_2[v_3, v_2]$ ,  $w_3$ ,  $v_3$  are shorter two disjoint cycles. If  $C_2(v_3, v_4) \neq \emptyset$ , then  $C_1[w_1, w_2]$ ,  $v_1$ ,  $w_1$  and  $C_2[v_3, v_2]$ ,  $w_3$ ,  $v_3$  are shorter two disjoint cycles. If  $C_2(v_3, v_4) \neq \emptyset$ , then  $C_2[v_1, v_3]$ ,  $w_3$ ,  $v_1$  and  $C_1[w_1, w_2]$ ,  $C_2^-[v_2, v_4]$ ,  $w_1$  are shorter two disjoint cycles.

**Case 2.**  $v_3 \in C_2(v_1, v_2)$  and  $v_4 \in C_2(v_2, v_1)$ .

If  $z_1 \in C_1(w_1, w_3)$ , then  $C_1^{\pm}[u_1, z_1]$ ,  $C_2[v_3, v_2]$ ,  $u_1$  and  $C_1[w_3, w_1]$ ,  $v_1$ ,  $w_3$  are shorter two disjoint cycles, since  $v_4$  is not used. If  $z_1 \in C_1[w_3, w_1)$ , then  $C_1[u_2, z_1]$ ,  $C_2[v_3, v_2]$ ,  $u_2$  and  $C_1[w_1, w_2]$ ,  $v_1$ ,  $w_1$  are shorter two disjoint cycles, since  $v_4$  is not used. Thus  $z_1 = w_1$ . Then  $C_2[v_1, v_3]$ ,  $w_1$ ,  $v_1$  and  $C_1[u_1, u_2]$ ,  $v_2$ ,  $u_1$  are shorter two disjoint cycles, since  $v_4$  is not used.  $\Box$ 

**Proof of (v).** Let  $v_1$ ,  $v_2$ ,  $v_3$  be the vertices on  $C_2$  with the degree sequence (3,3,1). Suppose that  $v_1$ ,  $v_2$ ,  $v_3$  exist in this order on  $C_2$ . Without loss of generality, we may assume that  $d_{C_1}(v_i) = 3$  each  $i \in \{1,2\}$  and  $d_{C_1}(v_3) = 1$ . Suppose that  $w_1$ ,  $w_2$ ,  $w_3 \in N_{C_1}(v_1)$  exist in this order on  $C_1$ . Let  $W = \{w_1, w_2, w_3\}$ . These neighbors of  $v_1$  partition  $C_1$  into three intervals:  $C_1(w_1, w_2]$ ,  $C_1(w_2, w_3]$ ,  $C_1(w_3, w_1]$ . Let  $u_1$ ,  $u_2$ ,  $u_3 \in N_{C_1}(v_2)$ , and suppose that  $u_1$ ,  $u_2$ ,  $u_3$  are in this order on  $C_1$ .

**Case 1.** Some two neighbors of  $v_2$  are in the same interval of  $C_1$ .

Without loss of generality, we may assume that  $u_1, u_2 \in C_1(w_1, w_2]$ . Then  $C_1[u_1, u_2], v_2, u_1$  and  $C_1[w_3, w_1], v_1, w_3$  are shorter two disjoint cycles, since  $v_3$  is not used.

**Case 2.** No two neighbors of  $v_2$  are in the same interval of  $C_1$ .

Then  $u_1 \in C_1(w_1, w_2], u_2 \in C_1(w_2, w_3]$ , and  $u_3 \in C_1(w_3, w_1]$ . First, suppose that

 $u_{i_0}, u_{j_0} \notin W$  for some  $1 \leq i_0 < j_0 \leq 3$ . Without loss of generality, we may assume that  $i_0 = 1$  and  $j_0 = 2$ , that is,  $u_1 \in C_1(w_1, w_2)$  and  $u_2 \in C_1(w_2, w_3)$ . Then  $C_1[u_1, u_2]$ ,  $v_2, u_1$  and  $C_1[w_3, w_1], v_1, w_3$  are shorter two disjoint cycles, since  $v_3$  is not used.

Next, suppose that  $u_{i_0} \notin W$  for only some  $1 \leq i_0 \leq 3$ . Without loss of generality, we may assume that  $i_0 = 1$ , that is,  $u_1 \in C_1(w_1, w_2)$ . Then note that  $u_3 = w_1$ ,  $C_1[w_1, u_1], v_2, w_1$  and  $C_1[w_2, w_3], v_1, w_2$  are shorter two disjoint cycles, since  $v_3$  is not used.

Finally, suppose that  $u_i = w_{i+1} \pmod{3}$  for each  $1 \leq i \leq 3$ . Without loss of generality, we may assume that  $v_3z_1 \in E(G)$  for  $z_1 \in (w_2, w_3]$ . Now, we have two choices for constructing shorter two disjoint cycles. We may construct  $C_1[w_1, w_2], v_2, w_1$  and  $C_1[z_1, w_3], C_2^-[v_1, v_3], z_1$ , or  $C_1[w_1, w_2], v_1, w_1$  and  $C_1[z_1, w_3], C_2[v_2, v_3], z_1$ . Since  $|C_2| \geq 6$ , one of these two choices must leave out a vertex of  $C_2$ , and hence we may form shorter two disjoint cycles.

### 2.4.3 Proof of Lemma 2.6

Let  $C = v_1, v_2, v_3, v_1$ .

**Case 1**. The sequence is (3,3,2,0).

Suppose that  $d_C(x_1) = 0$ . Then  $d_C(y_{i_0}) = 3$  for some  $i_0 \in \{1, i, t\}$ , and we may assume that  $i_0 = 1$ , that is,  $d_C(y_1) = 3$ . Since  $d_C(y_r) \ge 2$  for each  $r \in \{i, t\}$  and  $|C| = 3, v_{m_0} \in N_C(y_i) \cap N_C(y_t)$  for some  $1 \le m_0 \le 3$ . Without loss of generality, we may assume that  $m_0 = 1$ . Then  $H_2[y_i, y_t], v_1, y_i$  and  $y_1, v_3, v_2, y_1$  are two disjoint cycles.

Suppose that  $d_C(x_1) = 2$ . Without loss of generality, we may assume that  $v_1, v_2 \in N_C(x_1)$ . Then  $x_1, v_2, v_1, x_1$  is a cycle. Since  $d_C(y_{i_0}) = d_C(y_{j_0}) = 3$  for some  $i_0, j_0 \in \{1, i, t\}$  with  $i_0 < j_0$  and |C| = 3,  $v_3 \in N_C(y_{i_0}) \cap N_C(y_{j_0})$ . Then  $H_2[y_{i_0}, y_{j_0}]$ ,  $v_3, y_{i_0}$  is the other disjoint cycle.

Suppose that  $d_C(x_1) = 3$ . Since  $d_C(y_{i_0}) \ge 2$  and  $d_C(y_{j_0}) \ge 2$  for some  $i_0, j_0 \in \{1, i, t\}$  with  $i_0 < j_0$  and |C| = 3,  $v_{m_0} \in N_C(y_{i_0}) \cap N_C(y_{j_0})$  for some  $1 \le m_0 \le 3$ . Without loss of generality, we may assume that  $m_0 = 1$ . Then  $H_2[y_{i_0}, y_{j_0}], v_1, y_{i_0}$  and  $x_1, v_3, v_2, x_1$  are two disjoint cycles.

Case 2. The sequence is (3,3,1,1).

Suppose that  $d_C(x_1) = 1$ . Then  $d_C(y_{i_0}) = 3$  for some  $i_0 \in \{1, i, t\}$ , and we may assume that  $i_0 = 1$ , that is,  $d_C(y_1) = 3$ . Since one of  $y_i$  and  $y_t$  has degree 3 to C and the other one of them has degree 1 to C, noting that |C| = 3,  $v_{m_0} \in N_C(y_i) \cap N_C(y_t)$ for some  $1 \le m_0 \le 3$ . Without loss of generality, we may assume that  $m_0 = 1$ . Then  $H_2[y_i, y_t], v_1, y_i$  and  $y_1, v_3, v_2, y_1$  are two disjoint cycles.

Suppose that  $d_C(x_1) = 3$ . Since one of  $y_1, y_i, y_t$  has degree 3 to C and the others of them have degree 1 to  $C, d_C(y_{i_0}) = 3$  and  $d_C(y_{j_0}) = 1$  for some distinct  $i_0, j_0 \in \{1, i, t\}$ . Then note that either  $i_0 < j_0$  or  $i_0 > j_0$ . Since  $|C| = 3, v_{m_0} \in N_C(y_{i_0}) \cap N_C(y_{j_0})$  for some  $1 \le m_0 \le 3$ . Without loss of generality, we may assume that  $m_0 = 1$ . Then  $H_2^{\pm}[y_{i_0}, y_{j_0}], v_1, y_{i_0}$  and  $x_1, v_3, v_2, x_1$  are two disjoint cycles.

## Chapter 3

# Degree Conditions to Imply the Existence of Vertex-Disjoint Chorded Cycles

In this chapter, we extend our work on vertex-disjoint cycles to vertex-disjoint chorded cycles. In particular, we consider the existence of a large set of vertex-disjoint chorded cycles in a graph. Let G be a graph such that  $|G| \ge 11k + 7$  and  $\sigma_4(G) \ge 12k - 3$  for integer  $k \ge 2$ . We prove that such a graph contains a set of k vertex-disjoint cycles. We also conjecture a generalized result for  $\sigma_t(G)$ . And we show that the degree sums in the result on  $\sigma_4(G)$  and the conjecture for  $\sigma_t(G)$  are sharp.

## **3.1** Introduction

An extension of the study of disjoint cycles is that of disjoint chorded cycles. A chord of a cycle is an edge between two vertices of the cycle that is not an edge of the cycle. We say a cycle is *chorded* if it induces at least once chord and *doubly chorded* if it induces at least two chords. As noted in the introduction, interest in ensuring a chorded cycle as a subgraph dates back to 1960, when Pósa first asked what

conditions would imply the existence of a chorded cycle in a graph. In 1963, Czipzer (see Lovász [12], problem 10.2) provided an answer to the question by proving that if a graph has minimum degree at least 3, it must contain a chorded cycle. In the years since, results have focused on guaranteeing the existence of a set of k disjoint chorded cycles. Finkel [5] proved a Corrádi-Hajnal type result for chorded cycles, showing that if  $|V(G)| \ge 4k$  and  $\delta(G) \ge 3k$ , then G contains k vertex-disjoint chorded cycles. Chiba et al. [1] extended this result, proving that for a graph G of order at least 3r + 4s, if  $\sigma_2(G) \ge 4r + 6s - 1$ , then G contains r + s vertex-disjoint cycles, with s of them chorded. The following corollary is a direct consequence of this theorem of Chiba et al. [1]:

**Corollary 1.** Suppose that  $|G| \ge 4k$  and  $\sigma_2(G) \ge 6k - 1$ . Then G contains k vertex-disjoint chorded cycles.

Both Corollary 1 and Finkel's result are sharp as evidenced by the graph  $G_0 = K_{3k-1, n-3k+1}$ . For this graph,  $\delta(G_0) = 3k - 1, \sigma_2(G_0) = 6k - 2$  and  $\sigma_t(G_0) = 3kt - t$ . But  $G_0$  cannot contain k vertex-disjoint chorded cycles, as any chorded cycle must contain 3 vertices from the 3k - 1 partite set. Hence, in general, at least  $\sigma_t(G) \ge 3kt - t + 1$  is necessary to imply G contains k vertex-disjoint chorded cycles. This pattern uncovered in the sharpness example for Corollary 1 and Finkel's result motivated Conjecture 3.1.

**Conjecture 3.1** ([9]). Let G be a graph of sufficiently large order. If  $\sigma_t(G) \ge 3kt - t + 1$  for any two integers  $k \ge 2$  and  $t \ge 1$ , then G contains k vertex-disjoint chorded cycles.

Note that the conjectured degree sum condition would be sharp by the same example. The purpose of this chapter is to further extend the known results on chorded cycles and to add to the evidence for Conjecture 3.1 by proving the case when t = 4. We show the following:

**Theorem 3.1** ([9]). If G is a graph of order  $n \ge 11k + 7$  and if  $\sigma_4(G) \ge 12k - 3$ , then G contains k vertex-disjoint chorded cycles.

It follows from the graph  $G_0$  described above that Theorem 3.1 is sharp with respect to the degree sum condition  $\sigma_4(G) \ge 12k - 3$ .

The proof of Theorem 3.1 in Section 3.3 proceeds by contradiction using an edgemaximal counterexample. An edge-maximal counterexample G does not contain kchorded cycles, but if any edge is added, the resulting graph does contain k chorded cycles. Thus, G must contain a set  $\mathscr{C}$  of k - 1 vertex-disjoint chorded cycles. We let  $H = G \setminus \bigcup_{i=1}^{k-1} V(C_i)$ ; that is, H is what is left in G after the chorded cycles are removed. We first prove that the order of H must be large enough. Then we show that H must contain a large connected component, and in this connected component, we find a set X of four independent vertices having small degree in H. Finally, we use the  $\sigma_4$  condition to find many edges between the set X and some cycle C in the set  $\mathscr{C}$ . We get a contradiction by constructing two vertex-disjoint chorded cycles in  $\langle H \cup C \rangle$ .

## 3.2 Preliminaries

In the proof of Theorem 3.1, we make use of the following Lemmas, as well as Theorem 3.2 due to Czipzer (Lovász [12], problem 10.2), and Theorem 3.3, a direct consequence of Chiba et al. [1].

