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Evolution of *Staphylococcus aureus* phenotypes

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Abstract

Evolution of *Staphylococcus aureus* phenotypes By Michelle Su

Staphylococcus aureus poses a significant threat partly due to its incredible ability to adapt and evolve. Its evolution can be defined by parallel evolution, epistasis, and/or trade-offs between phenotypes. Understanding the selective pressures and constraints that influence the fitness landscape and mutational space *S. aureus* has access to will be a step towards better infection management and treatment. Antimicrobial resistance phenotype prediction is largely accurate due to well-characterized resistance determinants. Errors have been mainly attributed to the variability of culture-based antimicrobial susceptibility tests, but undefined epistasis can also dramatically affect the phenotypic manifestation of a resistance gene. Delta-toxin production in *S. aureus*, which is linked to atopic dermatitis, was found to be highly associated with clonal complex, indicating epistasis is the primary constraint in its evolution. Additionally, a genome-wide association study identified *carA*, carbamoyl-phosphate synthase small chain, as necessary for the expression of delta-toxin, confirming the link between virulence and metabolic status. Vancomycin resistance in *S. aureus* was confirmed to be driven largely by parallel evolution using experimental evolution in three backgrounds (CC1, CC5, CC8), but epistasis nonetheless affected the mutational patterns observed in these strains. In addition, differential fitness costs were seen in different genetic backgrounds during the progression from lower levels of resistance to higher levels of resistance. Virulence evolution of *S. aureus* in *Caenorhabditis elegans* was determined to exert selective pressure on virulence regulators and metabolic loci. In addition, there were trade-offs found between virulence and antibiotic exposure, which could be potentiated by host exposure. These studies together highlight the complex interplay between genetic background and outside selective forces and furthers our understanding the evolutionary dynamics of *S. aureus* phenotypes.

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Chapter I. Introduction

As pathogens have continued to evolve, so too has our understanding of how they cause disease. With the advent of genome sequencing, we have been able to ask broader questions about how *Staphylococcus aureus* has evolved over time and how the evolution of phenotypes such as antibiotic resistance and virulence is achieved. What has emerged is a complex picture of how different evolutionary forces interact with genetic variation to define the relationship from genotype to phenotype. In addition, trade-offs between different phenotypes govern the adaptation of *S. aureus* in different niches. This dissertation aims to investigate the evolution of *S. aureus* using traditional laboratory approaches and genomic approaches with an eye towards the prediction of phenotypes and the future evolution of *S. aureus*.

Staphylococcus aureus

Staphylococcus aureus is a Gram-positive coccus that can live as a human commensal or a pathogen. Throughout its history, it has shown remarkable ability to adapt to the changing environments caused by human healthcare. Although originally susceptible to most antibiotics, *S. aureus* has been the cause of many epidemic waves of antibiotic resistant infections (Chambers & Deleo, 2009). In the 1950s and 1960s, penicillin resistant *S. aureus* dominated in hospitals and communities (Rountree & Freeman, 1955). Shortly after the introduction of methicillin in 1961, there was an emergence of methicillin-resistant *S. aureus* (MRSA) in hospitals (Crossley, Landesman & Zaske, 1979; Crisostomo et al., 2001). Increasing use of vancomycin against MRSA strains saw the appearance of vancomycin-intermediate *S. aureus* (VISA) (Hiramatsu et al., 1997a) and vancomycin-resistant *S. aureus* (VRSA) (Weigel et al., 2003). In the 1990s, MRSA infections, which had been largely confined to hospitals, began to appear in the community (Herold et al., 1998; “From the Centers for Disease Control and Prevention. Four pediatric deaths from community-acquired methicillin-resistant *Staphylococcus aureus*--Minnesota

and North Dakota, 1997-1999,” 1999). As *S. aureus* spread globally, there has been a continued evolution and stratification of the species: Major MRSA clones have been shown to descend from successful epidemic Methicillin-sensitive *S. aureus* (MSSA) strains with the majority of infections being attributed to a few clonal complexes (CC): CC1, CC5, CC8, CC9, CC12, CC15, CC22, CC25, CC30, CC45, and CC51/121 (Enright et al., 2002; Deurenberg & Stobberingh, 2008), though the incidence of each has varied dramatically over time and may be linked to the acquisition of new traits such as antibiotic resistance. Thus, understanding how *S. aureus* evolves and adapts to changing environments is crucial.

How do phenotypes evolve?

Gene variation and population dynamics

The five major mechanisms of evolution are mutation, genetic drift, gene flow, non-random mating, and natural selection. As bacteria reproduce asexually, I will focus on the mechanisms other than non-random mating in discussing the evolutionary dynamics of *S. aureus*.

The *S. aureus* genome consists of a 2.8 Mbp chromosome and a variable number of episomal elements (plasmids). Gene flow or horizontal gene transfer (HGT) represents a powerful force in driving quick adaptation. Mobile genetic elements (MGEs) comprise on average 15-20% of the *S. aureus* genome (Lindsay, 2014), but lineages vary dramatically (Lindsay et al., 2006; Goerke et al., 2009). MGEs, which include bacteriophages, pathogenicity islands (SaPI), plasmids, transposons, and staphylococcal cassette chromosomes (SCC), are known contributors to virulence and resistance. Bacteriophages and SaPIs carry superantigens, toxins, and immune-modulatory proteins such as enterotoxins A-C (Betley & Mekalanos, 1985), Panton-Valentine leukocidin (Kaneko et al., 1998), toxic shock syndrome toxin-1, complement inhibitory protein, chemotaxis inhibitory protein, and staphylokinase (van Wamel et

al., 2006). *SCC_{mec}* (SCC elements containing the *mecA* gene encoding PBP2a) introduction into MSSA strains and their stable maintenance generates MRSA (Katayama, Ito & Hiramatsu, 2000). Transposon insertions in these SCC elements can also contribute other antibiotic resistance genes. VRSA (Weigel et al., 2003) is also caused by acquisition of a transposon, which carries *vanA*, from enterococci.

Genetic drift in *S. aureus* is dominated by two phenomenon: transmission and infection bottlenecks and geographic expansion. Host species jumps are rare but evolutionary reconstruction suggests several events in the past 10,000 years (Sakwinska et al., 2011; Richardson et al., 2018). Transmission bottlenecks seem to be of variable size (Hall et al., 2019) and likely depend on several factors such as host susceptibility, duration of contact, and bacterial burden. Infections require large inoculums for initiation and are a stringent bottleneck as bacteria need to survive host immune responses (Prajsnar et al., 2012; McVicker et al., 2014; Pollitt et al., 2018). A notable example of *S. aureus* expansion is that of USA300 in North America, first detected in 1999 in a Mississippi prison (Centers for Disease Control and Prevention (CDC), 2001) and now the predominant MRSA clone in the United States (Diekema et al., 2014). As USA300 spread from the east coast to west coast, there was a drop in genetic diversity due to serial founder effects (Challagundla et al., 2018).

Mutation and natural selection are intimately linked. Mutation gives natural selection the population variation to act on. Mutations occur during errors in DNA replication, and mutation rate estimates in *S. aureus* vary from 2.0×10^{-6} to 4.6×10^{-6} per site per year (Smyth et al., 2010; Harris et al., 2010; Nübel et al., 2010; Young et al., 2012). A mechanism for increased mutation is knockout of DNA repair pathways (O'Neill & Chopra, 2002; Prunier & Leclercq, 2005), but environmental factors such as nutrient limitation (Shapiro, 1984; Mittler & Lenski, 1990) and SOS induction by quinolone antibiotics (Phillips et al., 1987) or other chemicals have also been shown to increase adaptive mutation rates

(Martinez & Baquero, 2000). Natural selection of *S. aureus* acts on a fitness differential between strains. However, fitness is a non-static determinant is a combination of environmental conditions, growth rates, antibiotic resistance, phage resistance, among others.

Epistasis and how it affects evolution: convergent vs lineage-centric

Discussion of fitness differentials between mutations requires acknowledgement of the relationship between genotype and phenotype. Epistasis, defined as the interaction of two or more genes in determining phenotype (Bateson, 1909), plays a key role in defining the fitness landscape (Wright, 1932) and available mutational space. The sensitivity of each phenotype to epistasis has to be determined empirically. In cases of parallel evolution where phenotypes have emerged in multiple lineages of *S. aureus*, the effect of epistasis to natural selection is low. An example of such a phenotype is antibiotic resistance. Methicillin resistance via acquisition of *SCC_{mec}* has occurred multiple times in *S. aureus* history (Enright et al., 2002). VISA has been found in all major lineages of *S. aureus* (Hiramatsu et al., 1997b; Howden et al., 2006, 2008; Mwangi et al., 2007; Alam et al., 2014; Gardete & Tomasz, 2014). Other phenotypes are defined strongly by lineage. Delta-toxin production evolution in *S. aureus* shows a strong phylogenetic signal (Su et al., 2020). Other phenotypes likely to be strongly affected by epistasis include those that are unusually elevated in certain clonal complexes such as persister formation in CC30 (Liu et al., 2020).

Long-term evolution and persistence of *S. aureus* strains

Fitness

Fitness measurements can help elucidate the probabilities for long term persistence of evolved strains outside of direct selection by antibiotic therapy. Antibiotic resistance is generally a costly trait to evolve (Andersson & Levin, 1999; MacLean & Vogwill, 2014; Melnyk, Wong & Kassen, 2015), though there

have been reports of cost-free or low-cost resistance (Wichelhaus et al., 2002). In the case of vancomycin resistance in *S. aureus*, the prevalence of VISA and VRSA is low due to the high burden of resistance. VISA is often the result of within-host evolution from antibiotic therapy of a MRSA infection (Hu, Peng & Rao, 2016), and there have only been 14 cases of VRSA in the United States (McGuinness, Malachowa & DeLeo, 2017) since the first documented case in 2002 (Chang et al., 2003; Weigel et al., 2003). In addition, it is believed that MRSA strains were limited to hospital settings in the past due to the fitness costs of SCC $_{mec}$. The appearance of SCC $_{mec}$ type IV, which is smaller and thus imposes a lower fitness cost, has contributed to the success of USA300 as a community-associated pathogen (Lee et al., 2007; Diep et al., 2008).

However, the determination of resistance maintenance is complicated by compensatory mutation to reduce fitness costs (Hernando-Amado et al., 2017) as well as co-selection by metals and biocides (Baker-Austin et al., 2006; Wales & Davies, 2015), genetic linkage to other resistance markers or beneficial genes (Enne et al., 2004; Dionisio et al., 2005; Yates et al., 2006), and selection from subminimal concentrations of antibiotics (Thiele-Bruhn, 2003; Cabello, 2006; Drlica & Zhao, 2007; Li et al., 2008; Kümmerer, 2008). These factors help explain the persistence of resistance after discontinuation of antibiotics (trimethoprim resistance in Sweden (Sundqvist et al., 2010), sulfonamide resistance (Enne et al., 2001) and streptomycin resistance (Bean et al., 2005) in the UK). Thus, predictions for the persistence of antibiotic resistance genes must rely not only on fitness costs but must be combined with a more holistic view of the selection pressures bacteria face.

Constraints to evolution: Opposed selection pressures (virulence vs antibiotic resistance, immune system vs virulence)

Often, evolution of one phenotype is at the expense of another. Trade-offs have been characterized in many organisms, *S. aureus* notwithstanding (Geisinger & Isberg, 2017). Null mutations in virulence regulators are common in *S. aureus* clinical infections, suggesting it is an adaptive response to the host. These mutations appear to be an evolutionary trade-off to reduce the damage to the host caused by toxins, which elicits strong immune response, in favor of slower, persistent growth. Mutations in the *agr* operon, which controls extracellular toxins, are well documented (Traber et al., 2008) in chronic infections such as osteomyelitis (Suligoy et al., 2018), cystic fibrosis (Kahl et al., 2003), atopic dermatitis (Soong et al., 2015), and bacteremia (Fowler et al., 2004). These mutants trigger less inflammatory immune responses than *agr*⁺ strains and form more robust biofilms (Vuong et al., 2000). Mutation in another virulence regulator *ryp* (Li et al., 2015; Liu & Sun, 2020) has also been shown to allow prolonged survival in the host at the cost of cytotoxicity (Young et al., 2012; Das et al., 2016). Similar to *agr*, mutation in *ryp* has a profound impact on biofilm formation (Lei et al., 2011). Lastly, a mechanism agnostic approach found that lower toxicity in *S. aureus* is associated with a higher within-host fitness and persistence in the host (Laabei et al., 2015).

Some antibiotic resistant *S. aureus* phenotypes are attenuated in virulence. This has been demonstrated for vancomycin and other glycopeptides (McCallum et al., 2006; Majcherczyk et al., 2008; Peleg et al., 2009). However, the lower virulence likely is not wholly attributable to the development of resistance and its associated fitness costs as other phenotypic changes are seen in these isolates (Howden et al., 2010). Nonetheless, trade-offs between virulence and antibiotic resistance due to direct epistatic interactions have been demonstrated. Methicillin resistance induces cell wall changes that interfere with quorum sensing through *agr* and thus lowers toxicity relative to methicillin resistance level (Collins et al., 2010; Rudkin et al., 2012). Thus, understanding the trade-offs that exist between these phenotypes will be key to the development of better therapies.

Traditional laboratory approaches

Traditional molecular genetic lab techniques presuppose a simple causal relationship between gene and phenotype. Typically, the relationships between genes and phenotypes are probed by experimentally introducing a single mutation at a time. However, this ignores the varying selective pressures that exist in the environment, in the host, and in transmission that determine whether mutations are fit enough to survive. In addition, experiments are typically limited in scope: using rich media or only one genetic background. The *S. aureus* genome is remarkably diverse, and epistasis, as discussed above, is an important determinant in the evolution of phenotypes.

Experimental evolution

Experimental evolution is a powerful tool that can be used to investigate the mechanisms of clinically relevant phenotypes, and in the case of antimicrobial treatment development, the frequency. It is a top-down approach that selects for the phenotype of interest and then finds the genetic determinants. Thus far, it has been used to elucidate important genes in daptomycin resistance (Friedman, Alder & Silverman, 2006; Lasek-Nesselquist et al., 2019) and compensatory rescue of fusidic acid resistance (Nagaev et al., 2001) as well as assess the potential for cross-resistance to the innate immune system if antimicrobial peptides are used as a treatment (Dobson, Purves & Rolff, 2014; Johnston, Dobson & Rolff, 2016; Makarova et al., 2018). Though rarer, experimental evolution has also been used to explore population dynamics. Alternating antibiotic treatments have been demonstrated to slow the evolution of multidrug resistance (Kim, Lieberman & Kishony, 2014). New host adaptation is possible due to mutation accumulation during infection that can rapidly sweep the population (Bacigalupe et al., 2019). Finally, it was shown that spontaneous intra-species competition can lead to rapid evolution of VISA-like strains (Koch et al., 2014).

Genomic approaches

Unlike traditional lab approaches, genomic approaches use standing natural variation to investigate associations retrospectively and are only limited by the granularity of data. However, the breadth of data underscores one of the primary challenges, which is having sufficient power to detect meaningful associations. Appropriate statistical models must also be developed that reflect the real world so as to avoid spurious conclusions. In the end, all associations found by bioinformatic approaches must be validated in the laboratory.

Ancestral reconstruction, GWAS, GWES

Ancestral reconstruction extrapolates backwards in time from current measured traits to shared ancestors. It can be used to test hypotheses about adaptation (Coddington, 1988), characterize the number of times a trait has evolved independently (Donoghue, 1989), and elucidate whether there has been differential selection among clades of the phylogenetic tree. To determine ancestral states, however, an appropriate model of evolution must be chosen *a priori*. In addition, accuracy decreases with time elapsed from the ancestor states being reconstructed or with sufficiently fast trait evolution, which obscure underlying phylogenetic relationships. Several other issues limit its applicability of use: accuracy of phylogeny (except in Bayesian methods), need for independent probability estimates for the gain or loss of traits, and complete taxon sampling (Omland, 1999).

Genome-wide association studies (GWAS) are a potentially unbiased method to identify genes associated with disease or a particular phenotype. Genetic variants, commonly single nucleotide polymorphisms or k-mers, in a genome are tested for significant association with a trait of interest. The inclusion of the whole genome makes GWAS more powerful than traditional linkage studies in

studying multi-factorial illnesses while still being able to detect strong signals within a population. GWAS has been used successfully in human populations to study susceptibility to myocardial infarction (Ozaki et al., 2002) and age-related macular degeneration (Eagle, 2006). In bacterial populations, it has been used successfully to identify a phage associated with hypervirulence in *Neisseria meningitidis* (Bille et al., 2005) as well as a host specificity factor in *Campylobacter* (Sheppard et al., 2013). In those cases and in the use of GWAS to identify antibiotic resistance determinants, the studied phenotypes have been highly penetrant with large effect sizes, allowing for the easy discrimination of the variant from other variants tested. In studying more complex traits, maintaining power while accounting population structure, multiple testing, and linkage disequilibrium remains a significant obstacle in elucidating genetic determinants (Power, Parkhill & de Oliveira, 2017). Nonetheless, at the time of writing, several softwares exist that aim to tackle these unique problems in bacterial GWAS: bugwas (Earle et al., 2016), DBGWAS (Jaillard et al., 2018), SEER/pyseer (Lees et al., 2016, 2018), hogwash (Saund & Snitkin, 2020), treeWAS (Collins & Didelot, 2018).

As genes and proteins are not isolated and independent entities within cells but exist as broad and vast networks (Boucher & Jenna, 2013), genome-wide epistasis and co-selection study (GWES) aim to reveal sites that are co-evolving under shared selection pressures or due to epistatic interactions (effect of one gene is dependent on other genes). It has been used to identify epistasis between virulence and biofilm genes in *Vibrio parahaemolyticus* (Cui et al., 2015) as well as interacting networks of antibiotic resistance and virulence in *Streptococcus* (Skwark et al., 2017). The main challenges with GWES are related to the fact as the number of variant pairs increases quadratically with the number of variants included, and similar to GWAS, population structure, linkage disequilibrium and multiple testing can confound analyses and limit power. Unlike GWAS, it is phenotype-free and may uncover insights about the evolutionary path of microbes outside of traditionally studied traits.

Prediction

Classification algorithms and machine learning approaches have been used for antibiotic resistance prediction in a variety of organisms (Su, Satola & Read, 2019) as well as toxicity (Laabei et al., 2014; Su et al., 2020) with great success. Regression has long been used in clinical settings to try to determine predictors for patient outcomes. These approaches have also recently been used to determine the importance of genetic determinants for a variety of phenotypes. A plasmid encoding enterotoxin genes *sed*, *sej*, and *ser* was found to have a protective effect against embolism in endocarditis, though whether it is due to unknown functions of the enterotoxin genes or the additional uncharacterized genes on the plasmid remains to be determined (Rasigade et al., 2018). Cytotoxicity and biofilm formation were found to be associated with a higher infection mortality in CC22 but not CC30, uncovering the role of epistasis in the evolution of pathogen virulence (Recker et al., 2017).

Accurate prediction of a phenotype *ab initio* from genome sequences is a testament to our understanding of the genetic basis of the phenotype and can be used to improve patient outcomes. Inaccurate predictions are an impetus to investigate further, though some phenotypes may prove to be too complicated to accurately model. The knowledge of which phenotypes are amenable to genome-based prediction will allow for appropriate use cases of empiric therapy in contrast with its current use stemming from a lack of information.

Specific aims

This dissertation aims to elucidate the dynamics of *S. aureus* evolution and the capability to predict phenotypes by incorporating both traditional and genomic approaches. I analyze the current status of the field of genome-based prediction of bacterial antibiotic resistance and its potential utility in the

clinic. Further, I investigate the genetic determinants of two phenotypes, delta-toxin and vancomycin-intermediate resistance, and the importance of genetic background and epistasis to the evolution of the phenotypes. Finally, trade-offs between virulence and antibiotic resistance were explored using a model host, *Caenorhabditis elegans*.

Chapter II. Genome-Based Prediction of Bacterial Antibiotic Resistance

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Abstract

Clinical microbiology has long relied on growing bacteria in culture to determine antimicrobial susceptibility profiles, but the use of whole genome sequencing for antibiotic susceptibility testing (WGS-AST) is now a powerful alternative. This review discusses the technologies that made this possible and presents results from recent studies to predict resistance based on genome sequences. We examine differences between calling antibiotic resistance profiles by simple presence or absence of previously known genes and SNPs against using approaches that deploy machine-learning and statistical models. Often the limitations to genome-based prediction arise from limitations of accuracy of culture-based AST in addition to an incomplete knowledge of the genetic basis of resistance. However, we need to maintain widespread phenotypic testing even as genome-based prediction becomes more widespread to ensure that the results do not diverge over time. We argue that standardization of WGS-AST by challenge with consistently phenotyped strain sets of defined genetic diversity is necessary to compare the efficacy of methods of prediction of antibiotic resistance based on genome sequences.

Introduction: the importance of AST

Antibiotic resistant bacterial infections are a global threat. Many previously manageable bacterial infections are becoming increasingly hard to treat, and the CDC has recently estimated that in the United States alone, at least two million people will be infected by a drug resistant bacterium each year, and at least 23,000 will die as a result (“Antibiotic Resistance Threats in the United States, 2013 | Antibiotic/Antimicrobial Resistance | CDC”). Rising rates of resistance amplify the morbidity and economic burden associated with infections. Even successful treatment can come with increased complications as more toxic drugs of last resort like colistin are being used more frequently because bacteria are not susceptible to less toxic antibiotics. For management of infections in both the clinic

and community, accurate detection of antimicrobial resistance is necessary to guide treatment decisions.

Culture-based antimicrobial susceptibility testing (AST) is still the primary method employed by clinical laboratories. While there are other promising approaches for phenotypic detection and rapid non-sequencing genetic methods currently in use (e.g. PCR for resistance determinants)(van Belkum & Dunne, 2013), dramatic progress over the past five years in the applications of genomics has caught the attention of the clinical microbiology community. Whole genome sequencing for antimicrobial susceptibility testing (WGS-AST) offers the potential to provide rapid, consistent and accurate predictions of every known resistance phenotype for a strain, as well as simultaneously providing rich surveillance data. Recent reviews of the subject have focused on clinical standardization (van Belkum & Dunne, 2013; Goldberg et al., 2015; Ellington et al., 2017). Here we concentrate on the problem of prediction of resistance based only on genome sequence and consider the future symbiotic relationship between genomics and phenotypic-based AST.

Potential advantages of WGS-AST

WGS-AST follows selective culturing of the bacterium of interest from a clinical sample (**Figure 1**). AST following direct shotgun sequencing of clinical samples (metagenomic-AST) is also possible (e.g. Bradley et al., 2015), and is the subject of intense current research, but is more complex, expensive and prone to false negative results due to the potentially low abundance of the pathogen of interest relative to host DNA. Metagenomic-AST also includes approaches that enrich a library of antibiotic-resistance DNA fragments from complex clinical samples before sequencing (Doyle et al., 2018). Slow-growing or hard-to-culture bacteria (such as *M. tuberculosis* (Votintseva et al., 2017; Doyle et al., 2018)), are important early targets for metagenomic-AST because DNA sequencing may be easier and

faster than obtaining enough culture growth for phenotypic testing. This review will focus on the WGS-AST application, but many principles may also apply to other sequence-based AST approaches. Compared to culture-based AST or nucleic acid amplification tests (NAATs), which are often limited by the number of resistant phenotypes that can be determined from one test, WGS-AST can ascertain the antibiotic resistance phenotypes of the entire genome simultaneously, and phenotypes where multiple loci contribute can be easily screened (instead of performing multiplex PCR reactions). Once collected, the genome sequence data is stored digitally and can be queried for other purposes (e.g. complete genome multi-locus sequence typing (cgMLST) genotype (Feijao et al., 2018) and virulence (Chen et al., 2016)). Genomes can be sequenced to very high levels of depth, giving very accurate sequence data. Unlike NAATs, there is no reliance on primers specificity for template amplification, reducing the possibility of false negative results. The accumulation of genomes in clinical laboratories creates a data source that can be used to survey the evolution of pathogens (Gardy & Loman, 2017). If new antibiotic resistance loci are discovered, these databases can be immediately scanned to understand how long these genes have been circulating and how they may have entered the clinical setting. One of the first examples of this type of retrospective surveillance investigation was performed for the emergence of *mcr-1* colistin resistance in Germany (Falgenhauer et al., 2016; Schürch & van Schaik, 2017) where a database of 577 *Enterobacteriaceae* genomes from animals and humans was searched to find four previously undiagnosed colistin-resistant isolates.

Next generation sequencing technologies driving WGS-AST

Two waves of sequencing technology innovation, the “second generation” starting in the mid-2000s and the “third generation” from about 2010, transformed all aspects of genomics and set the stage for WGS-AST (Goodwin, McPherson & McCombie, 2016; Quince et al., 2017) (**Box 1; Figure 1**). Second generation instruments, represented by the currently dominant Illumina sequencing-by-synthesis

technology, sharply reduced the cost to generate data (\$/Mbase), which led to large scale sequencing of thousands of pathogen genomes and the use of shotgun metagenomics for clinical diagnostics. Illumina sequence reads are short (≤ 300 bp) (Reinert et al., 2015), typically paired-end and with low per base error rates (typically $< 0.1\%$). Illumina sequencing allows deep shotgun coverage with high consensus accuracy, but *de novo* assembly typically results in genomes fragmented into multiple contigs and collapsed repeat regions (**Figure 1**).

Third generation, single-molecule sequencing, exemplified by Oxford Nanopore Technologies (ONT) and Pacific Biosciences (PacBio) technologies, produces much longer reads (typically 5-100 kbp but there are recent reports of reads $> 2\text{Mb}$ (Payne et al., 2018)). Genome assemblies generated with long reads have fewer gaps and often span lengthy repeat regions, allowing resolution of complex structural features such as tandem repeats and nested insertions (Giordano et al., 2017). Third generation technologies have higher cost per base and higher per-base error rates than Illumina (5-15%), although improvements to chemistry and base calling algorithms are reducing the differential (Rhoads & Au, 2015; Lu, Giordano & Ning, 2016). Error rates can in part be compensated by increasingly achievable higher read depths. For example, recent ONT studies generated $>100\text{x}$ genome coverage and consensus error rates of $< 0.08\%$ (Cao et al., 2016; Lemon et al., 2017). This level of error is adequate for most WGS-AST methods based on gene-detection but may still be too high for many SNP-based methods. Hybrid assemblies (Wick et al., 2017) combine the accuracy of Illumina data and the gap-free assembly of ONT/PacBio and can achieve accuracies of $> 99.9\%$ but rely on creating multiple libraries per sample, hence increasing cost and data management complexity.

The ONT technology has particular potential for WGS-AST because DNA sequence data becomes available within minutes of starting the sequencing run. A number of clinical gene-based ASTs for

ONT have been piloted (e.g (Judge et al., 2016; Schmidt et al., 2016; van der Helm et al., 2017; Lemon et al., 2017)). At least two publications have demonstrated “streaming” AST, where the antibiotic resistance profile is updated in real time as the sequencing data is produced by the instrument (Cao et al., 2016; Břinda et al., 2018). Břinda et al (Břinda et al., 2018) used an indirect, lineage-based method for predicting the resistance phenotype, which has the potential to mitigate some of the potential errors in ONT data, especially SNP calling.

The outlook for clinical sequencing constantly shifts as existing technologies mature and become more cost effective, and new approaches emerge. Currently, Illumina is the dominant platform for WGS-AST. However, the approximate minimum cost of \$80 per genome is still too high for routine use in clinical laboratories. Minimum costs are based on one-week turnaround times and batching many samples together for efficiency. Obtaining results within <24 hours for small numbers of samples is possible but comes at a price penalty. Further decreases in cost and turnaround times will be needed before sequencing will be economically viable for routine WGS-AST. New instrumentation, such as the ONT Flongle disposable flow cells might allow quicker, cheaper sequencing of smaller numbers of strains. Emerging synthetic long read technologies (e.g. 10X Genomics) that rely on the barcoding of long DNA fragments to associate the resulting short reads during assembly (Kuleshov, Snyder & Batzoglou, 2016) may provide the combination of read length, accuracy and reduced cost that opens the door for routine WGS-AST and eventually routine metagenomic-AST.

WGS-AST based on searching catalogs of resistance loci

At its essence WGS-AST attempts to estimate the phenotype that would have been ascertained if the strain were subjected to a gold standard culture-based antibiotic resistance test. The simplest approaches seek to classify the strain as either “susceptible” or “resistant” to specific antibiotics as

defined by CLSI or EUCAST (Ellington et al., 2017). More complex models have further tried to predict the minimum inhibitory concentration (MIC) of an antibiotic for the strain. The most straightforward approach is to use a “rules-based” classification based on the presence of one or more known antimicrobial resistance (AMR) genes or mutations (**Box 2; Table 1**). This requires cross-referencing the genome sequence against databases of antibiotic resistance determinants. Databases have been developed mostly from curation of the literature of molecular genetic studies that link antibiotic resistance phenotypes to genes (Xavier et al., 2016). Multi-species databases include: CARD (McArthur et al., 2013; Jia et al., 2017), ResFinder (Zankari et al., 2012) and its companion PointFinder (Zankari et al., 2017), ARG-ANNOT (Gupta et al., 2014), ARDB (Liu & Pop, 2009), MEGARes (Lakin et al., 2017), Resfams (Gibson, Forsberg & Dantas, 2015), RAST (Antonopoulos et al., 2017), BARRGD (Bacterial Antimicrobial Resistance Reference Gene Database; <https://www.ncbi.nlm.nih.gov/bioproject/313047>). In addition, there are databases developed for single organisms, such as Dream TB (Sandgren et al., 2009) and MUBII-TB-DB (Flandrois, Lina & Dumitrescu, 2014) for *M. tuberculosis*.

Software tools for rules-based matching of antibiotic resistance catalogs operate on data produced at two points in the workflow for next generation sequencing: raw sequence data and assembled contigs (**Figure 1**). Each has tradeoffs in terms of speed of result and accuracy.

Detecting resistance in raw reads obviates the need for assembly and can therefore reduce time-to-result if the algorithms are efficient. However, false positives may be introduced because of sequencing errors present in individual reads or DNA contamination from other organisms. Setting minimum thresholds for the number of reads to be considered a positive can help overcome read error problems. Software tools use differing strategies for processing raw reads. KmerResistance (Clausen et al., 2016)

matches k-mer subsequences of the resistance locus catalog against raw reads similarly split into the same length subsequence. SRST2 (Inouye et al., 2014) and Genefinder (Mason et al., 2018) use the efficient read alignment program Bowtie (Langmead & Salzberg, 2012) to map genes to the read set as a first step before enumerating SNPS and gene matches. ARIBA (Hunt et al., 2017) uses a partial *de novo* assembly after first recruiting individual reads that may map to target genes. The webtool Point-Finder (Zankari et al., 2017) identifies known point mutations after mapping reads against reference genomes. Mykrobe (Bradley et al., 2015) creates deBruijn graphs of contigs from raw data and matches against known genes but for speed omits generating a consensus sequence (Mason et al., 2018).

Genome assembly can either be *de novo* or by mapping to a reference strain. *De novo* assembly usually produces more fragmented genome assemblies but avoids the biases of building the assembly on an existing reference template. For reference-based assembly, single nucleotide polymorphism (SNP) detection becomes less accurate the greater the distance between isolate and reference, but *de novo* assembled DNA sequences are free from this bias. However, an antibiotic resistance gene may be missed when using a *de novo* assembly if it is split across multiple contigs. Multicopy genes associated with antibiotic resistance, such as rRNA, present a particular challenge. Mutation in just one or two copies is sometimes sufficient to impart a resistance phenotype (e.g in the case of azithromycin resistance caused by mutations in *Neisseria* 23S (Eyre et al., 2017)), yet assembly algorithms seek a consensus sequence and thus important genetic variants within repeats could be missed if repeats “collapse” into a single copy (Sinclair, Arnold & Woodford, 2003; Ellington et al., 2017). Using longer reads as input for assembly can overcome the problem of collapsed repeats. Increasing sequence coverage should also minimize these types of errors but more data comes with increased cost and slower computation time. This can be partially addressed by downsampling coverage, as after about

100x Illumina genome coverage of *Staphylococcus aureus* genomes, adding more data to assembly produced little extra benefit for assembly accuracy at the cost of significant declines in processing speed (Petit & Read, 2018). Most catalog-based software that takes assembled data as an input (e.g Typewriter (Mason et al., 2018), SSTAR (de Man & Limbago, 2016), CARD RGI (Jia et al., 2017), ARG-ANNOT (Gupta et al., 2014), ResFinder (Zankari et al., 2012) and ABRICATE <https://github.com/tseemann/abricate>) uses some form of BLAST alignment and results parsing, which usually takes a small fraction of the processor time used to construct the de novo assembly.

