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April 12, 2010

Cryptanalysis of Small-Valued Secret Exponents in RSA Cryptosystems

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Abstract of

A thesis submitted to the Faculty of Emory College of Emory University in partial fulfillment

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#### Abstract

Cryptanalysis of Small-Valued Secret Exponents in RSA Cryptosystems

by

#### Richard M. Oh

A vulnerability of the RSA encryption system that uses small-valued secret exponents is written here. When small-valued secret exponents are used, the encryption system is exposed to an attack via a continued fractions algorithm. The original algorithm was discovered by Michael J. Weiner. The algorithm is able to use public key information to find the private key information. The attack is valuable since it is able to discover the factors of the modulus and the secret exponent in polynomial time. This paper expands the analysis of Wiener's original paper and implements the algorithm through Python programming. Cryptanalysis of Small-Valued Secret Exponents in RSA Cryptosystems

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## 1 Introduction

The RSA cryptosystem is one of the most well-known public key cryptosystems in the world today. Most people unknowingly surf the internet without realizing that their sessions are being protected by this encryption system. Each public key consists of two numbers: the public number, or modulus, and the public exponent, or the encrypting exponent. A common form of weakness that arises within RSA is the poor choice of keys. Further readings on these weaknesses can be found in [1]. The attack within this paper is from Michael Wiener's paper, *Cryptananlysis of Short RSA Secret Exponents* [5]. His paper exploits a weakness and breakdown of the RSA cryptosystem when the secret exponent is small relative to the modulus. Through the use of a continued fractions algorithm, the attacker is able to produce the secret exponent and the prime factors of the modulus using only public information. Furthermore, this attack is especially useful since the attack is done in polynomial time.

There are variety of situations where the use of short secret exponents is desirable. A smaller secret exponent allows for faster decryption execution time since RSA decryption time is roughly proportional to the number of bits in the exponent. Therefore, it is sometimes convienent to use smaller exponents, especially if there is a vast difference in computing speeds between the encryption and decryption devices. One example of this situation is the use of smart cards with computers. It would be convienent for the smart card to possess a short secret exponent since the computing powers of a smart card are vastly inferior to the computer. The ease of use and convenience of small secret exponents creates a security flaw, which we shall discuss in this paper.

This paper is an expanded version of Weiner's original paper. It breaks down the original argument and builds it back up with some variation to the verfication step. Furthermore, the algorithm has been implemented through the use of Python programming, where the user can test various keys.

# 2 Continued Fractions Background

This section will cover the necessary background on continued fractions allowing the reader to effectively understand and use the continued fractions algorithm in the next section.

**Definition 2.1.** A continued fraction is an expression with the form

$$\frac{a_1}{b_1 + \frac{a_2}{b_2 + \frac{a_3}{\dots}}} = a_1/(b_1 + a_2/(b_2 + a_3/(\dots/(b_{m-1} + a_m/b_m)\dots))).$$
(2.1)

We are specifically interested in the particular form of continued fractions where the numerators, or  $a_i$ 's in (2.1) are equal to one. For convience, we shall denote this as

$$\langle q_0, q_1, \dots, q_m \rangle = q_0 + 1/(q_1 + 1/(q_2 + 1/(\dots/(q_{m-1} + 1/q_m)\dots))).$$
 (2.2)

Example 2.2.

$$\langle 2, 1, 3, 5 \rangle = 2 + 1/(1 + 1/(3 + 1/5)) = \frac{58}{21}$$

For vocabulary purposes, we will call  $\frac{58}{21}$  the *convergent fraction* while  $\langle 2, 1, 3, 5 \rangle$  is called the *continued fraction expansion*. Also, continued fractions do not need to be finite, but we will not address this issue since our numerators and denominators are positive integers, thus resulting in finite expansions [3].