**Theorem 3.2.** (Czipzer (see [12], problem 10.2)) Suppose  $|G| \ge 4$  and  $\delta(G) \ge 3$ . Then G contains a chorded cycle.

**Theorem 3.3.** (Chiba, Fujita, Gao, Li [1]) Suppose that  $|G| \ge 4k$  and  $\sigma_2(G) \ge 6k-1$ . Then G contains k vertex-disjoint chorded cycles.

**Lemma 3.1.** Let  $\mathscr{C} = \{C_1, C_2, \ldots, C_r\}$  be a minimal set of r vertex-disjoint cycles in a graph G. For any  $i, 1 \leq i \leq r$ , the cycle  $C_i$  cannot have two parallel chords. **Lemma 3.2.** Let  $\mathscr{C} = \{C_1, C_2, \ldots, C_r\}$  be a minimal set of r vertex-disjoint cycles in a graph G. If  $|C_i| \ge 7$  for some  $1 \le i \le r$ , then  $C_i$  has at most two chords. Furthermore, if it has two chords, these chords must be crossing.

*Proof.* Suppose  $C_i$  contains at least three chords. By Lemma 3.1, no two of them can be parallel. Thus they are all mutually crossing. Label the endpoints of three of these chords  $v_1, v_2, \ldots v_6$  in that order. Because the chords are mutually crossing, the three chords are given by  $v_1v_4$ ,  $v_2v_5$ ,  $v_3v_6$ . These six endpoints partition the vertex set of  $C_i$  into six path segments:  $C_i[v_1, v_2)$ ,  $C_i[v_2, v_3)$ , ...,  $C_i[v_6, v_1)$ . Since  $|C_i| \ge 7$ , some segment contains at least one vertex of  $C_i$  which is not an endpoint of one of the three chords. Without loss of generality, say  $C_i[v_1, v_2)$  contains some vertex of  $C_i$ other than  $v_1$ . Then,  $v_2$ ,  $C_i[v_5, v_1]$ ,  $C_i^-[v_4, v_2]$  is a smaller chorded cycle. (See Figure 3.1.) Thus,  $C_i$  contains at most two chords, and by Lemma 3.1 they must cross. □



Figure 3.1. A smaller chorded cycle.

**Lemma 3.3.** Let r be a positive integer and  $\mathscr{C} = \{C_1, \ldots, C_r\}$  be a set of r minimal vertex-disjoint chorded cycles of a graph G such that the number of  $K_4s$  in  $\mathscr{C}$  is maximal. And suppose G does not contain r+1 vertex-disjoint chorded cycles. Then,  $d_{C_i}(x) \leq 4$  for any  $x \in V(G) - \bigcup_{j=1}^r V(C_j)$  and any  $i, 1 \leq i \leq r$ . Furthermore, if  $C \in \mathscr{C}$  and  $x \in V(G) - \bigcup_{j=1}^r V(C_j)$  such that  $d_C(x) = 4$ , then  $C = K_4$  and if  $d_C(x) = 3$ , then  $|C| \leq 5$  or C is a type 2 chorded six-cycle (see Definition 1).

Proof. Suppose we have a chorded cycle C and a vertex  $x \in V(G) - \bigcup_{j=1}^{r} V(C_j)$  such that  $d_C(x) \ge 4$ .

## Claim 5. If $d_C(x) \ge 4$ , then cycle C is a 4-cycle, and hence also $d_C(x) = 4$ .

Proof. Suppose to the contrary  $|C| \geq 5$ . Consider four neighbors of x on C, say  $\{v_1, v_2, v_3, v_4\} = X \subseteq N_C(x)$ , in that order. These neighbors define five intervals  $C[v_i, v_{i+1})$  on C, where  $i = 1, \ldots 4$ , and for i = 4, i + 1 = 1. Since  $|C| \geq 5$ , by the Pigeonhole Principle, a vertex of C-X lies in one of the intervals  $C[v_i, v_{i+1})$ . Without loss of generality, say there is a vertex of C - X in  $C[v_1, v_2)$ . Then  $\langle C[v_2, v_4] \cup x \rangle$  induces a shorter chorded cycle in  $\langle C \cup x \rangle$ , contradicting the minimality of  $\mathscr{C}$ . Thus,  $d_C(x) \geq 4$  implies |C| = 4, which in turn implies  $d_C(x) = 4$ . Hence, for any  $x \in V(G) - \bigcup_{j=1}^r V(C_j)$  and for any  $i, 1 \leq i \leq r$ , we know that  $d_C(x) \leq 4$ .

Claim 6. If |C| = 4, then  $C = K_4$ .

Proof. Suppose  $C \neq K_4$ . Then,  $C = K_4 - e$ . Label the vertices of C with  $v_1, v_2, v_3$ ,  $v_4$ , in that order, such that the chord is given by  $v_1v_3$ . Then,  $\langle \{v_1, v_2, v_3\} \cup x \rangle = K_4$ . This contradicts the fact that the number of  $K_4$ s in  $\mathscr{C}$  was maximal.

Now suppose  $d_C(x) = 3$ .

### Claim 7. Either $|C| \leq 5$ or C is a type 2 chorded six-cycle.

Proof. Let  $X = \{v_1, v_2, v_3\}$  be neighbors of x in C in that order on the cycle. If  $|C| \geq 7$ , then some interval defined by two consecutive neighbors of x contains at least two vertices of C - X. Without loss of generality, say  $C[v_1, v_2)$  contains at least two vertices of C - X. Then  $\langle C[v_2, v_1] \cup x \rangle$  induces a smaller chorded cycle, contradicting the minimality of  $\mathscr{C}$ . Thus, |C| < 7.

Suppose C is a type 1 chorded six- cycle. Label the vertices of C with  $x_1, x_2, \ldots, x_6$  in order such that the three-cycle is given by  $x_1, x_2, x_3, x_1$  and the five-cycle is given by  $x_1, x_3, x_4, x_5, x_6, x_1$ .

If x has two neighbors in the three-cycle, then  $\langle C[x_1, x_3] \cup x \rangle$  contains a chorded four-cycle. On the other hand, if x is adjacent to all three of the vertices outside of the three-cycle, that is,  $x_4$ ,  $x_5$ ,  $x_6$ , we get a chorded four-cycle from  $\langle C[x_4, x_6] \cup x \rangle$ . Thus, x must be adjacent to one vertex in the three-cycle and two vertices outside the three-cycle. Let x be adjacent to one of  $\{x_1, x_2, x_3\}$  and any two of  $\{x_4, x_5, x_6\}$ .

If x is adjacent to  $x_1$ , then  $\langle x \cup x_1 \cup C[x_4, x_6] \rangle$  contains a chorded five-cycle if x is adjacent to  $x_4$ , or contains a chorded four-cycle if x is not adjacent to  $x_4$ . A similar argument applies if x is adjacent to  $x_3$ . Suppose x is adjacent to  $x_2$ . Then, if x is adjacent to  $x_4$ ,  $\langle x \cup C[x_1, x_4] \rangle$  induces a chorded five-cycle  $x_1, x_3, x_4, x, x_2, x_1$  with edge  $x_2x_3$  as a chord. Otherwise, if x is not adjacent to  $x_4$ , it must be adjacent to  $x_6$ , and  $\langle x \cup C[x_1, x_3] \cup x_6 \rangle$  induces a chorded five-cycle  $x_1, x_3, x_2, x, x_6, x_1$  with edge  $x_1x_2$  as a chord. In all cases we can find a smaller chorded cycle, contradicting the minimality of  $\mathscr{C}$ . Hence, if  $d_C(x) = 3$ , the cycle C cannot be a type 1 chorded six-cycle. And since |C| < 7, it follows that either C is a type 2 chorded six-cycle, or  $|C| \leq 5$ . Thus, the claim holds.

This completes the proof of Lemma 3.3.  $\hfill \Box$ 

**Lemma 3.4.** Suppose we have three edges either all mutually parallel or all mutually crossing, connecting two paths,  $P_1, P_2$ . Then there is a chorded cycle in  $\langle P_1 \cup P_2 \rangle$ 

*Proof.* Say the edges are  $x_1y_1, x_2y_2, x_3y_3$ . Without loss of generality, let  $x_1, x_2$ , and  $x_3$  appear in that order in  $P_1$ . If the edges are mutually crossing, the endpoints  $y_1$ ,  $y_2, y_3$  must appear in the order  $y_3, y_2, y_1$  on  $P_2$ . Else, the edges are all mutually parallel, and the endpoints  $y_1, y_2, y_3$  must appear in that order in  $P_2$ . In either case,  $P_1[x_1, x_3], y_3, P_2^{\pm}(y_3, y_1], x_1$  is a chorded cycle with  $x_2y_2$  as a chord.

**Lemma 3.5.** Suppose we have at least five edges connecting two paths  $P_1$  and  $P_2$ . Then we can form a chorded cycle in  $\langle P_1 \cup P_2 \rangle$  which leaves out at least one vertex from  $P_1$  or  $P_2$ .

*Proof.* Any two edges between  $P_1$  and  $P_2$  are either parallel or crossing. Since there are five edges between  $P_1$  and  $P_2$ , by the Pigeonhole Principle there must be either

three mutually parallel edges or three mutually crossing edges. Then, by Lemma 3.4, we can form a chorded cycle in  $\langle P_1 \cup P_2 \rangle$ . Suppose this chorded cycle uses every vertex of  $P_1$  and  $P_2$ . Then the cycle has at least three chords, and by Lemma 3.2, a shorter chorded cycle exists in  $\langle P_1 \cup P_2 \rangle$ .

**Lemma 3.6.** Let  $x_1, x_2$  be two vertices on a path  $P_1$ , each having degree two to another path  $P_2$ . Then we can form a chorded cycle in  $\langle P_1[x_1, x_2] \cup P_2 \rangle$ .

*Proof.* Let  $u_i, u_j, i < j$ , be  $x_1$ 's neighbors on  $P_2 = u_1, \ldots, u_s$ . If  $x_2$  has a neighbor that lies in  $P_2[u_j, u_s]$  or  $P_2[u_1, u_i]$ , then we can easily form a chorded cycle in  $\langle P_1[x_1, x_2] \cup P_2 \rangle$ . (See Figure 3.2.)



(a) Note that it is possible  $u_j = u_k$ . (b) Note that it is possible  $u_k = u_i$ . Figure 3.2. A chorded cycle in  $\langle P_1[x_1, x_2] \cup P_2 \rangle$ .

Thus, both of  $x_2$ 's neighbors in  $P_2$  must lie in  $P_2(u_i, u_j)$ , call them  $u_k, u_l$  with k < l. So the neighbors of  $x_1$  and  $x_2$  lie in the order  $u_i, u_k, u_l, u_j$  on  $P_2$ . (See Figure 3.3.) Then,  $P_1[x_1, x_2], u_k, P_2(u_k, u_j], x_1$  forms a chorded cycle, with chord  $x_2u_l$ .  $\Box$ 

**Lemma 3.7.** Let  $x_1$ ,  $x_2$ ,  $x_3$  be three vertices which lie either in order  $x_1$ ,  $x_2$ ,  $x_3$  or in order  $x_3$ ,  $x_2$ ,  $x_1$  on a path  $P_1$ , with  $x_1$  having degree two and  $x_2$ ,  $x_3$  each having degree 1 to another path  $P_2$ . Then we can form a chorded cycle in  $\langle P_1[x_1, x_3] \cup P_2 \rangle$ .



Figure 3.3. A chorded cycle in  $\langle P_1[x_1, x_2] \cup P_2 \rangle$ .

Proof. We may assume  $x_1, x_2, x_3$  lie in that order, else we can reverse the order of the path. Let  $w_1, w_2$  be  $x_1$ 's neighbors in  $P_2$ . As in the previous lemma, if either  $x_2$ or  $x_3$  has a neighbor that lies beyond  $w_2$  or prior to  $w_1$  in  $P_2$ , then we can easily form a chorded cycle in  $\langle P_1 \cup P_2 \rangle$ . Thus, the neighbor of each of  $x_2, x_3$  lies in  $P_2(w_1, w_2)$ . Call  $x_2$ 's neighbor  $w_3$  and  $x_3$ 's neighbor  $w_4$ . If  $w_3$  appears before  $w_4$  in  $P_2(w_1, w_2)$ , then we have three parallel edges between  $P_1$  and  $P_2$ , one from each of the  $w_i$ 's. Else,  $w_3$  appears in  $P_2(w_4, w_2)$ , and we have three mutually crossing edges between  $P_1$  and  $P_2$ , one from each of the  $w_i$ 's. In either case, a chorded cycle exists by Lemma 3.4.  $\Box$ 

**Lemma 3.8.** Let H be a graph containing a path P. If there exist nested edges between vertices of P in E(G) - E(P), then H contains a chorded cycle.

*Proof.* The proof is obvious. (See Figure 5.)



Figure 3.4. Nested edges in a path.



neighboring vertices on the path. If  $v_i$  has a right edge  $v_i v_j$  and  $v_{i+1}$  has a left edge  $v_{i+1}v_k$  then H contains a chorded cycle.

*Proof.* Clearly,  $P[v_k, v_i], v_j, P^-(v_j, v_{i+1}], v_k$  is a cycle with edge  $v_i v_{i+1}$  as a chord.  $\Box$ 

**Lemma 3.10.** Let H be a graph containing a path  $P = v_1, v_2, \dots, v_n$  and  $v_i, v_{i+1}$  be neighboring vertices on the path. Then  $v_i$  and  $v_{i+1}$  cannot both have degree at least 4 to P.