For many species and antibiotic-resistance phenotypes, there is good concordance between what is known about the genetic basis of resistance and the resistance phenotype. Rules-based WGS-AST has been shown to have high sensitivity and specificity (>95%) for many phenotypes across several pathogen species (**Table 1**) (Eyre et al., 2012; Köser et al., 2012; Holden et al., 2013; Ginn et al., 2013, 2014; Stoesser et al., 2013; Gordon et al., 2014; Leopold et al., 2014; Kos et al., 2015; Lee et al., 2015; Coll et al., 2015; ElMaraachli et al., 2015; Tyson et al., 2015; Zhao et al., 2015; Bradley et al., 2015; Pankhurst et al., 2016; Aanensen et al., 2016; Clausen et al., 2016; McDermott et al., 2016; Deng et al., 2016; Moran et al., 2017; Zankari et al., 2017; Sadouki et al., 2017; Miotto et al., 2017; Day et al., 2017; Quan et al., 2018; Neuert et al., 2018; Macedo et al., 2018; Harris et al., 2018; Mason et al., 2018), although with the caveat that studies varied widely in the number of strains tested and the within-species genetic diversity of the test set. However, there were some cases, e.g levofloxacin resistance in *P. aeruginosa* (**Table 1**) (Kos et al., 2015), where sensitivity and specificity were below 95%. In an extensive survey of the genetic basis of resistance in *M. tuberculosis*, Miotto et al (Miotto et al., 2017), classified the predictability of *M. tuberculosis* mutations into High-, Moderate- and Minimal- confidence. Therefore, rules-based approaches alone may not always be sufficient for accurate WGS-AST.

Model-based antibiotic resistance prediction

Most rules-based methods make a number of (often unacknowledged) assumptions about the phenotypes they attempt to predict. These include: 1) either a single genetic locus is responsible for the phenotype, or, if multiple loci are present, they do not interact in a complex manner (i.e. absence of epistasis (Trindade et al., 2009; Vogwill, Kojadinovic & MacLean, 2016; Knopp & Andersson, 2018)); 2) loci are highly penetrant and are not affected by the strain background; and 3) there is complete knowledge of the genetic basis of the phenotype. For a large number of cases, these assumptions don't completely hold. Some studies have attempted capture uncertainty in the genetic basis of resistance and reduce overfitting, using a variety of statistical modelling and machine learning (ML) approaches (**Table 2**) (Alam et al., 2014; Davis et al., 2016; Pesesky et al., 2016; Eyre et al., 2017; Li et al., 2017; Yang et al., 2017; Chen et al., 2018; Moradigaravand et al., 2018; Nguyen et al., 2018). For simplicity, we have placed them together here as under the term “model-based” prediction.

The most common strategy used is to train a classifier on a set of genomes with known phenotypes. The classifier can be asked to learn which SNPs, indels or other genetic features are important for the phenotype *ab initio* or be given a set of features already known to be important based on existing databases or a combination of both. As not all AMR determinants contribute equally to the antibiotic resistance of a strain, noise in phenotype prediction can often be reduced and accuracy increased by weighting each locus using a machine learning model. Models can also be trained to take into account potential interactions between loci. The accuracy of the model is determined by predicting resistance in a second set of phenotyped genomes, ideally different strains from the training set. Models can be used to predict either sensitivity or resistance based on an accepted threshold or the MIC level of the strain to the particular antibiotic. As for rule-based methods, data inputs can be reads, k-mers and assembled contigs (**Table 2**). Time-to-result is highly variable and is dependent on factors such as the

number of features used and the complexity of the ML algorithm. Three examples, discussed briefly underneath, illustrate different model-based approaches to prediction and some of the advantages and pitfalls.

Yang et al (Yang et al., 2017) examined the genomes of 1839 strains resistant to eight drugs isolated in the UK, using reference mapping to identify mutations in 23 putative resistance genes identified in earlier experimental studies. Because of the lack of lateral gene transfer in *M. tuberculosis*, resistance arises primarily through mutations (in this case, SNPs). Seven ML models were built and compared to simple rules-based models, where presence of a known mutation indicated resistance. Different subsets of mutations were also tested to determine the effect on performance. The best ML method for each drug increased sensitivity over the rules-based models by 2-24% with the trade-off of minor losses in specificity due to strains labelled as “susceptible” containing mutations associated with resistance. It is possible that the phenotype of these strains were determined incorrectly. The best model between different drugs varied in both the ML algorithm used and the mutation subset used, indicating that there is no one-size-fits-all solution, and the model chosen for prediction can be optimized for the complexity of resistance phenotype and amount of *a priori* knowledge.

In an alternative approach to the multiple locus problem, Eyre et al (Eyre et al., 2017) predicted *Neisseria gonorrhoeae* MICs to cefixime, penicillin, azithromycin, ciprofloxacin and tetracycline using multivariate linear regression. Multiple genetic loci (SNPs, plasmids, alleles of the *penA* gene) were already known to make contributions to resistance. The presence or absence of each candidate locus was ascertained in 681 *N. gonorrhoeae* genomes by mapping reads to a reference genome. Backwards selection (where each variable is removed until the information lost, as estimated by the Akaike Information Criterion score, is minimized) was used to reduce the number of loci in the model and

limit overfitting. Overall, model-fitted MICs were within two doubling dilutions of the MIC for 98% of strains. Using EUCAST cutoffs, the sensitivity of calling resistance was 98.7%, and the specificity was 98.3%.

The final example of predicting MICs in a complex genetic system is beta lactam resistance in *Streptococcus pneumoniae* (Li et al., 2016, 2017). Most of the strain-specific variation in levels of resistance in *S. pneumoniae* was found to be driven by amino acid sequence variation in the transpeptidase domain of three penicillin binding proteins (PBPs). Proteins with similar sequence signatures in their transpeptidase domains were clustered into “PBP Types”. There were high levels of horizontal transfer of genes in the *S. pneumoniae* species, but the strain genomic background outside the PBPs only contributed 1-6% of variation in MIC (Li et al., 2016), and instead the PBP type was the most important variable. In 4,309 *Streptococcus* genome sequences where PBP Type was ascertained from *de novo* assembled data (Metcalf et al., 2016; Li et al., 2017), MIC could be accurately predicted using both a rules-based model (“Mode MIC”, where the MIC was the most frequent in the closest known PBP Type) and a machine learning (random forest) classifier using the amino acid sequence of the transpeptidase domains. Mode MIC and random forest false positive rates were <3%, but critically, while the false negative rates for the random forest classifier were low, the rates for Mode MIC were above 50% for some beta lactams. The reason for the poor performance of the rule-based prediction was a subset of PBP Types with significant sequence divergence from the training set. The good performance of the random forest classifier across previously unseen sequences was because the machine learning method discovered the previously unappreciated significance for the resistance of a group of key amino acid residues in the transpeptidase domains supplied in the training set.

Consequences of incorrect AST prediction and methods to increase robustness

Errors in the sensitivity and specificity of genome prediction of antimicrobial phenotypes, either false positives (phenotypically susceptible, WGS-prediction resistant) or false negatives (phenotypically resistant, WGS-prediction susceptible) have different consequences for treatment. False negatives are considered most concerning as they can lead to inadequate treatment of a resistant infection, increasing morbidity and mortality. It is often preferable to reduce false negatives at the cost of increasing false positive rate (Gordon et al., 2014), although false positives results lead to inappropriate antibiotic use, potentially harming the patient and increasing the risk of resistance to last line antibiotics.

How can the accuracy of genetic prediction be improved in the future? It is important in the development of WGS-AST tools to have as large and diverse test and training strain sets as possible. Most studies to date have used a convenience sample of strains based on accessible collections with limited geographic and temporal variability. Even if large numbers of strain genomes are obtained, accuracy statistics can be misleading if isolates from locally abundant clonal lineages are heavily overrepresented. In reporting the isolate collection used for WGS-AST development and testing, we need to develop accepted statistics for assessment of genetic diversity. These could be pairwise average nucleotide identity, number of MLST/cgMLST sequence types, a sequence entropy measure or percentage of known species pangenome represented. Epistatic effects could explain reduced accuracy of WGS-AST across diverse strain backgrounds, especially those not included in test sets. The relatively few studies on epistasis and antibiotic resistance suggest that few generalizations can be made across species and phenotypes. Knopp and Andersson (Knopp & Andersson, 2018) found little variation in phenotypic expression for 13 resistance mutations across 10 strains of *Salmonella enterica* and *Escherichia coli* (even when as many as four mutations were combined in one background). However, there are reports of epistasis effects both between resistance mutations and between the

resistance mutation and the strain genetic background in *Pseudomonas aeruginosa* and other species (Vogwill & MacLean, 2015; Vogwill, Kojadinovic & MacLean, 2016; Wadsworth et al., 2018). Extensive empirical testing will be needed to understand how resistance phenotype expression varies across diverse strain backgrounds in each species. New statistical methods, coupled with very large pathogen genomic datasets can also discover novel epistatic interactions (Skwark et al., 2017).

The finding that rules-based methods for predicting beta lactam MIC from PBP type performed poorly on previously unseen strain types (Li et al., 2017), discussed above, is an example of how incomplete knowledge impacts WGS-AST. We don't yet understand the genetic basis of resistance for some antibiotics. For others, even where there are well-defined canonical mutations or genes, it is becoming apparent that there are a large number of rare genetic events that could lead to resistance. Guérillot et al (Guérillot et al., 2018a) found through deep sequencing of lab selected colony pools that while eight *rpoB* mutations were responsible for 93% of rifampin resistance in *S. aureus* clinical strains (Guérillot et al., 2018b), the remaining 8% was caused by least 72 rarer mutations (Guérillot et al., 2018a). Wistrand-Yuen et al (Wistrand-Yuen et al., 2018) showed that selection for streptomycin in *Salmonella enterica* at sub-MIC levels resulted in strains with an array of novel low-effect mutations different from classic high-effect drug resistance. Potentially even harder to predict based on sequence alone are resistance phenotypes caused by rare genetic events such as insertion sequence movement into or upstream of open reading frames (McEvoy et al., 2013; Di Gregorio et al., 2016).

In the face of this genetic complexity, how can WGS-AST continue to improve? It is unrealistic to expect 100% accuracy for every drug. We may be able to establish a stable level of uncertainty for each phenotype and learn to accept that some are more genetically plastic than others. Improvements may also be possible by incorporating functional prediction algorithms for mutations in resistance

genes where the functional effect is untested. At its simplest, this could be taking into account frameshift mutations, that, for example, in a *blaZ* gene would be predicted to inactivate translation of the beta lactamase and yield a phenotypically susceptible strain. More sophisticated metrics include delta-bitscore, which uses hidden Markov models to identify functional divergence in orthologous genes and is an improvement on the previous use of dN/dS (used to indicate positive selection), which was prone to false positives (Wheeler et al., 2016). *S. aureus* resistance to trimethoprim has been accurately predicted based on the free energy state of the dihydrofolate reductase amino acid sequence (Fowler et al., 2018), suggesting an avenue for sophisticated structural prediction studies. One straightforward way to increase robustness of WGS-AST prediction is to combine predictions of multiple tools that examine different facets of the WGS dataset (i.e k-mers, assembled contigs) (Mason et al., 2018).

The phenotype problem

As mentioned earlier, WGS-AST uses culture-based antibiotic phenotypes as the gold standard but culture-based methods are not themselves free of degrees of uncertainty. Culture-based assays for drugs in some species have been shown to be unreliable: for example, ethambutol, pyrazinamide and rifampicin in *M. tuberculosis* (Zhang & Mitchison, 2003; Van Deun et al., 2009, 2013; Horne et al., 2013). Even for drug resistances that can be tested reliably, there is evidence to suggest that the error in culture-based AST between different reference laboratories is greater than the inaccuracy of WGS-AST (Aanensen et al., 2016; Eyre et al., 2017; Harris et al., 2018). Errors may arise from subtle operator-specific biases or variations between individual automated instruments that perform rapid organismal identification and susceptibility testing. Additionally, unlike standard research laboratory experimentation, it is generally not practical to perform replicate MIC tests in clinical laboratories.

Culture-based AST measures resistance in a highly constrained setting that does not reflect the variance of expression of the phenotype, especially during human infections. Many culture based MICs are not robust to changes in growth media, bacterial cell density or temperature. Antimicrobial susceptibility may be altered due to formation of biofilms and/or the synergistic or antagonistic interactions with other bacterial species (Donlan & Costerton, 2002; Beaudoin et al., 2017; Radlinski et al., 2017). Resistance gene expression can be induced to higher levels *in vivo* than *in vitro*, (Cornforth et al., 2018) small resistant subpopulations (heteroresistant strains) or mixed infections can quickly exhibit phenotypic resistance when exposed to selection, and persisters (Hassan et al., 2018), which are not detected by AST, can survive treatment and cause recurrent infections. This underlies the fundamental issue of using MIC breakpoints to define resistance. Breakpoints are not based on genetic correlates of resistance but factors such as distribution of MICs, pharmacokinetics of the drug, and clinical treatment experience among others. Consequently, there is considerable debate on how to set breakpoints, which can vary across countries and organizations (Kassim et al., 2016). Breakpoints are often revised due to new epidemiological data, but adoption can sometimes be slow, and changes can serve to confound retrospective meta-analyses of antibiotic resistance trends and mechanisms defined in the literature.

Despite culture-based AST being an imperfect gold standard for WGS-AST, it is unlikely that it will be soon replaced. However, due to a revolution in NAAT based typing, clinical laboratories are culturing many fewer isolates, especially hard-to-grow species such as *Legionella spp* and *M. tuberculosis*; or sexually transmitted diseases such as *Neisseria*, which need a fast turnaround time for diagnosis. As sequencing becomes cheaper and more accurate, metagenomic-AST (**Figure 1**) may render culturing of isolates unnecessary for routine treatment. However, as bacterial pathogens continue to evolve, it is possible that new resistance loci may emerge or the relationship between known AMR determinants

and phenotypic resistance change due to epistatic interactions with novel emerging strain backgrounds. Therefore, even when phenotypic testing is no longer used as a primary screen, it should continue to be used as a quality control step to ensure that contemporary clinical strains have not drifted away from predicted phenotypes. Large multi-site surveys that integrate rational strain sampling and centralised gold standards AST such as the recent European *N. gonorrhoea* study (Harris et al., 2018) will be needed to provide the continually update and challenge existing WGS-AST methods.

Concluding Remarks

The adoption of routine use of whole genome sequencing in clinical microbiology would be a revolution in clinical medicine. While this review only discusses bacterial pathogens, there is also progress being made to use WGS to predict drug resistance in viruses, fungi, and eukaryotic parasites (Codoñer et al., 2011; Pou et al., 2014; Runtuwene et al., 2018). The major barriers to adoption for routine use stem from technological limitations that make the process currently too slow and expensive and the need to demonstrate the advantages of a new approach to diagnosis for skeptical end-users in clinical microbiology laboratories (**Box 3**). Along with the development of instrumentation, there is need for efficient data management of WGS data, user-friendly pipelines that do not require specialized bioinformaticians for use, and rapidly interpretable results (Crisan et al., 2018). As has been covered in more depth in other articles (Goldberg et al., 2015; Gargis, Kalman & Lubin, 2016; Ellington et al., 2017), standardization of software, sequence data, genomic test sets and phenotypes and data storage are all needed to transform what is now a research enterprise into a robust clinical tool acceptable to both clinical microbiologists and regulatory agencies.

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Figures and tables

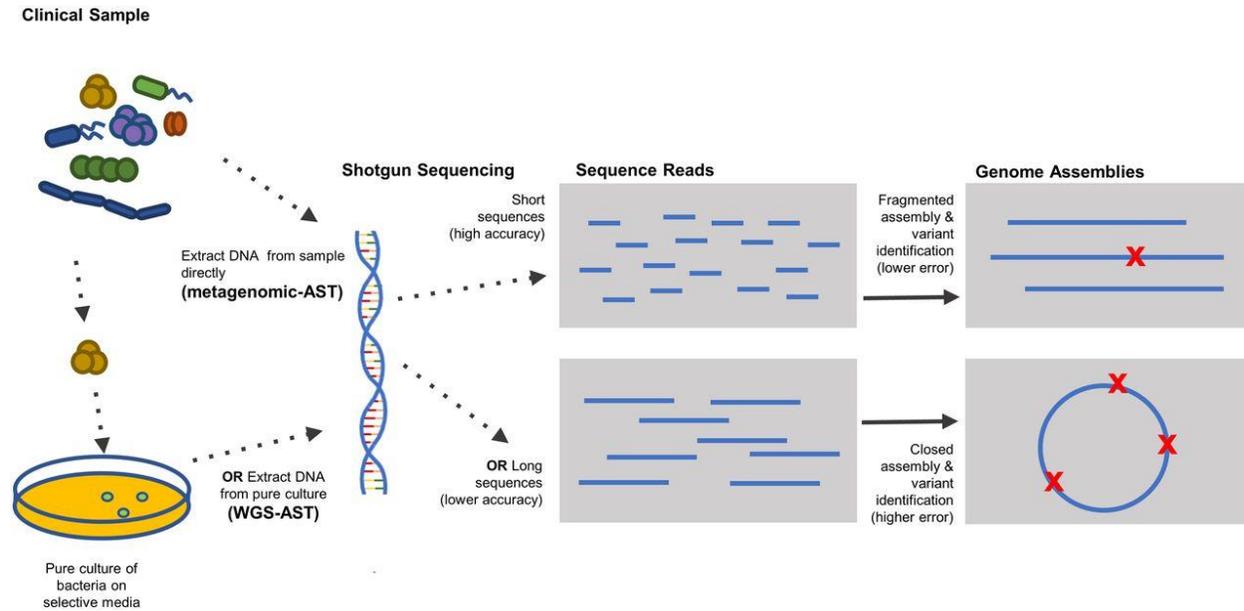


Figure 1. Overview of genome sequencing and how it is used in WGS-AST.

DNA is extracted from directly from bacteria in clinical samples (metagenomics) or more commonly, from cultured bacterial colonies. Sequencing technologies fragment the DNA and then randomly sequence to produce a library of reads (stored in FASTQ files). The reads are assembled into genomic scaffolds *in silico*. Sequencing is performed either using short-read second-generation technology, which tends to produce fragmented whole-genome assemblies of high accuracy, or long-read third-generation technologies that have higher error rates but more complete assemblies. WGS-AST algorithms operate on the raw reads and/or assembled contigs.

Term	Definition
Quality score	A measure of the probability of an inaccurate base call, typically represented by the Phred score [$Q = -10 \log_{10}(P)$] (113). A Q of 10 represents a 1 in 10 chance of error, whereas a Q of 40 is a 1 in 10,000 chance of error.
Coverage	A measure of how many instances a base was sequenced, as quantified by number of unique reads mapped to that position in the genome. A “genome of coverage of 30×” means that on average, each base of the genome has 30 reads mapped.
Sequence read	Inferred nucleotide sequence of a genome fragment. Reads range from short (≤ 300 bp) to long (5 to 100+ kbp).
Contig	A contiguous sequence created by assembling multiple overlapping sequence reads.
Genome assembly	A singular (complete) or set of contigs after aligning and merging all sequence reads. Assemblies can be created <i>de novo</i> (relies only on sequence reads) or by mapping to a reference strain.
First-generation sequencing	Nucleotide sequencing that relied on either chain termination (Sanger) or cleavage (Maxam-Gilbert) methodology in single-tube reactions.
Second-generation sequencing	Nucleotide sequencing methods that sheared the genome and PCR amplified individual DNA fragments to massively parallelize sequencing and detect base identity by monitoring release of pyrophosphate (454), release of hydrogen (Ion Torrent), release of fluorescent reversible-terminator nucleotides (Illumina), or fluorescent ligated probes (SOLiD).
Third-generation sequencing	Nucleotide sequencing that relies on real-time single-molecule sequencing via monitoring of fluorescently labeled nucleotide incorporation (Pacific Biosciences) or ion current after DNA is fed through a channel (Oxford Nanopore).

Table 1. Genomic terms.

Locus type	Description ^a
Gene	Presence of an intact protein-coding gene that confers resistance. For example, a strain that contains <i>blaZ</i> is inferred to be resistant to beta-lactams.
Plasmid/mobile element	Presence of a known drug resistance plasmid or mobile genetic cassette (e.g., <i>SCCmec</i> [114]) is used to infer that <i>S. aureus</i> is resistant to a beta-lactams.
Mutation	A particular SNP or SNV (which encompasses both SNPs and 1-bp indels) that is associated with resistance.
Allele	Nucleotide variant of gene caused by mutation. One sequence variant of a gene may be sensitive to a drug, while another allele may be associated with resistance.
Gene amplification	Increase in gene copy number due to homologous recombination. For example, a single gene in a genome may be sensitive to a drug, but a strain with two or more tandem repeats may be resistant.

Table 2. Types of antibiotic resistance loci.

^a *SCCmec*, staphylococcal cassette chromosome *mec* element; SNV, single-nucleotide variant.

Species	Antibiotic(s)	No. of genome s tested	Diversity/ no . of STs	Primary database(s)	Software	Input data	Sensitivity (%)	Specificity (%)	Reference
E. coli	Amoxicillin-clavulanate	76	NR	Custom	Blastx, ClustalW	Assembly, FASTQ	100	100	Tyson et al. (71)
	Trimethoprim	48	19+ STs	ResFinder	ResFinder	Assembly or FASTQ	100	100	Zankari et al. (115)
	Gentamicin	74	NR	>100 loci	Blastn	Assembly	100	100	Stoesser et al. (70)
M. tuberculosis	Pyrazinamide	167	NR	PhyResSE	Stampy	FASTQ	88.9	100, 94.9 (with uncharacterized)	Pankhurst et al. (64)
	Isoniazid	693	Lineages 1–4	TBDRaMDB, MUBII-TB-DB minus phylogenetic SNPs	TB Profiler	FASTQ	92.8	100	Coll et al. (63)
	Moxifloxacin	13,424	NR	NR	NR	NR	88.2	90	Miotto et al. (74)
	Amikacin	667	7 clades	Custom	SAMtools, mpileup, Cortex	FASTQ	91.2, 88.1 (with uncharacterized)	99.4, 99.5 (with uncharacterized)	Walker et al. (116)
	Rifampin	1,565	NR	Hain, Cepheid, AID ^o assays, literature	Mykrobe	FASTQ	90.8	99	Bradley et al. (5)
	Ethambutol	752	NR	Mykrobe	Mykrobe	FASTQ	100	98.5, 77.3 (with uncharacterized)	Quan et al. (72)
S. aureus	Fusidic acid	491	61 STs	Custom	Blastn, tblastn	Assembly	91	100	Gordon et al. (66)
	Vancomycin	NR	16 CCs	Custom	Blastn, mapping software	Assembly, FASTQ	100	100	Aanensen et al. (67)
	Mupirocin	340	25 CCs	Modified from Gordon et al. (66)	Mykrobe	FASTQ	100	100	Bradley et al. (5)
	Ciprofloxacin, clindamycin, erythromycin, fusidic acid, gentamicin, methicillin, mupirocin, penicillin, rifampin, tetracycline, trimethoprim, vancomycin	1,379	111 STs	Custom	Mykrobe, GeneFinder, Typewriter	FASTQ, Assembly	97	99	Mason et al. (42)
<i>Salmonella enterica</i> serovar Typhi	Chloramphenicol	332	ST1 and ST2	CARD, ResFinder, literature	GeneFinder	FASTQ	100	100	Day et al. (61)
Non-serovar Typhi <i>S. enterica</i>	Ceftriaxone	640	NR	Tyson et al. (71)	Blastx, ClustalW	Assembly, FASTQ	100	99.8	McDermott et al. (59)
	Ciprofloxacin	3,491	227 serovars	CARD, ResFinder	GeneFinder	FASTQ	99.28	99.97	Neuert et al. (60)
<i>S. pneumoniae</i>	Erythromycin	210	90 STs	SRST2	SRST2	FASTQ	100	100	Deng et al. (69)
<i>Campylobacter jejuni</i>	Erythromycin	32/82	NR	Tyson et al. (71), ARDB, ResFinder	Blastx, ClustalW	Assembly, FASTQ	100	100	Zhao et al. (62)

Species	Antibiotic(s)	No. of genomes tested	Diversity/no. of STs	Primary database(s)	Software	Input data	Sensitivity (%)	Specificity (%)	Reference
Campylobacter coli									
Enterococcus faecalis, Enterococcus faecium	Kanamycin	50	12 STs 17 STs	ResFinder	ResFinder	Assembly or FASTQ	100	100	Zankari et al. (115)
Pseudomonas aeruginosa	Levofloxacin	390	175 STs	Custom	NR	Assembly	91.9	93.7	Kos et al. (68)
Klebsiella pneumoniae	Gentamicin	69	NR	Custom	Blastn	Assembly	96	100	Stoesser et al. (70)
Shigella sonnei	Ampicillin	341	NR	CARD, ResFinder	GeneFinder	FASTQ	100	100	Sadouki et al. (65)

Table 3. Selected rule-based WGS-AST results.^a

a NR, not reported; ST, sequence type; CC, clonal complex.

b AID, Autoimmun Diagnostika GmbH.

Species	Antibiotic(s)	No. of genomes tested	Diversity ^a	Database ^b	ML algorithm	Input data	Sensitivity (%)	Specificity (%)	Overall accuracy (%) ^c	Reference
E. coli	Amoxicillin	329	7 STs	NA	Gradient-boosted trees	Pangenome, population structure matrix	90	95		Moradigaravand et al. (78)
	Ciprofloxacin	581	7 STs	NA	Gradient-boosted trees	Pangenome, population structure matrix, SNPs	81	99		Moradigaravand et al. (78)
	Gentamicin	564	7 STs	NA	Gradient-boosted trees	Pangenome, population structure matrix	87	99		Moradigaravand et al. (78)
	Trimethoprim	283	7 STs	NA	Gradient-boosted trees	Pangenome, population structure matrix	92	97		Moradigaravand et al. (78)
M. tuberculosis	Isoniazid	1,811 (80% train, 20% test)	7 clades	NA	Random Forest	Variants in 23 genes	97	94		Yang et al. (79)
	Rifampin	1,725 (80% train, 20% test)	7 clades	NA	Class-conditional Bernoulli mixture model	Variants in 23 genes	97	97		Yang et al. (79)
	Ethambutol	3,526 (80% train, 20% test)	5 genetic clusters	NA	Multitask wide and deep neural networks	Variants in 32 regions	91.9	90.3		Chen et al. (81)
	Pyrazinamide	3,147 (train), 567 (test)	5 genetic clusters	NA	Multitask wide and deep neural networks	Variants in 32 regions	75.2	90.1		Chen et al. (81)
	Kanamycin	162 (train), 18 (test)	NR	PATRIC, RAST	AdaBoost	Assembly			88.3 (F1)	Davis et al. (83)
S. pneumoniae	Beta-lactams (PEN, AMO, MER, TAX, CFT, CFX) ^d	2,528 (train), 1,781 (test)	403 STs (train), 299 STs (test)	NA	Random Forest	PBP sequences			>97 (± 1 MIC dilution), >93 (category)	Li et al. (80)
	Beta-lactams	1,350 (train), 58 (test)	NR	PATRIC, RAST	AdaBoost	Assembly			87.6 (F1)	Davis et al. (83)
N. gonorrhoeae	Azithromycin	681	NR	NA	Linear regression	Variants in 20 regions	80, 99 (± 1 MIC dilution)	83, 94 (± 1 MIC dilution)	93 (± 1 MIC dilution), 44 (category)	Eyre et al. (45)
	Ciprofloxacin	676	NR	NA	Linear regression	Variants in 20 regions	100	99	94 (± 1 MIC dilution), 68 (category)	Eyre et al. (45)
K. pneumoniae	Ampicillin-sulbactam	1,668	>99 STs	PATRIC, RAST	XGBoost	Assembly			99 (F1, ± 1 MIC dilution)	Nguyen et al. (117)

Species	Antibiotic(s)	No. of genomes tested	Diversity ^a	Database ^b	ML algorithm	Input data	Sensitivity (%)	Specificity (%)	Overall accuracy (%) ^c	Reference
	Levofloxacin	1,668	>99 STs	PATRIC, RAST	XGBoost	Assembly			93 (F1)	Nguyen et al. (117)
	Meropenem	1,777	>99 STs	PATRIC, RAST	AdaBoost	Assembly			92 (F1)	Long et al. (118)
	Piperacillin-tazobactam	1,777	>99 STs	PATRIC, RAST	AdaBoost	Assembly			76 (F1)	Long et al. (118)
<i>E. coli</i> , <i>Enterobacter aerogenes</i> , <i>K. pneumoniae</i>	Ampicillin	78	NR	Resfams	Logistic regression	FASTA, alignments			97.4	Peseky et al. (85)
	Chloramphenicol	78	NR	Resfams	Logistic regression	FASTA, alignments			89.7	Peseky et al. (85)
<i>S. aureus</i>	Methicillin	99 (train), 11 (test)	NR	PATRIC, RAST	AdaBoost	Assembly			99.5 (F1)	Davis et al. (83)
	Vancomycin	75	12 STs	Custom	Random Forest	Assembly	73		81	Alam et al. (82)
<i>Acinetobacter baumannii</i>	Carbapenem	99 (train), 11 (test)	NR	PATRIC, RAST	AdaBoost	Assembly			95 (F1)	Davis et al. (83)
Non-serovar <i>Typhi S. enterica</i>	Ceftriaxone	5,278		PATRIC, RAST	XGBoost	Assembly			80, 95 (±1 MIC dilution)	Nguyen et al. (84)

Table 4. Selected model-based WGS-AST results.

a ST, sequence type; NR, not reported.

b NA, not applicable.

c F1, harmonic average of the precision (positive predictive value [PPV]) and recall (sensitivity) (84).

d PEN, penicillin; AMO, amoxicillin; MER, meropenem; TAX, ceftriaxone; CFT, cefotaxime; CFX, cefixime.

Question
At what price and turnaround time will WGS-AST replace culture-based sequencing for routine use in clinical microbiology labs?
How do we interpret the presence of an antimicrobial resistance determinant gene if the susceptibility of the strain is below the MIC?
Can genome prediction be used to detect heteroresistance? Or to detect polygenic phenotypes?
How important is epistasis in determining the resistance to different classes of antibiotics?
Can gene amplification as a mechanism of resistance be accurately determined from WGS data?
How efficiently can WGS-AST prediction software be ported to metagenomic-AST data?

Table 5. Some outstanding questions.

Chapter III. Genomic analysis of variability in Delta-toxin levels between *Staphylococcus aureus* strains

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Abstract

Background

The delta-toxin (δ -toxin) of *Staphylococcus aureus* is the only hemolysin shown to cause mast cell degranulation and is linked to atopic dermatitis, a chronic inflammatory skin disease. We sought to characterize variation in δ -toxin production across *S. aureus* strains and identify genetic loci potentially associated with differences between strains.

Methods

A set of 124 *S. aureus* strains was genome-sequenced and δ -toxin levels in stationary phase supernatants determined by high performance liquid chromatography (HPLC). SNPs and kmers were associated with differences in toxin production using four genome-wide association study (GWAS) methods. Transposon mutations in candidate genes were tested for their δ -toxin levels. We constructed XGBoost models to predict toxin production based on genetic loci discovered to be potentially associated with the phenotype.

Results

The *S. aureus* strain set encompassed 40 sequence types (STs) in 23 clonal complexes (CCs). δ -toxin production ranged from barely detectable levels to >90,000 units, with a median of >8,000 units. CC30 had significantly lower levels of toxin production than average while CC45 and CC121 were higher. MSSA (methicillin sensitive) strains had higher δ -toxin production than MRSA (methicillin resistant) strains. Through multiple GWAS approaches, 45 genes were found to be potentially associated with toxicity. Machine learning models using loci discovered through GWAS as features were able to predict δ -toxin production (as a high/low binary phenotype) with a precision of .875 and specificity of .990 but recall of .333. We discovered that mutants in the *carA* gene, encoding the small chain of carbamoyl phosphate synthase, completely abolished toxin production and toxicity in *Caenorhabditis elegans*.

Conclusions

The amount of stationary phase production of the toxin is a strain-specific phenotype likely affected by a complex interaction of number of genes with different levels of effect. We discovered new candidate genes that potentially play a role in modulating production. We report for the first time that the product of the *carA* gene is necessary for δ -toxin production in USA300. This work lays a foundation for future work on understanding toxin regulation in *S. aureus* and prediction of phenotypes from genomic sequences.

Introduction

Staphylococcus aureus is a common causative agent of nosocomial and community-acquired infections, encoding a wide variety of factors that damage the host and evade immunity. Central to its ability to cause disease is its large repertoire of toxins. *S. aureus* can produce at least 13 extracellular toxins (Grumann, Nübel & Bröker, 2014; Otto, 2014; Laabei et al., 2015), including phenol-soluble modulins (PSMs) (Peschel & Otto, 2013), alpha-toxin (Bhakdi & Tranum-Jensen, 1991), Panton-Valentine Leukocidin (PVL) (Genestier et al., 2005), and δ -toxin (Wang et al., 2007).

Toxin expression levels are subject to evolutionary trade-offs between survival and transmission in different environments (Laabei et al., 2015; Young et al., 2017). Toxins contribute to important biological functions: In *S. aureus*, alpha-toxin is important for initial cell-to-cell contacts in biofilm formation, beta-toxin contributes to biofilm structure and growth via crosslinking, and PSMs are involved in detachment of cells for dispersal (Rudkin et al., 2017). In addition, expression of toxins is essential to skin and soft tissue infections and other common diseases caused by the bacterium (Xu & McCormick, 2012; Otto, 2013; Peschel & Otto, 2013; Kitur et al., 2015). However, during chronic *S. aureus* infections, toxin production is a contra-indication of disease as reduced toxicity mutants may

have situationally increased fitness (Cheung et al., 2014; Soong et al., 2015; Rose et al., 2015; Laabei et al., 2015). Dysfunction in the Agr quorum sensing system (Novick, 2003), central to upregulation of many toxins, has been linked to longer durations of bacteremia (Fowler Jr et al., 2004; Sakoulas et al., 2005). Similarly, mutational inactivation of another regulator, Rsp, which promotes *S. aureus* infection and virulence (Li et al., 2015), allows for prolonged survival in chronic infections (Das et al., 2016).