We will now cover how to get a continued fractions expansion from a rational number f. The first step is to subtract the integer part away from f. The following steps are then done in repetition until the remainder is zero: Invert the remainder, subtract away the integer part away from the inverted remainder, and repeat. We shall call  $q_i$  the integer quotient and  $r_i$  the remainder at step i. We will call m the total number of inversion steps:

$$q_0 = \lfloor f \rfloor, \quad r_0 = f - q_0, \quad \text{and}$$

$$(2.3)$$

$$q_i = \left\lfloor \frac{1}{r_{i-1}} \right\rfloor, \quad r_i = \frac{1}{r_{i-1}} - q_i, \quad \text{for } i = 1, 2, ..., m$$

When  $r_j = 0$ , we set j = m, and the algorithm for generating the continued fractions expansion for f terminates. Since the algorithm generating the expansion results in the remainder at step m being zero, we have that  $f = \langle q_0, q_1, ..., q_m \rangle$ . There are two immediate results that emerge at this point. First,

$$q_m \ge 2. \tag{2.4}$$

We get this from the fact that if  $q_m = 1$ , then  $0 = r_m = \frac{1}{r_{m-1}} - q_m$ , from (2.3). We get from here that  $r_{m-1} = 1$ , which is impossible since  $0 < r_i < 1$ , by construction.

Second, for any x > 0,

$$\langle q_0, q_1, ..., q_m \rangle < \langle q_0, q_1, ..., q_{m-1}, q_m + x \rangle, \text{ if } m \text{ is even},$$
  
 $\langle q_0, q_1, ..., q_m \rangle > \langle q_0, q_1, ..., q_{m-1}, q_m + x \rangle, \text{ if } m \text{ is odd.}$  (2.5)

This result comes directly from the number of nestings and inversions that occur from (2.2).

We will now begin to discuss the relationship between numerators and denominators at step i of the continued fraction expansion and its relationship with the quotients. We will define  $n_i$  and  $d_i$  inductively.

Definition 2.3. Let

$$n_{-2} = 0, \quad n_{-1} = 1, \quad d_{-2} = 1, \quad d_{-1} = 0.$$

Then we define  $n_i$  and  $d_i$  as follows:

$$\begin{split} n_0 &= q_0, \quad d_0 = 1, \\ n_1 &= q_0 q_1 + 1, \quad d_1 = q_1, \\ n_i &= q_i n_{i-1} + n_{i-2}, \quad d_i = q_i d_{i-1} + d_{i-2}, \ for \ i = 2, 3, ..., m. \end{split}$$

**Lemma 2.4.** Let  $n_i, d_i$  be defined as above. Then

$$\frac{n_i}{d_i} = \langle q_0, q_1, ..., q_i \rangle.$$

*Proof.* First, we will show for i = 0. We have from Definition 2.3 that

$$\frac{n_0}{d_0} = \frac{q_0}{1} = q_0 = \langle q_0 \rangle$$

Next, we will show for i = 1. We have that

$$\frac{n_1}{d_1} = \frac{q_0 q_1 + 1}{q_1} = q_0 + \frac{1}{q_1} = \langle q_0, q_1 \rangle.$$

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We will use induction for the rest of the proof. Suppose true for the i case. We have that

$$c_{i} = \frac{n_{i}}{d_{i}} = \frac{q_{i}n_{i-1} + n_{i-2}}{q_{i}d_{i-1} + d_{i-2}} = \langle q_{0}, q_{1}, ..., q_{i} \rangle.$$
(2.6)

We wish to prove that the i + 1 case holds true. To do this we use equation (2.6) to help us supply a proof that

$$c_{i+1} = \frac{n_{i+1}}{d_{i+1}} = \frac{q_{i+1}n_i + n_{i-1}}{q_{i+1}d_i + d_{i-1}} = \langle q_0, q_1, ..., q_i, q_{i+1} \rangle.$$

From 2.3, we substitute in  $n_i, d_i$  to get

$$\frac{n_{i+1}}{d_{i+1}} = \frac{q_{i+1}(q_i n_{i-1} + n_{i-2}) + n_{i-1}}{q_{i+1}(q_i d_{i-1} + d_{i-2}) + d_{i-1}}.$$