Proof. Suppose  $d_P(v_i) \ge 4$  and  $d_P(v_{i+1}) \ge 4$ . Then  $v_i$  has two neighbors in  $P[v_1, v_{i-2}] \cup P[v_{i+2}, v_n]$ , and  $v_{i+1}$  has two neighbors in  $P[v_1, v_{i-1}] \cup P[v_{i+3}, v_n]$ . If  $v_i$  has a neighbor in  $P[v_{i+2}, v_n]$  and  $v_{i+1}$  has a neighbor in  $P[v_1, v_{i-1}]$ , then H contains a chorded cycle by Lemma 3.9. Thus, either  $v_i$  must have two neighbors in  $P[v_1, v_{i-2}]$  or  $v_{i+1}$  has two neighbors in  $P[v_{i+3}, v_n]$ . In either case, nested edges exist and H contains a chorded cycle by Lemma 3.8.

**Lemma 3.11.** Let H be a graph containing a path  $P_1 = v_1, \ldots, v_t, t \ge 12$ , and not containing a chorded cycle. If  $v_i v_t \in E(H)$  for any  $i \le t - 2$ , then  $d_{P_1}(v_k) \le 3$  for any k > i and  $d_{P_1}(v_{i+1}) = 2$ . And if  $v_1 v_j \in E(H)$  for any  $j \ge 3$ , then  $d_{P_1}(v_l) \le 3$  for any l < j and  $d_{P_1}(v_{j-1}) = 2$ .

Proof. Suppose  $v_i v_t \in E(H)$  for some  $i \leq t-2$ . No vertex  $v_k$  with k > i has a right edge, otherwise that edge nests with  $v_i v_t$ , and by Lemma 3.8, H contains a chorded cycle, a contradiction. Thus,  $d_{P_1}(v_k) \leq 3$  for any k > i. Furthermore, vertex  $v_{i+1}$ cannot have a left edge by Lemma 3.9. Thus,  $d_{P_1}(v_{i+1}) = 2$ 

By symmetry, the same proof shows that if  $v_1v_j \in E(H)$  for some  $j \ge 3$ , then  $d_{P_1}(v_l) \le 3$  for any l < j and  $d_{P_1}(v_{j-1}) = 2$ .

**Lemma 3.12.** Let H be a graph containing a path  $P_1 = v_1, \ldots, v_t$ ,  $t \ge 12$ , and not containing a chorded cycle. If  $d_{P_1}(v_1) = 1$ , then one of  $v_3$ ,  $v_4$ ,  $v_5$  has degree two in  $\langle P_1 \rangle$ . Or if  $v_1v_3 \in E(H)$ , then one of  $v_4$ ,  $v_5$ ,  $v_6$  has degree two in  $\langle P_1 \rangle$ .

Proof. Let either  $v_1v_3 \in E(H)$  or  $d_{P_1}(v_1) = 1$ . If  $v_1v_3 \in E(H)$ , we let i = 4, and if  $d_{P_1}(v_1) = 1$ , we let i = 3. Vertex  $v_i$  cannot have a left edge, else in the first case we get a chorded cycle, and in the second case we have  $d_{P_1}(v_1) = 2$ ; hence, we have a contradiction in either case. If vertex  $v_i$  has degree 2 in  $P_1$ , we are done. Thus  $v_i$  must have a right edge, say  $v_iv_j$ . If j = i + 2, then vertex  $v_{i+1}$  cannot have a left edge or a right edge and must have degree 2, else we get a chorded cycle. Thus, j > i + 2. By Lemma 3.9,  $v_{i+1}$  cannot have a left edge. If  $v_{i+1}$  has degree 2 we are done. Thus,  $v_{i+1}$  has a right edge, say  $v_{i+1}v_k$ . If  $k \leq j$ , then we have nested edges and a chorded cycle by Lemma 3.8, a contradiction. Thus, k > j. By the same argument as for  $v_{i+1}$ , vertex  $v_{i+2}$  either has degree 2, or has a right edge  $v_{i+1}v_l$ such that l > k. In the later case, edges  $v_iv_j, v_{i+1}v_k, v_{i+2}v_l$  are three parallel edges between the subpaths  $v_i, v_{i+1}, v_{i+2}$  and  $v_j, \ldots, v_l$ , and hence a chorded cycle exists by Lemma 3.4, a contradiction. Thus, vertex  $v_{i+2}$  must have degree 2 in  $P_1$ , and we are done.

**Lemma 3.13.** Let H be a graph containing a path  $P_1 = v_1, \ldots, v_t$ ,  $t \ge 12$  and not containing a chorded cycle. If  $d_{P_1}(v_t) = 1$ , then one of  $v_{t-4}$ ,  $v_{t-3}$ ,  $v_{t-2}$  has degree two in  $\langle P_1 \rangle$ . Or if  $v_t v_{t-2} \in E(H)$ , then one of  $v_{t-5}$ ,  $v_{t-4}$ ,  $v_{t-3}$  has degree two in  $\langle P_1 \rangle$ .

*Proof.* The lemma follows from the proof of Lemma 3.12 by symmetry.  $\Box$ 

**Lemma 3.14.** Let  $H = \langle P_1 \cup P_2 \rangle$ , where  $P_1 = v_1, \ldots, v_t$ ,  $P_2 = u_1, \ldots, u_s$ , such that H does not contain a chorded cycle. If a vertex  $v_i \in P_1$  is adjacent to an endpoint of  $P_2$  and a vertex  $v_j \in P_1$  with  $j \ge i + 2$  is adjacent to an endpoint of  $P_2$ , then one of  $v_{i+1}, v_{j-1}$  has degree 2 in  $\langle P_1 \cup P_2 \rangle$ .

*Proof.* Let  $H = \langle P_1 \cup P_2 \rangle$  such that H does not contain a chorded cycle. Let vertex  $v_i \in P_1$  be adjacent to an endpoint of  $P_2$ , without loss of generality say  $u_1$ , and let vertex  $v_j \in P_1$  be adjacent an endpoint of  $P_2$ , for some  $j \ge i + 2$ , without loss of

generality say  $u_t$ . (If instead  $v_i, v_j$  are both adjacent to  $u_1$  or  $u_t$ , in the cycles following replace  $u_1, P_2(u_1, u_t]$  and  $u_t, P_2^-(u_t, u_1]$  with just  $u_1$  or  $u_t$  as necessary.)

If vertex  $v_{i+1}$  has a left edge, say  $v_{i+1}v_k$ , with k < i, then  $P_1[v_k, v_i]$ ,  $u_1$ ,  $P_2(u_1, u_t]$ ,  $v_j$ ,  $P_1^-(v_j, v_{i+1}]$ ,  $v_k$  forms a chorded cycle with edge  $v_iv_{i+1}$  as a chord. By symmetry, vertex  $v_{j-1}$  cannot have a right edge, else a chorded cycle exists with the edge  $v_{j-1}v_j$ as a chord.

Thus, either  $v_{i+1}$  or  $v_{j-1}$  has degree 2 in  $\langle P_1 \cup P_2 \rangle$  and we are done, or vertex  $v_{i+1}$  has a right edge, and vertex  $v_{j-1}$  has a left edge.

No vertex in  $P_1[v_i, v_j]$  can have an edge that does not lie on  $P_1$  to some other vertex in  $P_1[v_i, v_j]$ , else this edge is a chord of the cycle  $P_1[v_i, v_j]$ ,  $u_t$ ,  $P_2^-(u_t, u_1]$ ,  $v_i$ .

Thus, we have edges  $v_{i+1}v_k$ , with k > j, and  $v_{j-1}v_l$ , with l < i. But then,  $P_1[v_l, v_i]$ ,  $u_1, P_2(u_1, u_s], v_j, P_1(v_j, v_k], v_{i+1}, P_1(v_{i+1}, v_{j-1}], v_l$  forms a chorded cycle with edges  $v_iv_{i+1}$  and  $v_{j-1}v_j$  as chords.

Thus, one of  $v_{i+1}, v_{j-1}$  has degree 2 in H, and hence is also independent from  $v_1$ ,  $v_t, u_1, u_s$ .

**Lemma 3.15.** Let  $H = \langle P_1 \cup P_2 \rangle$ , where  $P_1 = v_1, \ldots, v_t$ ,  $P_2 = u_1, \ldots, u_s$ , such that  $P_1, P_2$  is a maximal pair of paths, with  $P_1$  as long as possible. Suppose H does not contain a chorded cycle or a Hamiltonian path. Finally, suppose  $d_{P_1}(\{u_1, u_s\}) \ge 1$ . If  $v_1$  has a neighbor  $v_i$  in  $P_1[v_4, v_t]$ , then  $d_H(v_{i-1}) = 2$ . If  $v_t$  has a neighbor  $v_j$  in  $P_1[v_1, v_{t-3}]$ , then  $d_H(v_{j+1}) = 2$ .

Proof. Suppose  $v_1$  is adjacent to a vertex in  $P_1[v_4, v_t]$ . If  $v_1$  is adjacent to  $v_t$ , then H contains a Hamiltonian path, a contradiction. Thus,  $v_1$  has a neighbor  $v_i$  in  $P_1[v_4, v_{t-1}]$ . Note that vertex  $v_{i-1}$  cannot be adjacent to any vertex in  $P_2$ , else either H contains a Hamiltonian path or there exists a maximal pair of paths  $P'_1, P'_2$  such that  $|P'_1| > |P_1|$ , a contradiction. By Lemma 3.11,  $v_{i-1}$  has degree 2 in  $P_1$ . Hence,  $d_H(v_{i-1}) = 2$ .

By symmetry, a similar argument shows that if  $v_t$  has a neighbor  $v_j$  in  $P_1[v_1, v_{t-3}]$ , then  $d_H(v_{j+1}) = 2$ .

## 3.3 Proof of Theorem 3.1

For convenience, we restate our main result.

**Theorem 3.1.** Let  $k \ge 2$  be a positive integer. If G is a graph of order  $n \ge 11k + 7$ with  $\sigma_4(G) \ge 12k - 3$ , then G contains k vertex-disjoint chorded cycles.

Proof of Theorem 3.1. Let G be an edge-maximal counterexample. That is, G fails to have k vertex-disjoint chorded cycles, but for any new edge e, G + e does have k vertex-disjoint chorded cycles. This implies there exists a collection of k - 1 vertexdisjoint chorded cycles in G. Over all such collections, choose one, say  $\mathscr{C}$ , such that:

(1)  $\mathscr{C}$  is minimal.

(2) Subject to (1), the number of components in  $H = G - \bigcup_{i=1}^{k-1} V(C_i)$  is minimal.

(3) Subject to (1) and (2), the number of  $K_4$ s in  $\mathscr{C}$  is maximal.

Claim 1.  $|H| \ge 18$ .

Proof. Suppose to the contrary that  $|H| \leq 17$ . First suppose  $|V(C_i)| \leq 11$  for all  $i, 1 \leq i \leq k - 1$ . Since by assumption  $|G| \geq 11k + 7$ , it follows that  $|H| \geq (11k + 7) - 11(k - 1) = 18$ , a contradiction. Thus,  $|V(C_i)| \geq 12$  for some i.

Let C be a largest cycle in  $\mathscr{C}$ . By Lemma 3.2,  $|C| \ge 12$  implies that C contains at most two chords and these chords must be crossing. Let |C| = 4t + r where  $t \ge 3$ and  $0 \le r \le 3$ .

**Subclaim 1.1.** The cycle C contains t different sets  $X_1, \ldots, X_t$  of four independent vertices each, such that  $d_C(X_1 \cup X_2 \cup \cdots \cup X_t) \leq 8t + 4$ 

*Proof.* Cycle C has at most two chords, and if it has two chords, they must be crossing. For any 4t vertices of C, their degree sum in C is at most  $4t \times 2 + 4$ , since C has at most 2 chords. Thus it only remains to show that C contains t sets of four independent vertices each.

Recall that  $|C| = 4t + r \ge 4t$ . Start anywhere on C and label the first 4t vertices of C with labels 1 through t in order, starting over again with 1 after using label t. If  $r \ge 1$ , label the remaining r vertices of C with the labels  $t + 1, \ldots, t + r$ . (See Figure 3.5.) The labeling above yields t sets of 4 vertices each, where all the vertices labeled with 1 are one set, all the vertices labeled with 2 are another set, and so on. Given this labeling, since  $t \ge 3$ , any vertex in C has a different label than the vertex that preceeds it on C and the vertex that succeeds it on C. Let  $\tilde{C}$  be cycle C minus its chords, if it has any. Then, the vertices in each of the sets are independent in  $\tilde{C}$ . Thus, the only way vertices in the same set are dependent in C is if the endpoints of a chord of C were given the same label. Note that any vertex labeled i is distance at least 3 in  $\tilde{C}$  from any other vertex labeled i. Thus, if a vertex and the neighbor preceeding it on C or the neighbor succeeding it on C have their labels swapped, the vertices in each of the classes are still independent in  $\tilde{C}$ .



Figure 3.5. An example where t = 3 and r = 2.

**Case 1.1.1.** Suppose no chord of C has endpoints with the same label. Then, we have found t sets of 4 independent vertices in C, and we are done.

**Case 1.1.2.** Suppose one chord of C has endpoints with the same label. Because C contains at most two chords and those chords must be crossing, each chord has an endpoint with a neighbor that is not an endpoint of a chord. Pick such an endpoint of the chord whose endpoints were assigned the same label, and swap the label of this vertex with its non-endpoint neighbor. The vertices in each of the resulting classes are still independent in  $\tilde{C}$ , and now no chord of C has endpoints with the same label. Thus, we have found t sets of four independent vertices each in C.