In this study, we focus on the genetics of strain-specific differences of δ -toxin expression. δ -toxin is an amphipathic peptide in the PSM family. It can form pores on the surface of host cells, eliciting a pro-inflammatory response or cytolysis at high concentrations (Bernheimer & Rudy, 1986; Kasimir et al., 1990; Otto, 2014). δ -toxin is the product of the *bld* gene, which is part of the Agr quorum sensing system. The Agr operon consists of two divergently transcribed operons P2 and P3. The P2 operon encodes the four genes necessary for quorum sensing and activates the P3 operon, which transcribes the main effector of the Agr system, a 514-nucleotide regulatory molecule RNAPIII. RNAPIII also contains the *bld* gene encoding the 26 amino acid δ -toxin peptide, which has been found only in *S. aureus* and *S. epidermidis* (McKevitt et al., 1990). In a community-associated MRSA (CA-MRSA) bacteremia mouse model, PSM *a* and δ -toxin were shown to be important for disease severity, indicating their importance as virulence factors (Wang et al., 2007; Peschel & Otto, 2013). However, δ -toxin is the only PSM shown to induce mast cell degranulation (Nakamura et al., 2013) and increase the severity of *S. aureus* mediated Atopic Dermatitis (AD), a chronic inflammatory skin disease, affecting 15–30% of children and 5% of adults in the US and industrialized countries (Williams & Flohr, 2006; Pustišek, VurnekŽivković & Šitum, 2016). Despite its importance, we know little of the natural variation in production of the δ -toxin molecule between *S. aureus* strains and the genetic factors that influence this trait. Therefore, we queried the range of δ -toxin production in a diverse set of *S. aureus* strains and attempted to determine if there are genetic loci strongly associated with δ -toxin

production by using bacterial genome-wide association study (GWAS) methods. We then analyzed the performance of identified genome variants and metadata for predicting δ -toxin production.

Materials & Methods

Strains and growth conditions

Network on Antimicrobial Resistance in *Staphylococcus aureus* (NARSA) and Nebraska Transposon Mutant Library (NTML) strains were acquired from BEI resources (<https://www.beiresources.org/>) (Table S1). For δ -toxin assays, bacteria were grown on tryptic soy agar (TSA) plates overnight (18–24 hours) at 37 °C. TSA plates used for NTML strains had the addition of erythromycin (5 μ g/ml). Cultures from a single colony were inoculated and grown overnight in tryptic soy broth (TSB) at 37 °C, a 45° angle, and 200 rpm. Final cultures were standardized to a starting cell density of 5×10^5 CFU/ml of TSB and grown for 15 hours at 37 °C, a 45° angle, and 275 rpm (Quave & Horswill, 2018).

Whole-genome shotgun sequencing

DNA extraction and paired-end library prep were performed as manufacturer's instructions (Wizard Genomic DNA Purification Kit, Promega; Nextera XT DNA Library Prep Kit, Illumina). Genome sequencing was performed using both Illumina HiSeq and MiSeq. Raw read data were deposited in the NCBI Short Read Archive under project accession number PRJNA289526. 102/124 strains had more than 40x average genome coverage, and the minimum coverage of any strain was 33x.

Genome assembly and annotation

Genomes were processed using the Staphopia pipeline (Petit & Read, 2018). BBduk (v37.66) (Bushnell, 2016) was used to eliminate Illumina adapters, trim low quality ends (base quality <20), and filter out low quality reads (mean read PHRED quality <20). Read error correction and *de novo* genome

assembly was performed using SPAdes (v3.11.11) (Bankevich et al., 2012). Genome assemblies were annotated with Prokka (Seemann, 2014) (v1.12) using its default database. SNP-sites was used to call single nucleotide polymorphisms (SNPs) in the core genome alignment with *S. aureus* N315 as the reference strain (Page et al., 2016). Agr type was determined using BLAST to query genome assemblies for the *agrD* nucleotide sequences of defined agr types: I (AB492152.1), II (AF001782), III (AF001783), and IV (AF288215). For all but four samples, 100% coverage and >95% identity were used to identify Agr type. NRS168, NRS182 NRS235, and NRS260 Agr types were determined based on available metadata. Untyped strains (NA) returned no BLAST results likely due to contig boundaries falling in this region. MLST (multilocus sequencing type) was determined using the SRST2 tool (Inouye et al., 2014) with the PubMLST database (Jolley, Bray & Maiden, 2018).

Phylogenetic tree estimation

A core genome alignment of 999,473 base-pairs (bp) from the 124 NARSA strains was obtained from Roary (Page et al., 2015; Tange, 2011) (v3.11.2). Gubbins was used to remove potential recombination regions and to obtain a downsized core genome alignment of 42,406 bp containing only polymorphic sites (Croucher et al., 2015). A final maximum likelihood (ML) tree was obtained with RAxML (v8.2.10) with 100 bootstraps and a GTRGAMMA model (Stamatakis, 2014).

Toxin identification using HPLC

High performance liquid chromatography (HPLC) methods were employed to detect and quantify the levels of δ -toxin present in supernatants of 124 NARSA strains following established procedures (Quave & Horswill, 2018). Briefly, a 1.5 ml *S. aureus* culture grown for 15 hours as described above was centrifuged and the supernatant transferred to an HPLC vial and frozen at -20°C until ready for HPLC testing. HPLC was performed with the following parameters: 500 μl injection, flow rate of 2

mL/min, and UV/Vis monitored at 214 nm using solvents (a) 0.1% (vol/vol) trifluoroacetic acid in water and (b) 0.1% trifluoroacetic acid in acetonitrile. Peaks at retention time \sim 7.2 min and \sim 7.5 min corresponding to deformedylated and formylated δ -toxin respectively, were quantified by taking the sum of the total peak area. Peak areas were normalized using OD₆₀₀ readings of the cultures. Prior studies using this HPLC method confirmed peak identity at these retention by LC-MS (Somerville et al., 2003; Quave, Plano & Bennett, 2011). Analyses were performed on three replicate supernatants per strain. For subsequent analyses treating toxin production as a continuous variable, we used a Box-Cox power transformation to achieve a more symmetric distribution and thus satisfy the normality assumption of phylogenetic regression and other comparative models. For analyses treating toxin production as a binary Low/High, we used a cutoff of 20,000, which clusters strains on the left-side of the distribution and split the data into 109 low and 15 high toxin producers.

Hemolysis assay

TSA with 5% rabbit's blood was used to test transposon strains for reduced hemolysis as rabbit blood is more susceptible to δ -toxin. TSA II with 5% sheep's blood agar was used to test the hemolysis profile of complemented strains. Strains were spotted and incubated at 37 °C for 24 hours before incubation at 4 °C for an additional 24 hours. Photos of the plates were taken with the use of a lightbox to illuminate hemolysis zones. Images were imported into software ImageJ (Schneider, Rasband & Eliceiri, 2012) to increase the contrast of the image and for measurement of hemolysis zones by taking the hemolysis measurement and subtracting colony size.

GWAS

All GWAS analyses were done with 106 *S. aureus* strains. NRS168, NRS252-NRS256, NRS259, NRS260, NRS262, NRS264-NRS266, NRS271, NRS272, NRS275, NRS383, NRS386, NRS387, and NRS408 were later phenotyped and included in all other analyses.

SEER (Lees et al., 2016) (v 1.1.4) was run according to <https://github.com/johnlees/seer/wiki/Tutorial>. In brief, kmers used for SEER were counted using fsm-lite (<https://github.com/nvalimak/fsm-lite>) using genome assemblies in fasta format as input. Population structure was estimated using Mash (Ondov et al., 2016) to sketch assemblies and output pairwise distances between all samples. SEER scripts were used to create a distance matrix. Six dimensions was chosen based on scree plot output (Fig. S1). SEER was run using a binary phenotype with p value filtering off. QQ plots were made in R to ensure that population structure was properly accounted for. A minor allele frequency (MAF) of .20 was chosen as regression analysis with kmers of lower MAF tend to fail or have high standard errors. Significant kmers were kmers with likelihood ratio test p -values lower and equal to the Bonferroni correction of $.05/n$, where n is the number of kmers tested. Significant kmers were mapped to reference genome N315 (NC_002745.2) using BLAST (Camacho et al., 2009) optimized for short queries. Bedtools (v2.27.1) (Quinlan & Hall, 2010) was then used to annotate the matches.

For treeWAS (Collins & Didelot, 2017), a binary core SNPs matrix was generated from snp-sites output. To account for the right skewed distribution of δ -toxin production, the values were transformed into ranks. treeWAS was run with 3 unrooted trees (NARSA strains alone, NARSA strains plus ST93, NARSA strains plus *S. argenteus*) generated from RAxML (v8.2.10) to limit false positives generated from an incorrect phylogeny, and the intersection of all loci identified was considered significant.

For bugwas (Earle et al., 2016), we used a modified version of GEMMA 0.93 (Zhou & Stephens, 2012) with a centered relatedness matrix (GEMMA option -gk 1) created using BIMBAM files and a binary toxin phenotype file and set Minor Allele Frequency of 0. Biallelic core SNPs were used to create a mean genotype file, and SNP positions were noted in a SNP annotation file with the chromosome number set to 24 to indicate one allele. A nucleotide matrix of core SNPs, a binary phenotype file, and an unrooted phylogenetic tree created by RAxML (v8.2.10) were used to run the bugwas R package. A Bonferroni correction of $.05/n$ was used, where n was the sum of phylogenetic patterns represented by the bi and tri allelic SNPs.

DBGWAS (Jaillard et al., 2018) (v0.5.0) was run using genome assemblies in fasta format, a binary phenotype, unrooted phylogenetic tree created by RAxML (v8.2.10), and DBGWAS resistance and UniProt databases for annotation. A false discovery rate (FDR) of 5% was used to determine significant kmers.

Phylogenetic regression

We fit three phylogenetic comparative models: (1) a phylogenetic regression to study the association between δ -toxin production and several covariates, (2) a Pagel's lambda model to estimate the phylogenetic signal of δ -toxin production, and (3) an ancestral state reconstruction of δ -toxin production along the branches of the *Staphylococcus aureus* phylogeny.

For the phylogenetic regression model, we included δ -toxin level as a continuous response for 106 strains and 11 predictors: clonal complex (CC), methicillin-resistant *S. aureus* (MRSA), Agr type (agr), and variants associated with δ -toxin production in *S. aureus* identified using SEER (Lees et al., 2016)

and bugwas (Earle et al., 2016) and DBGWAS (Jaillard et al., 2018) (Table S3). *isdC* and WP_000894032.1 were excluded from the analysis due to large standard errors while sequence type (ST) was excluded due to similarity to CC. Bugwas variants were represented in the analysis as 6 phylogenetic patterns. We used the julia package PhyloNetworks (Solís-Lemus, Bastide & Ané, 2017; Bastide et al., 2018) to fit the phylogenetic regression, to estimate the phylogenetic signal in δ -toxin through Pagel's λ transformation, and to reconstruct the ancestral states. To tease apart which if any of these factors truly impact δ -toxin production, we performed a phylogenetic regression. First, with Pagel's lambda model, we estimated a strong phylogenetic signal ($\lambda = .504035$) for the δ -toxin production using a rooted (by *S. argenteus*) phylogenetic tree calibrated to be consistent with time. This estimate did not entirely fit under the Brownian Model (BM) assumption, which requires $\lambda \approx 1$. Regardless of this, we assumed a BM for the evolution of δ -toxin production in the phylogenetic regression model. The rationale for the use of BM was its simplicity as well as the shown robustness to model misspecification (Bastide et al., 2018).

Extreme Gradient Boosted Tree Classifier

The R package xgboost (Chen & Guestrin, 2016) was used to create predictive classifiers with strain metadata and genetic features from the GWAS. The predictor was trained using stratified 10-fold cross-validation wherein 90% is used for training and 10% is used for validation. Model performance metrics such Area Under Receiver Operating Characteristic (AUROC) and Cohen's Kappa were calculated using R packages pROC and irr respectively.

Other statistical analysis

Association of MRSA/MSSA status, Agr type, and CC to toxin production was performed with Kruskal-Wallis and pairwise Mann-Whitney U tests using continuous δ -toxin as the dependent

variable. A Bonferroni correction was applied to test p -values to account for multiple tests. Pagel's lambda and Blomberg's K (Pagel, 1999; Blomberg, Garland Jr & Ives, 2003) were estimated using R package phytools using an unrooted phylogenetic tree obtained from RAxML and no calibration to the branch lengths. All analyses were performed using the R (R Core Team, 2016) and Julia programming language (Bezanson et al., 2017) for statistical computing.

Phenotypic analysis of toxin phenotypes of transposon mutant strains

Nine transposon mutants in genes potentially associated with δ -toxin production were selected from the USA300 Nebraska transposon library (Fey et al., 2013). In addition, we selected an *agrA* mutant as a positive control for δ -toxin disruption and one randomly chosen mutant with no known association from the GWAS experiments as a negative control. The gene disrupted was a phi77 ORF109-like protein, SAUSA300_1928, WP_000582165.1. All transposon mutants were transduced into an isogenic USA300 JE2 background and confirmed by PCR (Table S2). HPLC assays and hemolysis assays for δ -toxin were as previously described. Complementation was performed by cloning PCR fragments containing the USA300 genes into the pOS1-Plgt vector using splicing overlap extension PCR (Bubeck Wardenburg, Patel & Schneewind, 2007). In brief, the plasmid and genes were PCR amplified to contain complementary overhangs. The purified products were then mixed and subject to another round of PCR with no primers. This reaction was used to transform IM08B *E. coli* (Monk et al., 2015). The plasmid was purified and electroporated into the mutant strains as previously described (Monk et al., 2012). A pOS1-Plgt only plasmid was used as a control for complementation experiments. The *C. elegans* virulence assays were performed using *C. elegans* strain N2. Nematode population synchronization was performed as in Penley & Morran (2018). Populations were bleached in 20% household bleach and M9 buffer and plated on OP50 until L4 larval stage (48 hours at 20 °C). Worms were subsequently washed off, counted, and ~200 were plated on control OP50 plates

and *S. aureus* lawns on BHI agar. *S. aureus* plates were prepared 24 hours prior by adding 200 ul of an overnight culture and growing at 24 °C. At 24 hours and 48 hours, plates were scored by counting live worms. Worm counts on OP50 plates were used to normalize mortality calculations and to account for plating efficiency.

Results

δ -toxin production level is highly variable between *S. aureus* strains and is associated with MSSA/MRSA and Clonal Complex

We used high performance liquid chromatography (HPLC) to quantify stationary phase δ -toxin production in 124 publically available *S. aureus* strains from the Network on Antimicrobial Resistance in *Staphylococcus aureus* (NARSA) collection, which represents diverse taxonomic groups within the species (Table S1). The strains, which were shotgun sequenced using Illumina technology, were a diverse representation of the *S. aureus* species, consisting of 40 sequence types (STs) in 23 clonal complexes (CCs). There was considerable variation in the total δ -toxin production (sum of the formylated and deformedylated δ -toxin peptides) between strains (Fig. 1, Fig. S2). The distribution most closely fits a gamma model with a strong left skew. Production ranged from zero to 97,235 units, with a median value of 8,295. The majority of strains produced less than 10,000 units; 118 of the 124 strains (95%) produced less than 30,000.

When toxin production was mapped onto the strain phylogeny, it was apparent that there was variation in the average level between clonal complexes even though there was also a large variation in the phenotype within CCs (Fig. 2). Two tests for phylogenetic signal of the trait, Blomberg's K and Pagel's lambda (Pagel, 1999; Blomberg, Garland Jr & Ives, 2003), returned statistically robust scores (K = .019, $p = .016$ / $\lambda = .99$, $p = 1.55e-48$; for both measures, a value of 1 indicates trait similarity measured by

variance (K) or correlation (λ) as expected under Brownian evolution). A Pagel's lambda value of ~ 1 indicates a strong phylogenetic signal, while the low Blomberg's K indicates that the variance that exists within δ -toxin production is primarily on the tips of the trees within clades and does not wholly fit a Brownian model of evolution for δ -toxin. When variation between clades was analyzed pair-wise using Kruskal-Wallis and Mann-Whitney tests, CC30 (Average δ -toxin 4299) was found to have significantly lower δ -toxin production than CC45 (Average δ -toxin 30955, $p = .027$) and CC121 (Average δ -toxin 17693, $p = .00042$, Fig. 3C). Ancestral reconstruction of the δ -toxin phenotype (Fig. S3) suggested that high δ -toxin producing clades such as CC45, CC890 and CC72 had arisen independently in the *S. aureus* species from a low producing ancestor.

Agr groups have been suggested to be associated with differences in *S. aureus* cytotoxicity (Jarraud et al., 2002; Collins, Buckling & Massey, 2008). All four Agr groups were present in our samples (I: 50, II: 22, III: 38, IV: 11, NA: 3). We found significant differences in toxin production between Agr I and III ($p = .022$) using Kruskal-Wallis test and between Agr III and IV ($p = .00049$) using pairwise Mann-Whitney U tests. Agr I and IV have higher mean levels than Agr II and III (Fig. 3B). Methicillin resistance has also been previously indicated to interfere with the Agr quorum sensing system and thus toxin production (Rudkin et al., 2012). Within our set of strains, MRSA strains were found to have lower δ -toxin than MSSA strains by Mann-Whitney U ($p = 0.024$, Fig. 3A). Some caution must be used when assigning causality as clonal complex, Agr group and MRSA status are strongly confounded, but we also found that MRSA status and Agr type III were significant negative predictors of δ -toxin level in a phylogenetic regression (see Methods).

Diverse genetic loci are associated with variation in individual strain δ -toxin production levels

To ascertain individual genomic variants that may potentially be associated with the differences in toxin production, we used four recently published programs for bacterial GWAS (genome-wide association studies). The programs differ in the population structure correction, the types variants tested and whether continuous or binary phenotypes could be tested. SEER (Lees et al., 2016) is an alignment-free method that uses kmers as features to create a distance matrix and a fixed effects model to correct for population structure. SEER therefore allows discovery of both core and accessory gene variants associated with the phenotype. For the purposes of GWAS, we defined the binary toxin phenotype at a cutoff of 20,000 units (Fig. 1), which gave a set of 87 strains in the “low” toxin and 19 in the high toxin category. This threshold was chosen to separate the very high-producing strains from the main mass (Fig. 1). Using the binary phenotype, SEER identified three genes having more than ten kmers with statistically significant association (Table S3). Polymorphisms in *isdC*, *glpD*, and a gene encoding YbbR-like domain-containing protein (WP000894032.1) were found to be negatively associated with δ -toxin production. Bugwas (Earle et al., 2016) is an alternative distance-based GWAS program that uses principal components as random effects for population structure control. Bugwas analysis, performed with a binary phenotype, produced six phylogenetic patterns of SNPs (Table S3). DBGWAS (Jaillard et al., 2018) is a kmer based alignment-free method which relies on De Bruijn graphs to interpret genomic variation but uses bugwas for downstream analyses. Running DBGWAS with a binary phenotype yielded one hit in an intergenic region near staphopain A (Table S3). treeWAS (Collins & Didelot, 2017) differed from the other three programs in using the strain phylogeny to model changes associated with phenotype changes at the tip and within the structure of the tree. Using a phylogenetic tree can be more accurate than distance estimation if the tree is correct, therefore for robustness we used three separate trees (NARSA strains only, NARSA strains with a ST93 outgroup, NARSA strains with an *S. argenteus* outgroup) and pooled common loci. Using a ranked phenotype,

genes common to all three analyses were *fadD*, *vraD*, *degA*, *gdpP*, *ggt*, *sufB*, *opcR*, *rebM*, *thiD*, and three uncharacterized proteins (Table S3).

When the results from the four approaches were aggregated (Table S3), we noted the majority of the genetic loci were in genes encoding enzymes that were part of conserved metabolic pathways. Forty-two variants were synonymous mutations, and only nine were non-synonymous (6 loci from the bugwas analysis and 3 from treeWAS). None of the four GWAS approaches found any significant genetic loci in common.

We attempted to determine if machine learning approaches could predict δ -toxin from genome sequences by integrating information from the diverse GWAS analyses with MRSA/MSSA, Agr group and genotype (CC or ST). We chose Extreme Gradient Boosting (XGBoost) (Friedman, 2001; Chen & Guestrin, 2016) which uses decision tree ensembles to predict from the given set of features. XGBoost has been used to successfully predict biologically relevant phenotypes such as antibiotic resistance in *Enterobacteriaceae* and *Salmonella* (Nguyen et al., 2018; Nguyen et al., 2019) as well as RNA-protein interactions (Jain, Gupte & Aduri, 2018), protein-protein interactions (Wang, Liu & Deng, 2018; Sanchez-Garcia et al., 2019), and RNA methylation (Qiang et al., 2018). An XGBoost model was trained with stratified 10-fold cross-validation. When using a binary δ -toxin phenotype (>20000), the model had a precision of .875 and a recall of .333. Specificity was .990, and the Area Under Receiver Operating Characteristic (AUROC) was .697 (Table 1). Interestingly, excluding MRSA/MSSA status, Agr group and ST/CC had no effect on model performance, suggesting that rare genomic variants are the main driving force of very high δ -toxin production. Recall was poor, suggesting there are yet unfound genomic determinants that contribute strongly to the phenotype. Splitting the toxin levels into 4 categories (0–1000, 1001–7000, 7001–30000, >30000) decreased

performance (With metadata: .423 weighted precision, .443 weighted recall, .664 AUROC; Without metadata: .451 weighted precision, .326 weighted recall, .667 AUROC). However, most of the errors in the 4-category model (~75%) occurred in adjacent categories, suggesting that the classifier was better than random choice with near-misses. ST was the top parameter in prediction, resulting in a loss of ~21% accuracy when omitted. This suggested that the driving force behind differential δ -toxin level in *S. aureus* is interactions between a potentially large number of genes with the potential to affect toxin expression levels.

The small chain of carbamoyl phosphate synthase, encoded by *carA*, is necessary for δ -toxin production in USA300

We screened the δ -toxin production phenotype of transposon mutants of 9 of the 42 genes putatively identified by GWAS (Table S3) as well as *agrA* as a positive control and a randomly chosen gene as negative control (SAUSA300_1928). We used mutants from the USA300 Nebraska Transposon Mutant Library (Fey et al., 2013) that were transduced back into the parental USA300 JE2 strains and validated by PCR (Table S2). Of the eleven mutants tested, transposons in *hemL*, *carA*, *glpD*, *isdC*, *thiD*, and *agrA* significantly reduced δ -toxin production (Fig. 4), but only *carA*, *agrA*, and *isdC* mutants showed significantly different hemolysis on rabbit blood agar by Mann Whitney U. δ -toxin production in strains containing transposons in *fadD*, *sbnC*, *brnQ*, *hlgB*, and phi77 ORF109-like protein was not different to the parental strain USA300 JE2. *agrA* is necessary to activate RNAPIII and *bld* transcription (Janzon, Löfdahl & Arvidson, 1989; Gagnaire et al., 2012), so the transposon knock-out was expected to completely abrogate expression. However, the complete shutdown of toxin expression in the *carA* mutant had not been previously reported. We showed that δ -toxin accumulation by the *carA* mutant could be rescued by a cloned version of the gene on an expression plasmid (71% δ -toxin production restoration compared to USA300 JE2) but not an empty vector (0% δ -toxin production restoration).

carA encodes the carbamoyl-phosphate synthase small chain protein, which is involved in L-arginine biosynthesis and UMP biosynthesis (part of pyrimidine metabolism) and has been shown to potentially regulate nitric oxide resistance (Grosser et al., 2018) and be important for the regulation of PSM α 1 expression (Hardy et al., 2019). We confirmed the results of Bae et al. (2004) that a *carA* mutant was defective in killing *Caenorhabditis elegans* and showed this phenotype could be restored by complementation. While hemolysis of the complementation strain on sheep blood agar was less than the original strain (Table 2, Figs. 5A–5E), virulence in *C. elegans* was similar. Additionally, complementation with a *carA* allele from a CC45 high δ -toxin strain that restored hemolysis to comparable levels but not δ -toxin production showed reduced killing of *C. elegans* (Fig. 5F). This result suggests that δ -toxin may play an important role in virulence in this model organism.

Discussion

This study revealed the complex relationship between strain phylogeny and δ -toxin accumulation at stationary phase in *S. aureus*. The phenotype had a strikingly left-skewed distribution, with a minority of strains having >5-fold the median value in toxin units (Fig. 1). Pathoadaptation probably plays a major role in generating this diversity: δ -toxin has been shown to be an important virulence factor in skin and soft tissue infection (Berlon et al., 2015), but in bacteremia *agr*-regulated toxins may be under negative selection (Fowler Jr et al., 2004; Sakoulas et al., 2005). Toxin expression levels may also change through neutral genetic variation or selection on other metabolic pathways, especially if the levels of the toxin are ultimately determined by the interaction of multiple complex regulatory pathways (Priest et al., 2012). We showed there was a strong relationship between phylogeny and δ -toxin expression (Fig. 3C). Ancestral reconstruction of δ -toxin levels (Fig. S3) suggested higher expression has evolved several times independently but in a minority of clades, indicative of the fitness trade-offs that can exist with increased virulence. Strains also vary considerably within CCs, suggesting

within-clade mutations affect the level of expression. An example of this is the NRS22 strain in CC45, which had a more 4-fold less production than the average for the CC (NRS22 = 6,686; CC45 average = 30,955) (Fig. 2). The association of higher or lower levels with particular CCs is likely due to epistatic interaction between rare mutations and variants shared between clade members. As the strains in this study originate from a wide range of infections (Table S1), it was not possible to associate δ -toxin production in *S. aureus* with a particular disease (such as atopic dermatitis).

We used multiple bacterial GWAS approaches to produce a list of candidate loci that may be affecting δ -toxin production at different phylogenetic levels. GWAS looks for homoplastic genetic variants produced by recombination or parallel evolution that can be associated with phenotypic variation (Read & Massey, 2014; Power, Parkhill & De Oliveira, 2016). Methods vary by the types of variant tested (SNPs, kmers, indels), whether continuous or discrete phenotypes are used and methods for controlling non-independence of samples due to shared ancestry and typically widespread linkage disequilibrium. Two main approaches have been implemented to determine the underlying population structure of tested bacteria. The most common is a form of principal components correction whereby the genomes of strains are used to create a matrix of linearly uncorrelated variables which can then be included in as either fixed or random effects in a regression model (e.g DBWAS, bugwas, SEER). The second approach uses a phylogenetic tree as input to determine genetic relatedness between strains and can be fed into a regression model as with principal components or used to simulate null data to determine a cut-off for true associations (e.g treeWAS). Although all the variants listed in Table S3 passed the family-wise correction cutoff by their respective programs, many are likely false positives due to the presence of linkage disequilibrium or from underestimation of the underlying population structure, especially since the majority were synonymous substitutions. SEER and treeWAS appeared to be finding SNPs that were common in the *S. aureus* population (in ~1,500–20,000 of the ~44,000

strains in the Staphopia database (Petit & Read, 2018)). In contrast, bugwas found SNPs strongly associated with CC45 (the most toxic CC), while DBGWAS found a variant associated with low toxin production that excludes all high toxin strains in CC45. Of note, *mecA* kmers were not discovered by GWAS methods, although phylogenetic regression pointed to MSSA strains having higher toxicity. Similarly, we didn't find any variants within *agr* genes associated with differences in the phenotype, possibly because they were too rare in our population. Although, it is possible to use GWAS to find novel SNPs with large effect sizes using a relatively small number of genomes within one CC (Laabei et al., 2014), the conclusion to be drawn from this pilot study is that larger numbers of *S. aureus* genomes will be needed to understand the δ -toxin phenotype across multiple CCs.

Two other GWAS studies have focused on toxicity in *S. aureus*. Laabei et al were able to build a random forest predictor using 31 SNPs and 21 indels to predict low, medium, and high toxicity in MRSA with an accuracy of >85% (Laabei et al., 2014). Recker et al. (2017) clarified the role of toxicity by determining factors associated with bacteremia-associated mortality. Five genes, including two in the *agr* operon, were selected by random forest to be predictive of mortality in CC22 and CC30 *S. aureus* bacteremia. None of the genes putatively associated with variation in toxin production from these two studies overlap ours, although our work differed in having a focus specifically on δ -toxin. Genes from our list that have been implicated in other work are *hemL1*, which is part of the *agrA* transcriptional pathway (Das et al., 2016; Young et al., 2017) and *brnQ*. Other genes found to have reduced rabbit blood hemolysis that did not overlap our set were *hemB*, *qoxA-C* and *hlgA*. Our GWAS results suggested variants in *qoxD*, *hemC* and *hlgB* affected in delta toxin production, but these have not been shown to have any toxin-related phenotype in any study we have seen. Mutations in *clpX* and *walk*, which were found in our GWAS results, have also been shown to affect hemolysis (Frees et al., 2003; Delauné et al., 2012; Jacquet et al., 2019).

We validated nine candidate genes for the effect that transposon mutations had on δ -toxin production. Some candidate genes essential for cellular survival (e.g., *clpX* and *walk*) cannot be tested using knockout mutants. Transposons in 5 genes (two predicted by bugwas, two by SEER and one using treeWAS) had no effect on production, indicating they were likely false positive calls. The finding that *hemL*, *glpD*, *isdC*, and *thiD* knockouts resulted in a small but significant reduction δ -toxin levels suggests that they may have a significant functional role. The USA300 *carA* knockout had the most dramatic phenotype as the gene was found to be indispensable for δ -toxin production, a result not previously reported. A non- δ -toxin producing *carA* mutant was found to have reduced virulence in *C. elegans*, suggesting a role for δ -toxin in infection. Further mechanistic studies are now needed to understand why *carA* is necessary. Strikingly, the variant discovered through the GWAS screen, and all mutations in the gene in high production CCs such as CC45 were synonymous. There is a growing body of literature documenting differences in protein function caused by synonymous mutations that impact RNA toxicity (Mittal et al., 2018) or protein folding (Walsh, Bowman & Clark, 2019). Given the involvement of the Agr system, there is possibly a role for mutations to change RNAPIII binding specificity and influence gene regulation.

This work (and other GWAS studies) suggest that strain-to-strain variation in δ -toxin production is governed by complex genetic interactions. The high number of significant but probably low effect variants discovered in this analysis highlights the complex regulation of the δ -toxin phenotype and may parallel models proposed for the genetic basis of some traits in eukaryotes (Boyle, Li & Pritchard, 2017). Nevertheless, we showed that we can train a classifier (XGBoost) using only genome features with prediction accuracies of 87.9% (binary categories) and 43.5% (4 categories). We found that the most important predictive feature was ST in the non-binary model, which reflects how much of the

variation in δ -toxin production between strains is dependent on phylogeny. The ability to predict phenotypes of toxicity based on sequence data is likely to become an important diagnostic tool as medicine increasingly adopts genome-based technologies (Laabei et al., 2014). Going forward, we can improve genome-based predictors and gain mechanistic insights that may lead to development of anti-toxin drugs through a combination of efforts to expand collection of phenotypic variation in natural strains and molecular genetic studies targeted at high-effect loci.

Conclusions

δ -toxin production in *S. aureus* is a strain-specific phenotype likely affected by a complex network of genes. GWAS and machine learning approaches have proved successful in determining genetic determinants underlying this phenotype and using them for genome-based prediction. While most genes discovered by GWAS modify δ -toxin production, *carA* was found to be essential. Differences in *carA* function may contribute to virulence by modulating δ -toxin production. Further studies are needed to understand toxin regulation in *S. aureus* and to predict phenotypes from genomic sequences.

Additional Information and Declarations

Competing Interests

Timothy D. Read is an Academic Editor for PeerJ.

Author Contributions

Michelle Su conceived and designed the experiments, performed the experiments, analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the paper, and approved the final draft.

James T. Lyles conceived and designed the experiments, performed the experiments, analyzed the data, authored or reviewed drafts of the paper, and approved the final draft.

Robert A. Petit III analyzed the data, authored or reviewed drafts of the paper, and approved the final draft.

Jessica Peterson, Michelle Hargita and Huaqiao Tang performed the experiments, analyzed the data, prepared figures and/or tables, and approved the final draft.

Claudia Solis-Lemus analyzed the data, prepared figures and/or tables, authored or reviewed drafts of the paper, and approved the final draft.

Cassandra L. Quave and Timothy D. Read conceived and designed the experiments, authored or reviewed drafts of the paper, and approved the final draft.

DNA Deposition

The following information was supplied regarding the deposition of DNA sequences:

The sequenced *S. aureus* strains described here are available at NCBI Short Read Archive: PRJNA289526.

Data Availability

The following information was supplied regarding data availability:

The raw data is available at Figshare: Su, Michelle (2019): GWAS of Delta toxin in *S. aureus* PeerJ #41844. Figshare. Dataset.

https://figshare.com/projects/GWAS_of_Delta_toxin_in_S_aureus/69566.

Network on Antimicrobial Resistance in *Staphylococcus aureus* (NARSA) and Nebraska Transposon Mutant Library (NTML) strains were acquired from BEI resources.