**Case 1.1.3.** Suppose two chords of C each have endpoints with the same label.

Subcase 1. If an endpoint of one chord of C is adjacent to an endpoint of the other chord, swap the labels of these adjacent endpoints. Then, the vertices in each of the resulting classes are still independent in  $\tilde{C}$ , and now no chord of C has endpoints with the same label. Thus, we have found t sets of four independent vertices each in C.

**Subcase 2.** If no endpoint of the first chord in C is adjacent to an endpoint of the second chord, then swap the labels of an endpoint of the first chord, call it  $e_1$  and one of its neighbors in  $\tilde{C}$ . The vertices in each of the resulting classes are still independent in  $\tilde{C}$ . Now pick an endpoint of the second chord that is not adjacent to a vertex that has had its label swapped, call it  $e_2$ . Then, pick a neighbor in  $\tilde{C}$  of  $e_2$  that is of maximal distance in  $\tilde{C}$  from  $e_1$ . This neighbor is not adjacent to any vertex which has had its color swapped. Thus, we may swap the labels of  $e_2$  and its selected neighbor, and the vertices in each of the resulting classes are still independent in  $\tilde{C}$ . Furthermore, now no chord of C has endpoints with the same label, and thus we have found our sets.

In all cases, we were able to construct t different sets of four independent vertices each in C. Thus, Subclaim 1.1 holds.

Since  $|C| \ge 12$ ,  $d_C(v) \le 2$  for any vertex  $v \in V(H)$ ; otherwise, we could form a chorded cycle shorter than C in  $\langle C \cup H \rangle$ , contradicting (1). Because  $|H| \le 17$  and each vertex of H has at most two neighbors in C, it follows that  $|E(H, C)| \le 34$ .

Each set of four independent vertices in C has at least 12k - 3 edges in G, since  $\sigma_4(G) \ge 12k - 3$ . Thus,  $X_1 \cup X_2 \cup \cdots \cup X_t$  has total degree at least t(12k - 3) in G. Suppose that k = 2. Then  $\mathscr{C}$  has only one cycle C, and H = G - C. By Subclaim 1.1, C contains t independent sets  $X_i, 1 \le i \le t$  each of which has four vertices and such that  $d_C(X_1 \cup \cdots \cup X_t) \le 8t + 4$ . Then,  $d_H(X_1 \cup \cdots \cup X_t) \ge t(12k - 3) - (8t + 4) = 12kt - 11t - 4 \ge 24t - 11t - 4 = 13t - 4 \ge 35$ , since  $t \ge 3$ . Thus,  $|E(C, H)| \ge 35$ , a contradiction.

Suppose that  $k \geq 3$ . We bound the order of  $E(C, \mathcal{C} - C)$  from below.

$$|E(C, \mathscr{C} - C)| \ge |E(X_1 \cup \cdots \cup X_t, \mathscr{C} - C)|$$

Subtracting from  $d_G(X_1 \cup \cdots \cup X_t)$  both  $d_C(X_1 \cup \cdots \cup X_t)$  and  $d_H(C)$ , we get:

$$|E(X_1 \cup \dots \cup X_t, \mathscr{C} - C)| \ge t(12k - 3) - (8t + 4) - 34$$
$$= 12kt - 3t - 8t - 4 - 34$$
$$= 12kt - 11t - 38.$$

And since  $t \geq 3$ ,

$$12kt - 11t - 38 \ge 12kt - 12t - 35 = 12t(k - 1) - 35$$
$$> 12t(k - 1) - 12t = 12t(k - 2).$$

Thus,  $|E(C, C')| \ge |E(X_1 \cup \cdots \cup X_t, C')| \ge 12t$  for some cycle C' in  $\mathscr{C} - C$ , since  $\mathscr{C} - C$  contains k - 2 cycles. Because  $|C| = 4t + r \le 4t + 3$ , it follows that the average

degree to C' of the vertices of  $X_1 \cup \cdots \cup X_t$  is greater than 2; that is,

$$|E(X_1 \cup \dots \cup X_t, C')| / |C| \ge \frac{12t}{4t+3} \ge \frac{3t}{t+1} > 2.$$

It follows that  $d_{C'}(v) \ge 3$  for some vertex  $v \in X_1 \cup \cdots \cup X_t$ .

Let  $h = \max\{d_{C'}(v)|v \in X_1 \cup \cdots \cup X_t\}$ . Let  $v^*$  be a vertex of C such that  $d_{C'}(v^*) = h$ , and let  $v^{**}$  be a vertex of  $C - v^*$  having maximal degree to C'. Certainly  $d_{C'}(v^{**}) \leq h$ . By the maximality of C, we know that  $|C'| \leq |C| = 4t + r$ . It follows that  $h = d_{C'}(v^*) \leq |C'| \leq 4t + r$ . Recall that  $t \geq 3$  and  $r \leq 3$ .

Then, 
$$|E((X_1 \cup \dots \cup X_t) - v^*, C')| \ge 12t - d_{C'}(v^*)$$
  
 $\ge 12t - (4t + r) = 8t - r \ge 21.$  (3.4)

Futher, 
$$|E((X_1 \cup \dots \cup X_t) - v^* - v^{**}, C')| \ge 12t - d_{C'}(v^*) - d_{C'}(v^{**})$$
  
 $\ge 12t - (4t + r) - (4t + r) = 4t - 2r \ge 6.$ 
(3.5)

#### Case 1.1. Suppose that h = 3.

Then because we have 4t vertices in  $X_1 \cup \cdots \cup X_t$  sending a sum of at least 12t edges to C', it follows that every vertex of  $X_1 \cup \cdots \cup X_t$  sends 3 edges to C'. Thus, there are at least 12 vertices in C having degree 3 to C'.

Let  $W = \{w_1, w_2, \dots, w_{12}\}$  be a set of 12 vertices of C, each having degree 3 to C'. Let  $v_1, v_2, v_3$  be  $w_1$ 's neighbors in C'. They partition C' into three intervals:  $C'[v_1, v_2), C'[v_2, v_3), C'[v_3, v_1)$ . Denote  $W - \{w_1\}$  by W'.

Claim 1.1.1. No three vertices in W' all have three neighbors to the same single interval in C'.

*Proof.* Suppose three different vertices in W', say  $w_i$ ,  $w_j$ ,  $w_l$ ,  $2 \le i < j < k \le 12$ , all

have three neighbors to the same single interval in C', without loss of generality say  $C'[v_1, v_2)$ . Then each of  $w_i$ ,  $w_j$ ,  $w_l$  has at least two neighbors in  $C(v_1, v_2)$ . So there exist 6 edges between  $C[w_i, w_l]$  and  $C'(v_1, v_2)$ . By Lemma 3.5, a chorded cycle exists in  $\langle C[w_i, w_l] \cup C'(v_1, v_2) \rangle$  that leaves out at least one vertex. And  $\langle w_1 \cup C'[v_2, v_1] \rangle$  forms a second chorded cycle, vertex-disjoint from the first. Thus, we have constructed a shorter pair of vertex-disjoint chorded cycles in  $\langle C \cup C' \rangle$ , contradicting (1). Thus, the claim holds.

Claim 1.1.2. No vertex  $w_i, 2 \leq i \leq 12$  has three or more neighbors in a single interval of C'.

Proof. Suppose  $w_i$  has three neighbors in a single interval of C', without loss of generality say  $C'[v_1, v_2)$ . Then by Lemma 3.4, a chorded cycle exists in  $\langle w_i \cup C'[v_1, v_2) \rangle$ . By Claim 1.1.1, at most one other vertex in  $\{w_2, \ldots, w_{12}\}$ , call it  $w_j$ , has at least three neighbors in  $C'[v_1, v_2)$ . Thus, every vertex in  $\{w_2, \ldots, w_{12}\} - \{w_i, w_j\}$  has edges into  $C'[v_2, v_1)$ . And therefore, by Lemma 3.5, there exists a chorded cycle in  $\langle C - w_i, C'[v_2, v_1) \rangle$  which leaves out at least one vertex. Together with the chorded cycle in  $\langle w_i \cup C'[v_1, v_2) \rangle$ , we have a shorter pair of vertex-disjoint chorded cycles in  $\langle C \cup C' \rangle$ , contradicting (1). Thus, the claim holds.

Thus, every vertex in  $W - w_1$  sends edges into at least 2 intervals.

Note that the set of vertices  $\{w_7, \ldots, w_{12}\}$  sends 18 edges to C'. It follows that some interval in C' gets at least 6 edges from  $\{w_7, \ldots, w_{12}\}$ , say  $C'[v_1, v_2)$ . Then there exists a chorded cycle in  $\langle C[w_7, w_{12}] \cup C'[v_1, v_2) \rangle$  which leaves out at least one vertex, by Lemma 3.5. Also, because every vertex sends edges to at least 2 intervals, each of  $w_2, \ldots, w_5$  has an edge into  $C'[v_2, v_1)$ . This implies that  $|E(C[w_1, w_5], C'[v_2, v_1))| \ge 6$ . Hence by Lemma 3.5, there exists a chorded cycle in  $\langle C[w_1, w_5] \cup C'[v_2, v_1) \rangle$ . Thus, we have formed a shorter pair of vertex-disjoint chorded cycles, contradicting (1). This completes Case 1.1. Case 1.2. Suppose that  $h \ge 4$ .

Recall that  $|E((X_1 \cup \cdots \cup X_t) - v^*, C')| \ge 21$  and  $|E((X_1 \cup \cdots \cup X_t) - v^* - v^{**}, C')| \ge 6$ , by (3.4) and (3.5). Thus,  $N_{C'}(C - v^* - v^{**}) \ne \emptyset$ , and letting  $W = \{v \in V(C) | N_{C'}(v) \ne \emptyset\}$ , it follows that  $|W| \ge 3$ ; that is, at least three vertices in C have neighbors in C'.

Subcase 1. Suppose that |W| = 3. Let  $W = \{w_1, w_2, w_3\}$  where  $d_{C'}(w_1) \ge d_{C'}(w_2) \ge d_{C'}(w_3)$ .

Then,  $|E(\{w_2, w_3\}, C')| \ge 21$ , and  $|E(\{w_3\}, C')| \ge 6$ . Since  $d_{C'}(w_1) \ge d_{C'}(w_2) \ge d_{C'}(w_3)$ , it follows that  $d_{C'}(w) \ge 6$  for any  $w \in W$ . Since  $|E(\{w_2, w_3\}, C')| \ge 21$  and  $d_{C'}(w_2) \ge d_{C'}(w_3)$ , it follows that  $d_{C'}(w_2) \ge 11$ . Thus, we have degree sequence at least (11, 11, 6) from W to C'.

Let  $v_1, v_2, \ldots, v_6$  denote  $w_3$ 's neighbors in C', appearing in that order on C'. The neighbors of  $w_3$  partition C' into six intervals,  $C'[v_i, v_{i+1})$ , for all  $1 \le i \le 6$  (where i + 1 = 1 for i = 6). Because  $\{w_1, w_2\}$  sends at least 22 edges total into C', some interval in C' receives at least 4 edges from  $\{w_1, w_2\}$ , without loss of generality say  $C'[v_1, v_2)$ . And either every interval receives at least one edge from  $\{w_1, w_2\}$  or some interval receives at least five edges from  $\{w_1, w_2\}$ .

If every interval receives at least one edge, then taking the interval with at least 4 edges and a neighboring interval, some pair of neighboring intervals receives at least five edges total from  $\{w_1, w_2\}$ , without loss of generality say intervals  $C'[v_1, v_2)$  and  $C'[v_2, v_3)$ . There exist five edges between  $C[w_1, w_2]$  and  $C'[v_1, v_3)$ . Thus, by Lemma 3.5, there exists a chorded cycle in  $\langle C[w_1, w_2] \cup C'[v_1, v_3) \rangle$  which leaves out at least one vertex of  $\langle C[w_1, w_2] \cup C'[v_1, v_3) \rangle$ . And  $\langle w_3 \cup C'[v_3, v_5] \rangle$  forms a second chorded cycle in  $\langle C \cup C' \rangle$ , vertex-disjoint from the first, contradicting (1).

Thus, some interval in C' receives at least five edges from  $\{w_1, w_2\}$ , without loss of generality say  $[v_1, v_2)$ . By Lemma 3.5, there exists a chorded cycle in  $\langle P_1 \cup C'[v_1, v_2) \rangle$  which leaves out at least one vertex of  $\langle P_1 \cup C'[v_1, v_2) \rangle$ . And  $\langle w_3 \cup C'[v_3, v_5] \rangle$  forms

a second chorded cycle in  $\langle C \cup C' \rangle$ , vertex-disjoint from the first, contradicting (1).

#### Subcase 2. Suppose that $|W| \ge 4$ .

Recall that vertex  $v^*$  has at least four neighbors in C'. Let  $v_1, v_2, v_3, v_4$  be neighbors of  $v^*$  in C'. Note that  $v_1, \ldots, v_4$  partition C' into four intervals,  $C'[v_i, v_{i+1})$  (where i + 1 = 1 for i = 4). By (4), there are at least 21 more edges into C' from  $C - v^*$ . By the Pigeonhole Principle, some interval  $C'[v_i, v_{i+1})$  contains six of these additional edges. Without loss of generality, say this interval is  $C'[v_4, v_1)$ . Then by Lemma 3.5,  $\langle C - v^* \cup C'[v_4, v_1) \rangle$  contains a chorded cycle which leaves out at least one vertex of  $\langle C - v^* \cup C'[v_4, v_1) \rangle$ . Note that  $C_1 = v^*, C'[v_1, v_3], v^*$  forms a chorded cycle with the edge  $v^*v_2$  as a chord, and it uses no vertices from  $C'[v_4, v_1)$ . Thus we have a pair of shorter vertex-disjoint chorded cycles in  $\langle C \cup C' \rangle$ , contradicting (1)

This completes the proof of Claim 1. Thus,  $|H| \ge 18$ .

Claim 2. Every component  $H_i$  of H that has a vertex x with  $d_{H_i}(x) \leq 2$  either contains two independent vertices each with degree at most two in  $H_i$ , or contains a vertex with degree at most two in  $H_i$  that is not a cut-vertex.

Proof. Suppose not. It follows that  $H_i$  fails to contain two independent vertices each with degree at most two in  $H_i$ . Furthermore,  $H_i$  contains a vertex v such that  $d_{H_i}(v) \leq 2$  and v is a cut-vertex. Since v is a cut-vertex,  $d_{H_i}(v) = 2$ . Let a and bbe the neighbors of v in  $H_i$ . Let  $H'_i$  be the component of  $H_i - \{v\}$  containing a and  $H''_i$  be the component of  $H_i - \{v\}$  containing b. Either  $d_{H_i}(a) \geq 3$  or  $d_{H_i}(b) \geq 3$ , otherwise a, b are two independent vertices in  $H_i$  such that their degree sum in  $H_i$  is at most 4. Say  $d_{H_i}(b) \geq 3$ . (See Figure 3.6.)

If  $|H_i''| < 4$ , then there exists a vertex  $v_2$  in  $H_i$  with degree at most two in  $H_i$ independent from v, a contradiction. Thus,  $|H_i''| \ge 4$ . Then, Theorem 3.3 implies that  $\sigma_2(H_i'') < 5$ . This implies that there exist two vertices  $x_1, x_2 \in H_i''$  such that  $d_{H_i''}(\{x_1, x_2\}) \le 4$ . Thus, either each of  $x_1, x_2$  has degree in  $H_i''$  at most 2, or one of



Figure 3.6. The case when  $d_{H_i}(b) \geq 3$ .

then has degree one in  $H_i''$ . Vertex *b* has degree at least 2 in  $H_i''$ , so it is possible that one of these two vertices is *b*, say  $b = x_1$ , but then the other vertex,  $x_2$ , would still have degree at most 2 in  $H_i''$ . Thus, there must be some vertex in  $H_i''$ , other than vertex *b*, having degree at most 2 in  $H_i''$ . But this vertex is independent from *v*, a contradiction. Thus, the claim holds.

**Claim 3.** *H* is either connected, or *H* has two components, one of which has order less than 4.

*Proof.* Suppose not. Then H is disconnected, and if it has two components, both of them have order at least 4.

Subclaim 3.1. *H* contains a set *X* of four independent vertices from at least two components of *H* such that  $d_H(X) \leq 8$ .

*Proof.* The number of components of H, comp(H), is at least 2. Label the components of H with  $H_1, H_2, \ldots, H_{comp(H)}$ . We will consider three cases:  $comp(H) \ge 4$ , comp(H) = 3, comp(H) = 2.

Case 3.1.1. Suppose  $comp(H) \ge 4$ .

Then, there exists  $x_i \in H_i$  for  $1 \leq i \leq 4$  such that  $d_{H_i}(x_i) \leq 2$ . Otherwise, by Theorem 3.2,  $H_i$  would contain a chorded cycle, yielding a contradiction. Then the set  $X = \{x_1, x_2, x_3, x_4\}$  is a set of four independent vertices from four different components in H, and  $d_H(X) \leq 8$ . **Case 3.1.2.** Suppose comp(H) = 3.

Then some component of H, say  $H_1$ , has order at least four, since  $|H| \ge 18$ . Then, there exist at least two independent vertices in  $H_1$ . Otherwise, any two vertices in  $H_1$  are adjacent, and hence  $H_1$  contains a  $K_4$ , contradicting the fact that H contains no chorded cycles. Thus,  $H_1$  contains at least two independent vertices. It follows from Theorem 3.3 that there exist two independent vertices in  $H_1$ , call them  $x_1, x_4$ , such that  $d_{H_1}(\{x_1, x_4\}) \le 4$ . Otherwise,  $\sigma_2(H_1) \ge 5$ , and  $H_1$  contains a chorded cycle. As in Case 1, by Theorem 3.2 there must exist  $x_2 \in H_2$  and  $x_3 \in H_3$  such that  $d_{H_2}(x_2) \le 2$  and  $d_{H_3}(x_3) \le 2$ . Then the set  $X = \{x_1, x_2, x_3, x_4\}$  is a set of four independent vertices from two components of H with  $d_H(X) \le 8$ .

Case 3.1.3. Suppose comp(H) = 2.

Since we supposed Claim 3 does not hold, by assumption  $|H_1| \ge 4$  and  $|H_2| \ge 4$ . Then, as in component  $H_1$  in Case 2, there must exist  $x_1, x_2 \in H_1$  and  $x_3, x_4 \in H_2$ such that  $x_1, x_2$  and  $x_3, x_4$  are independent and  $d_{H_1}(\{x_1, x_2\}) \le 4$ ,  $d_{H_2}(\{x_3, x_4\}) \le 4$ . Otherwise, if one of the components of H does not contain any two independent vertices, it must contain a  $K_4$ , a contradiction; or if, for any two independent vertices in the component, their degree sum in the component is at least 5, then by Theorem 3.3, the component contains a chorded cycle, a contradiction. Thus,  $X = \{x_1, x_2, x_3, x_4\}$ is a set of four independent vertices from two components of H with  $d_H(X) \le 8$ .

Therefore, in all cases, Subclaim 3.1 holds.

In the above construction of X, if comp(H) = 2, then exactly two vertices of X are from one component of H and exactly two are from the other component of H. Thus either  $comp(H) \ge 3$ , or no  $x \in X$  is isolated from the rest of X. Also, according to the construction of X above, if any  $x_j$  in  $H_i$  is isolated from the rest of X, then we know  $d_H(x_j) = d_{H_i}(x_j) \le 2$ . And if  $x_j$  is a cut-vertex, by Claim 2, there exists a second vertex  $x_t$  in  $H_i$ , not adjacent to  $x_j$ , with  $d_{H_i}(x_t) \le 2$ . Thus, we can remove from X some other vertex  $x_l$  which was isolated from the rest of X and add  $x_t$  to X. Then  $d_H(X) \leq 8$  still, and  $x_j$  is no longer isolated from the rest of X. Thus, without loss of generality, we may assume that if a vertex x is isolated from the rest of X, it is not a cut-vertex.

Since  $d_H(X) \leq 8$ , it follows that  $d_{\mathscr{C}}(X) \geq 12k - 3 - 8 = 12k - 11 > 12(k - 1)$ . Thus, there is some cycle  $C \in \mathscr{C}$  such that  $d_C(X) \geq 13$ . Note that if we have only two components,  $x_1$  lies in the same component as some other  $x_i$ .

Also, by Lemma 3.3, for any  $x_i \in X$ ,  $d_C(x_i) \leq 4$ . It follows that the possible degree sequences are: (4, 4, 4, 1), (4, 4, 3, 2), (4, 3, 3, 3). Hence, by Lemma 3.3,  $C = K_4$ , since in all cases there exists  $x_i \in X$  such that  $d_C(x_i) = 4$ . Let  $C = v_1, v_2, v_3, v_4, v_1$ .

We consider two cases based on the number of components of H.

#### Case 3.1. Suppose comp(H) = 2.

Then each component of H contains two vertices of X. Let  $x_1, x_2$  be in one component of H, call it  $H_1$  and  $x_3, x_4$  in the other, call it  $H_2$ .

Without loss of generality, let  $x_4$  be the vertex of X with smallest degree to C. If we have degree sequence (4, 4, 4, 1) or (4, 4, 3, 2), it immediately follows that either  $x_1$ or  $x_2$  has degree 4 to C, say  $x_1$ . If instead we have degree sequence (4, 3, 3, 3), then we can label  $x_1, \ldots, x_4$  so that  $x_1$  has degree 4,  $x_1, x_2$  are in one component of H, and  $x_3, x_4$  are in the other.

Thus, we may assume without loss of generality that  $x_4$  is the vertex of X with smallest degree to C and that  $x_1$  has degree 4 to C. It follows that  $x_2, x_3$  have degree at least 3 to C.

Let  $P_1$  be a path in  $H_1$  connecting  $x_1$  and  $x_2$ , and let  $P_2$  be a path in  $H_2$  connecting  $x_3$  and  $x_4$ .

Vertices  $x_3$  and  $x_4$  must share a neighbor in C, say  $v_1$ . Take a second neighbor of  $x_3$  in C, say  $v_2$ . Then  $v_1$ ,  $v_2$ ,  $x_3$ ,  $P_2(x_3, x_4]$ ,  $v_1$  is a chorded cycle in  $\langle H \cup C \rangle$  with  $x_3v_1$  as a chord. Since  $x_2$  has three neighbors in C, it is adjacent to at least one of the remaining vertices of C, say  $v_3$ . Vertex  $x_1$  is adjacent to  $v_3$  and  $v_4$ . Thus,  $x_2$ ,  $v_3$ ,  $v_4$ ,  $x_1$ ,  $P_1(x_1, x_2]$ ,  $v_3$  is a second chorded cycle in  $\langle H \cup C \rangle$  with  $x_1v_3$  as a chord, vertex-disjoint from the first. (See Figure 3.7.)



Figure 3.7. Two vertex-disjoint chorded cycles in  $\langle H \cup C \rangle$ .

Therefore, if comp(H) = 2, we get two vertex-disjoint chorded cycles in  $\langle H \cup C \rangle$ , a contradiction.

Case 3.2. Suppose  $comp(H) \ge 3$ .

Recall that we have one of the following degree sequences from X to C: (4, 4, 4, 1), (4, 4, 3, 2), (4, 3, 3, 3). Label the vertices of X with  $x_i, 1 \le i \le 4$  such that  $d_C(x_1) \ge d_C(x_2) \ge d_C(x_3) \ge d_C(x_4)$ .

Since |C| = 4, for each possible degree sequence,  $x_2, x_3, x_4$  must all have a common neighbor in C, say  $v_1$ . And vertex  $x_1$  has degree 4 to C. Thus,  $C' = x_1, v_2, v_3, v_4, x_1$ is a chorded cycle in  $\langle H \cup C \rangle$  with chord  $x_1v_3$ .

Recall that, by the construction of X in Subclaim 3.1, if comp(H) = 2, no vertex  $x \in X$  is isolated from the rest of X. Hence, if  $x_1$  is the only vertex of X in its component  $H_i$  of H, then  $comp(H) \ge 3$ ,  $x_1$  it is not a cut-vertex, and  $comp(H_i - \{x_1\}) = 1$ . Then, replacing C in  $\mathscr{C}$  by C', the remaining H has fewer components, a contradiction.

Otherwise, some other vertex  $x_j$  of X is also in  $H_i$ . Since  $d_{H_i}(x_1) \leq 2$ ,  $comp(H_i - C_i)$ 

 $\{x_1\}$ )  $\leq 2$ . Further, the new H formed by replacing C in  $\mathscr{C}$  with C' has fewer components, since one of the two components of  $H_i - \{x_1\}$  contains  $x_j$  for some  $2 \leq j \leq 4$ , and  $x_2, x_3, x_4$  are all connected in the new H. Again we have a contradiction. (See Figure 3.8.) Thus, in all cases the claim holds.



Figure 3.8. Fewer components in H.

Now by Claim 1,  $|H| \ge 18$ , and by Claim 3, H is either connected or has only two components, one of which has order at most 3. Thus, H is either connected or has a component  $H_i$  such that  $|H_i| \ge 15$ . Let  $\tilde{H}$  be the largest component of H.

**Claim 4.**  $\tilde{H}$  contains a set X of four independent vertices such that  $d_{\tilde{H}}(X) \leq 8$ .

Proof.

**Subclaim 4.1.** If  $\tilde{H}$  contains a Hamiltonian path, we can find the desired set X.

*Proof.* Suppose  $\tilde{H}$  contains a Hamiltonian path. Then  $\tilde{H} = \langle P_1 \rangle$ , where  $P_1 = v_1, \ldots, v_t, t \geq 15$ . Without loss of generality, let  $d_{\tilde{H}}(v_1) \leq d_H(v_t)$ , otherwise we relabel the path.

If  $v_1v_t \in E(\tilde{H})$ , then every vertex of  $\tilde{H}$  has degree two by Lemma 3.11. The set  $X = \{v_1, v_3, v_5, v_7\}$  forms a set of four independent vertices with degree 8 in  $\tilde{H}$ , and we are done.

Thus,  $v_1v_t \notin E(\tilde{H})$ . It follows that  $v_1$  and  $v_t$  are independent. Also,  $d_{\tilde{H}}(v_1) \leq 2$ and  $d_{\tilde{H}}(v_t) \leq 2$  else a chorded cycle exists in  $\tilde{H}$ , a contradiction. Suppose  $d_{\tilde{H}}(v_1) = 1$  and  $d_{\tilde{H}}(v_t) = 1$ . By Lemma 3.12 one of  $v_3, v_4, v_5$  has degree 2 in  $\tilde{H}$ , call it  $v_i$ , and one of  $v_{t-4}, v_{t-3}, v_{t-2}$  has degree 2 in  $\tilde{H}$ , call it  $v_j$ . Then, choose  $X = \{v_1, v_i, v_j, v_t\}$ , and we are done.