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Figures and tables

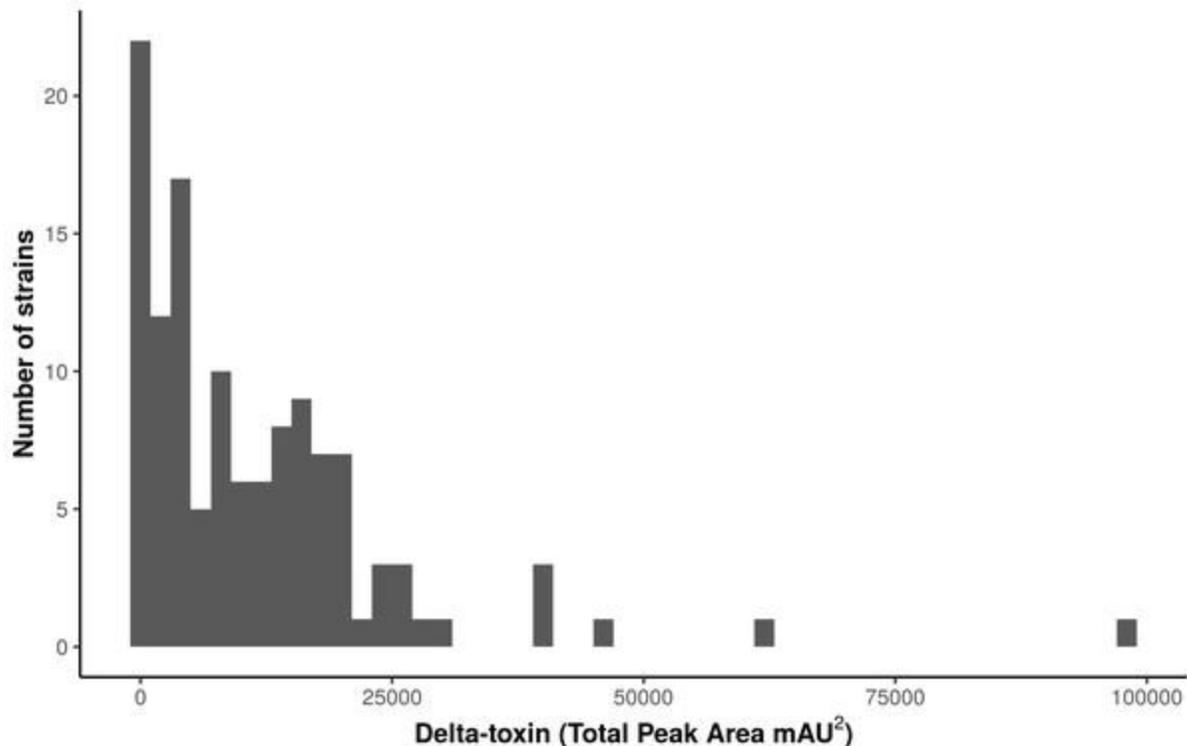


Figure 1: Characterization of δ -toxin production in 124 *S. aureus* strains.

Supernatants from 124 *S. aureus* strains from the Network on Antimicrobial Resistance in Staphylococcus aureus (NARSA) repository were subjected to high performance liquid chromatography (HPLC). The amount of δ -toxin present in samples is proportional to the area under the peak generated by UV absorbance when passing through the HPLC detector. Production ranged from 0 to 97,234 mAU².

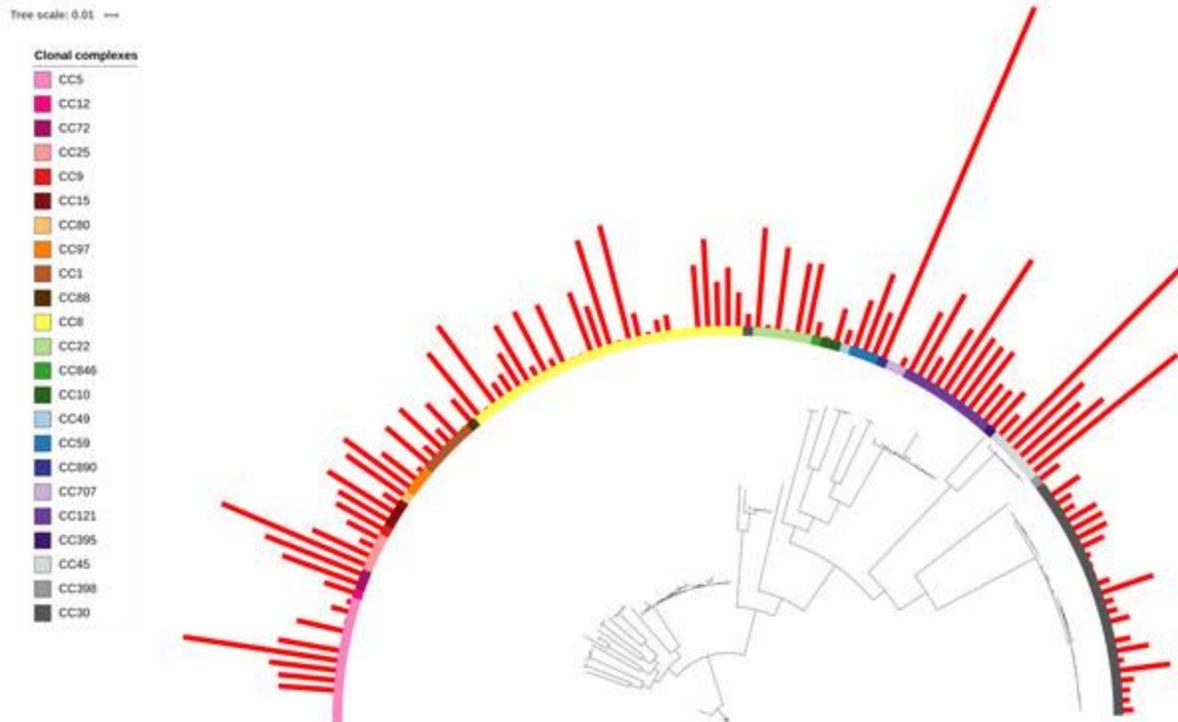


Figure 2: δ -toxin production across the *S. aureus* phylogeny.

A total of 124 *S. aureus* strains in 23 clonal complexes (CC) were used to create a core genome phylogeny using RAxML. Clonal complexes are represented in the inner ring and color coded. Red bars represent δ -toxin values from HPLC. Missing bars indicate δ -toxin was undetectable by HPLC.

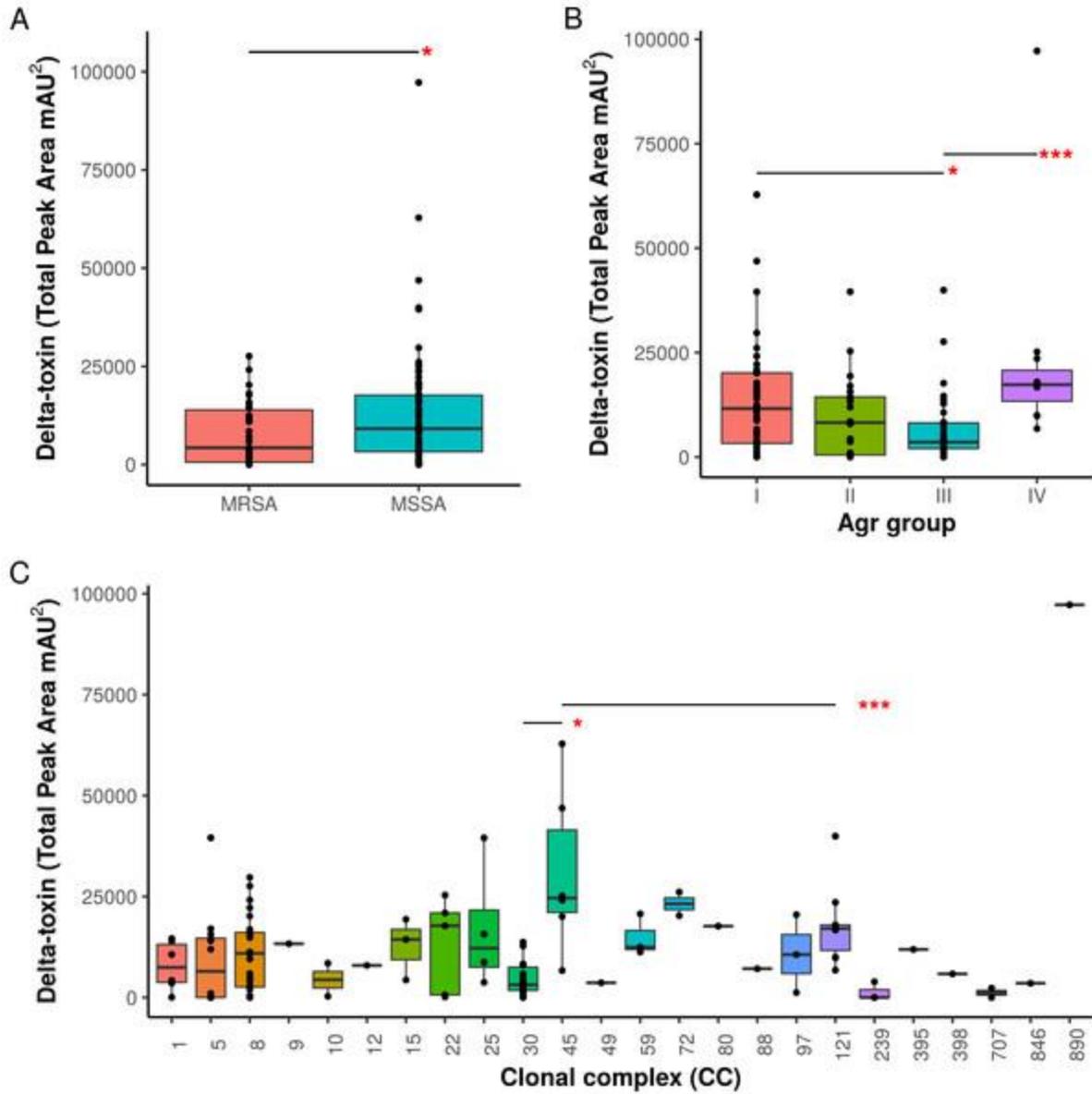


Figure 3: Associations of δ -toxin production to methicillin resistance, Agr type and clonal complex.

(A) Differences in δ -toxin production between MSSA and MRSA strains. (B) Differences in δ -toxin production between agr types. (C) Differences in δ -toxin production between CCs. * $p < .05$, ** $p < .01$, *** $p < .001$.

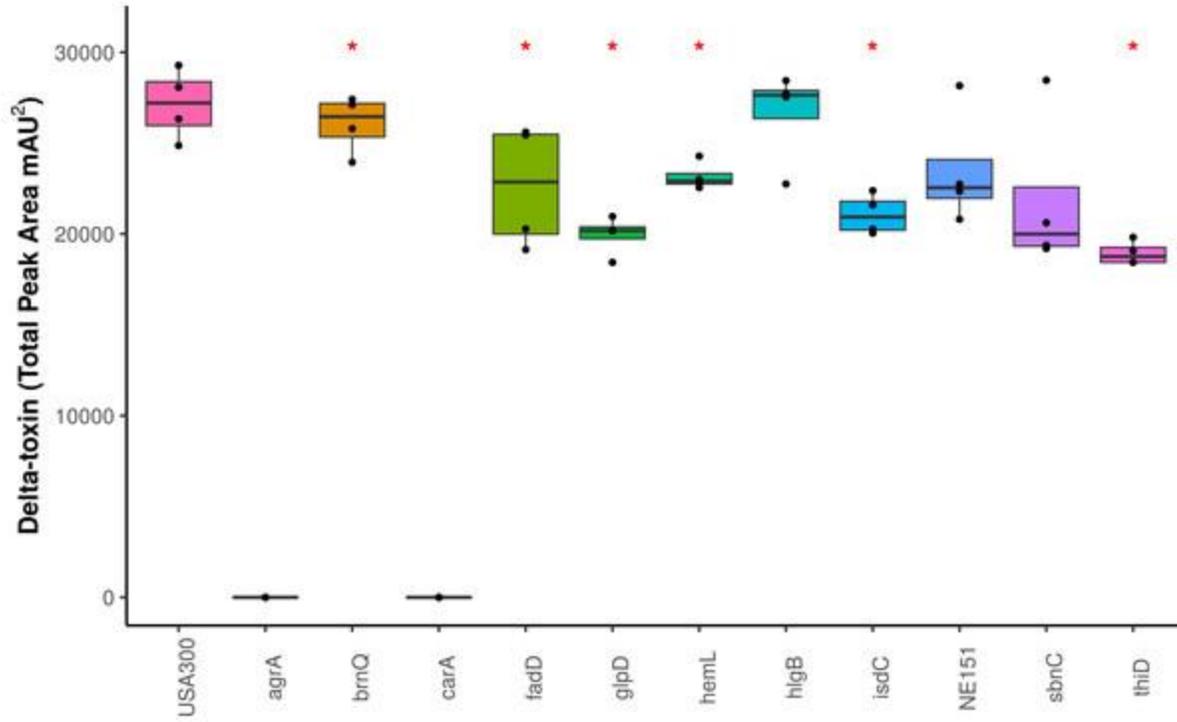


Figure 4: Impact of gene knockouts on δ -toxin production.

A subset of genes that were found to be significantly associated with δ -toxin by GWAS were tested for their effect of δ -toxin production. δ -toxin from transposon mutants from the Nebraska Transposon Mutant Library (NTML) was measured via HPLC. * $p < .05$.

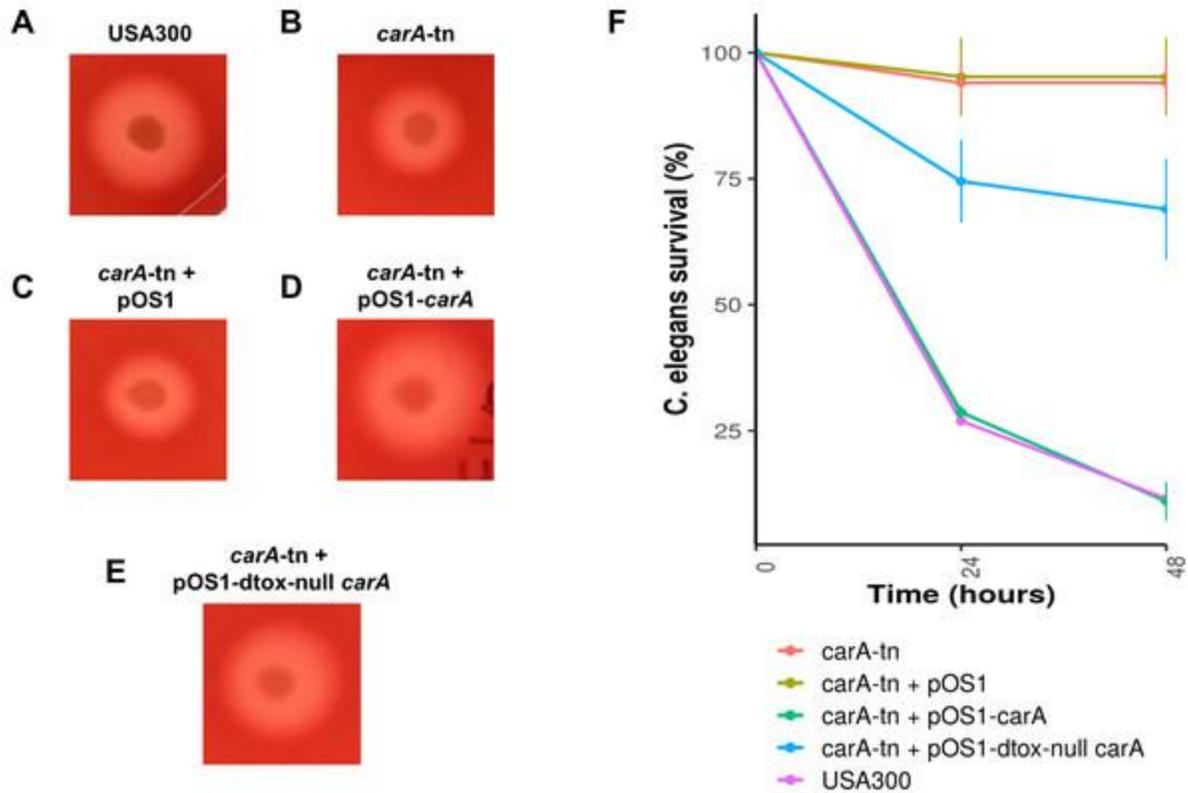


Figure 5: Impact of *carA* on hemolysis and virulence of *S. aureus* in *C. elegans*.

(A–E) *S. aureus* strains were spotted on 5% sheep's blood TSA II and incubated at 37 °C for 24 hours followed by 24 hours at 4 °C. Representative colonies are being shown. (F) L4 *C. elegans* were fed either USA300 *S. aureus* (purple), a *carA* transposon mutant USA300 (red), a *carA* transposon mutant with vector (yellow), a *carA* transposon mutant complemented with the native USA300 *carA* allele (green), or a *carA* transposon mutant complemented with a *carA* allele that produces no δ -toxin (blue). Survival was scored at 24 and 48 hours. Survival counts are normalized against *C. elegans* fed on OP50 *E. coli*.

Model	Precision	Recall	Specificity	AUROC(95% CI)	Cohen's Kappa(p -value)
Binary predictor +/- metadata	.875	.333	.990	.697 (.553, .840)	.429 (.000000037)
Four category predictor + metadata	.423 (weighted)	.443 (weighted)	N/A	.664 (.597, .731)	.255 (.00441) (weighted)
Four category predictor - metadata	.451 (weighted)	.326 (weighted)	N/A	.667 (.576, .758)	.133 (.131) (weighted)

Table 1: XGBoost models were trained with and without metadata (ST, CC, Agr group, and MSSA/MRSA status).

Ten-fold cross-validation was used to assess model performance. For all measures, the average performance across cross-validation is reported. For non-binary classification, individual precision and recall measures are weighted according to its proportion in the overall dataset during averaging, and Cohen's Kappa is calculated using squared weights. Specificity is not measured for non-binary classification models as it is included in the weighted precision and recall measures.

Strain	Average Hemolysis (mm)	Standard deviation (mm)
USA300	9.0945	.508
carA-tn	5.6450	.360
carA-tn + pOS1	5.0459	.446
carA-tn + pOS1-carA	7.3305	.536
carA-tn + pOS1-dtox null carA	7.0114	.422

Table 2: Characterization of hemolysis activity of USA300, *carA* mutant, and complemented strains.

S. aureus strains were spotted on 5% sheeps blood TSA II and incubated at 37 °C for 24 hours followed by 24 hours at 4 °C. Plates were photographed using a lightbox and processed in ImageJ. Hemolysis rings and colonies ($n = 10$) for each strain were measured using ImageJ.

Supplemental Information

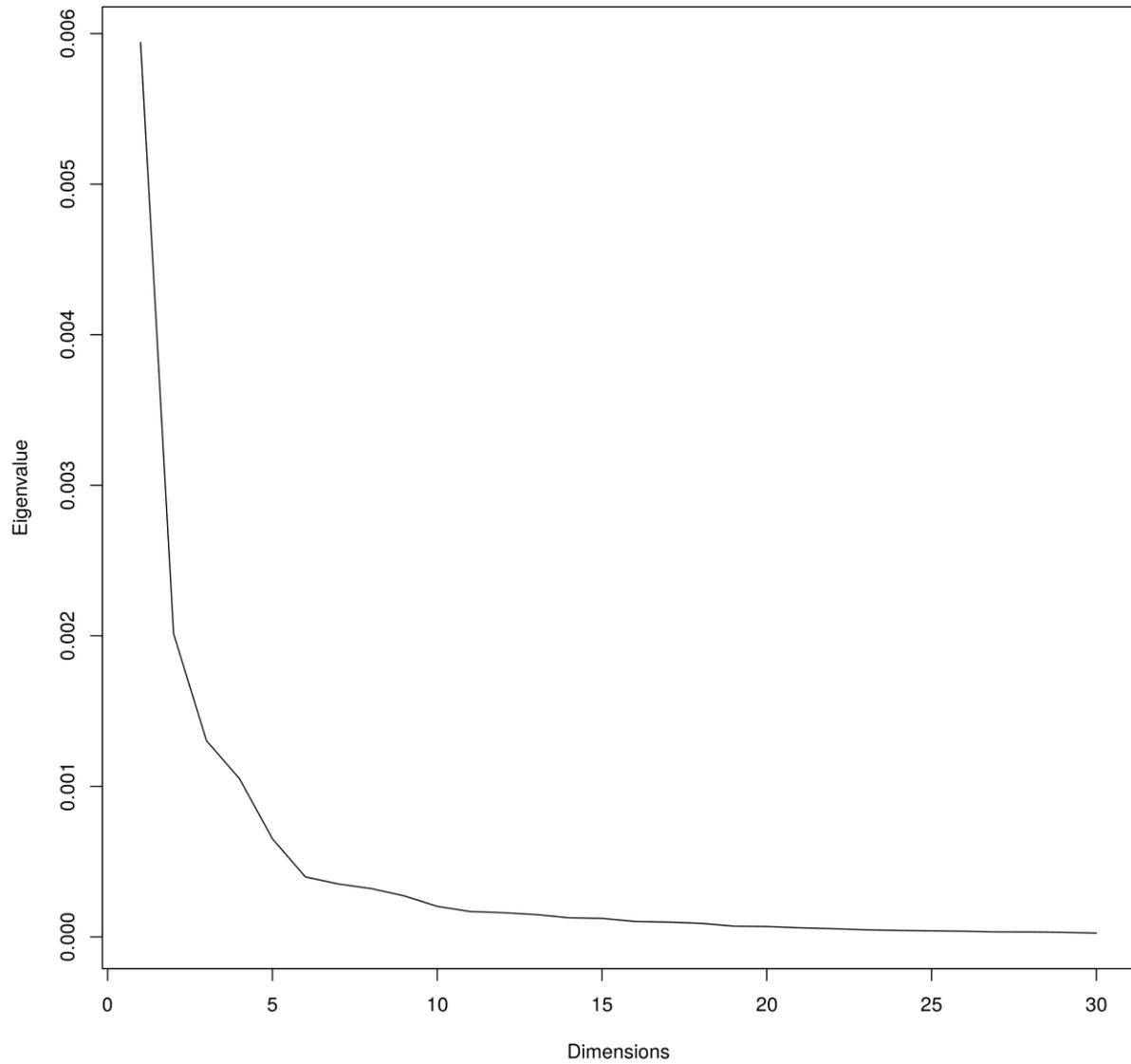


Figure S1. SEER Scree plot

For SEER, a distance matrix was estimated from kmers from *S. aureus* genome assemblies. To determine the number of dimensions to project the distance matrix into to estimate population

structure, eigenvalues were plotted against dimensions. Six dimensions was chosen based on the second “elbow” of the graph.

Tree scale: 0.01

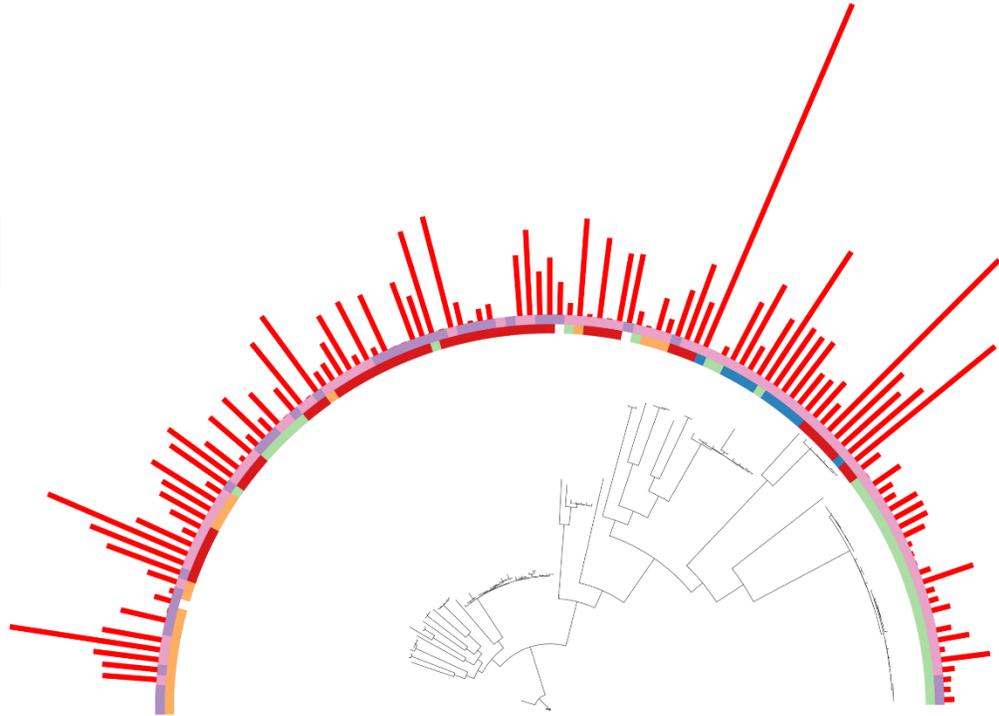
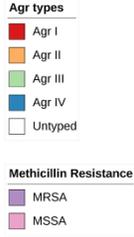


Figure S2. δ -toxin production in different Agr types and between MRSA/MSSA *S. aureus* strains

124 *S. aureus* strains in 23 clonal complexes (CC) were used to create a core genome phylogeny using RAxML. Agr type is represented in the inner ring and color coded. MRSA/MSSA status is represented in the outer ring and color coded. Red bars represent δ -toxin values from HPLC. Missing bars indicate δ -toxin was undetectable by HPLC.

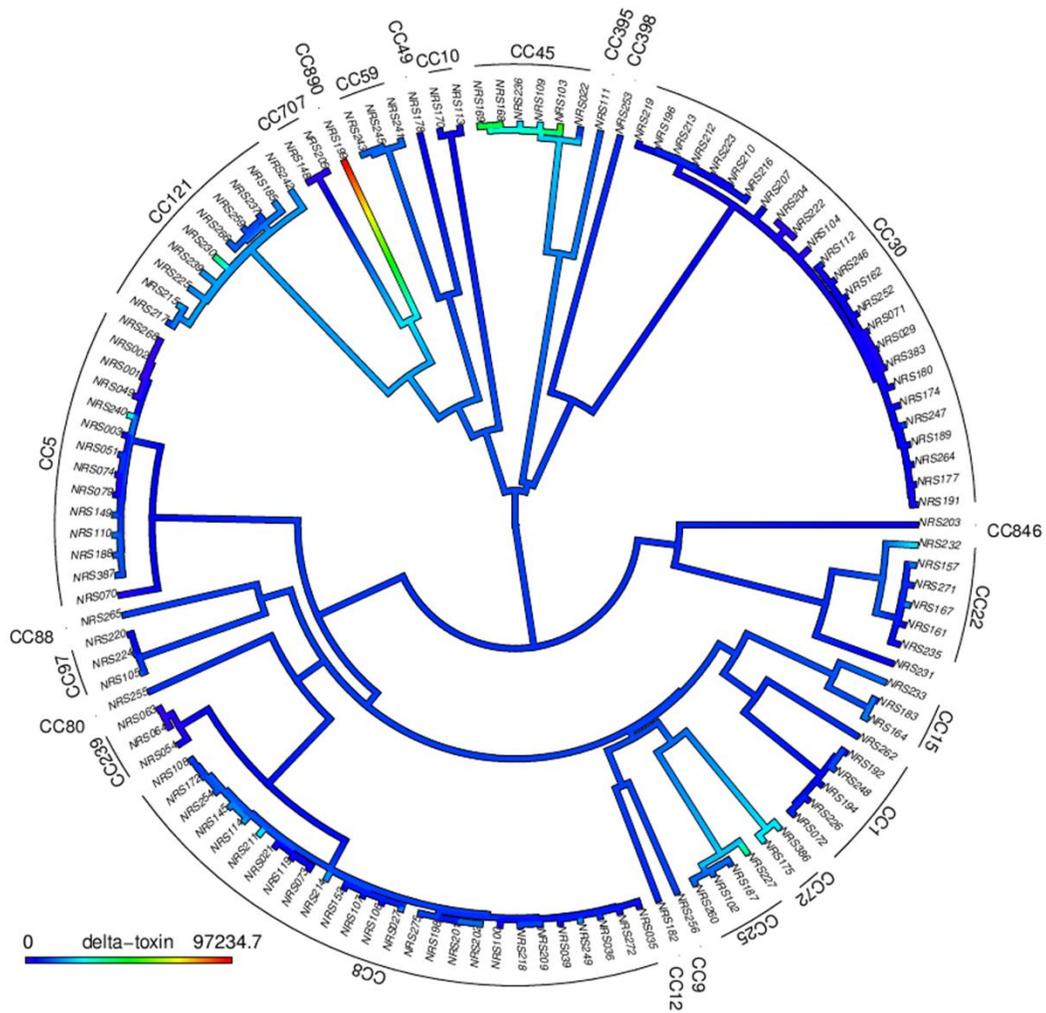


Figure S3. Ancestral state reconstruction of δ -toxin

The Julia package PhyloNetworks was used to reconstruct ancestral states of δ -toxin based on 124 *S. aureus* strains.