Suppose  $d_{\tilde{H}}(v_1) = 1$  and  $d_{\tilde{H}}(v_t) = 2$  with  $v_t v_j \in E(\tilde{H})$ . Suppose  $j \leq t - 5$ . Then vertices  $v_{j+1}$  and  $v_{j+3}$  are independent from  $v_t$ . By Lemma 3.11, vertex  $v_{j+1}$  has degree 2 in  $\tilde{H}$ , and vertex  $v_{j+3}$  has degree at most 3 in H. Choose  $X = \{v_1, v_{j+1}, v_{j+3}, v_t\}$ , and we are done.

So, j > t - 5. By Lemma 3.12, one of  $v_3$ ,  $v_4$ ,  $v_5$  has degree 2 in  $\tilde{H}$ , say  $v_i$ . If  $j \le t - 3$ , then  $v_{j+1}$  is still independent from  $v_t$  and has degree 2 by Lemma 3.11. So,  $X = \{v_1, v_i, v_{j+1}, v_t\}$  is the desired set. Thus, j = t - 2. By Lemma 3.13, one of  $v_{t-5}$ ,  $v_{t-4}, v_{t-3}$  has degree two in  $\tilde{H}$ , call it  $v_j$ . Since  $t \ge 15$ ,  $v_i$  and  $v_j$  are independent, and  $X = \{v_1, v_i, v_j, v_t\}$  is the desired set.

Thus,  $d_{\tilde{H}}(v_1) = 2$  and  $d_{\tilde{H}}(v_t) = 2$ .

Suppose we have either  $v_1v_3$  or  $v_tv_{t-2}$  in  $E(\tilde{H})$ . Without loss of generality, say  $v_1v_3$ . Then, one of  $v_4, v_5, v_6$  has degree 2 in E(H) by Lemma 3.12, say  $v_i$ . If  $v_tv_{t-2} \in E(\tilde{H})$ , then one of  $v_{t-5}, v_{t-4}, v_{t-3}$  has degree two in  $\tilde{H}$ , call it  $v_j$ , and  $X = \{v_1, v_i, v_j, v_t\}$  is the desired set.

If  $v_t v_{t-2} \notin E(\tilde{H})$ , then  $v_t v_s \in E(\tilde{H})$  for some s < t-2. Hence, vertex  $v_{s+1}$  has degree 2 by Lemma 3.11 and is independent from  $v_t$ . Clearly,  $s \ge 3$ , else we have a chorded cycle. If  $v_{s+1} \notin \{v_{i-1}, v_i, v_{i+1}\}$ , then  $X = \{v_1, v_i, v_{s+1}, v_t\}$  is the desired set.

Thus,  $v_{s+1} \in \{v_{i-1}, v_i, v_{i+1}\}$ . This implies that  $v_s \in \{v_{i-2}, v_{i-1}, v_i\}$ . Clearly,  $v_s \neq v_i$ , since  $v_s v_t \in E(\tilde{H})$ , and vertex  $v_i$  has degree two in  $E(\tilde{H})$ . So,  $v_s = v_{i-2}$  or  $v_s = v_{i-1}$ . Since  $v_i \in \{v_4, v_5, v_6\}$  and  $s \geq 3$ , we know that  $v_s \in \{v_3, v_4, v_5\}$ . Then, if one of  $v_{s+4}$  or  $v_{s+5}$  has degree 2,  $X = \{v_1, v_i, v_{s+4}, v_t\}$ , or  $X = \{v_1, v_i, v_{s+5}, v_t\}$ , and we are done. Thus, both  $v_{s+4}$  or  $v_{s+5}$  have degree at least 3 in  $\tilde{H}$ . Furthermore, neither  $v_{s+4}$  nor  $v_{s+5}$  has a right edge, else this edge nests with  $v_s v_t$ , and we have a chorded cycle by Lemma 3.8. Thus, both  $v_{s+4}$  or  $v_{s+5}$  have left edges. It follows that  $v_{s+4}v_k, v_{s+5}v_l \in E(\tilde{H})$ , and k < l < s else we have nested edges and a chorded cycle by Lemma 3.8. But then,  $v_k, P_1, v_s, v_t, P_1^-, v_{s+4}, v_k$  is a chorded cycle with edge  $v_lv_{s+5}$ as a chord.

Thus, neither  $v_1v_3$  or  $v_tv_{t_2}$  is in  $E(\tilde{H})$ . It follows that  $v_1v_i, v_tv_j \in E(\tilde{H})$  for some i > 3, j < t - 2. And  $d_{\tilde{H}}(v_{i-1}) = 2, d_{\tilde{H}}(v_{j+1}) = 2$ . Then,  $X = \{v_1, v_{i-1}, v_{j+1}, v_t\}$ , unless  $i - 1 \in \{j, j + 1, j + 2\}$ .

Thus  $i - 1 \in \{j, j + 1, j + 2\}$ . And hence, i > j. Claim:  $d_{\tilde{H}}(v_3) = 2$ . We know  $v_3$  cannot have a left edge, else we have nested edges. And if  $v_3$  has a right edge  $v_3v_k$  with  $k \leq i$ , we have nested edges and hence a chorded cycle by Lemma 3.8. If  $v_3$  has a right edge  $v_3v_k$  with k > i, since i > j, we again get a chorded cycle,  $v_1, \tilde{H}, v_j, v_t, \tilde{H}^-, v_i, v_1$  with edge  $v_3, v_k$  as a chord. Thus,  $d_{\tilde{H}}(v_3) = 2$ . Claim:  $d_{\tilde{H}}(v_{t-2}) = 2$ . We know  $v_{k-2}$  cannot have a right edge, else we have nested edges. And if  $v_{k-2}$  has a left edge  $v_{k-2}v_l$  with  $l \geq j$ , we have nested edges and hence a chorded cycle by Lemma 3.8. If  $v_{t-2}$  has a left edge  $v_{t-2}v_l$  with l < j, since i > j, we again get a chorded cycle by Lemma 3.8. If  $v_{t-1}$  has a left edge  $v_{t-2}v_l$  with l < j, since i > j, we again get a chorded cycle by Lemma 3.8.

In all cases, Subclaim 4.1 holds.

Thus, we may assume the component  $\tilde{H}$  does not contain a Hamiltonian path. Choose two paths  $P_1$  and  $P_2$  in H such that:

- (A)  $P_1$  and  $P_2$  are a maximal pair of paths; that is, the sum of the lengths of  $P_1$ and  $P_2$  is maximal.
- (B) Subject to (A), path  $P_1$  is as long as possible.

Let  $P_1 = v_1, \ldots, v_t$  and  $P_2 = u_1, \ldots, u_s$ .

Subclaim 4.2. No endpoint of  $P_1$  or  $P_2$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . No endpoint of  $P_1$  has a neighbor in  $P_2$ . Hence,  $d_{\tilde{H}}(v_1) = d_{P_1}(v_1)$  and  $d_{\tilde{H}}(v_t) = d_{P_1}(v_t)$ . No endpoint p of a path  $P_i$  or vertex p in  $\tilde{H} - \langle P_i \rangle$  can have degree  $d_{P_i}(p) > 2$ . Furthermore,  $d_{\tilde{H}}(v_1) \leq 2$ ,  $d_{\tilde{H}}(v_t) \leq 2$ , and  $d_{P_1}(\{u_1, u_s\}) \leq 3$ . Proof. Clearly, none of  $v_1, v_t, u_1, u_s$  has a neighbor outside  $\langle P_1 \cup P_2 \rangle$ , else  $P_1, P_2$  is not a maximal pair of paths. Furthermore, neither  $v_1$  nor  $v_t$  can have a neighbor in  $P_2$ , else we can choose a maximal pair of paths  $P'_1, P'_2$  such that  $P'_1$  is longer than  $P_1$ , contradicting (2). And no endpoint p of a path  $P_i$  or vertex p in  $\tilde{H} - \langle P_i \rangle$  can have degree  $d_{P_i}(p) > 2$ , else  $\tilde{H}$  contains a chorded cycle. So,  $d_{\tilde{H}}(v_1) \leq 2$  and  $d_{\tilde{H}}(v_t) \leq 2$ .

Suppose  $d_{P_1}(\{u_1, u_s\}) \ge 4$ . Clearly,  $d_{P_1}(u_1) = 2$  and  $d_{P_1}(u_s) = 2$ , else we have a chorded cycle. But then by Lemma 3.6, we again have a chorded cycle. Hence,  $d_{P_1}(\{u_1, u_s\}) \le 3$ .

Subclaim 4.3. If  $|P_2| \leq 3$ , then we may assume  $\tilde{H} = \langle P_1 \cup P_2 \rangle$ .

*Proof.* Suppose  $|P_2| \leq 3$ . Without loss of generality, we may assume  $d_{P_1}(u_1) \leq d_{P_1}(u_s)$ . It follows from Subclaim 4.2 that  $d_{P_1}(u_1) \leq 1$  and  $d_H(u_1) \leq 2$ .

Claim: No vertex of  $P_2$  has a neighbor outside  $\langle P_1 \cup P_2 \rangle$ .

By Subclaim 4.2, no endpoint or  $P_2$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . Hence, if  $|P_2| \leq 2$ , no vertex of  $P_2$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . Thus  $|P_2| = 3$ . Suppose  $v_1v_t \in E(\tilde{H})$ . Then any vertex of  $P_1$  can be regarded as an endpoint of the path, and hence by Subclaim 4.2, no vertex of  $P_1$  has a neighbor in  $\tilde{H} - \langle P_1 \rangle$ . Furthermore, for any i, j with i < j and  $j \neq i + 1$ , we know that  $v_iv_j \notin E(\tilde{H})$ ; otherwise, we have nested edges in  $P_1$ , and by Lemma 3.8, a chorded cycle exists in  $\langle P_1 \rangle$ . Now, since  $|\tilde{H}| \geq 15$ , it follows that  $|P_1| \geq 12$ , and  $X = \{v_1, v_3, v_5, v_7\}$  forms the desired set. Thus, we may assume  $v_1v_t \notin E(\tilde{H})$ .

If  $u_1u_3 \in E(\tilde{H})$ , then no vertex of  $P_2$  has a neighbor outside  $\langle P_1 \cup P_2 \rangle$ , else we can form a longer path  $P'_2$ , contradicting (A). Thus,  $u_1u_3 \notin E(\tilde{H})$ , and hence  $d_{P_1}(u_1) \leq 1$ ,  $d_{P_1}(u_3) \leq 2$  and  $d_{\tilde{H}}(u_1) \leq 2$ ,  $d_{\tilde{H}}(u_3) \leq 3$ .

Suppose a vertex on  $P_2$  has a neighbor  $w_1$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . By Subclaim 4.2, clearly  $u_1w_1$ ,  $u_3w_1 \notin E(\tilde{H})$ . So  $u_2w_1 \in E(\tilde{H})$ . If  $d_{\tilde{H}}(\{u_1, u_3\}) \leq 4$ , then  $X = \{v_1, v_t, u_1, u_3\}$  forms the desired set. Thus, we may assume  $d_{\tilde{H}}(u_1) = 2$  and  $d_{\tilde{H}}(u_3) =$  3. Hence,  $d_{P_1}(u_1) = 1$  and  $d_{P_1}(u_3) = 2$ . Clearly,  $w_1$  has no neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ , else we can form a longer path  $P'_2$  and hence a longer pair of paths  $P_1, P'_2$ , contradicting (A). If  $d_{\tilde{H}}(w_1) \leq 2$ , then  $X = \{v_1, v_t, w_1, u_1\}$  forms the desired set. Thus,  $w_1$  has two neighbors on  $P_1$ . Note that the vertices  $w_1$  and  $u_3$  lie on a path  $P = w_1, u_2, u_3$ , and  $w_1, u_3$  send two edges each to  $P_1$ . By Lemma 3.6, there exists a chorded cycle in  $\langle P_1 \cup P \rangle$ , a contradiction. Thus, we may assume no vertex on  $P_2$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ , and the claim holds.

Claim: No vertex of  $P_1$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ .

Suppose there exists a vertex  $v_i$  in  $P_1$  with a neighbor  $w_1$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . If  $d_{\tilde{H}}(w_1) \leq 2$ , then  $X = \{v_1, v_t, u_1, w_1\}$  forms the desired set and we are done. Thus,  $d_{\tilde{H}}(w_1) \geq 3$ . Hence we have one of the following cases:

- Vertex w<sub>1</sub> has 3 neighbors in P<sub>1</sub>, but then H
   contains a chorded cycle by Lemma 3.4.
- 2. Vertex  $w_1$  has 2 neighbors in  $P_1$  and one neighbor in  $\tilde{H} \langle P_1 \cup P_2 \rangle$ .
- 3. Vertex  $w_1$  has 2 neighbors in  $\tilde{H} \langle P_1 \cup P_2 \rangle$  and one neighbor in  $P_1$ .