NI D	SR A Experiment Accession	Sequence Model	Total Reads	Total Bases	Estimated Coverage (x)	Total Contigs	N50	ST	CC	MRSA	Agr	Strain	Delta toxin (Total Peak Area mA U ²)	Year	Sex	Age	Locale	Country
NR S001	SR X1114452;SRX 7142943	Illu min a MiS eq; HiS eq X Ten	37,179,004	5,166,695,149	1835.54	66	103013	5	5	TR UE	2	Mu 50	0	1996	M	4 mo		Japan
NR S002	SR X1116071	Illu min a MiS eq	627,596	136,755,297	48.58	786	6493	5	5	TR UE	2	Mu 3	0	1996				Japan
NR S003	SR X1116072	Illu min a MiS eq	497,886	105,365,042	37.43	872	5245	5	5	TR UE	2	HIP 5827	0	1997	M	59	Mic higan	US A
NR S021	SR X1116073	Illu min a MiS eq	924,558	211,054,750	74.98	264	54067	8	8	TR UE	1	HIP 07920	473.1516775	1999	M	43	Rho de Islan d	US A
NR S022	SR X1116074	Illu min a MiS eq	822,092	176,832,644	62.82	391	23471	45	45	FA LSE	1	HIP 07930	6686.805947	1999	F		Ne w York	US A
NR S027	SR X1116075	Illu min a MiS eq	987,608	207,190,825	73.61	287	24415	8	8	TR UE	1	HIP 09433	24153.65577	2000	F	77	Mic higan	US A
NR S029	SR X1116076	Illu min a MiS eq	774,288	153,217,266	54.43	608	10052	36	30	TR UE	3	HIP 09735	1703.411573	2000	M	64	North Carolin a	US A
NR S035	SR X1116077	Illu min a MiS eq	692,992	144,288,908	51.26	689	7757	572	8	TR UE	1	LI M1	41.99747447	1995	F	2		France
NR S036	SR X1116078;SRX 7142944	Illu min a MiS eq; HiS eq X Ten	23,250,748	3,614,552,538	1284.11	270	69327	247	8	TR UE	1	LI M2	10925.00867	1995	F	2		France
NR S039	SR X1116079	Illu min a MiS eq	7,513,948	747,767,438	265.65	173	59824	247	8	TR UE	1	99.3795.V	142.5134834	1999			Scot land	Uni ted Kin gdom

NR S049	SR X11 160 80	Illu min a MiS eq	989, 194	189, 877, 003	67.4 6	285	202 31	5	5	TR UE	2	NR S49	0	199 7	M	45		South Korea
NR S051	SR X11 160 81	Illu min a MiS eq	889, 862	187, 694, 313	66.6 8	72	179 023	5	5	TR UE	2	HIP 097 40	119 51.9 479 6	200 0	F	27	California	US A
NR S054	SR X11 160 83	Illu min a MiS eq	842, 692	169, 238, 745	60.1 2	535	100 68	239	239	TR UE	1	BR1 5	390 7.39 324 4	199 8	M	8		Brazil
NR S063	SR X11 160 84	Illu min a MiS eq	694, 706	145, 635, 109	51.7 4	604	107 89	372	239	FA LSE	1	NR S63	0					
NR S064	SR X11 160 85	Illu min a MiS eq	762, 262	145, 134, 315	51.5 6	805	645 2	372	239	TR UE	1	LY-199 906 20-02	0	199 8	F	50		Oman
NR S070	SR X11 160 86	Illu min a MiS eq	919, 642	166, 198, 304	59.0 4	118 4	522 1	5	5	TR UE	2	N31 5	100 6.17 786 9	198 2				Japan
NR S071	SR X11 160 87	Illu min a MiS eq	667, 582	139, 550, 716	49.5 8	358	159 94	36	30	TR UE	3	MR SA 252	198 0.88 496 1	199 7	F	64		
NR S072	SR X11 160 88	Illu min a MiS eq	1,12 9,84 6	235, 383, 117	83.6 2	147	532 25	1	1	FA LSE	3	MS SA 476	106 50.9 642 5	199 8	M	9		
NR S073	SR X11 160 89; SR X11 160 89; RX 714 294 6	Illu min a MiS eq; HiS eq X Ten	73,6 38,5 70	11,2 47,4 46,6 85	399 5.80	312	624 56	8	8	TR UE	1	HIP 105 40	593 1.40 993 5	200 0	M		Ohio	US A
NR S074	SR X11 160 90	Illu min a MiS eq	9,73 5,95 2	993, 717, 678	353. 03	122	103 526	105	5	TR UE	2	HIP 102 67	105. 807 059 6	200 0	M	30	Maryland	US A
NR S079	SR X11 160 91	Illu min a MiS eq	497, 768	96,5 79,6 59	34.3 1	941	481 3	231	5	TR UE	NA	IL (Iso late F)	433 6.95 836 8	199 9	F	63	Illinois	US A
NR S100	SR X11 160 92	Illu min a MiS eq	1,12 0,94 2	253, 338, 402	90.0 0	263	868 25	250	8	TR UE	1	CO L	203 6.39 664 5	196 1			London	United Kingdom
NR S102	SR X11 160 93	Illu min a MiS eq	1,08 7,95 2	204, 896, 800	72.7 9	570	117 77	25	25	FA LSE	1	Reynolds	156 95.6 951 6	197 9			California	US A

NR S103	SR X11 160 94	Illu min a MiS eq	892, 592	165, 293, 366	58.7 2	663	765 4	508	45	FA LSE	1	Bec ker	628 16.6 160 8	197 9				US A
NR S104	SR X11 160 95	Illu min a MiS eq	1,05 4,23 2	213, 203, 500	75.7 4	343	161 11	30	30	FA LSE	3	Co wan I, NC TC8 530	67.8 931 347 3	193 5			Ne w Yor k	US A
NR S105	SR X11 160 96	Illu min a MiS eq	712, 016	155, 634, 499	55.2 9	336	477 96	97	97	FA LSE	1	Wo od 46	205 22.3 177 7					
NR S106	SR X11 160 97	Illu min a MiS eq	1,20 3,29 4	249, 731, 415	88.7 2	183	624 50	8	8	FA LSE	1	RN 422 0/p G01	497 0.07 712 2					
NR S107	SR X11 160 98	Illu min a MiS eq	1,10 9,60 0	198, 161, 964	70.4 0	676	145 47	8	8	FA LSE	2	RN 422 0/p G04 00	413 8.51 271 1					
NR S108	SR X11 160 99	Illu min a MiS eq	634, 378	134, 984, 987	47.9 6	521	104 11	8	8	TR UE	NA	A96 064 9	850 2.70 218 3					Fra nce
NR S109	SR X11 161 00	Illu min a MiS eq	6,94 7,40 0	680, 507, 504	241. 76	23	206 809	47	45	FA LSE	1	FRI 361	240 92.0 451	196 2			Eng land	Uni ted Kin gdo m
NR S110	SR X11 161 01	Illu min a MiS eq	1,26 8,99 8	169, 230, 493	60.1 2	160	389 60	5	5	FA LSE	2	FRI 472	170 26.4 833 4					US A
NR S111	SR X11 161 02	Illu min a MiS eq	2,84 2,72 4	297, 558, 250	105. 71	535	219 77	395	395	FA LSE	1	FRI 913	119 08.5 542 3					US A
NR S112	SR X11 161 03	Illu min a MiS eq	837, 092	190, 320, 425	67.6 1	179	536 41	30	30	FA LSE	3	MN 8	127 58.9 710 6	198 0			Min nes ota	US A
NR S113	SR X11 161 04	Illu min a MiS eq	855, 038	193, 062, 408	68.5 9	72	118 858	10	10	FA LSE	2	MN DO N	305. 524 187 9					
NR S114	SR X11 161 05	Illu min a MiS eq	509, 804	111, 111, 985	39.4 7	304	213 24	8	8	FA LSE	1	MN HO CH	222 32.4 345 3					US A
NR S119	SR X11 161 06;S RX 714 294 7	Illu min a MiS eq; HiS eq X Ten	75,1 65,9 88	11,1 87,1 02,0 00	397 4.37	144	100 273	507	8	TR UE	1	SA Lin R# 12	309 1.42 014 3	200 1	M	85	Mas sach uset ts	US A

NR S14 5	SR X11 161 07	Illu min a MiS eq	492, 894	114, 311, 924	40.6 1	247	292 51	8	8	FA LSE	1	RN 428 2	157 78.2 152				Min nes ota	US A
NR S14 8	SR X11 161 08	Illu min a MiS eq	429, 006	101, 357, 082	36.0 1	162	442 71	121	707	FA LSE	3	RN 643 2, Smi th Diff use	232 6.34 058 5					
NR S14 9	SR X11 161 09	Illu min a MiS eq	790, 792	169, 649, 431	60.2 7	241	283 85	5	5	FA LSE	2	RN 660 7, 502 A	156 45.4 012 3					
NR S15 2	SR X11 161 10	Illu min a MiS eq	830, 508	181, 427, 498	64.4 5	162	468 17	8	8	FA LSE	1	RN 704 4, WG B43 16	142. 719 216 3					
NR S15 7	SR X11 161 11	Illu min a MiS eq	899, 562	191, 842, 936	68.1 5	557	261 18	22	22	FA LSE	1	A98 059 2	177 70.6 549 3		F	14		Fra nce
NR S16 1	SR X11 161 12	Illu min a MiS eq	797, 006	179, 482, 237	63.7 6	148	424 14	22	22	FA LSE	1	HT 200 005 09	151. 706 692 6		M	26		Fra nce
NR S16 2	SR X11 161 13	Illu min a MiS eq	1,23 3,31 4	272, 861, 168	96.9 4	173	837 75	30	30	FA LSE	3	HT 200 003 28	387 6.02 154 7	200 0	F	11		Fra nce
NR S16 4	SR X11 161 14	Illu min a MiS eq	1,00 2,54 6	214, 279, 544	76.1 3	191	519 11	15	15	FA LSE	2	A89 025 9	193 66.1 052					Fra nce
NR S16 7	SR X11 161 15	Illu min a MiS eq	736, 400	163, 139, 102	57.9 6	212	440 47	144 6	22	FA LSE	1	A97 067 5	209 44.7 850 5		F			Fra nce
NR S16 8	SR X11 161 16;S RX 714 294 8	Illu min a MiS eq; HiS eq X Ten	50,1 42,7 76	7,54 0,87 9,46 4	267 9.00	545	111 23	45	45	FA LSE	4	A85 037 5	251 84.2 255	198 5	M			Fra nce
NR S16 9	SR X11 161 17	Illu min a MiS eq	696, 626	151, 548, 946	53.8 4	227	254 04	45	45	FA LSE	1	A92 022 2	469 04.4 148 2	199 2				Fra nce
NR S17 0	SR X11 161 18	Illu min a MiS eq	665, 990	143, 590, 154	51.0 1	170	391 98	10	10	FA LSE	2	A96 056 2	849 8.36 905 2	200 2	M	37		Fra nce
NR S17 2	SR X11 161 19	Illu min a	514, 402	115, 815, 999	41.1 5	196	336 67	8	8	TR UE	1	A97 023 0	148 48.4 507 8	199 7	M			Fra nce

		MiS eq										E, G2							gdo m
NR S21 4	SR X11 161 48	Illu min a MiS eq	835, 416	178, 629, 506	63.4 6	174	438 62	8	8	FA LSE	1	No. 359	201 68.4 265 4						Uni ted Kin gdo m
NR S21 5	SR X11 161 49	Illu min a MiS eq	600, 294	125, 613, 094	44.6 3	242	223 25	121	121	FA LSE	4	No. 425	235 60.6 258						Uni ted Kin gdo m
NR S21 6	SR X11 161 50	Illu min a MiS eq	898, 028	181, 940, 515	64.6 4	176	358 68	30	30	FA LSE	3	No. 426	830 0.13 100 3						Uni ted Kin gdo m
NR S21 7	SR X11 161 51	Illu min a MiS eq	591, 146	134, 430, 217	47.7 6	204	363 58	121	121	FA LSE	4	No. 430	677 7.79 962						Uni ted Kin gdo m
NR S21 8	SR X11 161 52	Illu min a MiS eq	1,48 5,07 6	298, 579, 617	106. 07	350	204 84	247	8	TR UE	1	No. 437	159 00.0 943 7						Uni ted Kin gdo m
NR S21 9	SR X11 161 53	Illu min a MiS eq	522, 698	102, 082, 564	36.2 7	221	300 58	30	30	FA LSE	3	No. 536, NC TC9 789, PS8 0	59.0 167 004 7	197 4					Uni ted Kin gdo m
NR S22 0	SR X11 161 54	Illu min a MiS eq	783, 902	160, 175, 690	56.9 0	76	128 476	97	97	FA LSE	1	No. 611	121 0.00 498						Uni ted Kin gdo m
NR S22 2	SR X11 161 55	Illu min a MiS eq	574, 180	119, 212, 701	42.3 5	294	245 54	30	30	FA LSE	3		291. 834 461 4	197 8					Uni ted Kin gdo m
NR S22 3	SR X11 161 56	Illu min a MiS eq	724, 500	121, 229, 208	43.0 7	790	588 7	30	30	FA LSE	3	No. 784	396 7.66 006 3						Uni ted Kin gdo m
NR S22 4	SR X11 161 57	Illu min a MiS eq	794, 338	163, 745, 138	58.1 7	294	606 43	97	97	FA LSE	1	No. 690, NA G9	106 29.9 472 5						Uni ted Kin gdo m
NR S22 5	SR X11 161 58	Illu min a MiS eq	478, 316	109, 207, 362	38.8 0	449	113 38	121	121	FA LSE	4	No. 691	168 51.8 608						Uni ted Kin gdo m
NR S22 6	SR X11 161 59	Illu min a MiS eq	436, 598	101, 476, 507	36.0 5	289	273 74	1	1	FA LSE	3	HT 200 200 28	55.7 836 392	200 2	M	3 mo			Fra nce
NR S22 7	SR X11 161 60	Illu min a MiS eq	597, 794	140, 168, 394	49.8 0	196	421 68	25	25	FA LSE	1	HT 200 200 30	395 38.6 481 9		M	4 mo			Fra nce

NR S23 0	SR X11 161 61	Illu min a MiS eq	670, 790	153, 518, 067	54.5 4	455	259 43	121	121	FA LSE	3	HT 200 200 57	399 50.5 776 7	200 2	M	2 yr 4 mo			Fra nce
NR S23 1	SR X11 161 62	Illu min a MiS eq	604, 622	138, 185, 825	49.0 9	370	389 76	30	30	FA LSE	3	HT 200 200 58	314 8.02 758 8	200 2	F	7			Fra nce
NR S23 2	SR X11 161 63	Illu min a MiS eq	809, 886	180, 448, 779	64.1 1	314 0	257 8	22	22	FA LSE	2	HT 200 200 65	253 53.8 975 3	200 2	M	4			Fra nce
NR S23 3	SR X11 161 64	Illu min a MiS eq	540, 764	115, 114, 564	40.9 0	370	316 27	582	15	FA LSE	2	HT 200 200 67	143 65.3 260 5	200 2	F	1 mo			Fra nce
NR S23 5	SR X11 161 65	Illu min a MiS eq	531, 166	115, 308, 205	40.9 6	560	152 94	22	22	FA LSE	1	HT 200 200 75	634. 538 349 1	200 2	M	5			Fra nce
NR S23 6	SR X11 161 66	Illu min a MiS eq	539, 158	117, 064, 667	41.5 9	423	208 42	45	45	FA LSE	1	HT 200 201 41	200 45.4 704 6	200 2	M	1 mo			Fra nce
NR S23 7	SR X11 161 67	Illu min a MiS eq	421, 256	94,1 13,9 04	33.4 4	371	175 10	121	121	FA LSE	4	HT 200 201 67	999 2.64 675 6		F	5 yr 5 mo			Fra nce
NR S23 9	SR X11 161 68;SR X 294 9	Illu min a MiS eq; HiS eq X Ten	47,4 04,5 82	7,25 9,65 0,86 1	257 9.08	103	765 74	121	121	FA LSE	4	HT 200 202 04	179 73.7 092		M	3 mo			Fra nce
NR S24 0	SR X11 161 69	Illu min a MiS eq	700, 170	154, 011, 393	54.7 1	364	381 23	5	5	FA LSE	2	HT 200 202 29	395 64.6 843 7	200 2	M	1 yr 6 mo			Fra nce
NR S24 1	SR X11 161 70	Illu min a MiS eq	550, 060	124, 287, 539	44.1 5	178	417 59	59	59	TR UE	1	HT 200 202 33	124 80.1 503 8	200 2	M	2 mo			Fra nce
NR S24 2	SR X11 161 71	Illu min a MiS eq	579, 286	123, 848, 461	44.0 0	787	114 90	121	121	FA LSE	4	HT 200 202 38	167 06.0 13	200 2	F	1 yr 2 mo			Fra nce
NR S24 3	SR X11 161 72	Illu min a MiS eq	594, 574	128, 056, 586	45.4 9	256	315 73	59	59	FA LSE	1	HT 200 202 52	207 13.4 778	200 2	F	3 yr 8 mo			Fra nce
NR S24 5	SR X11 161 73	Illu min a MiS eq	429, 048	95,4 03,1 39	33.8 9	193	351 89	59	59	TR UE	1	HT 200 203 20	112 64.0 488 2	200 2	F	8			Fra nce

NR S246	SR X1116174	Illu min a MiS eq	477,014	102,671,011	36.48	496	10151	30	30	FA LSE	3	HT 20020330	1243.097237	2002	M	1 mo			France
NR S247	SR X1116175	Illu min a MiS eq	466,736	100,487,348	35.70	615	8305	34	30	FA LSE	3	HT 20020331	13707.8969	2002	F	5 mo			France
NR S248	SR X1116176	Illu min a MiS eq	621,182	137,130,321	48.72	274	31054	1	1	TR UE	3	HT 20020341	14588.58705	2002	M	5			France
NR S249	SR X1116177	Illu min a MiS eq	556,684	127,048,439	45.14	658	20174	247	8	TR UE	3	HT 20020365	27604.16016	2002	F	8			France
NR S252	SR X1116178	Illu min a MiS eq	445,572	100,505,962	35.71	388	15767	30	30	FA LSE	3	HT 20020351	8172.815262						
NR S253	SR X1422904	Illu min a MiS eq	2,300,194	317,101,488	112.65	74	118060	398	398	FA LSE	1	HT 20020354	5845.298322	2002	M	4 yr 2 mo			France
NR S254	SR X1422905	Illu min a MiS eq	2,120,104	249,405,656	88.60	114	74643	8	8	TR UE	1	HT 20020376	11266.03564	2002	F	5 mo			France
NR S255	SR X1422906	Illu min a MiS eq	1,623,336	221,426,448	78.66	139	56092	80	80	TR UE	3	HT 20020371	17668.37047	2002	M	3 mo			France
NR S256	SR X1116179	Illu min a MiS eq	429,286	92,928,505	33.01	720	6517	109	9	FA LSE	2	HT 20020372	13315.71146	2002	F	2 mo			France
NR S259	SR X1116180	Illu min a MiS eq	514,540	113,989,671	40.50	776	6307	121	121	FA LSE	4	HT 20020381	9829.176694	2002	F	6 mo			France
NR S260	SR X1116181	Illu min a MiS eq	411,306	93,325,893	33.16	462	12682	25	25	FA LSE	1	HT 20020455	3745.491083	2002	F	5 mo			France
NR S262	SR X1116182	Illu min a MiS eq	427,566	99,475,889	35.34	227	29664	188	1	FA LSE	1	HT 20020420	13984.0786	2002	M				France
NR S264	SR X1116183	Illu min a MiS eq	2,196,388	261,897,409	93.04	393	67244	34	30	FA LSE	3	HT 20020438		2002	M	8 yr 2 mo			France
NR S265	SR X1116184	Illu min a MiS eq	582,610	124,680,617	44.29	817	5979	88	88	TR UE	3	HT 20020444	7130.797529	2002					Switzerland

NR S266	SR X11 161 85	Illu min a MiS eq	674, 036	148, 632, 836	52.8 0	476	114 76	121	121	FA LSE	4	HT 200 204 70	179 71.9 521 2	200 2	F	1 mo	France
NR S271	SR X11 161 87	Illu min a MiS eq	530, 738	110, 386, 652	39.2 2	116 2	335 2	22	22	TR UE	NA	P1V 44	181 69.6 125 3	199 9	F	18	Belgium
NR S272	SR X11 161 88	Illu min a MiS eq	591, 446	122, 770, 514	43.6 2	104 8	427 6	247	8	TR UE	1	160 013	156 72.5 511 6	200 2	M	46	United Kingdom
NR S275	SR X11 161 89	Illu min a MiS eq	500, 420	114, 279, 893	40.6 0	513	137 29	254	8	FA LSE	1	No. 55-2	956 0.67 568 4				United Kingdom
NR S383	SR X11 161 90	Illu min a MiS eq	545, 130	123, 997, 268	44.0 5	164	405 09	36	30	TR UE	3	US A30 0-011 4	260 0.71 808 6				Mississippi USA
NR S386	SR X11 161 91	Illu min a MiS eq	3,03 4,77 6	293, 832, 635	104. 39	88	891 96	72	72	TR UE	1	104 5	202 75.3 957				Washington USA
NR S387	SR X11 161 92	Illu min a MiS eq	7,37 4,42 2	697, 613, 810	247. 84	62	106 614	5	5	TR UE	2	HIP 128 64	143 82.5 180 5	200 3	M	78	Oklahoma USA

Table S1. *S. aureus* dataset metadata*

Metadata for *S. aureus* strains including sequencing and genome assembly statistics as well as ST/CC,

Agr type, MRSA status, delta-toxin HPLC values and any available data on isolation.

* Table has been modified to fit. Isolation site column has been removed

Primer	Sequence (5' -> 3')	Description
Tn_Up	CTCGATTCTATTAAC AAGGG	Designed by authors of NTML. For use if transposon is in plus orientation with gene specific primer. 464 bp to transposon end.
Tn_Buster	GCTTTTCTAAATGT TTTTTAAGTAAATCA AGTAC	Designed by authors of NTML. For use if transposon is in minus orientation with gene specific primer to transposon end.
fadD_tn	ATCAGAGAAGAAAC GTGC	Gene specific primer for <i>fadD</i> (SAUSA300_0227). Used to check transposon insertion in NE260.
agrA_tn	TTTTTAACGTTTCTCA CCGAT	Gene specific primer for <i>agrA</i> (SAUSA300_1992). Used to check transposon insertion in NE1532.
brnQ_tn	TTAAGTTGTCGCTTG TTTCG	Gene specific primer for <i>brnQ</i> (SAUSA300_0306). Used to check transposon insertion in NE605.
carA_tn	TTAGGCATTGATATG ACGC	Gene specific primer for <i>carA</i> (SAUSA300_1095). Used to check transposon insertion in NE1526.
glpD_tn	TTTGTGCTCTACAAAC GCAT	Gene specific primer for <i>glpD</i> (SAUSA300_1193). Used to check transposon insertion in NE233.
hemL_tn	TACGACTTAAAGCCG TATC	Gene specific primer for <i>hemL</i> (SAUSA300_1614). Used to check transposon insertion in NE303.
hlgB_tn	TCACTTGTGATTTTC CCAA	Gene specific primer for <i>hlgB</i> (SAUSA300_2367). Used to check transposon insertion in NE1682.
isdC_tn	TTATTCCACATTGCCT TTAGAT	Gene specific primer for <i>isdC</i> (SAUSA300_1030). Used to check transposon insertion in NE557.

NE151_tn	TTATCCTGCATTCTTT GACTC	Gene specific primer for hi77 ORF109-like protein (SAUSA300_1928). Used to check transposon insertion in NE151.
sbnC_tn	GCATTTTTGTACACG TCC	Gene specific primer for <i>sbnC</i> (SAUSA300_0120). Used to check transposon insertion in NE1031.
thiD_tn	AATTCATCGTCTAAT CCCTCT	Gene specific primer for <i>thiD</i> (SAUSA300_2049). Used to check transposon insertion in NE1428.
carA_pOS1_rev	CACTAGATAACGTTT GCTTTCAT- GCATATGTTACCTC AATTGTATTTATCCC	SOE primer to amplify linearized pOS1-Plgt with <i>carA</i> overhangs
carA_pOS1_fwd	GGAGCGTCATATCAA TGCCTAA- TCGAGGATCCAAACA AGGGGG	SOE primer to amplify linearized pOS1-Plgt with <i>carA</i> overhangs
pOS1_carA_fwd	GGGATAAATACAATT GAGGTGAACATATG C- ATGCAAAGCAAACGT TATCTAGTG	SOE primer to amplify <i>carA</i> with pOS1-Plgt overhangs
pOS1_carA_rev	CCCCCTTGTTTGGAT CCTCGA- TTAGGCATTGATATG ACGCTCC	SOE primer to amplify <i>carA</i> with pOS1-Plgt overhangs

Table S2. Primers used to verify Nebraska Transposon Mutant Library (NTML) strains and

used to create complementation plasmids are listed

Sequence	Amino acid	N315 mapping	Protein/Gene	GWAS method
CAAATACAATACCA ATGACACGTCGATT GCTAATGACTATTT TAATAAACCGGCAA AGTACATTAAGAAA AATGGTAAATTGTA TGTTCAAATAACTG TAAACCACAGTCAT TGGATTACAGGAAT GAGTAT A -> G C -> A T -> A	S47S V72V T79T	1108175 - 1108306 (33 kmers) 1108199 1108274 1108295	WP_000789821.1 isdC	SEER Binary phenotype
CCAGCTCAAATTGA GGCTTATACTGAAG AAGTTGAGCAAGC AATTAAAGAAGCGC AACATGGAAATAAT CAACCAGCAGTTAA AGAATAATTAATTT GTACAATCATAAAC TG A -> G A -> T	E533E A534A	1300876 - 1300988 (14 kmers) 1300890 1300893	WP_001218596.1 glpD	SEER Binary phenotype
ACAGTCTTTTCAGT TGATTCTGAAATAC CATCTAAATCTACT TCTGCATCAACTTC GCTTATATTTTGCA AGTCATCTCGACTA CCGAAGATTTCAAT TTCITTATCTTCTAA GTCAATCGAACTTA ATTCTTTATCATCT GCTAAACTACCTTT CTGTTTAACATTTA CTTTAACCTTTTTC TA T -> C A -> G	L264L D249D E244E	2227323 - 2227508 (87 kmers) 2227391 2227436 2227451	WP_000894032.1 YbbR-like domain-containing protein	SEER Binary phenotype

C -> T				
C -> T	N220N	1182697	WP_001190913.1 carA	Bugwas Binary phenotype
T -> C (complement)	D194D	349263	WP_000745087.1 brnQ	Bugwas Binary phenotype
T -> C C -> T G -> A	D426D P643L A663A	473701 474351 474412	WP_000211545.1 DUF2309 family protein	Bugwas Binary phenotype
T -> C	L242L	2481094	WP_000783428.1 hlgB	Bugwas Binary phenotype
G -> A (complement) A -> G (complement)	M435I K436E	2380169 2380168	WP_000451828.1 Na ⁺ /H ⁺ antiporter NhaC family protein	Bugwas Binary phenotype
T -> A (complement)	D83E	2532805	WP_001229087.1 opp1A	Bugwas Binary phenotype
T -> A	R282R	26493	WP_000871607.1 walK	Bugwas Binary phenotype
A -> T (complement)	P4P	1037680	WP_000104254.1 qoxD	Bugwas Binary phenotype
A -> G	V84V	299201	WP_000610204.1 tarJ	Bugwas Binary phenotype
C -> T	V467V	132180	WP_001179385.1 sbnC	Bugwas Binary phenotype
T -> C (complement) G -> T (complement)	R259R T321T	1706627 1706441	WP_000472302.1 clpX	Bugwas Binary phenotype
A -> G	D146G	546992	WP_000613722.1 folK	Bugwas Binary phenotype
C -> T (complement) T -> C (complement) G -> A (complement)	G98G V116V T164T	1710281 1710227 1710083	WP_000032657.1 NUDIX domain- containing protein	Bugwas Binary phenotype
G -> A (complement)	V227V	1702275	WP_001230232.1	Bugwas

C -> T (complement) C -> T (complement) C -> A,T (complement)	I251I T265T G285G	1702203 1702161 1702101	hemC	Binary phenotype
G -> A (complement)	T299T	1699418	WP_001270865.1 hemL1	Bugwas Binary phenotype
T -> C (complement)	N263N	272940	WP_001008400.1 fadE	Bugwas Binary phenotype
G -> A (complement)	A102A	1680683	WP_000457386.1 50S ribosomal protein L21	Bugwas Binary phenotype
A -> G (complement)	G277G	1676466	WP_001005768.1 ruvB	Bugwas Binary phenotype
T -> A T -> C	S146S C179C	1697413 1697512	WP_001001237.1 tag	Bugwas Binary phenotype
C -> T, A (complement)	R353R	271053	WP_000142198.1 fadD	treeWAS
A -> G	K116K	2803540	WP_000154162.1 vraD	treeWAS
A -> G (complement)	L69L	316218	WP_000757880.1 degA	treeWAS
T -> C	V549V	19974	WP_001081640.1 cyclic-di-AMP phosphodiesterase	treeWAS
G -> A	G520G	240930	WP_000236427.1 ggt	treeWAS
A -> G, T (complement) C -> T (complement)	V287V Y319Y	2527215 2527119	WP_000675401.1 MFS transporter	treeWAS
T -> G	P347P	1306337	WP_000652047.1 aminotransferase class I/II-fold pyridoxal phosphate- dependent enzyme	treeWAS
T -> A	V360V	885317	WP_001074405.1	treeWAS

			sufB	
C -> T	D113D	2698328	WP_000198598.1	treeWAS
C -> A,T (complement)	H181N, H181Y	312161	WP_000031262.1 class I SAM- dependent methyltransferase	treeWAS
G -> T, A (complement)	G196V, G196D	2148703	WP_000594954.1 thiD	treeWAS
GATAGGGGCTATTT TAATAAAATTCGTC CTC	N/A	1383811 - 1383841	Intergenic, 18 bp into end of WP_000523668.1 SWIM zinc finger family protein	DBGWAS

Table S3. Summary of significant GWAS hits

Softwares used were SEER, bugwas, treeWAS, and DBGWAS. *S. aureus* N315 was used as reference genome to map GWAS hits.

Chapter IV. Effect of genetic background on the direction of evolution of Vancomycin-Intermediate *Staphylococcus aureus* (VISA)

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Abstract

Vancomycin has been a relatively safe and economical treatment against MRSA for the past three decades but increasing use of the drug has contributed to a growing number of reports of less susceptible strains. High-level vancomycin-resistant *Staphylococcus aureus* (VRSA; minimum inhibitory concentration (MIC) $\geq 16 \mu\text{g}/\text{mL}$) has occurred through horizontal acquisition of the *vanA* gene but has remained rare. VISA (MIC 4-8 $\mu\text{g}/\text{mL}$), first reported in 1997 arises from vancomycin-susceptible *S. aureus* (VSSA; MIC $\leq 2 \mu\text{g}/\text{mL}$) through stepwise acquisition of mutations in a limited but not yet completely defined set of genes. Understanding the fitness limitations and genetic background effects on acquisition of VISA mutations is a step toward better treatments and diagnostics. We created a library of laboratory selected VISA strains from three important *S. aureus* backgrounds - (CC1, CC5 and CC8). We detected mutations in more than 150 genes, but only six genes occurred in all three background strains (*walK*, *prs*, *rpoB*, *rpoC*, *vraS*, *yyqF*). These six were already known to be commonly VISA targets, but the prevalence, co-occurrence, and SNP patterns within these genes suggested epistatic interactions between VISA loci dependent on genetic background. Linear regression models also indicate that different genes are predictive for different backgrounds, perhaps due to differential selective pressures arising from epistasis. Further, fitness costs were observed with increasing vancomycin resistance regardless of background; however, NRS70 VISA strains were able to achieve resistance at lower levels with lower fitness costs compared to NRS384 VISA strains. These results

together indicate that VISA is a phenotype that has many evolutionary paths, with genetic background skewing strains towards a subset due to epistasis from a vast genetic network.

Introduction

Vancomycin has been a relatively safe and economical treatment against MRSA for the past three decades, but increasing use of the drug has contributed to a growing number of less susceptible strains (Gardete & Tomasz, 2014; Zhang et al., 2015; McGuinness, Malachowa & DeLeo, 2017). High-level vancomycin-resistant *S. aureus* (VRSA; minimum inhibitory concentrations (MIC) ≥ 16 $\mu\text{g}/\text{mL}$) has occurred through horizontal acquisition of the *vanA* gene from *Enterococcus* spp (Kobayashi, Musser & DeLeo, 2012). The incidence of VRSA has remained rare, likely due to poor regulation of expression of the *vanA* gene in *S. aureus* imposing a heavy fitness burden on the bacterium (Foucault, Courvalin & Grillot-Courvalin, 2009). VISA (MIC 4-8 $\mu\text{g}/\text{mL}$), first reported in 1997 in the case of a 4 month old infant with a MRSA wound infection following cardiac surgery (Hiramatsu et al., 1997), arises from vancomycin-susceptible *S. aureus* (VSSA; MIC ≤ 2 $\mu\text{g}/\text{mL}$) through mutagenesis. hVISA are susceptible in vitro to vancomycin (MIC ≤ 2 $\mu\text{g}/\text{mL}$) but contain subpopulations, in a ratio of 1 to 10^6 cells, that can grow in the presence of ≥ 4 $\mu\text{g}/\text{mL}$ of vancomycin and thus are capable of spontaneous transitions to VISA (Liu & Chambers, 2003; Sakoulas & Moellering, 2008; Deresinski, 2009; El-Halfawy & Valvano, 2015).

Standard microbiology laboratory-based phenotypic tests for VISA are labor intensive, and the incidence may be much higher than currently reported (Marlowe et al., 2001; Charles et al., 2004; Prakash, V, Lewis & Jorgensen, 2008; Satola et al., 2009; Swenson et al., 2009; Vaudaux et al., 2010). hVISA strains are particularly challenging to detect using current standard clinical microbiology methods (automated broth microdilution assays) as MICs can overlap with VSSA (Swenson et al.,

2009). Development of a nucleic acid test using standard PCR based approaches has been confounded to date by the complexity of the genetics of VISA. VISA has emerged independently in strains from each of the major MRSA lineages (Hiramatsu et al., 1997; Howden et al., 2006, 2008; Mwangi et al., 2007; Alam et al., 2014; Gardete & Tomasz, 2014). The classic study by Mwangi et al (Mwangi et al., 2007) showed VISA evolving within a patient on long-term vancomycin therapy through a series of adaptive mutations. Most intermediate resistance is acquired by within-patient evolution (Klevens et al., 2007; Gardete & Tomasz, 2014), although there have been rare reports of person-to-person spread (Cassone et al., 2004; de Larence et al., 2006). Some patients with MRSA infections failing therapy showed enhanced vancomycin MIC even when the drug was not used (Horne et al., 2009; Lalueza et al., 2010; Holland & Fowler, 2011), suggesting that VISA may overlap with ‘persister’ phenotypes (Johnson & Levin, 2013) associated with long-term invasive infection.

The genetic basis of VISA has been investigated for several years, primarily through identification of mutations by comparative sequencing of small numbers of isogenic clinical samples (Ohta et al., 2004; Mwangi et al., 2007; Howden et al., 2008) and molecular genetic characterization. VISA cells typically show cell-wall thickening and a reduction of fitness in growth compared to isogenic parents. Mutations in a variety of conserved ‘core’ *S. aureus* genes have been reported to associate with VISA (Howden et al., 2010; Gardete & Tomasz, 2014; Wang et al., 2016), most commonly those involved in regulation of cell wall architecture (e.g., *graRS* (Neoh et al., 2008; Howden et al., 2008), *vraRS* (Kato et al., 2010; Baek et al., 2017; Asadpour & Ghazanfari, 2019), *yyqF* (Kato et al., 2010; Yoo et al., 2013), *walk* (Shoji et al., 2011; Hafer et al., 2012; McEvoy et al., 2013; Hu et al., 2015), *walR* (Howden et al., 2011)), or pleiotropic effects of certain global transcriptional regulators (e.g. *agr* (Sakoulas et al., 2003), *stp1* (Cameron et al., 2012; Passalacqua et al., 2012), *rpoB* (Cui et al., 2010; Watanabe et al., 2011; Hafer et al., 2012; Katayama et al., 2017)). Laboratory selection experiments have shown that an *S. aureus*

genotype can acquire the VISA phenotype through mutations in different genes (Passalacqua et al., 2012; Vidaillac et al., 2013).

In this study, we aim to further evaluate the relationship between genetic determinants of vancomycin resistance and genetic background and determine if epistasis can direct the evolutionary trajectory of this phenotype. We evolved VISA strains from three common genetic backgrounds encountered clinically: Clonal complex (CC) 5 (N315), CC 8 (USA300-0114), CC 1 (MW2) and analyzed the differences in mutation, fitness, and resistance levels.

Methods

Strains

Parental strains used for the evolution experiments were NRS70 (N315), NRS123 (MW2), and NRS384 (USA300-0114) and were obtained from BEI resources (<https://www.beiresources.org/>).

Evolution experiments

Frozen stocks of the three parent strains were streaked on Brain Heart Infusion (BHI) agar plates and single colonies used to establish shaking overnight cultures of BHI broth. We then propagated independent lines in BHI broth shaking at 37C until cultures were turbid ($OD_{600} > 1.5$) before diluting culture into fresh media. Strains were grown initially in 1 $\mu\text{g}/\text{mL}$ vancomycin then transferred to broth with 2 $\mu\text{g}/\text{mL}$ antibiotic. Once turbid, the cultures were similarly diluted to 4 $\mu\text{g}/\text{mL}$ then grown for 3 days before transfer to 8 $\mu\text{g}/\text{mL}$ and grown for a final 3 days. After the growth period in broth at the final antibiotic concentration, cultures were plated on BHI agar plates containing 2, 4 and 8 $\mu\text{g}/\text{mL}$ vancomycin. A single colony was picked from the highest concentration at 48 hours growth. The colony was passaged twice on selective media then examined by Gram stain to confirm species.