**Case 4.3.2.** Suppose  $w_1$  lies in case 2.

Then, vertex  $w_1$  has two neighbors in  $P_1$ , say  $v_i, v_j$ , and one neighbor in  $\hat{H} - \langle P_1 \cup P_2 \rangle$ , say  $w_2$ . If  $d_{\tilde{H}}(w_2) \leq 2$ , then  $X = \{v_1, v_t, u_1, w_2\}$  forms the desired set, and we are done. Thus,  $d_{\tilde{H}}(w_2) \geq 3$ , and one of the following cases must occur:

- (a) Vertex  $w_2$  has 1 neighbor in  $\tilde{H} \langle P_1 \cup P_2 \rangle$  and 2 neighbors in  $P_1$ .
- (b) Vertex  $w_2$  has 2 neighbors in  $\tilde{H} \langle P_1 \cup P_2 \rangle$  and 1 neighbor in  $P_1$ .
- (c) Vertex  $w_2$  has 3 neighbors in  $\tilde{H} \langle P_1 \cup P_2 \rangle$ .

If  $w_2$  lies in case (a), we have two vertices on a path  $w_1, w_2$ , each sending two edges to another path  $P_1$ , and by Lemma 3.6, a chorded cycle exists, a contradiction. If  $w_2$  lies in case (b), let  $w_3$  be the additional neighbor of  $w_2$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . If  $d_{\tilde{H}}(w_3) \leq 2$ ,  $X = \{v_1, v_t, u_1, w_3\}$  is the desired set, and we are done. Thus,  $d_{\tilde{H}}(w_3) \geq 3$ , and hence  $w_3$  sends two edges to  $P_1$ , else a path  $P'_2$  longer than  $P_2$ exists in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ , contradicting the maximality of  $P_1, P_2$ . But then the path  $w_1, w_2, w_3$  sends at least 5 edges to  $P_1$ , and a chorded cycle exists by Lemma 3.5, a contradiction.

Thus,  $w_2$  lies in case (c). Let  $w_3$  and  $w_4$  be neighbors of  $w_2$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . If either  $w_3$  or  $w_4$  has degree at most 2 in  $\tilde{H}$ , we can find the desired set X and we are done. If either  $w_3$  or  $w_4$  has another neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ , then we can find a path  $P'_2$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$  longer than  $P_2$  (since  $|P_2| \leq 3$ ), a contradiction. Thus,  $w_3$  and  $w_4$  must each have two neighbors in  $P_1$ . But then, by Lemma 3.6, a chorded cycle exists, a contradiction.

**Case 4.3.3.** Suppose  $w_1$  lies in case 3.

Let  $w_2, w_3$  be the neighbors of  $w_1$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . If  $d_{\tilde{H}}(w_2) = 2$  or  $d_{\tilde{H}}(w_3) = 2$ , then  $X = \{v_1, v_t, u_1, w_2\}$  or  $\{v_1, v_t, u_1, w_3\}$  is the desired set and we are done. Thus,  $d_{\tilde{H}}(w_2) \geq 3$  and  $d_{\tilde{H}}(w_3) \geq 3$ . For each of  $w_2$  and  $w_3$  one of the following cases must occur:

- (a) The vertex has 1 neighbor in  $\tilde{H} \langle P_1 \cup P_2 \rangle$  and 2 neighbors in  $P_1$ .
- (b) The vertex has 2 neighbors in  $\tilde{H} \langle P_1 \cup P_2 \rangle$  and 1 neighbor in  $P_1$ .
- (c) The vertex has 3 neighbors in  $\tilde{H} \langle P_1 \cup P_2 \rangle$ .

Suppose either  $w_2$  or  $w_3$  is in case (c), without loss of generality say  $w_2$ . Then  $w_2$  has a neighbor  $w_4$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$  distinct from  $w_3$ , and hence  $w_3, w_1, w_2, w_4$  forms a path  $P'_2$  longer than  $P_2$  (since  $|P_2| \leq 3$ ), a contradiction. Thus, each of  $w_2, w_3$  have at least one neighbor in  $P_1$ .
Suppose either  $w_2$  or  $w_3$  is in case (b), without loss of generality say  $w_2$ . Then, either  $w_2$  has a neighbor  $w_4$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$  distinct from  $w_3$  and we get a contradiction as before, or  $w_2$  is adjacent to  $w_3$ . Let  $v_j$  be the neighbor of  $w_2$  on  $P_1$ , and let  $v_l$  be the neighbor of  $w_3$  on  $P_1$ . Then,  $v_j$ , P,  $v_l$ ,  $w_3$ ,  $w_1$ ,  $w_2$ ,  $v_j$  forms a chorded cycle with the edge  $w_2w_3$  as a chord.

It follows that both  $w_2$  and  $w_3$  must lie in case (a). Then, we have five edges between the paths  $w_2$ ,  $w_1$ ,  $w_3$  and  $P_1$ , and by Lemma 3.5, a chorded cycle exists, a contradiction.

Thus, if any vertex in  $P_1$  or  $P_2$  has a neighbor outside  $\langle P_1 \cup P_2 \rangle$ , then we can either find the desired set, or we get a contradiction. Hence no vertex in  $P_1$  or  $P_2$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . And because  $\tilde{H}$  is connected, it follows that  $\tilde{H} = \langle P_1 \cup P_2 \rangle$ , and Subclaim 4.3 holds.

Subclaim 4.4. For the endpoints  $u_1, u_s$  of  $P_2$ , we must have  $d_{P_1}(\{u_1, u_s\}) \ge 1$ .

Suppose, to the contrary, that  $d_{P_1}(\{u_1, u_s\}) = 0$ .

If  $v_1v_t \notin E(H)$  and  $u_1u_s \notin E(H)$ , then  $v_1, v_t, u_1, u_s$  are all independent and each have degree at most 2 in H, hence  $X = \{v_1, v_t, u_1, u_s\}$  is the desired set and we are done. Thus, either  $v_1v_t \in E(H)$  or  $u_1u_s \in E(H)$ .

**Case 4.4.1.** Suppose  $|P_2| \le 3$ .

Then, by Subclaim 4.3,  $\tilde{H} = \langle P_1 \cup P_2 \rangle$ . If  $v_1 v_t \in E(H)$ , then every vertex of  $P_1$ can be regarded as an endpoint, and no vertex of  $P_1$  has a neighbor in  $P_2$ . Hence, every vertex v of  $P_1$  has  $d_{P_1}(v) = d_H(v) = 2$ , otherwise we have nested edges and a chorded cycle by Lemma 3.8. We know  $|P_1| \ge 8$  since  $\langle P_1 \cup P_2 \rangle = \tilde{H} \ge 15$ . Thus,  $v_1$ ,  $v_3, v_5, v_7$  are all independent,  $X = \{v_1, v_3, v_5, v_7\}$  is the desired set, and we are done.

Thus,  $v_1v_t \notin E(H)$ , and hence  $u_1u_s \in E(H)$ . Suppose that at least one of  $v_1, v_t$ has degree 1 in  $P_1$ , or that either  $v_1v_3$  or  $v_{t-2}v_t$  is in E(H). Then by Lemmas 3.12 and 3.13, one of  $v_2$ ,  $v_3$ ,  $v_4$ ,  $v_5$ ,  $v_{t-5}$ ,  $v_{t-4}$ ,  $v_{t-3}$ ,  $v_{t-2}$  has degree 2 in  $P_1$ , call it  $v_i$ , and hence is also independent from  $v_1$ ,  $v_t$ . Thus,  $X = \{v_1, v_t, u_1, v_i\}$  is the desired set, and we are done. So,  $v_1v_j \in E(H)$  for some  $j \ge 3$  and  $v_iv_t \in E(H)$  for some  $i \le t-3$ . Then the path  $P_1$  could be rewritten with vertex  $v_{i+1}$  as an endpoint, and hence  $d_H(v_{i+1}) = d_{P_1}(v_{i+1})$ . By Lemma 3.11, vertex  $v_{i+1}$  has degree 2 in  $P_1$ , and hence  $X = \{v_1, v_{i+1}, v_t, u_1\}$  is the desired set, and we are done.

**Case 4.4.2.** Suppose  $|P_2| \ge 4$ .

Proof. If  $v_1v_t \in E(\tilde{H})$  and  $u_1u_s \in E(\tilde{H})$ , then every vertex of  $P_1$  and every vertex of  $P_2$  can be regarded as an endpoint, and no vertex of  $P_1$  or  $P_2$  has a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . Hence, every vertex v of  $P_1$  or vertex u of  $P_2$  has  $d_{P_1}(v) = d_H(v) = 2 =$  $d_{P_2}(u) = d_{\tilde{H}}(u)$ , otherwise we have nested edges and a chorded cycle by Lemma 3.8. We know  $|P_1| \ge |P_2| \ge 4$ . Thus,  $v_1, v_3, u_1, u_3$  are all independent,  $X = \{v_1, v_3, u_1, u_3\}$ is the desired set, and we are done.

If  $v_1v_t \in E(\tilde{H})$  and  $u_1u_s \notin E(\tilde{H})$ , then again for any vertex  $v \in P_1$ ,  $d_{P_1}(v) = d_{\tilde{H}}(v) = 2$ . Also  $u_1, u_s$  are independent. And because  $d_{P_1}(u_1) = 0 = d_{P_2}(u_s)$ , we know that  $d_{\tilde{H}}(u_1) \leq 2$  and  $d_{\tilde{H}}(u_s) \leq 2$ . Hence,  $X = \{v_1, v_2, u_1, u_s\}$  is the desired set, and we are done.

Thus,  $v_1v_t \notin E(H)$  and  $u_1u_s \in E(H)$ . Suppose that at least one of  $v_1, v_t$  has degree 1 in  $P_1$ , or that either  $v_1v_3$  or  $v_{t-2}v_t$  is in E(H). Then by Lemmas 3.12 and 3.13, one of  $v_2, v_3, v_4, v_5, v_{t-5}, v_{t-4}, v_{t-3}, v_{t-2}$  has degree 2 in  $P_1$ , call it  $v_i$ , and hence is also independent from  $v_1, v_t$ . Thus,  $X = \{v_1, v_t, u_1, v_i\}$  is the desired set, and we are done. So,  $v_1v_j \in E(H)$  for some  $j \geq 3$  and  $v_iv_t \in E(H)$  for some  $i \leq t-3$ . Then the path  $P_1$  could be rewritten with vertex  $v_{i+1}$  as an endpoint, and hence  $d_H(v_{i+1}) = d_{P_1}(v_{i+1})$ . By Lemma 3.11, vertex  $v_{i+1}$  has degree 2 in  $P_1$ , and hence  $X = \{v_1, v_{i+1}, v_t, u_1\}$  is the desired set, and we are done.

Thus,  $d_{P_1}(\{u_1, u_s\}) \ge 1$ , and Subclaim 4.4 holds.

Case 4.1. Suppose that  $|P_2| = 1$ .

Then  $P_2 = u_1$ . By Subclaim 4.3,  $\tilde{H} = \langle P_1 \cup P_2 \rangle$ . Hence,  $|P_1| \ge 14$ . And by Subclaim 4.2,  $d_{\tilde{H}}(u_1) \le 2$ .

# Subcase 1. Suppose $d_{\tilde{H}}(u_1) = 2$ .

Let  $v_i, v_j, i < j$  be  $u_1$ 's neighbors on  $P_1$ . If  $v_i, v_j$  are consecutive on  $P_1$ , then  $\tilde{H}$  contains a Hamiltonian path, and we are done by Subclaim 4.1. Thus,  $j \ge i + 2$ . Furthermore, neither of  $v_i, v_j$  is an endpoint of  $P_1$  by Subclaim 4.2. By Lemma 3.14, one of  $v_{i+1}, v_{j-1}$  has degree 2 in  $\tilde{H}$ , say  $v_{i+1}$ . Then,  $X = \{v_1, v_t, u_1, v_{i+1}\}$  is the desired set.

#### Subcase 2. Suppose $d_{\tilde{H}}(u_1) = 1$ .

At most one vertex in  $P_1[v_3, v_{12}]$  is adjacent to  $u_1$ . It follows that there exists in  $P_1[v_3, v_{12}]$  a group of at least 4 consecutive vertices all nonadjacent to  $u_1$  and another distinct group of at least 5 consecutive vertices all nonadjacent to  $u_1$ , say  $v_i, \ldots, v_{i+3}$  and  $v_j, \ldots, v_{j+4}$ , or there exists a group of 6 consecutive vertices all nonadjacent to  $u_1$ , say  $v_i, \ldots, v_{i+5}$ . Thus, there exist at least three distinct pairs of two consecutive vertices all nonadjacent to  $u_1$ : either  $\{v_i, v_{i+1}\}, \{v_{i+2}, v_{i+3}\}, \text{ and } \{v_j, v_{j+1}\}; \text{ or } \{v_i, v_{i+1}\}, \{v_{i+2}, v_{i+3}\}, \text{ and } \{v_{i+4}, v_{i+5}\}.$ 

By Lemma 3.10, at least one vertex from each of the three pairs has degree at most three to  $P_1$ .

Recall that since  $v_1, v_t$  are endpoints of the path, by Subclaim 4.2,  $d_{P_1}(v_1) \leq 2$ and  $d_{P_1}(v_t) \leq 2$ . Thus, vertex  $v_1$  has at most one neighbor in  $P_1[v_3, v_{12}]$  and vertex  $v_t$ has at most one neighbor in  $P_1[v_3, v_{12}]$ . Thus, at least one of the three vertices above, all nonadjacent to  $u_1$  and having degree at most three to  $P_1$ , is also independent from  $v_1$  and  $v_t$ , call it  $v_k$ . Then,  $X = \{v_1, v_t, u_1, v_k\}$  is the desired set, and we are done.

### Case 4.2. Suppose that $|P_2| = 2$ .

Recall by Subclaim 4.2,  $d_{P_1}(\{u_1, u_2\}) \leq 3$  and  $d_{P_1}(u_1) \leq d_{P_1}(u_2)$ . So,  $d_{P_1}(u_1) \leq 1$ and  $d_{P_1}(u_2) \leq 2$ . **Subcase 1.** Suppose  $\{u_1, u_2\}$  has 2 or more distinct neighbors on  $P_1$ .