Genomic Analysis

DNA extraction and library prep were performed as manufacturer's instructions (Wizard Genomic DNA Purification Kit, Promega; Nextera XT DNA Library Prep Kit, Illumina). Genome sequencing was performed on Illumina HiSeq and MiSeq with paired-end reads. Raw read data were deposited in the NCBI Short Read Archive under project accession number PRJNA525705.

Mutations were called based on comparison to reference sequences using breseq (Deatherage & Barrick, 2014). Reference genomes used for NRS70, NRS123, and NRS384 VISA strains were NC_002745.2 (BioProject: PRJNA224116, BioSample: SAMD00061099, Assembly: GCF_000009645.1), NC_003923.1 (BioProject: PRJNA224116, BioSample: SAMD00061104, Assembly: GCF_000011265.1), and NZ_CP027476.1 (BioProject: PRJNA224116, BioSample: SAMN07411405, Assembly: GCF_002993865.1) respectively. To compare mutations across the three strains, the protein sequences of NRS123 and NRS384 were blasted against that of NRS70 (Camacho et al., 2009). Genes were considered orthologous to NRS70 if the amino acid sequences had at least 95% identity, 95% coverage, or an evalue=0.

Linear regression analysis

Linear regression models were fitted using the *lm* function in R. *walk*, *prs*, *rpoB*, *rpoC*, *vraS*, and *yvqF* as binary (mutated or not) variables were used as predictors for log transformed vancomycin MICs. The final model was chosen by backwards selection with the goal of minimizing Akaike information criterion (AIC) as in Eyre et al (Eyre et al., 2017).

Growth curve analysis

Strains were grown in duplicate in a 96-well plate beginning at an OD < .1 and grown for 24 hours at 37C with constant shaking in a Biotek Eon Microplate Spectrophotometer, with OD measurements every 10 minutes. To assess growth-curves, OD readings were imported into R (Team, 2016) and maximal growth rate (r) calculated using the growthcurver package (Sprouffske & Wagner, 2016). Fitness was calculated as the ratio between the average r of each evolved strain to the average r of the parent strain. Area under the curve (AUC) and final OD600 measurements were also considered as alternative fitness metrics, but the high variation between replicates made them unsuitable for further analyses. Fitness distributions between NRS70 evolved VISA strains and NRS384 evolved VISA strains were compared using a two-sided two-sample Kolmogorov-Smirnov test.

Other statistical analyses

To determine if the difference in the prevalence of mutations across different VISA genes (*walK*, *prs*, *rpoB*, *rpoC*, *vraS*, *yvqF*) was significant, a Pearson's Chi-squared test was performed with post hoc pairwise comparisons using Fisher's exact test and a Bonferroni multiple test correction. To analyze if the prevalence of mutations was also affected by background, binomial generalized linear models (GLMs) were fitted for each gene, and pairwise Wald tests were performed to test whether the difference in the coefficients for each background was non-zero. The statistical significance of gene correlation was assessed using a Spearman correlation with a Holm multiple testing correction. Total SNP distributions were compared using a Kruskal-Wallis test followed by pairwise Mann-Whitney U tests. Synonymous and nonsynonymous SNP distributions were compared with a Pearson's Chi-squared test followed by Bonferroni corrected Fisher's exact tests. The vancomycin and daptomycin MIC distributions between NRS70 VISA strains and NRS384 VISA strains were compared using two-sided two-sample Kolmogorov-Smirnov tests. To test if the linear relationship between vancomycin

MIC and daptomycin MIC was dependent on background (NRS70 and NRS384), an Analysis of Covariance (ANCOVA) was performed. All analyses were performed using R.

Antimicrobial susceptibility testing

Antimicrobial susceptibility testing for daptomycin, vancomycin, and methicillin were done according to Clinical Laboratory Standards Institute (CLSI) standards (Clisi, 2013). In brief, cultures were streaked from a frozen stock onto BHI agar and restreaked the next day. From the second day culture, colonies were resuspended in normal saline to a .5 McFarland standard. For plate-based testing, e-test for daptomycin and disc diffusion with ceftiofuran, cultures were struck to create a lawn on cation-adjusted Mueller-Hinton broth (CAMHB) agar plates before application. Plates were incubated at 35C and read at 18 hours. For broth microdilution (BMD) to determine strain vancomycin minimum inhibitory concentration (MIC), .5 McFarland standards were diluted 1:20 in normal saline, and 10 ul was added to 90 ul of CAMHB with the appropriate concentration of vancomycin. Plates were incubated at 35C without shaking and read at 24 hours.

Results

Patterns of acquisition of SNPs at VISA endpoints is affected by genetic background

To study the evolution of vancomycin intermediate resistance, we selected three strains, representing major lineages in the US: N315/NRS70 (Kuroda et al., 2001) (CC5); USA300-0114/NRS384 (Diep et al., 2006) (CC8) and MW2/NRS123 (Baba et al., 2002) (CC1). We experimentally evolved *S. aureus* strains with a vancomycin MIC of 4-10 $\mu\text{g}/\text{mL}$ from an initial parental MIC of 1 $\mu\text{g}/\text{mL}$. Selection for 120 of these mutants, 40 per genetic background, was achieved by sequentially raising the vancomycin concentration through serial passages of strains in BHI broth. Mutants were sequenced and analyzed with breseq (Deatherage & Barrick, 2014) to ascertain mutations acquired during

vancomycin selection. Thirteen evolved strains are excluded from further analyses due to either low sequence quality or culture contamination. The final number of evolved VISA strains per background was 35 NRS70 strains, 34 NRS123 strains, and 38 NRS384 strains.

Within each background, most deletions, insertions, and substitutions only occurred in one strain, and little parallelism was exhibited. In cases where the deletions are found more commonly within a background, mutations are in intergenic regions and of unclear significance. Of note, large-scale deletions of 42-44kb in the same region were observed in all genetic backgrounds (four NRS70, four NRS123, four NRS384). A list of mutations greater than 2 bp can be found in Tables 1-5. The bulk of the genetic changes that occurred during the selection for vancomycin-intermediate resistance were single nucleotide polymorphisms (SNPs) and will be the focus of the rest of the analyses.

We found between 5 and 25 SNPs in evolved VISA strains compared to their respective parent strains, with non-synonymous mutations in several well-known VISA-associated genes. SNPs fell within 151 coding genes, and no gene was universally mutated in all strains, highlighting the existence of multiple pathways to vancomycin resistance in *S. aureus*. However, of the 151 genes, 121 genes were mutated in only one of the three backgrounds; 24 genes were mutated in two backgrounds; and only 6 genes (*walk*, *prs*, *rpoB*, *rpoC*, *vraS*, *yvqF*) were mutated in all three backgrounds. These 6 genes have been previously implicated in VISA from sequencing of clinical isolates, but interestingly, some genes that had been previously reported did not acquire mutations frequently or in all three backgrounds. Notably, *agrR* was not mutated in any strains, *graRS* mutants were found in only NRS384 derived strains and *walR* (Howden, Peleg & Stinear, 2013) only in NRS70 and NRS123 derived strains.

In examining the 6 “universally mutated” genes (i.e. those occurring in all three backgrounds - *walk*, *prs*, *rpoB*, *rpoC*, *vraS*, *yvqF*), there was an indication that some genes were more broadly important to

the evolution of VISA, with indications of lineage specific effects. On opposite sides of the spectrum, mutations in *prs* occur in fewer than 10% of the evolved strains compared to mutations in *walk* which occurred in 80-95% of the evolved VISA strains (Table 6). A Pearson's chi-squared test ($p < 2.2 \times 10^{-16}$) and post hoc Fisher's exact tests (all $p < 5.91 \times 10^{-03}$) determined that mutation rates differed significantly between genes. The only genes with comparable mutation rates were *rpoB*, *rpoC*, and *vraS*. By fitting binomial generalized linear models (GLMs) to the gene mutation distributions, mutation rates in *rpoB* (NRS70 vs NRS123, $p = .03$; NRS70 vs NRS384, $p = .015$), *rpoC* (NRS70 vs NRS384, $p = .019$), *vraS* (NRS70 vs NRS384, $p = .04$), and *yvqF* (NRS70 vs NRS123, $p = .035$; NRS70 vs NRS384, $p = .028$) were found to be significantly influenced by genetic background. In the case of *rpoC* and *vraS*, the mutation rates of NRS70 and NRS384 represent the ends of the spectrum with NRS123 as an intermediate. In the case of *rpoB* and *yvqF*, NRS123 and NRS384 share similar mutation rates, which differ significantly from the mutation rates of NRS70.

While selective pressures on individual genes may differ between backgrounds, similar selective pressures may exist on the amino acid level. To examine the mutational profile of SNPs, we focused on *yvqF* and *walk* due to their high mutation rate and 100% conservation across backgrounds. In *yvqF*, there are a total of 32 SNPs spread across 68 strains: 3 SNPs are found in all three backgrounds, 10 SNPs are found in two, and 19 SNPs in only one. Intriguingly, despite *walk* mutations (41 SNPs across 93 strains) being more common, there are no SNPs in common between the three backgrounds and 31 SNPs are found in only one. Furthermore, the most common SNPs within each background for these two genes were found in different amino acid residues (*prs*: NRS70/A152V, NRS123/P126S, NRS384/P174A; *walk*: NRS70/E236D, NRS123/I29M, NRS384/Q216E). The lack of commonality in the SNPs found between these genetic backgrounds indicated a strong effect of epistasis on the protein architectures of *walk* and *yvqF*.

Because VISA is a stepwise process and requires the action of more than one mutation, we next examined the co-occurrence of mutations in the six universally mutated genes (Figure 1a-d). Broadly, mutations in *vraS* and *yvqF* were almost mutually exclusive (coefficient -.8, $p < .0001$), with minor differences between genetic backgrounds (Figure 1b-d, NRS70 and NRS384: -.9; NRS123: -.6). Interestingly, NRS70 was the only background with significant associations between other genes (Figure 1b): *prs/vraS* (coefficient .5, $p = .011$) and *prs/yvqF* (coefficient -.5, $p = .035$).

Considering the complex genetic networks that exist within cells and the multiple routes available to become VISA, it may not be surprising that only six genes are mutated in all three backgrounds. However, similarities between backgrounds may exist on a smaller scale. Between NRS70 and NRS123, 5 other genes were mutated in both backgrounds. Similarly, between NRS123 and NRS384, 6 other genes were mutated. Finally, between NRS70 and NRS384, 12 other genes were mutated (Table 7). The higher proportion of other shared genes between NRS70 and NRS384 may indicate that these two strains share more similar evolutionary paths toward vancomycin resistance.

In analyzing the multi-mutational nature of VISA, it was of interest to examine how many mutations occurred per strain to gain high intermediate vancomycin resistance. NRS123 VISA strains had fewer mutations than NRS70 ($p = .004$) and NRS384 ($p = 2.8 \times 10^{-5}$) VISA strains (Figure 2). Furthermore, the distribution of synonymous SNPs to nonsynonymous SNPs was not the same within each genetic background (Table 8, all $p < .05$). While synonymous SNPs were often regarded as having little functional impact, mutations in *sdr* genes were enriched for in our evolved VISA strains. *sdrD* was mutated in 8 out of 35 NRS70 VISA strains, accounting for 42% of the total synonymous SNPs found; and *sdrC* was mutated in 13 out of 38 NRS384 VISA strains, accounting for 29% of the total

synonymous SNPs found. The *sdr* locus encodes *sdrC*, *sdrD*, *sdrE* which are members of the microbial surface components recognizing adhesive matrix molecules (MSCRAMM) family (Josefsson et al., 1998). These genes are not always conserved together (Liu et al., 2015), and their presence has been associated with bone infection (Sabat et al., 2006), resistance to host immunity (Sitkiewicz, Babiak & Hryniewicz, 2011; Askarian et al., 2017), biofilm formation (Barbu et al., 2014), and host-cell adhesion (Corrigan, Miajlovic & Foster, 2009; Cheng et al., 2009; Barbu et al., 2010; Askarian et al., 2016).

Resistance phenotypes of evolved VISA strains

All evolved VISA strains were able to maintain growth in 8 µg/mL vancomycin BHI broth at the end of selection. However, to ensure strains were truly resistant and not merely tolerant, we performed BMD on NRS70 and NRS384 strains according to CLSI standards (unfortunately, all NRS123 stocks were lost while in -80C storage). All strains had MICs within the range to be considered VISA (Figure 3), but some (20 NRS70 and 28 NRS384 strains) were measured to be below 8 µg/mL. The NRS70 VISA strain MICs ranged from 4 to 10 µg/mL, with a median and mode of 6 µg/mL, while the NRS384 VISA strain MICs ranged from 4 to 8 µg/mL with a median and mode of 6 µg/mL. The difference in the MIC distributions is not statistically significant between NRS70 and NRS384 strains ($p = .323$), however, NRS70 VISA strains were able to achieve 10 µg/mL, while NRS384 strains were not.

The cross-resistance of vancomycin and daptomycin in *S. aureus* is well documented. NRS70 and NRS384 evolved strains were thus tested for development of daptomycin resistance by E-test. Parental strains had daptomycin MICs of .38 µg/mL. Thirty of the 35 NRS70 strains became resistant, and 33 of the 38 NRS384 strains became resistant (Figure 4). The daptomycin distributions between NRS70 and NRS384 were not statistically different ($p = 1$), however, only NRS384 strains were able to achieve

a daptomycin MIC of 6 $\mu\text{g}/\text{mL}$ versus 4 $\mu\text{g}/\text{mL}$ for NRS70 strains. To test the hypothesis that greater levels of vancomycin resistance correlated to greater levels of daptomycin resistance, we fitted a linear regression on both distributions and found this to be true (Figure 5). The genetic background of the strains did not play a role ($p = .822$).

A less reported phenomenon in VISA strains is collateral sensitivity with beta-lactams. Within our evolved NRS70 and NRS384 strains, only 2 strains lost methicillin resistance. These two strains were in a NRS70 background. Evidence for obvious mechanisms for beta-lactam sensitivity in a MRSA background such as loss of SCCmec or inactivation of *mecA* was not found, and the exact mechanism remains unknown.

Fitness generally decreases during evolution of higher resistance but is modulated by genetic background

Higher resistance in our evolved VISA strains generally equated to lower fitness, measured as the maximum growth rate relative to the parent (Figures 6a-b). However, there was evidence of compensatory mutations by the presence of “V” shaped lines. In the case of right-side up “V” shaped fitness lines, fitness decreased as vancomycin resistance or tolerance continued to rise but was at least partially rescued by further mutations. For upside-down “V” shaped fitness lines, fitness was rescued at an earlier stage of vancomycin resistance or tolerance development before further mutation. Both types of “V” shaped lines are comparatively rare, and the majority of fitness lines proceed in a relatively linear negative trend as vancomycin resistance and tolerance develops. Looking at the fitness distributions at each selection stage (4 $\mu\text{g}/\text{mL}$, 6 $\mu\text{g}/\text{mL}$, 8 $\mu\text{g}/\text{mL}$) between NRS70 and NRS384, there was a striking difference at 4 $\mu\text{g}/\text{mL}$ ($p = 7.08 \times 10^{-6}$) and 6 $\mu\text{g}/\text{mL}$ ($p = 1.86 \times 10^{-6}$), which

disappears at 8 $\mu\text{g}/\text{mL}$ ($p = .273$). NRS70 strains may thus be able to tolerate lower levels of vancomycin resistance with lower fitness cost compared to NRS384 strains.

Genetic predictors of vancomycin MIC

Linear regression models were fit onto the genomic data for NRS70 and NRS384 strains to determine which genes were most predictive of vancomycin resistance. To reduce the number of parameters and for ease of comparison, only the 6 universally mutated genes were used in the initial models (Table 9). To make the models more meaningful, parameters were evaluated for inclusion in the final models using AIC. In the final model for NRS70, *walk*, *rpoC*, *vraS*, and *yvqF* were statistically significantly associated with vancomycin resistance, defined as $\log(\text{MIC})$ ($R^2 = .583$, $p = 2.15 \times 10^{-6}$). In comparison, only *walk* by itself was statistically significant in the final model of NRS384 ($R^2 = .313$, $p = 9.95 \times 10^{-4}$), although *vraS* and *yvqF* were also included for improved model fit. The difference in models between the two different genetic backgrounds indicated that while these core genes were broadly responsible for vancomycin resistance, they were of differential importance within each background and thus likely under different selective pressures due to epistatic interactions with the background.

Discussion

The emergence of vancomycin-intermediate resistance within many *S. aureus* lineages and the implication of the same genes and operons (e.g. *walkR*, *rpoB/C*, *vraTSR*) in many cases indicated that this phenotype is strongly driven by parallel evolution. However, *S. aureus* lineages have been shown to be heterogeneous in several significantly clinically relevant phenotypes such as toxin production, biofilm formation, and host immune resistance (King et al., 2016; Su et al., 2020) as well vary in gene content (Lindsay et al., 2006; McCarthy & Lindsay, 2010). As VISA requires several mutations, which are often in regulatory genes, the probability of epistatic interaction of these mutations with lineage

defining variation is high. In this study, we evolved VISA in three clinically important *S. aureus* lineages (CC1, CC5, CC8) to determine how epistasis shapes the evolution of vancomycin-intermediate resistance and demonstrated that genetic background can play a role in determining what genes are mutated and how genes are mutated.

The primary mode of mutation to achieve VISA in our experiment was through SNPs. The majority of changes were SNPs, and other genetic variation (indels, substitutions) showed no discernable pattern. Six previously implicated VISA genes (*walk*, *prs*, *rpoB*, *rpoC*, *vraS*, *ycqF*) (Howden et al., 2010) were also mutated in our three genetic backgrounds, but we found that mutation rates (probability that the gene was mutated in a strain) differed for three out of the six genes. *walk* was the most universally seen mutation, *ycqF* was also commonly mutated and *prs* was the least common. *rpoB*, *rpoC*, and *vraS* mutation rates were similar and intermediate between the others. Understanding the likelihood of mutation is a step toward PCR tests as a monitoring mechanism for within-host development of vancomycin resistance. This knowledge needs to be informed by genetic background as mutation rates for all but *prs* and *walk* were affected by genetic background. For *rpoB*, *rpoC*, *vraS*, and *ycqF*, NRS70 and NRS384 mutation rates were most different, and NRS123 mutation rates were intermediate between the others. These results may be partially explained by the closer genetic relationship between CC1 and CC8 than CC5 and CC8 (Petit & Read, 2018). At the amino acid level of *walk* and *ycqF*, genetic background seemed to dominate, with few SNPs shared across the genetic backgrounds and different amino acid positions mutated in each genetic background. This indicates that differential protein-protein interactions or protein function imposes selection at unique amino acid sites specific to each genetic background (Chi & Liberles, 2016).

Other genes were found to have been mutated in two of the three genetic backgrounds and may have a role in vancomycin resistance or act as compensatory mutations. Several are already validated as being associated with vancomycin resistance (*walR* (Howden et al., 2011), *vraR* (Kato et al., 2010; Baek et al., 2017; Asadpour & Ghazanfari, 2019), *mprF* (Ruzin et al., 2003; Chen et al., 2018)). NRS70 and NRS384 backgrounds had more of these genes in common than with NRS123, indicating that NRS70 and NRS384 strains may experience more similar selective pressures in the larger mutational landscape outside of the previously discussed six genes.

The overall vancomycin resistance levels achieved after experimental evolution in NRS70 and NRS384 VISA strains (NRS123 VISA strains were not phenotyped due to loss of strains during storage) were similar, suggesting both backgrounds are equally capable of achieving lower and higher levels of vancomycin intermediate resistance. Linear regression demonstrated that genetic predictors of vancomycin resistance differed between backgrounds. *walK*, *rpoC*, *vraS*, and *ycqF* were all significantly associated with vancomycin MICs in NRS70, but only *walK* was significant in NRS384. As NRS384 strains carried more mutations than the other two backgrounds, this may indicate that while a subset of the 6 universally mutated genes (*walK*, *prs*, *rpoB*, *rpoC*, *vraS*, *ycqF*) are necessary for vancomycin resistance, other genes may play a significant role in determining the level of vancomycin resistance achieved in NRS384 VISA strains but not NRS70 strains.

Daptomycin cross-resistance (Cui et al., 2006; Chen, Huang & Chiu, 2015) occurred in the majority of our VISA strains, and the level of daptomycin resistance correlated with the level of vancomycin resistance regardless of genetic background and is likely related to thickened cell walls and limited cell penetration by both antibiotics (Cui et al., 2003). Two NRS70 VISA strains were phenotyped as methicillin sensitive by cefoxitin disk diffusion while the remaining NRS70 and NRS384 VISA strains

remained resistant. While not directly interrogated, the “seesaw effect” between beta-lactams and vancomycin (Barber et al., 2014) is likely to be seen in our VISA strains and responsible for the loss of resistance in these strains.

Finally, we demonstrated the vancomycin-intermediate resistance imposes a fitness cost (measured by maximal growth rate compared to parent strain) to *S. aureus* that linearly scales with the level of vancomycin resistance in most cases. However, “V” shaped fitness lines as *S. aureus* was grown in 4, 6, and 8 $\mu\text{g}/\text{mL}$ of vancomycin suggest that compensatory mutations can rescue fitness at different stages of vancomycin-intermediate resistance evolution. These nonlinear fitness lines were observed in both NRS70 and NRS384 backgrounds. Fitness distributions between these two genetic backgrounds were markedly different at stages 4 $\mu\text{g}/\text{mL}$ and 6 $\mu\text{g}/\text{mL}$, with vancomycin resistance imposing less of a fitness cost on NRS70 VISA strains than NRS384 VISA strains. This may have clinical implications as it suggests that NRS70 *S.aureus* strains may evolve vancomycin resistance quickly, not be rapidly selected against due to growth defects, and may reach fixation if vancomycin concentrations are sustained and lead to the extinction of the ancestral vancomycin susceptible strain.

This study has utilized experimental evolution to elucidate relationships between genetic background and mutations related to vancomycin-intermediate resistance in *S. aureus*. Its in vitro nature has given us the power to evolve VISA multiple times independently and achieve statistical significance. Even so, the clinical relevance is not entirely clear as most VISA strains are the result of within-host evolution, and immune pressure is not present within our experimental design. Other factors that are present in human infection that were omitted in our experiment include but are not limited to nutrient limiting conditions and microenvironments. These undoubtedly reduce the mutational space *S. aureus* strains have access to. In addition, the mutations in our studies were grouped by gene for simplicity,

but likely not all mutations in VISA genes contributed meaningfully to vancomycin resistance. The sheer number of mutations makes it impossible to recapitulate these mutations individually. Lastly, fitness determinations by measuring maximal growth rate do not always correlate with competitive indices of evolved strains to ancestor strains and have been shown to be sensitive to environmental conditions. Thus, our measured fitness values may not accurately reflect within-host fitness, especially in a competitive environment.

In summary, the interactions between VISA determinants and genetic background are complex, and the strength of epistasis varies based on what level. At the gene level, there is clear parallel evolution in several genes that is not affected by genetic background, but more accessory genes (those mutated in only 2 backgrounds) show clear signs of differential selective pressure related to genetic background, with NRS70 and NRS384 more similar to one another. At the level of gene mutation frequency and importance, NRS70 and NRS384 are more dissimilar to one another than to NRS123, highlighting epistasis can drive evolution of a phenotype both in similar and dissimilar ways. Finally, at the amino acid level, all genetic backgrounds examined were unique, and epistasis strongly influenced the course of VISA evolution.

Figures and tables

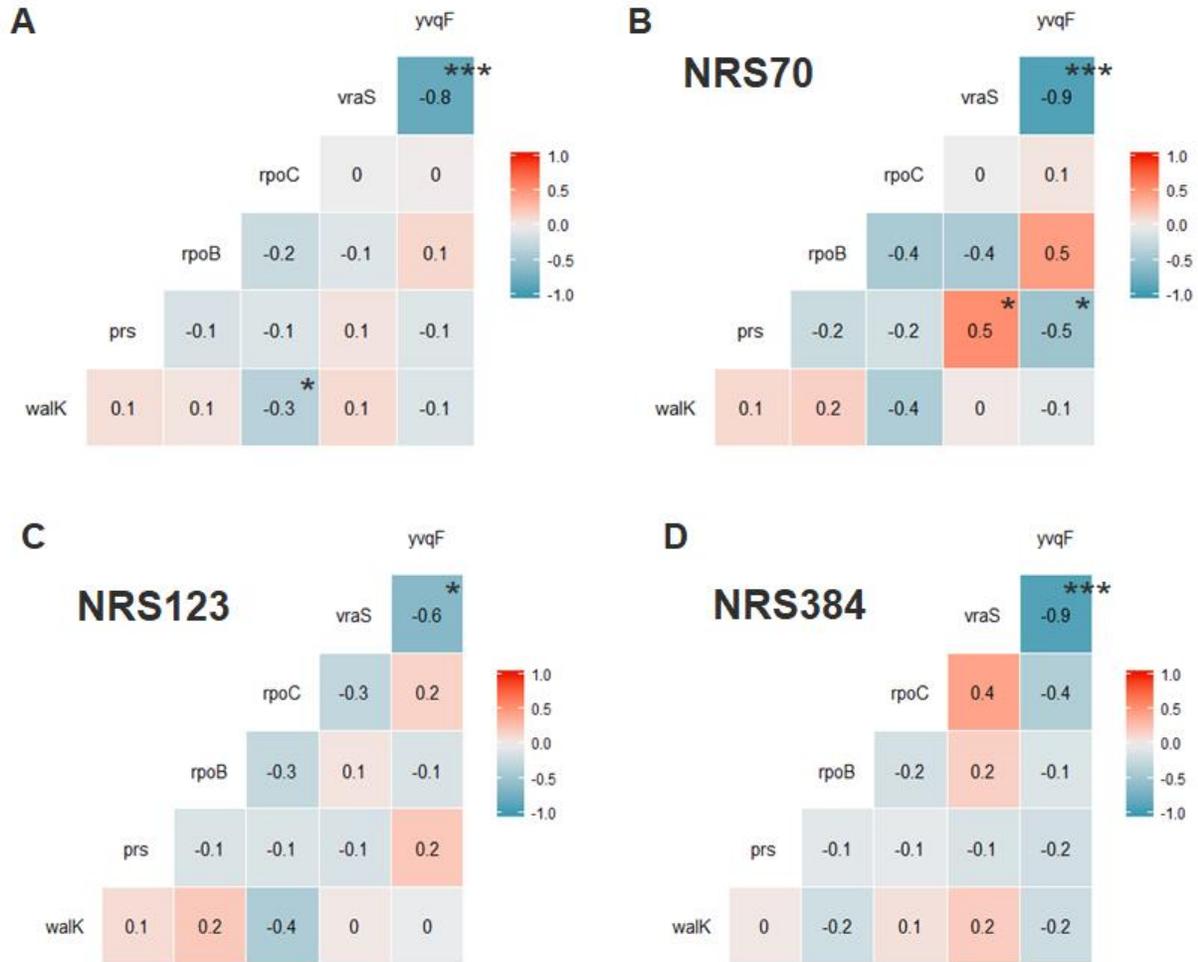


Figure 1. Correlation of mutations between VISA genes.

The NRS70 *walk* mutations considered are SNPs as well as inframe deletions. All other genes as well as *walk* in NRS123 and NRS384 include only SNPs. (a) Correlation matrix of all evolved VISA strains.

(b) Correlation matrix of all NRS70 evolved VISA strains. (c) Correlation matrix of all NRS123 evolved VISA strains. (d) Correlation matrix of all NRS384 evolved VISA strains. Significance codes:

‘***’ <0.0001 ‘**’ <0.001 ‘*’ <0.05

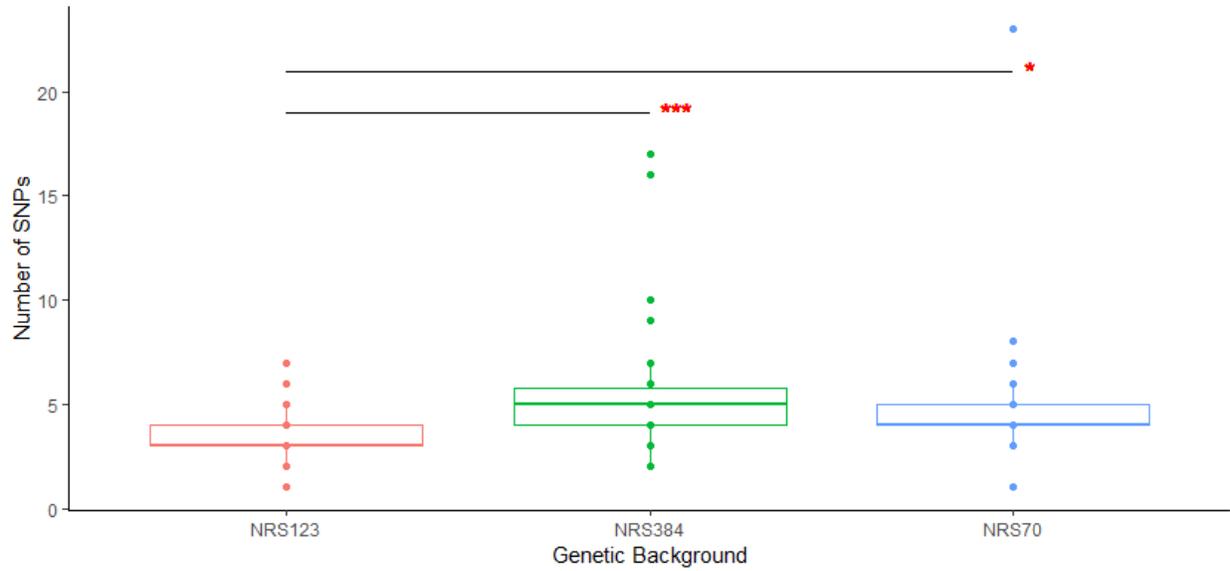


Figure 2. SNP distributions of VISA evolved strains differ by genetic background.

Average SNPs per background: NRS70 (4.26), NRS123 (3.35), NRS384 (4.37). Mode and median of SNPs per background: NRS70 (4), NRS123 (3), NRS384 (5). Significance codes: ‘***’ <0.0001 ‘**’ <0.001 ‘*’ <0.05

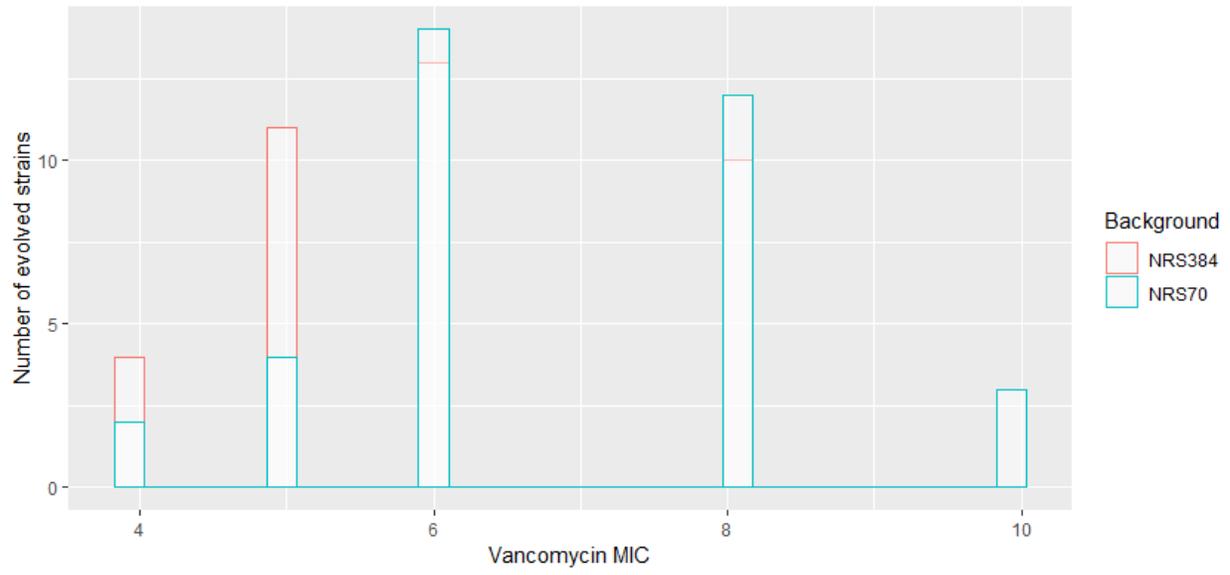


Figure 3. Vancomycin MIC distribution of NRS70 and NRS384 evolved strains.

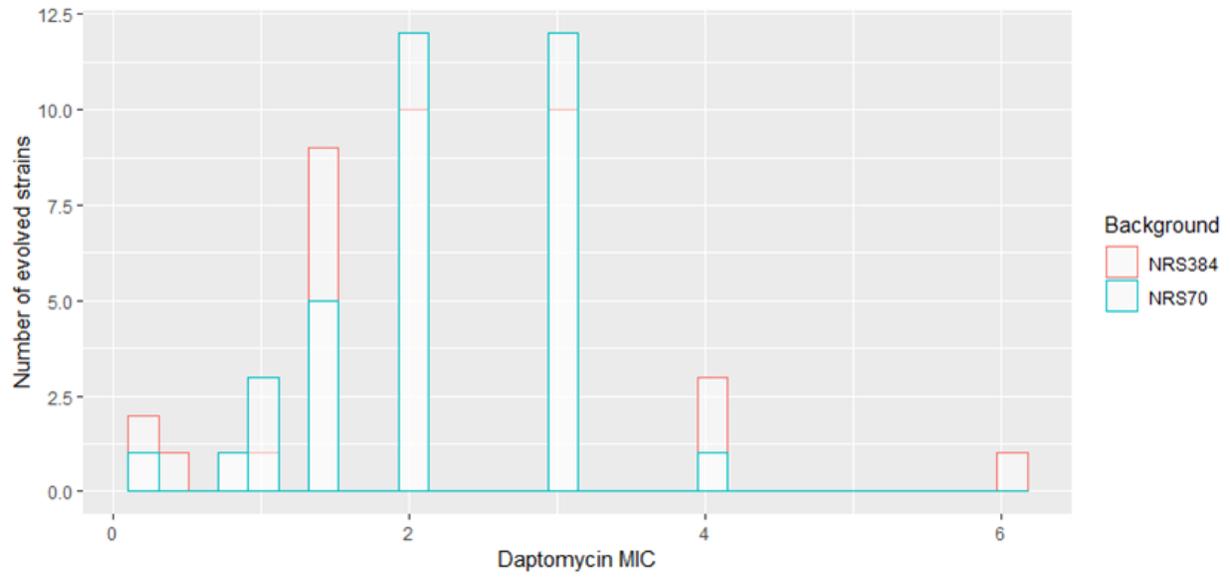


Figure 4. Daptomycin MIC distribution of NRS70 and NRS384 evolved strains.

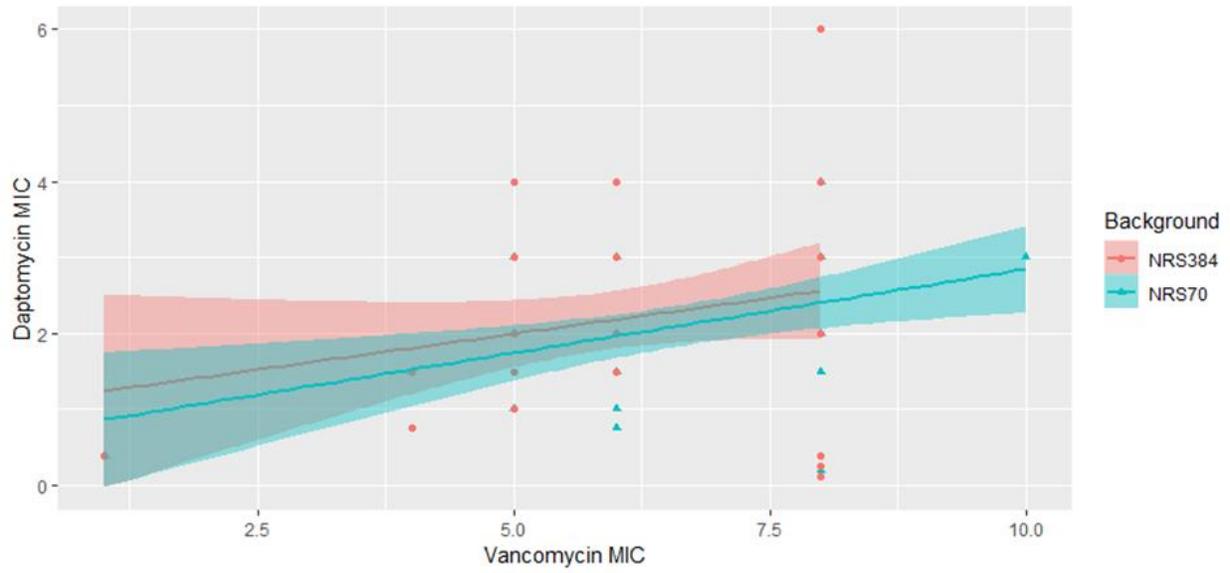


Figure 5. Linear relationship between vancomycin MIC and daptomycin MIC.

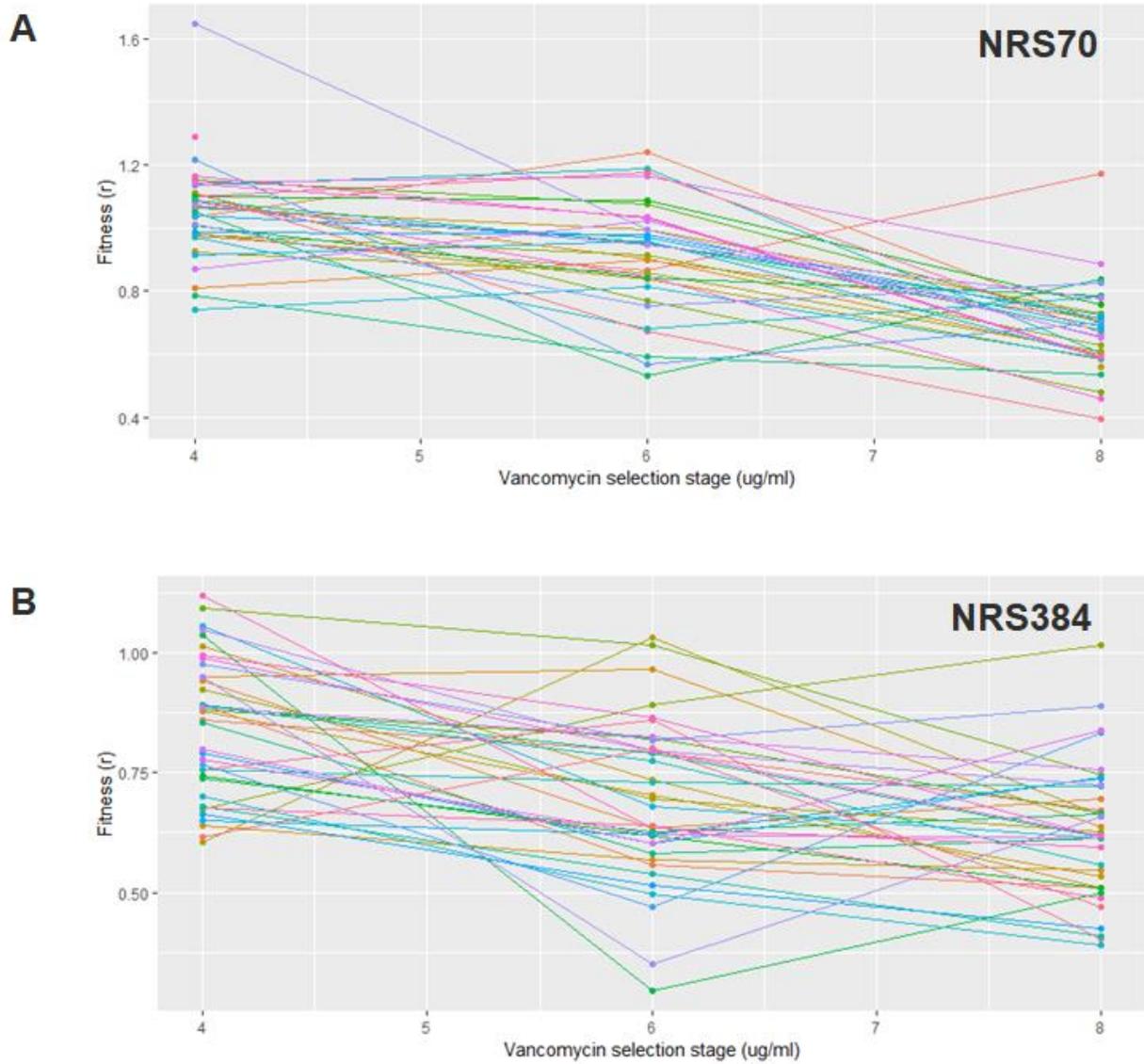


Figure 6. Fitness of NRS70 and NRS384 evolved strains.

(a) Fitness (r) progression of NRS70 evolved strains. NRS70-7 at vancomycin selection stage 6 and 8 and NRS70-14 at vancomycin selection stage 6 were excluded due to lack of growth or too large a variance between replicates. (b) Fitness (r) progression of NRS384 evolved strains.

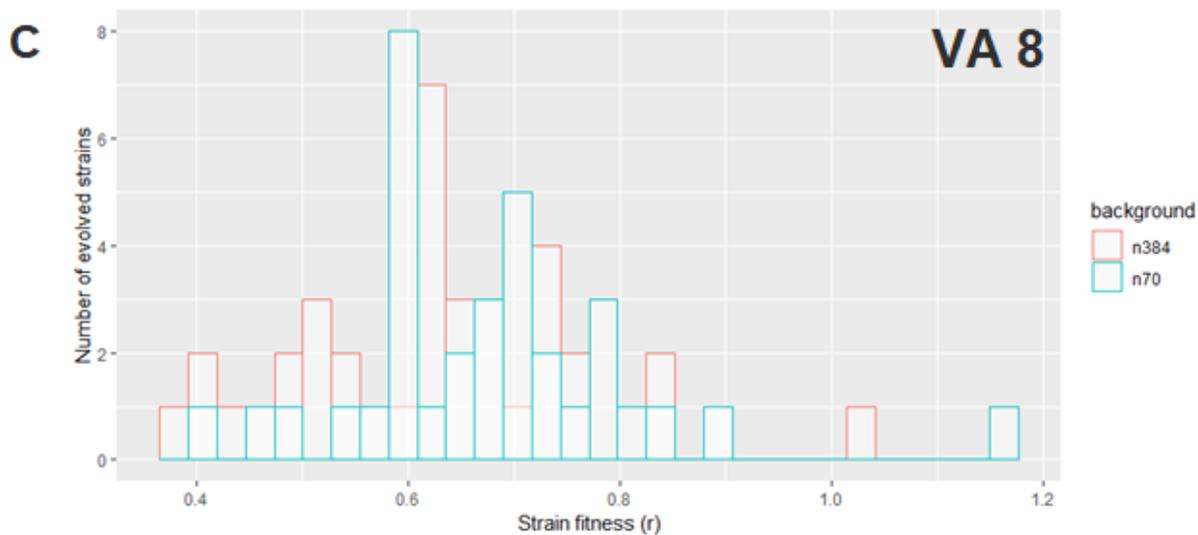
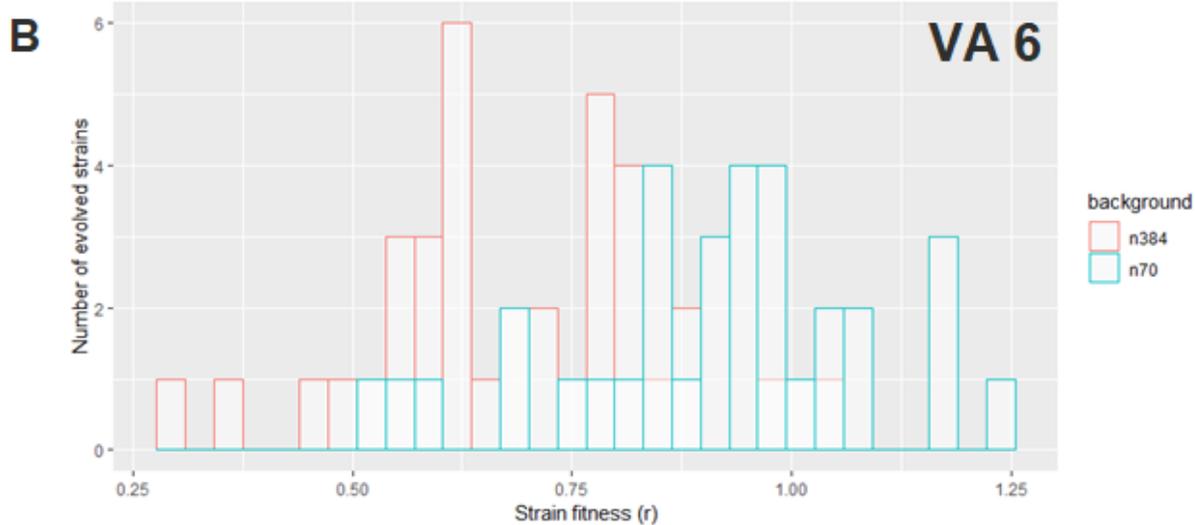
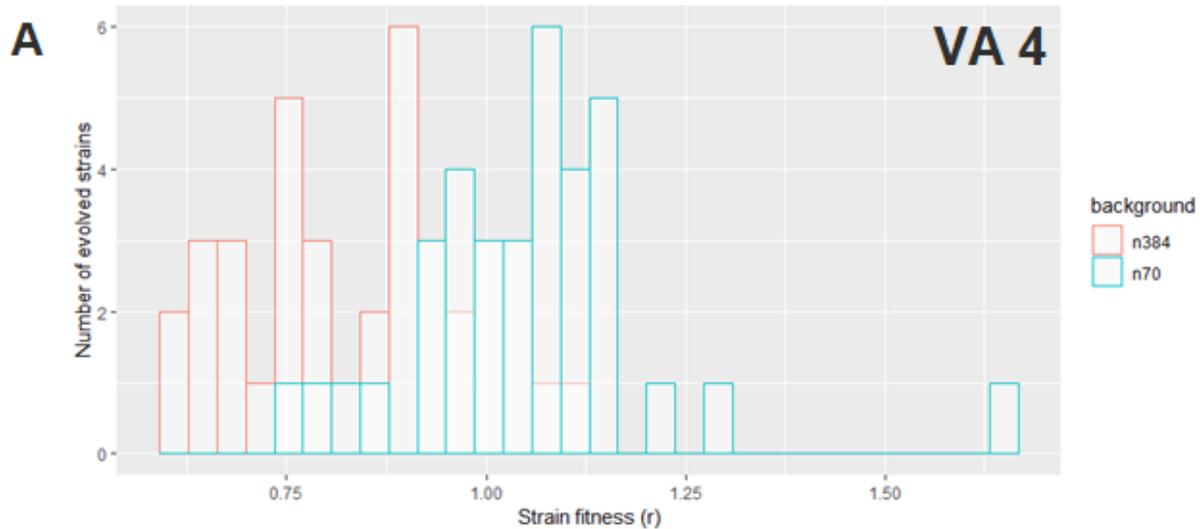


Figure 7. Fitness distributions at each stage of vancomycin selection for NRS70 and NRS384 strains.

NRS70-7 at vancomycin selection stage 6 and 8 and NRS70-14 at vancomycin selection stage 6 were excluded due to lack of growth or too large a variance between replicates. (a) Vancomycin selection stage 4 $\mu\text{g}/\text{mL}$. (b) Vancomycin selection stage 6 $\mu\text{g}/\text{mL}$. (c) Vancomycin selection stage 8 $\mu\text{g}/\text{mL}$.

Gene	Description	Size (bp)
SA_RS04005	<i>degV</i>	84
SA_RS14675, SA_RS06275	Hypothetical protein, <i>rimP</i>	75
SA_RS07900	<i>dgkA</i>	48
SA_RS10700 – SA_RS10405	72 genes	44257
SA_RS00245	<i>walK</i>	3
SA_RS05215	<i>purQ</i>	3
SA_RS09410	Helix turn helix transcription regulator	281
SA_RS04005	<i>degV</i>	156
SA_RS05345, SA_RS05350	Cell wall binding lipoprotein, <i>pdhA</i>	1421

Table 1. Deletions greater than 2 bp in NRS70 VISA strains.

Gene	Description	Insertion
SA_RS07890	GTPase era	TTTTCC

Table 2. Insertions greater than 2 bp in NRS70 VISA strains.

Gene	Description	Size (bp)
MW_RS05925	<i>stk1</i>	45
MW_RS10245 - MW_RS10565	66 genes	42602
MW_RS03060	DU443 domain-containing protein	4
MW_RS14510, MW_RS02905, MW_RS02910, MW_RS02915, MW_RS02920	Hypothetical protein, <i>vraA</i> , <i>vraB</i> , <i>vraC</i> , hypothetical protein	3842
MW_RS08165, MW_RS08170	<i>mtaB</i> , rRNA small subunit methyltransferase	2420
Intergenic MW_RS12225 & MW_RS12230	Intergenic <i>hutG</i> , <i>sdpC</i>	135
MW_RS03860	<i>tagO</i>	51

Table 3. Deletions greater than 2 bp in NRS123 VISA strains.

Gene	Description	Size (bp)
Intergenic NRS_1842 & NRS_1843	Intergenic IS1181 transposase, tRNA-leu	51
NRS_1469	<i>srrA</i>	5
NRS_1951 – NRS_2005	52 genes	43048
NRS_1650	<i>clpX</i>	41
NRS_1619	<i>relA2</i>	30
NRS_2214	<i>rpoA</i>	15
NRS_2117	<i>rpoE</i>	126
NRS_2620	<i>sasF</i>	12

Table 4. Deletions greater than 2 bp in NRS384 VISA strains.

Gene	Description	Insertion
NRS_261	<i>tarL</i>	CCTGAAGATAAGTACTTA
NRS_1202	<i>my</i>	AAAGAA
NRS_1897	<i>vraR</i>	TACGGTTACGCATTTTCA
NRS_1939	DUF1700 domain-containing protein	ACTAAT
Intergenic NRS_2521 & NRS_2522	Intergenic <i>ssaA2_3, mvaA</i>	TCAG *Two 1 bp insertions also in this region

Table 5. Insertions greater than 2 bp in NRS384 VISA strains.

Gene / Background	NRS70	NRS123	NRS384
<i>walK</i>	80%	85.3%	94.7%
<i>prs</i>	5.7%	5.9%	2.6%
<i>rpoB</i>	45.7%	20.6%	18.4%
<i>rpoC</i>	34.3%	20.6%	10.5%
<i>vraS</i>	17.1%	23.6%	39.5%
<i>yvqF</i>	80%	55.9%	55.3%

Table 6. Prevalence of mutations in six universally mutated genes by genetic background.

The NRS70 *walK* prevalence calculation considers the presence of SNPs as well as inframe deletions.

All other prevalence calculations are based solely on SNPs.

	NRS70 and NRS123	NRS123 and NRS384	NRS70 and NRS384
Genes shared	WP_000101976.1 (<i>walR</i>) WP_000035320.1 (<i>pdbA</i>) WP_001032833.1 (<i>gad</i>) WP_000375864.1 (HTH cro/C1-type domain-containing protein) WP_000251253.1 (UPF0374 protein)	WP_001081640.1 (Cyclic-di-AMP phosphodiesterase) WP_000260117.1 (<i>pdbD</i>) WP_001071136.1 (<i>mprF</i>) WP_000809131.1 (<i>cspA</i>) WP_000048060.1 (<i>rpsU</i>) WP_000830380.1 (<i>mgI</i>)	WP_000631969.1 (<i>cysJ</i>) WP_001060462.1 (<i>sdrC</i>) WP_001273060.1 (<i>119ag</i>) WP_000491755.1 (<i>ykaA</i>) WP_000120368.1 (<i>pitA</i>) WP_000431312.1 (<i>greA</i>) WP_000782121.1 (<i>prsA</i>) WP_000153535.1 (<i>vraR</i>) WP_000159960.1 (<i>pyrG</i>) WP_000347896.1 (<i>dacA</i>) WP_000004085.1 (<i>rpsQ</i>) WP_000008673.1 (<i>ureC</i>)

Table 7. Genes with SNPs shared between two genetic backgrounds.

	Synonymous	Nonsynonymous
NRS70	19	149
NRS123	4	114
NRS384	45	166

Table 8. Synonymous SNPs and nonsynonymous SNPs by genetic background.

Background	Gene	Estimate (inclusive)	p (inclusive)	Estimate (final)	p (final)
NRS70	<i>121 al</i>	.288	.015	.298	.012
	<i>k</i>	.198	.389	-	-
	<i>prs</i>	.132	.254	-	-
	<i>rpoB</i>	.309	.012	.228	.026
	<i>rpoC</i>	.901	.0005	.989	< 10 ⁻⁴
	<i>vraS</i>	.956	< 10 ⁻⁴	1.058	< 10 ⁻⁵
	<i>yvqF</i>				
NRS384	<i>121 al</i>	.629	.004	.633	.002
	<i>k</i>	.255	.515	-	-
	<i>prs</i>	.103	.460	-	-
	<i>rpoB</i>	-.050	.790	-	-
	<i>rpoC</i>	.412	.129	.340	.096
	<i>vraS</i>	.450	.073	.378	.053
	<i>yvqF</i>				

Table 9. Linear regression models of vancomycin MIC.

Chapter V. Trade-offs between virulence and antibiotic resistance in *Staphylococcus aureus* during selection in the model host, *Caenorhabditis elegans*

Michelle Su, McKenna Penley, Michelle Hargita, Levi T. Morran, Timothy D. Read

Abstract

In vitro experimental evolution has historically been used to determine mechanisms of pathogen resistance and the likelihood of emergence during patient therapy. The failure of these experiments to utilize host models means their clinical relevance is limited as host infection can undoubtedly alter the evolutionary trajectories and fitness landscapes of pathogens in addition to other selective pressures such as antibiotic exposure. Understanding how pathogens evolve virulence under these conditions will better inform treatment of patients undergoing long-term antibiotic treatment. We experimentally evolved methicillin resistant (USA300 JE2) and methicillin sensitive (USA300 JE2 *mecA*-tn) *Staphylococcus aureus* in a model host, *Caenorhabditis elegans* under differing conditions of antibiotic exposure. Sub-MIC oxacillin exposure selected for extracellular toxicity in *S. aureus*, maintenance of SCC*mec* in USA300 JE2 strains, and higher resistance in USA300 JE2 *mecA*-tn strains exposed to *C. elegans* but not control strains, highlighting the importance of host pressure on pathogen evolution. Genome sequencing revealed that metabolic (*purR*, *codY*, *gpmA*), virulence (*agr*, *sae*), and beta-lactam associated (*graR*, *pbpA*, *pbpB*, *gdpP*) loci were under selection within hosts, highly dependent on the antibiotic resistance status of the strain. In USA300 JE2 *mecA*-tn strains (host-free and *C. elegans*), virulence was more phenotypically responsive to sub-MIC oxacillin exposure whereas this was only seen in the absence of host exposure in USA300 JE2 strains, underscoring the strength and importance of host pressure as a selective force that drives differential pathogen evolution.

Introduction

Staphylococcus aureus is a successful nosocomial pathogen, and in recent history, certain lineages have become community associated. It is associated with a variety of infections (osteomyelitis, endocarditis, skin and soft tissue, bacteremia), and in 2017, more than 119,000 bloodstream infections occurred in the United States with a mortality rate of 18% (Kourtis et al., 2019). Paradoxically, *S. aureus* has maintained virulence as a pathogen while becoming increasingly multidrug resistant. However, there are well documented cases of within-host evolution that suggests there are clear trade-offs between virulence, antibiotic resistance, and immune evasion (Ender et al., 2004; Foucault, Courvalin & Grillot-Courvalin, 2009; Laabei et al., 2015; Das et al., 2016; Singh et al., 2017; Li et al., 2017; Choe et al., 2018).

Experimental evolution has the ability to elucidate how different selective pressures impact the evolution of *S. aureus* phenotypes. It has been primarily used to study the mechanisms of antibiotic resistance in vitro. Host infections, however, differ drastically in nutrient availability and add another layer of selection via the immune system. These factors can skew the direction of evolution and limit the evolutionary trajectories possible. In one of few in vivo experiments, Pollitt et al (Pollitt et al., 2014) studied how *S. aureus* strain diversity in a *Galleria mellonella* infection system affected virulence evolution and found that high relatedness favored the maintenance of quorum sensing, loss of *agr* cheats, and higher virulence. Experimental evolution in hosts remains severely underutilized but has the ability to answer key questions regarding the impact of the host environment on the evolution and/or maintenance of virulence and antibiotic resistance.

Caenorhabditis elegans has been evaluated as a model host for *S. aureus* infection (Sifri et al., 2003; Wu et al., 2010). Sifri et al. demonstrated that known virulence regulators (*agr* and *sarA*) were important for

nematode killing as well as extracellular products (V8 protease as alpha-hemolysin). Further, immunocompromised *C. elegans* (*esp-2* and *esp-8* mutants) were more susceptible to *S. aureus* infection, demonstrating the conservation of a p38 MAP kinase pathway in immunity, similar to human neutrophils (McLeish et al., 1998; Lundqvist-Gustafsson et al., 2001). Wu et al were able to demonstrate a correlation between nematocidal activity of different clinical isolates methicillin-resistant *S. aureus* (MRSA) and their site of isolation (invasive disease vs colonization). Lastly, virulence screens in *C. elegans* using *S. aureus* transposon libraries have shown overlapping results to other infection models (Bae et al., 2004; Begun et al., 2005). Together, these studies demonstrate that many aspects of *S. aureus* pathogenesis are maintained in *C. elegans* infection and that the capability exists to differentiate *S. aureus* strains of differential virulence and invasiveness. However, it must be acknowledged that limitations exist within this model system. *C. elegans* growth (15 to 25C) occurs at a much lower temperature than mammalian infection, and while *C. elegans* possesses an immune system, it lacks phagocytotic cells (Cutuli et al., 2019). These factors in turn may affect the evolutionary dynamics of *S. aureus* in *C. elegans* as compared to human infection.

In this study, we aim to characterize how *S. aureus* adapts to host populations by experimentally evolving *S. aureus* in a model system *C. elegans*. A major mechanism for existing antibiotic resistance in *S. aureus* is the carriage of SCC*mec*, which encodes *mecA* and provides resistance against beta-lactams and is often maintained even in the absence of antibiotics. This fixed cost has the potential to change both the fitness landscape and available mutational space during the evolution of traits such as virulence. This evolution may be further constrained by subminimal inhibitory concentrations (sub-MICs) of antibiotics, which may change the fitness costs of antibiotic resistance relative to susceptible strains. Therefore, we explored how two *S. aureus* isogenic strains (*mecA*⁺ and *mecA*⁻) differentially evolved virulence to *C. elegans* with or without sub-MIC oxacillin exposure.

Methods

Staphylococcus aureus strains

USA300 JE2 and USA300 JE2 *mecA*-tn from the Nebraska Transposon Mutant Library (NTML) (Fey et al., 2013) were acquired from BEI resources (<https://www.beiresources.org/>). To ensure true isogenic parents, the *mecA*-tn was transduced into the USA300 JE2 background, selecting for the erythromycin resistance marker on the Mariner transposon, and the insertion site was confirmed by polymerase chain reaction (PCR). Transposon maintenance was confirmed by testing for resistance to erythromycin (5 µg/mL) or by genome sequencing when available. In cases where transposon loss was verified by genome sequencing, strains were tested and shown to maintain sensitivity to oxacillin. As the primary function of the transposon was to knock out *mecA* function, loss of the transposon should not confound subsequent analyses as antibiotic sensitivity was maintained.

Caenorhabditis elegans strains and mortality assays

C. elegans strain N2 was obtained from the Morran laboratory and maintained on nematode growth medium (NGM) according to WormBook protocols (Girard et al., 2007). For mortality assays, nematode population synchronization was performed as in Penley et al (Penley & Morran, 2018). Populations were bleached in 20% household bleach and M9 buffer and plated on *Escherichia coli* strain OP50 until L4 larval stage (48 hours at 20C). Worms were subsequently washed off, counted, and approximately 200 worms were plated on control OP50 NGM plates and *S. aureus* lawns on BHI agar. *S. aureus* plates were prepared 24 hours prior by adding 200 µL of an overnight culture to BHI agar and growing at 24C. At 24 hours and 48 hours, plates were scored by counting live worms. Worm counts on OP50 plates were used to normalize mortality calculations and to account for plating efficiency.

Experimental evolution

Selection for increased virulence of *S. aureus* (USA300 JE2 or USA300 JE2 *mecA*-tn) in *C. elegans* was performed by passaging *S. aureus* from dead hosts 24 hours post-infection (hpi). Half of the *S. aureus* populations were also additionally subjected to antibiotic pressure during the passages before and after *C. elegans* selection with sub-MIC oxacillin exposure (.03125 µg/mL). This concentration was tested against both parent strains and did not substantially inhibit growth of the methicillin-sensitive USA300 JE2 *mecA*-tn strain. Twelve rounds of passage through *C. elegans* N2 were completed. Control *S. aureus* populations, used to account for adaptation to culturing media or genetic drift, were maintained by passaging *S. aureus* in the same manner without exposure to N2.

For each passage of experimental evolution, *S. aureus* was grown in Brain Heart Infusion (BHI) broth with 4 µg/mL colistin (to prevent OP50 contamination from the worm intestine) ± .03125 µg/mL oxacillin and incubated at 37C overnight, shaking at 250 rpm. The next day, 200 µL of the overnight *S. aureus* culture was plated onto BHI agar and incubated at 24C overnight before worm plating. Approximately 1500 *C. elegans* were plated and allowed to consume *S. aureus* strains for 24 hours before 30 dead worms were picked from each plate. Worms were identified as dead by a lack of response to tapping by a platinum wire (Amrit et al 2014). Bacterial extraction methods were adapted from Vega et al (Vega & Gore, 2017). In brief, picked dead worms were washed once with M9 buffer supplemented with 0.1% Triton X-100, once with M9 buffer, subjected to a 1:1000 bleach M9 buffer solution for 15 minutes, washed twice with M9 buffer, and finally ground with a motorized pestle to extract the *S. aureus* from the worm intestine. Control *S. aureus* populations were obtained from worm-free bacterial lawns with a loop. Isolated *S. aureus* were grown as previously before freezing and storage at -80C. Twenty-five percent of each frozen culture was used as inoculum for the next

round of experimental evolution. Preliminary experiments determined colony-forming units per milliliter (CFU/mL) dropped by approximately 50% after freezing. To maintain population diversity, the inoculum amount (25%), adjusted for freezing, was chosen to be within the dilution ratio presented in Wahl et al that minimizes the chance that rare beneficial mutations are lost (Wahl, Gerrish & Saika-Voivod, 2002). After 12 rounds of evolution, single colonies were isolated from each population for further analyses.

Antimicrobial susceptibility assays

Antimicrobial susceptibility testing for oxacillin was done according to Clinical & Laboratory Standards Institute (CLSI) standard protocols for broth microdilution (BMD) (Clsi, 2013). In brief, cultures were streaked from a frozen stock and restreaked the next day. From the second day culture, colonies were resuspended in normal saline to a 0.5 McFarland standard. Then, these cultures were diluted 1:20 in normal saline before 10 μ L was added to 90 μ L of CAMHB + 2% NaCl with the appropriate concentration of oxacillin. Plates were incubated at 35C without shaking and read at 24 hours.

Hemolysis phenotype

S. aureus strains were streaked onto Trypticase Soy Agar (TSA) II with 5% sheep's blood plates and incubated overnight at 37C. Strains were assessed as either hemolysis positive or negative dependent on whether there was a complete clearing around the colonies. We did not cross-streak with RN4220, which produces beta-hemolysin, and allows for detection of delta-hemolysin. Therefore, our assay mainly detects alpha-toxin and phenol-soluble modulins (PSMs). Statistical significance between populations was assessed using Fisher's exact test.

Whole-genome shotgun sequencing and variant annotation

Bacterial genome DNA was extracted from pure cultures (Wizard Genomic DNA Purification Kit, Promega). Genome sequencing of these DNA samples was performed at the M-Gen center using a paired-end library preparation method based on Illumina Nextera and sequenced on the NextSeq 550.

The raw data was quality trimmed and adapters removed using illumina-cleanup (<https://github.com/rpetit3/illumina-cleanup>) (Andrews, 2010; Bushnell, 2014; Song, Florea & Langmead, 2014; Adler, 2015; Di Tommaso et al., 2017) or with bactopia (Petit & Read, 2020). Mutations were called based on comparison to the reference USA300 JE2 genome (BioProject: PRJNA224116, BioSample: SAMN06677988, Assembly: GCF_002085525.1) using breseq (Deatherage & Barrick, 2014) or snippy (Seemann, 2015) from bactopia (Petit & Read, 2020). Mutations called by both snippy and breseq were considered true positives. The presence of large scale deletions and other genetic changes were confirmed by analysis of de novo assembled genomes performed by the SKESA assembler software (Souvorov, Agarwala & Lipman, 2018).

Results

Hemolysis and oxacillin resistance phenotypes of evolved *S. aureus*

We experimentally evolved *S. aureus* strains in *C. elegans* while varying the prior antibiotic resistance (methicillin resistance) and antibiotic exposure (sub-MIC oxacillin) of the strains during the course of the experiment. Eight populations (4 in a host (*C. elegans*), 4 host-free controls) with 6 replicates each were evolved for 12 weeks, for a total of 48 evolved *S. aureus* strains (Figure 1).

S. aureus strains were phenotypically characterized to determine changes in hemolytic activity and oxacillin resistance (Table 1). Populations of both genetic backgrounds (USA300 JE2 and USA300

JE2 *mecA*-tn) were found to lose the ability to hemolyse sheep's blood under antibiotic free conditions, except USA300 JE2 *mecA*-tn strains also exposed to *C. elegans*. Hemolysis correlates with the ability of the strain to secrete extracellular toxins. This result is surprising as alpha-toxin and PSMs are regarded as important virulence factors in *S. aureus*, and selection for virulence in *C. elegans* did not overwhelmingly favor maintenance. On the contrary, sub-MIC oxacillin exposure favored maintenance of hemolytic ability in all populations regardless of *C. elegans* virulence selection, suggesting that it may select for retention of extracellular toxicity ($p < .05$ for U vs UO population and MN vs MON population)

To assess the strength of the selection pressure imposed by sub-MIC oxacillin exposure in the presence or absence of a host, we examined populations for changes in antibiotic resistance (measured by MIC). In control populations (those that did not evolve in *C. elegans*) of both genetic backgrounds, there were essentially no changes in the oxacillin MICs of evolved *S. aureus* strains. In USA300 JE2 strains evolved in *C. elegans*, MICs were maintained at 16 $\mu\text{g}/\text{mL}$ oxacillin except in the case of two USA300 JE2 strains not exposed to sub-MIC oxacillin. In USA300 JE2 *mecA*-tn strains, MICs did not change significantly in strains not exposed to sub-MIC oxacillin but did so dramatically in strains exposed to sub-MIC oxacillin (4-8 fold the parental MIC). These results suggested sub-MIC exposure during *C. elegans* exposure potentiated the increase in antibiotic resistance in methicillin sensitive strains and maintained high-level resistance in MRSA strains.