Say these neighbors are  $v_i$  and  $v_j$  with i < j. We know that j must be at least i+2. Otherwise j = i+1 and we can form either a Hamiltonian path, if each of  $u_1, u_s$  has an endpoint to  $P_1$ , in which case we are done by Subclaim 4.1, or a maximal pair of paths  $P'_1, P'_2$  with  $|P'_1| > |P_1|$ , a contradiction.

But now, by Lemma 3.14, one of  $v_{i+1}, v_{j-1}$ , call it  $v_l$  has degree 2 in  $\hat{H}$ . Hence,  $X = \{v_1, v_t, u_1, v_l\}$  forms the desired set, and we are done.

**Subcase 2.** Suppose  $\{u_1, u_2\}$  has one distinct neighbor in  $P_1$ .

Since  $d_{P_1}(u_1) < d_{P_1}(u_2)$ , either  $d_{P_1}(u_1) = 0$  or  $d_{P_1}(u_1) = 1 = d_{P_1}(u_2)$  and  $u_1, u_2$ have the same neighbor in  $P_1$ . Thus,  $d_{P_1}(u_1) \le 1$  and  $d_{\tilde{H}}(u_1) \le 2$ .

If  $d_{\tilde{H}}(v_1) = 1$ ,  $d_{\tilde{H}}(v_t) = 1$ , or either  $v_1v_3$  or  $v_{t-2}v_t \in E(\tilde{H})$ , by Lemmas 3.12 and 3.13, one of  $v_3$ ,  $v_4$ ,  $v_5$ ,  $v_6$ ,  $v_{t-5}$ ,  $v_{t-4}$ ,  $v_{t-3}$ , or  $v_{t-2}$  has degree two in  $\tilde{H}$ , call it  $v_l$ . Then,  $X = \{v_1, v_t, u_1, v_l\}$  forms the desired set, and we are done.

Thus,  $v_1$  must have a neighbor  $v_i$  in  $P_1[v_4, v_t]$  and  $v_t$  must have a neighbor  $v_j$  in  $P_1[v_1, v_{t-2}]$ . Then, by Lemma 3.15,  $d_{\tilde{H}}(v_{i-1}) = 2$  and  $d_{\tilde{H}}(v_{j+1}) = 2$ . Thus,  $X = \{v_1, v_t, v_{i-1}, v_{j+2}\}$  forms the desired set and we are done. This completes Case 4.2.

**Case 4.3.** Suppose that  $|P_2| = 3$ .

We know  $\tilde{H} = \langle P_1 \cup P_2 \rangle$  by Subclaim 4.3. Recall, by Subclaim 4.2, that  $3 \geq d_{P_1}(\{u_1, u_3\} \geq 1)$ . If  $u_1 u_3 \in E(\tilde{H})$ , then there is at most one edge between  $P_1$  and  $P_2$ , else a chorded cycle exists. It follows that  $d_{\tilde{H}}(u_1) \leq 2$ . By Lemmas 3.12 and 3.13, if  $d_{\tilde{H}}(v_1) = 1$ ,  $d_{\tilde{H}}(v_t) = 1$ , or either  $v_1 v_3$  or  $v_{t-2} v_t \in E(\tilde{H})$ , then one of  $v_3, v_4, v_5, v_6, v_{t-5}, v_{t-4}, v_{t-3}$ , or  $v_{t-2}$  has degree two in  $\tilde{H}$ , call it  $v_l$ . Then,  $X = \{v_1, v_t, u_1, v_l\}$  forms the desired set, and we are done.

Thus,  $v_1$  must have a neighbor in  $P_1[v_4, v_t]$  and  $v_t$  must have a neighbor in  $P_1[v_1, v_{t-2}]$ . By Lemma 3.15, if  $v_1$  has a neighbor  $v_i$  in  $P_1[v_4, v_t]$  or  $v_t$  has a neighbor

 $v_j$  in  $P_1[v_1, v_{t-3}]$ , then either  $X = \{v_1, v_t, v_{i-1}, u_1\}$  or  $X = \{v_1, v_t, v_{j+1}, u_1\}$  forms the desired set, and we are done.

# Case 4.4. Suppose that $|P_2| = s \ge 4$ .

Suppose both  $u_1$  and  $u_s$  have an edge into  $P_1$ . Then  $d_{P_2}(u_1) = 1$  and  $d_{P_2}(u_s) = 1$ , else a chorded cycle exists. Hence, by Subclaim 4.2,  $d_{\tilde{H}}(u_1) \leq 2$ . Then if  $d_{P_1}(u_1) = 1$ and  $d_{P_1}(u_s) = 1$ , we see that  $X = \{v_1, v_t, u_1, u_s\}$  is the desired set. Thus,  $d_{P_1}(u_s) \geq 2$ . Let  $v_i, v_j$  be neighbors of  $u_s$  on  $P_1$ . Consider vertex  $u_{s-1}$ ; if it has degree at most 2 in  $\tilde{H}$ , then  $\{v_1, v_t, u_1, u_{s-1}\}$  is the desired set, and we are done. Hence,  $u_{s-1}$  must have degree 3 or more. If  $u_{s-1}$  has degree 3 in  $P_2$ , a chorded cycle exists, a contradiction. Thus  $u_{s-1}$  has a neighbor in  $P_1$  or in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ . If  $u_1$  or  $u_{s-1}$  has an edge to the left or the right of both  $v_i$  and  $v_j$ , we have three parallel edges between  $P_1$  and  $P_2$  and hence a chorded cycle exists by Lemma 3.4. Thus, the neighbors on  $P_1$  of  $u_1$ and  $u_{s-1}$  must lie in  $P_1[v_i, v_j]$ . But then we again get three parallel chords, or three crossing chords, and hence a chorded cycle by Lemma 3.4. Thus,  $u_{s-1}$  must have a neighbor  $w_1$  in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ .

If  $d_{\tilde{H}}(w_1) \leq 2$ , then  $\{v_1, v_t, u_1, w_1\}$  is the desired set, and we are done. Thus,  $d_{\tilde{H}}(w_1) \geq 3$ . Vertex  $w_1$  cannot have a neighbor in  $\tilde{H} - \langle P_1 \cup P_2 \rangle$ , else we can form a longer pair of paths  $P_1, P'_2$ , a contradiction. Furthermore, vertex  $w_1$  cannot have two neighbors in  $P_1$ , else by Lemma 4 we have a chorded cycle, since  $u_s$  has two neighbors in  $P_1$ . Thus, vertex  $w_1$  has two neighbors in  $P_2$  and one neighbor in  $P_1$ .

Let  $v_l$  be the neighbor of  $u_1$  in  $P_1$  and  $v_m$  be the neighbor of  $w_1$  in  $P_1$ . Vertex  $w_1$  is not adjacent to  $u_1$  or  $u_s$ , hence  $w_1$ 's second neighbor  $u_i$  in  $P_2$  lies in  $P_2[u_2, u_{s-1})$ . Then  $w_1$ ,  $P_2^-[u_{s-1}, u_1]$ ,  $v_i$ ,  $P_1^{\pm}(v_i, v_j]$ ,  $v_m, w_1$  forms a chorded cycle with  $w_1u_i$  as a chord, a contradiction.

Thus, in all cases, Claim 4 holds.

Thus,  $\tilde{H}$  is connected with  $|\tilde{H}| \ge 15$ , and there exists a set X in  $\tilde{H}$  containing

4 independent vertices such that  $d_{\tilde{H}}(X) = d_H(X) \leq 8$ . It follows that  $d_{\mathscr{C}}(X) \geq 12k - 3 - 8 = 12k - 11 > 12(k - 1)$ . And hence there exists  $C \in \mathscr{C}$  such that  $d_C(X) \geq 13$ . By Lemma 3.3, for any  $x_i \in X$ ,  $d_C(x_i) \leq 4$ . It follows that the possible degree sequences are: (4, 4, 4, 1), (4, 4, 3, 2), (4, 3, 3, 3). Hence, by Lemma 3.3,  $C = K_4$  since in all cases there exists  $x_i \in X$  such that  $d_C(x_i) = 4$ . Let  $C = v_1, v_2, v_3, v_4, v_1$ .

**Case 1.** Suppose we have sequence (4, 4, 4, 1).

Let  $x_4$  have degree 1 to C and let the vertices  $x_1$ ,  $x_2$ ,  $x_3$  have degree 4 to C. Without loss of generality, say  $x_4$  is adjacent to  $v_1$ .

Since  $\tilde{H}$  is connected, there is a path from  $x_4$  to some other  $x_i \in X$  disjoint from  $X - \{x_4, x_i\}$ . Without loss of generality say there is such a path P connecting  $x_4$  and  $x_3$ . (See Figure 3.9.)



Figure 3.9. A path P connecting  $x_3$  and  $x_4$ .

Then,  $x_4$ ,  $v_1$ ,  $v_2$ ,  $x_3$ ,  $P(x_3, x_4]$  is a chorded cycle with  $v_1x_3$  as a chord, and  $x_1$ ,  $v_3$ ,  $x_2$ ,  $v_4$ ,  $x_1$  is a chorded cycle with  $v_3v_4$  as a chord. Thus, we have two chorded cycles in  $\langle \tilde{H} \cup C \rangle$ , a contradiction.

**Case 2.** Suppose we have sequence (4, 4, 3, 2).

Label the vertices of X with  $x_1$ ,  $x_2$ ,  $x_3$ ,  $x_4$  such that  $d_C(x_1) = 4$ ,  $d_C(x_2) = 4$ ,  $d_C(x_3) = 3$ ,  $d_C(x_4) = 2$ . Without loss of generality, say  $x_4$  is adjacent to  $v_1$  and  $v_2$ . Since *H* is connected, there is a path *P* from  $x_4$  to some other  $x_i \in X$  disjoint from  $X - \{x_4, x_i\}$ .

**Subcase 1.** Suppose path P connects  $x_4$  and the vertex of X with degree 3 to C, that is  $x_3$ .

Vertices  $x_3$  and  $x_4$  have a common neighbor in C, say it's  $v_1$ . Then  $v_1$ ,  $v_2$ ,  $P[x_4, x_3]$ ,  $v_1$  forms a chorded cycle with edge  $v_1x_4$  as a chord. (See Figure 3.10.) Vertices  $x_1$ and  $x_2$  both have degree 4 to C, hence they are both adjacent to  $v_3$  and  $v_4$ . Then,  $x_1$ ,  $v_3$ ,  $x_2$ ,  $v_4$ ,  $x_1$  forms a second chorded cycle with edge  $v_3v_4$  as a chord. (See Figure 3.10.) Thus, we have two chorded cycles in  $\langle \tilde{H} \cup C \rangle$ , a contradiction.

**Subcase 2.** Suppose path P connects  $x_4$  and a vertex of X with degree 4 to C. Without loss of generality, say P connects  $x_4$  and  $x_1$ .

Vertices  $x_2$  and  $x_3$  have three common neighbors in C, at least one of which is not also a neighbor of  $x_4$ . Say  $v_3$  is one of these common neighbors, and call the other one  $v_i$ . Then  $x_2$ ,  $v_i$ ,  $x_3$ ,  $v_3$ ,  $x_2$  is a chorded cycle with chord  $v_iv_3$ . At least one of  $x_4$ 's neighbors in C has not yet been used, say  $v_1$ . Let  $v_j$  be the last remaining vertex of C. Vertex  $x_4$  may or may not be adjacent to  $v_j$ , but certainly  $x_1$  is adjacent to both  $v_1$  and  $v_j$ . Thus,  $x_1$ , P,  $x_4$ ,  $v_1$ ,  $v_j$ ,  $x_1$  forms a second chorded cycle with chord  $v_1x_1$ . (See Figure 3.11.) Again, we have two chorded cycles in  $\langle \tilde{H} \cup C \rangle$ , a contradiction.



Figure 3.10. A chorded cycle.

Figure 3.11. A chorded cycle.

**Case 3.** Suppose we have sequence (4, 3, 3, 3).

Label the vertices of X with  $x_1, x_2, x_3, x_4$  such that that  $d_C(x_1) = 4, d_C(x_2) = 3$ ,  $d_C(x_3) = 3, d_C(x_4) = 3$ . Since  $\tilde{H}$  is connected, there is a path from  $x_1$  to some other  $x_i \in X$  disjoint from  $X - \{x_1, x_i\}$ . Without loss of generality, say there is such a path P connecting  $x_1$  and  $x_2$ . Vertices  $x_3$  and  $x_4$  share two neighbors in C, say  $v_1, v_2$ . Then  $x_3, v_1, x_4, v_2, x_3$  is a chorded cycle with  $v_1v_2$  as a chord. Vertex  $x_2$  has degree 3 to C; therefore, it has some remaining neighbor in C, say  $v_4$ . Vertex  $x_1$  is adjacent to both  $v_3$  and  $v_4$ . Then,  $P[x_1, x_2], v_4, v_3, x_1$  is a second chorded cycle with  $x_1v_4$  as a chord. (See Figure 3.12.) Thus, we have two chorded cycles in  $\langle \tilde{H} \cup C \rangle$ , a contradiction.



Figure 3.12. Two chorded cycles in  $\langle \tilde{H} \cup C \rangle$ .

In all cases we get a contradiction. Thus, there cannot be an edge-maximal counterexample and the proof is complete.

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