Genomic changes associated with evolution of *S. aureus*

Half of the evolved *S. aureus* populations were genome sequenced and analyzed for mutations (Table 2). Of note, parent (non-evolved) strains were sequenced and were almost identical to the reference genome used with the exception of 3 mutations that were not found in any evolved strains. Three

mutations in *bioD1*, *cls* and *ccdC* were present in all USA300 JE2 *mecA*-tn strains, and a likely explanation is that these mutations occurred in the first passage from the frozen culture before experimental evolution was performed. Therefore, we disregarded these mutations when considering mutations that may have contributed to the phenotypic differences between strains.

Within the short timeframe of the experiment, the majority of strains accumulated only 2 to 8 mutations (excluding *bioD1*, *cls* and *ccdC* present in all USA300 JE2 *mecA*-tn described above). As expected, all strains sequenced that were hemolysin negative had mutations in the *agr* operon, the likely explanation of the loss of hemolysis. Seven out of nine strains had null or non-synonymous mutations, and two had intergenic mutations. In these two strains, the other mutations are genes unrelated to the hemolysins and PSMs and are likely not responsible for the phenotype. Interestingly, genes associated with beta-lactam resistance (*pbpA*, *pbpB*, *graR*, *gdpP*) were more likely to be mutated in USA300 JE2 strains (5/6 sequenced strains) than USA300 JE2 *mecA*-tn strains (2/6 sequenced strains) exposed to oxacillin, perhaps indicating that the fitness costs of SCC*mec* can be offset with further mutation in other resistance determinants. There is further evidence that SCC*mec* imposed a significant burden in our genomic data: 4 strains (two USA300 JE2 and two USA300 JE2 *mecA*-tn) experienced large scale deletions that included the entire SCC*mec* cassette. Interestingly, two deletions only encompassed SCC*mec* (23.7 kb), and two deletions were much larger (54.7 kb) and included the Arginine Catabolic Mobile Element (ACME) (Diep et al., 2006). In the USA300 JE2 strains, this deletion only occurred in strains not exposed to oxacillin, while in the USA300 JE2 *mecA*-tn strains, it only occurred in strains exposed to oxacillin. *arcA*, part of the arginine-deiminase system encoded by ACME, also has a deletion in one USA300 JE2 strain, suggesting there is selection acting on the ACME locus and its deletion with SCC*mec* may not be accidental. The deletion of ACME is interesting as there have been

studies that indicate that it enhances the fitness of USA300 (Diep et al., 2008; Joshi et al., 2011; Thurlow et al., 2013).

Genes in metabolic operons were often mutated (e.g. *brnQ1*, *purR*, *codY*, *gpmA*). *BrnQ1* mutations are most common in control populations and thus possibly the result of adaptation to culture media or random mutation. The others, however, are found exclusively within the strains exposed to *C. elegans* and have well-known roles in virulence (Pohl et al., 2009; Roux et al., 2014; Goncheva et al., 2019; Radin et al., 2019; Sause et al., 2019; Goncheva, Flannagan & Heinrichs, 2020). *PurR* mutations were found exclusively in USA300 JE2 oxacillin exposed strains, and *gpmA* were found exclusively in USA300 JE2 *mecA*-tn non-oxacillin exposed strains. *codY* mutations were enriched in USA300 JE2 *mecA*-tn strains. Mutations in another well-known virulence regulator *saeRS* (Rogasch et al., 2006; Liu, Yeo & Bae, 2016; Guo et al., 2017; Mashruwala et al., 2017) were also found exclusively in USA300 JE2 strains.

Changes in virulence of evolved *S. aureus* strains

To assess the changes in virulence of the evolved strains, survival assays of *C. elegans* were performed and assessed after 48 hours (Figure 2). The ancestral survival virulence varied from experiment to experiment, contributing significant noise to the calculation of relative virulence of evolved strains. We assumed that the variation was on the side of *C. elegans* and not *S. aureus*, and thus relative strain virulence measurements were assessed using the ancestral survival measured at the same time. Because of this variability and the small sample size, we will focus on qualitative rather than quantitative assessments of the data. Most treatments did not consistently evolve more or less virulence towards *C. elegans*, potentially indicating bottleneck pressures may play a large role in the stochasticity of *S. aureus* evolution. There were indications that sub-MIC oxacillin exerted differential selection

depending on background. In USA300 JE2 *mecA*-tn strains, oxacillin exposed populations tended to become more virulent whereas non-exposed populations were split between strains that became more virulent or less virulent. In contrast, USA300 JE2 oxacillin free control populations evolved further virulence, and oxacillin exposed control populations were split between more virulence and less virulence. This was not true in USA300 JE2 strains exposed to *C. elegans* where the populations were split between more and less virulence, irrespective of oxacillin exposure. Surprisingly, maintenance of hemolysis was not necessary for increased virulence and did not preclude the evolution of increased virulence. This may indicate that other virulence factors may compensate for the loss of hemolysis or may be more important to the pathogenesis of the USA300 background in *C. elegans*.

Discussion

The evolutionary trajectories of pathogen evolution within hosts is a consequence of many factors including but not limited to host immune pressure and antibiotic exposure. Antibiotic resistance is the natural response to antibiotic selection, but maintenance in antibiotic-free environments represents a fitness cost. This cost can subsequently constrain further evolution which requires cellular resources, such as virulence. In this study, we evolved *mecA*⁺ and *mecA*⁻ *S. aureus* under a variety of conditions (host-free, *C. elegans* exposure and antibiotic-free, sub-MIC oxacillin exposure) and demonstrated the complex interplay of antibiotic exposure and antibiotic resistance determinants in influencing evolution of host killing.

Virulence of *S. aureus* in *C. elegans* is likely determined by a combination of many virulence determinants. In our experiment, *agr* function and hemolysis potential (as a proxy for the activity of membrane-active bacterial toxins) was not necessary for maintenance or evolution of further virulence (Figure 2). While there is evidence that *agr* and hemolysis are important for virulence in *C. elegans* (Sifri et al., 2003;

Bae et al., 2004), the opposite has also been supported by previous research. Wu et al found that *S. aureus* strains of a similar genetic background that possessed alpha-toxin and V8 protease were non-virulent (Wu et al., 2010), and Irazoqui et al found that hemolysin negative isogenic NCTC8325 were as capable of nematode killing as wildtype NCTC8325. Interestingly, Bae et al found alpha-toxin to be necessary for nematode killing, but could not consistently demonstrate that the *agr* locus was also essential despite its primary function of increasing production of extracellular toxins. A caveat is that it is possible that our mortality assay has not truly captured differences in virulence but merely changes to the dynamics. A longer survival assay would be needed to interrogate this possibility. However, experimental evolution may also select for virulence that is not obvious in single generation assays and may be difficult to capture using traditional virulence assays. Cost-benefit ratios of mutations may be different on a short versus long time scale. Assays that focus on *C. elegans* populations over time by analyzing traits such as fecundity will be necessary to determine if this is a potential mode of virulence evolution.

Antibiotic exposure was found to select for *agr* positive strains (Table 1). Because *agr* function and virulence are decoupled in our strains, this potential upregulation of *agr* by sub-MIC oxacillin is not generally associated with virulence in our strains (Figure 2): In USA300 JE2 *mecA*-tn, this may have contributed to the higher virulence of oxacillin exposed strains. However, in USA300 JE2 strains, strains exposed to *C. elegans* demonstrate no virulence differential based on oxacillin exposure, but control strains exposed to oxacillin are less virulent than their counterparts not exposed. The opposing effect of oxacillin on these two genetic backgrounds may be in part explained by the inhibitory effect of *mecA* in *agr* signaling (Rudkin et al., 2012). Thus, the complex virulence regulation of *S. aureus* (governed by *agr*, *sarA*, *saeRS* and others) allows for widespread epistasis and a role for genetic background in modulating the importance of virulence factors.

The sub-MIC nature of the oxacillin exposure more accurately reflects the real-world selection that exists outside the context of infection and which may contribute to evolution of antibiotic resistance (Gullberg et al., 2011; Andersson & Hughes, 2014; Wistrand-Yuen et al., 2018). In our control populations, there are essentially no changes in oxacillin MIC, suggesting that without the impact of other forces, there is little selective pressure to evolve resistance in our experimental setup. In two USA300 JE2 populations selected for virulence in *C. elegans*, resistance has been lost. The mechanism of resistance loss has been confirmed to be a SCC*mec* deletion in one strain by genome sequence. Both of these strains were able to evolve further virulence to *C. elegans*, highlighting the trade-offs that exist between virulence and antibiotic resistance. In five out of six USA300 JE2 *mecA*-tn strains selected for virulence and exposed to sub-MIC oxacillin, oxacillin MICs were four to eight-fold the parental MIC. As this change in MIC did not occur in control strains, host selection must have skewed evolution towards further antibiotic resistance. The resistance achieved, however, is still classified as sensitive and thus traditional trade-offs with virulence may not apply at this lower level.

In sequencing half of the evolved strains, we were able to validate the selection pressures on metabolic regulators (*codY*, *purR*, *gpmA*) and virulence regulators (*agr*, *saeRS*) in host infection. Mutations in regulatory proteins often have pleiotropic effects, and we expect the phenotypic effects of these mutations will be difficult to predict without methods such as RNA-seq. We also found genomic evidence of adaptation to the sub-MIC exposure of oxacillin (mutations in *graR*, *pbpA*, *pbpB*, *gdpP*), even in methicillin resistant populations. Other loci under selection more broadly (seen in *C. elegans* and control populations) were SCC*mec* and ACME. SCC*mec* was confirmed deleted in four strains by genome sequencing and likely another USA300 JE2 strain that lost methicillin resistance. Two of these strains also deleted ACME with SCC*mec*. While type IV SCC*mec* are smaller than the traditional type

II cassettes found in healthcare-associated MRSA (HA-MRSA) (Collins et al., 2010), fitness costs are still incurred by its maintenance. Its loss was only found in USA300 JE2 strains not also exposed to oxacillin, indicating that its fitness costs can be offset even by low concentrations of antibiotic. ACME deletion and *arcA* mutation suggest that arginine deiminase, which is beneficial to *S. aureus* surviving on acidic skin, may not be crucial or may be costly to survival in *C. elegans*. However, ACME also carries *speG*, which is an important defense against polyamines found in human hosts (Joshi et al., 2011), but this may not be relevant in *C. elegans* infection as it has not been found to be important in a rat pneumonia model or mouse skin infection model (Montgomery, Boyle-Vavra & Daum, 2009). Further, phylogenetic analyses have suggested loss of ACME in several instances, indicating that it may not be beneficial in all circumstances (Uhlemann et al., 2014; Planet et al., 2015; Glaser et al., 2016; Planet, 2017). The common inactivation of *agr* in our *S. aureus* evolved strains reflects clinical experience, where many infections result in the isolation of *agr* mutants (Traber et al., 2008; Altman et al., 2018). This phenomenon may be explained by the presence of *agr* “cheats” that are non-responsive to *agr* signaling but benefit from the public goods production of *agr*⁺ strains (Pollitt et al., 2014). Because of our isolation of a single colony for analysis, we do not know whether our populations have mixtures of *agr*⁺ and *agr*⁻ strains.

Of note, while not commonly mutated, mutations in *recA*, *capA* (synonymous mutation), *rsp* (synonymous mutation), *alsT*, and *gltB* were found in *S. aureus* strains evolved in *C. elegans*. In a virulence screen using *C. elegans*, Bae et al found that other DNA helicases, *recG* and *recQ*, were necessary for nematode killing as well as capsular polysaccharide genes, *cap5F*, *capJ* and *capM* (Bae et al., 2004). *alsT* and *gltB* are both mutated in a USA300 JE2 *mecA*-tn strain. *alsT* imports glutamine, and *gltB*, together with *gltD*, helps convert excess glutamine to glutamate. This pathway is thought to help regulate bacterial metabolism and potassium intake, but *alsT* may impact c-di-AMP levels by indirectly

inhibiting the c-di-AMP cyclase *DacA* (Zeden et al.). c-di-AMP is required for growth in rich media conditions and osmotic regulation, but it has been shown to contribute to beta-lactam resistance as well (Dengler et al., 2013).

In summary, antibiotic resistance acts as a powerful modulating force on the evolution of bacterial evolution, especially in the context of host infection. There were loci that were under differential selection in the USA300 JE2 and USA300 JE2 *mecA*-tn strains, a sign that antibiotic loci can determine the mutational space for further mutations. In addition, USA300 JE2 *mecA*-tn strains were more phenotypically labile in response to sub-MIC oxacillin exposure, regardless of host exposure. The host exposed USA300 JE2 populations were more phenotypically similar than host-free populations, suggesting host selection is an important and stronger selective force than sub-MIC antibiotic exposure.

Figures and tables

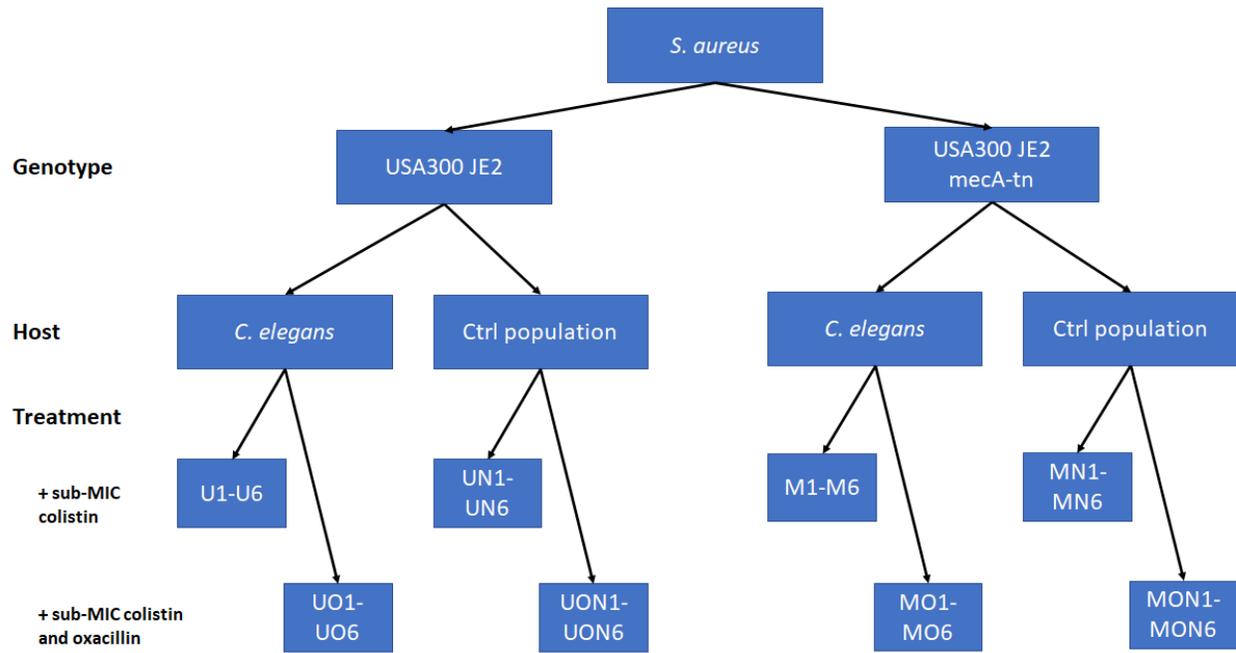


Figure 1. Experimental design and naming scheme of *S. aureus* populations.

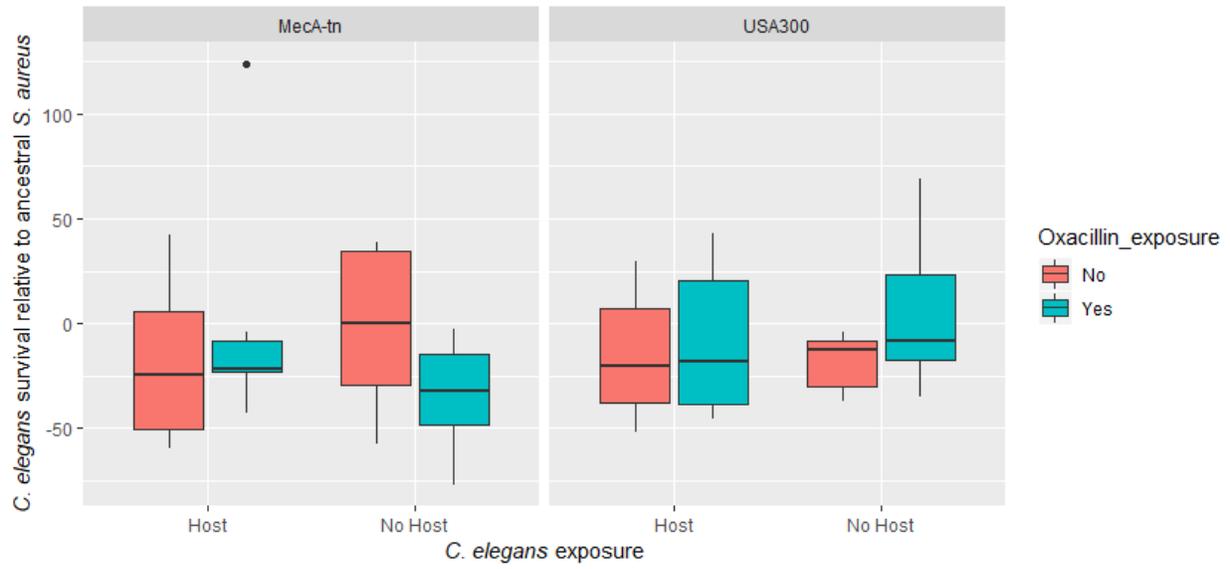


Figure 2. Change in *C. elegans* survival to *S. aureus* infection of evolved strains compared to ancestral *S. aureus*.

	MRSA + worms	MRSA + worms + oxacillin	MSSA + worms	MSSA + worms + oxacillin	MRSA control	MRSA control + oxacillin	MSSA control	MSSA control + oxacillin
Hemolysis positive	1 / 6	6 / 6	3 / 6	5 / 6	0 / 6	4 / 6	0 / 6	6 / 6
Oxacillin resistance ($\mu\text{g}/\text{mL}$)	16(4/6) .25(2/6)	16(6/6)	.25(5/6) 1(1/6)	.5(1/6) 1(3/6) 2(2/6)	16(6/6) *	16(6/6)	.25(6/6)	.25(5/6) .5 (1/6)

Table 1. Hemolysis and oxacillin resistance phenotypes of *S. aureus* strains after passage through *C. elegans*.*

*One of the sequenced strains has lost *SCC_{mec}* and should be re-evaluated.

	Coding gene mutations							Intergenic mutations	
U1	<i>agrA</i>							<i>apt,recJ</i>	<i>farE, farR</i>
U2	<i>agrA</i>	<i>saeS</i>	pseudo gene					<i>aur, isaB</i>	acetyl-Co A synthet., antibiotic monooxy g
U3	$\Delta 2$							<i>argR, ispA</i>	
UO1	<i>pbpA</i>	<i>purR</i>						<i>caiA, yut</i>	
UO2	<i>codY</i>	<i>pbpB</i>	<i>saeR</i>						
UO3	<i>arcA</i> (Δ)	<i>gdpP</i>	<i>graR</i>	<i>purR</i>	hypothet. protein				
M1	<i>hutG</i>	<i>gpmA</i>						acetyl-Co A synthet., antibiotic monooxy g	
M2	<i>brnQ1</i>	<i>codY</i>	<i>capA</i>	<i>murP</i>	<i>recA</i>				
M3	<i>brnQ1</i>	<i>alsT</i>	<i>gpmA</i>	<i>gltB</i>	amidase	<i>treB</i>	hypothet . protein	hypothet. protein, <i>pdxB</i>	

MO1	<i>gdpP</i>	<i>codY</i>	hypothet . protein						
MO2	<i>rsp</i>	<i>codY</i>							
MO3	<i>brnQ1</i>	$\Delta 1$	<i>pbpA</i>	polysacc . deacetyl . protein	hypothet . protein				
U1N	<i>argR</i>	<i>alr</i>							
U2N	<i>agrC</i>	<i>brnQ1</i>							
U3N	<i>agrA</i>	<i>brnQ1(2)</i>)	$\Delta 1$						
UO1N	<i>pbpB</i>	<i>mreD</i>	nuclease					<i>agrD, agrC</i>	
UO2N	<i>argR</i>	<i>alr</i>							
UO3N	<i>agrA(2)</i>)	<i>graR</i>	<i>rpoB</i>	ATPase	pseudo gene	hypothet . protein		<i>mvaS, ogt</i>	
M1N	<i>brnQ1</i>	<i>agrC</i>							
M2N	<i>brnQ1</i>	<i>ebh</i>						<i>agrD, agrC</i>	
M3N	<i>brnQ1</i>	<i>agrA</i>							
MO1 N	<i>brnQ1</i>	permease							
MO2 N	<i>brnQ1</i>	$\Delta 2$							

MO3									
N	<i>brnQ1</i>							<i>relP,ppnk</i>	

Table 2. List of genomic changes in sequenced evolved *S. aureus* strains.

Genes are color-coded and grouped by operons (e.g *agr* genes). Bold indicates a nonsynonymous mutation, (Δ) indicates a deletion, and (#) indicates the number of SNPs present in the gene. *bioD1*, *cls*, and *ccdC* mutations in all USA300 JE2 *mecA*-tn strains have been omitted.

Chapter VI. Discussion

In my dissertation, I have focused on the evolution and genetic determinants of *Staphylococcus aureus* virulence and antibiotic resistance phenotypes. Experimental evolution in combination with genome sequencing has allowed me to explore the consequences of epistasis on different *S. aureus* phenotypes.

Chapter II is a survey of where the field currently stands in regards to prediction of antimicrobial resistance phenotypes. As antibiotic resistance is a highly convergent phenotype within species, genetic determinants ascertained by sequencing of clinical isolates or in vitro experiments are highly predictive, and predictions using either presence/absence of genetic determinants, classification algorithms, or machine learning approaches are by and large concordant with phenotypic antimicrobial susceptibility testing results. Inaccuracies have often been traced to errors in variation in phenotypic assays, but cryptic epistasis likely plays a role in determining how a resistance determinant yields resistance at a phenotypic level. However, even in an age where genomic predictions are used as the primary technology to determine antimicrobial susceptibility, active surveillance of new isolates will be necessary to ensure that new genetic mechanisms have not arisen.

In chapter III, I investigated the genetics behind variable delta-toxin production in a diverse set of *S. aureus*. Delta-toxin is a major contributing factor to the disease severity of atopic dermatitis. I determined that the range of delta-toxin production was large, with one strain producing 97,235 mAU² (determined by HPLC). The median was 8,295 mAU², and most strains produced less than 30,000 mAU². Phylogenetic clades were shown to strongly correlate with the level of delta-toxin production, but individual strain variation also played a large role in the variance seen within clades. Interestingly, delta-toxin production of two clades matched the epidemiological data on the causative strains in atopic dermatitis cases. CC30, which is underrepresented in atopic dermatitis relative to its prevalence

in skin colonization, has much lower toxin production than CC45, a clade that is often found in atopic dermatitis (Yeung et al., 2011). As the strains in this study were from a wide range of clinical infections, an investigation into the delta-toxin production of these clonal complexes in atopic dermatitis needs to be completed. Differences of delta-toxin production were also found between Agr groups, but as Agr type is confounded by CC, it is unclear whether variation in the Agr operon plays a role in modulating production or if it represents the closer evolutionary relationship of strains within Agr groups. Determining the delta-toxin production in strains that have undergone Agr switching to another type or performing Agr switching in the laboratory would be a method to disentangle the effects of Agr type with CC. Methicillin resistance status (MRSA) was found to be associated with a decreased capacity to achieve high delta-toxin production. This may be due to methicillin resistance induced cell wall changes interfering with *agr* quorum sensing. To address this, strains can be stratified by *SCCmec* type and re-examined to see if strains with larger *SCCmec* types (which produce more PBP2a and induce larger cell wall changes) have less delta-toxicity than smaller *SCCmec* types.

Little is known about the regulation of delta-toxin aside from its translation is linked with the effector molecule of the Agr quorum sensing system, RNAIII. Delta-toxin is translated with a one hour delay after RNAIII transcription by an unknown mechanism (Balaban & Novick, 1995). The secondary structure of RNAIII acts as a switch to determine whether translation occurs. The cellular input that RNAIII responds to is likely controlled by another genomic region that is not the Agr operon. To determine which genomic regions play a role in delta-toxin production, I performed a GWAS. Unfortunately, due to the statistical challenge of doing these analyses with quantitative phenotypes while appropriately accounting for population structure, a binary phenotype was chosen over a continuous phenotype. The cutoff for the binary phenotype was chosen to capture the highest toxin strains as well as strains at the beginning of the right tail of the distribution. Changing the binary cutoff

to a lower value would increase the number of positive strains, potentially giving the analysis more power to detect genetic determinants at the cost of a higher number of false positives due to linkage disequilibrium. A small subset of genes were chosen for laboratory validation using transposon mutants from the Nebraska Transposon Mutant Library (Fey et al., 2013), and most were found to have an effect on delta-toxin production. This screening excluded essential genes, of which there were several in our GWAS results, but was a quick alternative to allelic replacement, which remains technically difficult in *S. aureus*.

Nevertheless, our GWAS returned a novel finding: the gene *carA* is essential for delta-toxin production. *carA* is the active subunit of carbamoyl-phosphate synthase which is involved in pyrimidine metabolism and L-arginine biosynthesis. The link between *carA* and delta toxin production is unclear but could be investigated by screening for suppressor mutations that restore delta-toxin production. The cost of quantifying delta-toxin using HPLC would be prohibitively expensive, but rescued mutants could potentially be screened using CAMP assays wherein strains are cross-streaked with RN4220, a beta-toxin producing strain, on a blood agar plate. Beta-toxin inhibits alpha-toxin and synergizes with delta-toxin. Phenol soluble modulins have been shown to also synergize with beta-toxin (Cheung, Duong & Otto, 2012), so this should only be done in a background with PSMs knocked out. In addition, the secondary structure of RNAIII should be interrogated using DMS footprinting to assess whether the ribosome binding site is unavailable in a *carA* knockout strain, thus leading to a lack of translation of delta-toxin. Of note, complementation with two alleles with identical protein sequences produced disparate results. Many mechanisms exist by which synonymous mutations are not silent. Initial experiments to narrow down the mechanism would involve checking whether transcription and/or translation occurs efficiently with reporter fusions.

Finally, a much larger sample size would also greatly improve the power of GWAS approaches to detect associations. New genes could then be incorporated into the XGBoost models to increase their predictive power. The current XGBoost models have validated the observations I saw when mapping delta-toxin production on the phylogeny. Sequence types explain a large percentage of the variation in delta-toxin production, indicating this phenotype is strongly influenced by epistasis, but very high-level toxin production is dominated by individual adaptation, potentially due to recent selection.

In chapter IV, I sought to determine the role genetic background played in a vancomycin-intermediate resistance in *S. aureus* by examining the parallel evolution of the phenotype in CC1, CC5, and CC8 backgrounds. I confirmed the role of previously implicated genes (*walK*, *prs*, *rpoB*, *rpoC*, *vraS*, *yvqF*), which were generally cell-wall associated or global transcriptional regulators, in all three backgrounds. Some genes were mutated more often than others. It is unclear if mutations in those genes provide the most initial resistance to vancomycin and thus have higher fitness during antibiotic exposure or whether they are more well-tolerated and provide enough tolerance to mutate higher resistance at later stages. Sequencing the earlier stages of vancomycin selection would determine which genes are the initial drivers of resistance. Study of single point mutations in these genes to determine fitness and the effects on tolerance and/or resistance will elucidate whether fitness costs or resistance level is most important in early evolution. Most strains had SNPs in two or three of these genes, indicating while multiple combinations of these genes can be mutated to achieve vancomycin-intermediate resistance, the phenotype is largely driven by parallel evolution. However, epistasis, while not the primary driving force, was shown to play an important role. Mutation patterns (prevalence, co-occurrence, and SNPs) within those six universally mutated genes differed by genetic background. It would be of interest to study how the same mutation in different genetic backgrounds contributed to either fitness or resistance and whether the prevalence of each could be attributed to either. If differences between

genetic backgrounds were observed, it could stem from resulting differences in cell wall thickness, which could be measured and compared using electron microscopy. Further, the pattern of mutation in accessory genes (those mutated in only 2 backgrounds), some of which have also previously been implicated in vancomycin resistance (*walR*, *vraR*, *mprF*), indicated there were differential selective pressures on each of the genetic backgrounds.

Accessory genes and genes only found in one background are not consistently mutated within a background, suggesting that these genes may not be under strong selection and may be largely targeted for compensatory mutations. The mutational space for compensatory mutations is much larger and may explain the stochasticity observed. Recapitulation of a defined set of VISA mutations with mutations in these genes would help elucidate their function. Fitness (measured by r - maximum growth rate) was generally found to decrease as vancomycin tolerance and/or resistance increased. However, I measured fitness only in rich media, which is not indicative of within-host environments. Fitness could further be examined using growth curve analysis in whole blood or in vivo by measuring bacterial loads pre- and post- infection.

In chapter V, I studied *S. aureus* virulence evolution within a host model, *Caenorhabditis elegans*. I found there to be significant interaction between oxacillin exposure and hemolysis potential, which genome sequencing indicated was likely *agr* mediated. Sub-MIC oxacillin exposure favored maintenance of hemolysis in our strains. It has been previously shown that sub-MIC oxacillin exposure can upregulate virulence expression of the *agr* operon, alpha-toxin, and Panton-Valentine Leukocidin (Ohlsen et al., 1998; Dumitrescu et al., 2007, 2011; Viedma et al., 2018). However, it can also upregulate *mecA* expression, which interferes with *agr* function (Rudkin et al., 2014). Therefore, oxacillin exposure likely has a pleiotropic effect on virulence expression in our MRSA background but upregulates virulence

in an MSSA background. This will need to be confirmed by transcriptomics and supernatant toxin quantification. I also found interaction between oxacillin exposure and host selection. Control *S. aureus* populations, not exposed to the worms, experienced little change in their oxacillin resistance as measured by BMD. However, five out of six MSSA strains exposed to oxacillin and worms achieved resistance that was 4-8 times the parental resistance, indicating that antibiotic exposure and host selection are synergistic to the development of antibiotic resistance. It is unclear if beta-lactam resistance makes *S. aureus* more resistant to *C. elegans* host defense, thereby selecting for mutations that give higher resistance. This could be examined by electron microscopy to determine the percentage of lysed *S. aureus* in the worm lumen. Two of six MRSA strains not exposed to oxacillin and exposed to worms saw a return to the parental MIC, confirming virulence selection is at odds with antibiotic resistance in the context of a host infection.

Genome sequencing of one colony from half of the evolved *S. aureus* populations confirmed that metabolic genes and virulence regulators are often under selection within hosts. Again, transcriptomics will be necessary to determine what effect the mutations I saw in our *S. aureus* strains have and whether their fitness differs based on existing antibiotic resistance. Within the genome sequence data, I was able to reconfirm the interaction between oxacillin exposure and worm exposure. *S. aureus* strains exposed to both developed mutations in beta-lactam resistance associated genes. Interestingly, this occurred in MRSA strains as well. Resistance by means other than PBP2a may potentially relieve the fitness costs of PBP2a. Competition assays between isogenic MRSA strains with and without further beta-lactam resistance mutations would tell us whether they are fitness rescuing mutations. ACME, which was found mutated or deleted in some strains, should also be interrogated for fitness costs both within and outside of hosts.

Virulence assays qualitatively indicated that oxacillin exposure imposed differential selection on virulence evolution based on pre-existing antibiotic resistance and host exposure. However, the noisiness of the assay makes it necessary to reconfirm these results with more precise assays. Examples include fecundity assays and single worm survival assays. Interestingly, virulence did not depend on *agr* gene maintenance, suggesting virulence is incredibly multifactorial. Transcriptomics will indicate which virulence factors were maintained and contribute to their virulence. PVL, which is likely maintained in *agr*- strains may be important to the virulence I observed. In addition, I observed a large degree of stochasticity in the evolution of these replicate populations. Therefore, it may be of interest to look within treatments at those that were more virulent and less virulent after evolution. As the evolution was over a relatively short time-period (12 weeks), continuing the experiment for further cycles may help glean more insights into the continued evolution of *S. aureus* within *C. elegans*.

In summary, I have shown that the evolution of *S. aureus* phenotypes can be differentially regulated by epistasis and are subject to trade-offs within a host. In the case of delta-toxin production, epistasis is the main evolutionary constraint. In the case of vancomycin-intermediate resistance, a phenotype largely driven by parallel evolution, epistasis plays a role in modulating SNP patterns and other gene mutations as well as fitness. Virulence evolution was found to be dependent on a large repertoire of genes and dominated by trade-offs between virulence and antibiotic exposure, which were stronger within the host.

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