By multiplying out and rearranging, we are able to get

$$\frac{q_{i+1}(q_in_{i-1}+n_{i-2})+n_{i-1}}{q_{i+1}(q_id_{i-1}+d_{i-2})+d_{i-1}} = \frac{(q_iq_{i+1}+1)n_{i-1}+q_{i+1}n_{i-2}}{(q_iq_{i+1}+1)d_{i-1}+q_{i+1}d_{i-2}}.$$

We then divide the numerator and denominator by  $q_{i+1}$  to get

$$\frac{n_{i+1}}{d_{i+1}} = \frac{\left(q_i + \frac{1}{q_{i+1}}\right)n_{i-1} + n_{i-2}}{\left(q_i + \frac{1}{q_{i+1}}\right)d_{i-1} + d_{i-2}}.$$

In order to use 2.6, we are hoping that we can replace  $q_i$  with  $q_i + \frac{1}{q_{i+1}}$  in the expansion, since there is a similarity with

$$\frac{n_i}{d_i} = \frac{q_i n_{i-1} + n_{i-2}}{q_i d_{i-1} + d_{i-2}} = \langle q_0, q_1, ..., q_i \rangle$$

and

$$\frac{n_{i+1}}{d_{i+1}} = \frac{\left(q_i + \frac{1}{q_{i+1}}\right)n_{i-1} + n_{i-2}}{\left(q_i + \frac{1}{q_{i+1}}\right)d_{i-1} + d_{i-2}}.$$

This is possible only if we are able to prove that the numbers  $n_{i-2}, d_{i-2}, n_{i-1}, d_{i-1}$  do not change their values when we tamper with  $q_i$ .

To see that these values do not change, we must look at the manner in which they are calculated. In equation (2.6), we first replace i by i - 2 and then by i - 1. We observe the following succession:

$$\frac{n_{i-2}}{d_{i-2}} = \frac{q_{i-2}n_{i-3} + n_{i-4}}{q_{i-2}d_{i-3} + d_{i-4}}$$

and

$$\frac{n_{i-1}}{d_{i-1}} = \frac{q_{i-1}n_{i-2} + n_{i-3}}{q_{i-1}d_{i-2} + d_{i-3}}$$

We can observe from the above that the numbers  $n_{i-1}$  and  $d_{i-1}$  are dependent on the number  $q_{i-1}$  and the numbers  $n_{i-2}$ ,  $n_{i-3}$ ,  $d_{i-2}$ ,  $d_{i-3}$  for i > 3, which are in turn dependent on the preceding q's, n's, and d's. Therefore, the numbers  $n_{i-1}$ ,  $n_{i-2}$ ,  $d_{i-1}$ ,  $d_{i-2}$ are dependent on the first i - 1 quotients and are independent of  $q_i$ . Hence, they will not change when we replace  $q_i$  with  $q_i + \frac{1}{q_{i+1}}$ .

Therefore, we are able to substitute  $q_i$  with  $q_i + \frac{1}{q_{i+1}}$ , thus getting us

$$\frac{n_{i+1}}{d_{i+1}} = \frac{\left(q_i + \frac{1}{q_{i+1}}\right)n_{i-1} + n_{i-2}}{\left(q_i + \frac{1}{q_{i+1}}\right)d_{i-1} + d_{i-2}} = \langle q_0, q_1, \dots, q_i + \frac{1}{q_{i+1}} \rangle = \langle q_0, q_1, \dots, q_i, q_{i+1} \rangle.$$

This result leads us to a direct corollary for the above Lemma.

**Corollary 2.5.** Let the  $n_i$ 's,  $d_i$ 's, and  $q_i$ 's be as above. Then we have that:

$$n_i d_{i-1} - n_{i-1} d_i = -(-1)^i$$
 for  $i = 1, 2, ..., m$ .

*Proof.* We will prove this by induction on i.

Base Case i = 1

From Definition 2.3, we have

$$n_1d_0 - n_0d_1 = (q_0q_1 + 1)(1) - (q_0q_1) = 1 = -(-1)^1.$$

#### Induction Step

Let us assume to be true up to the i - 1 case, which is as followed:

$$n_{i-1}d_{i-2} - n_{i-2}d_{i-1} = -(-1)^{i-1}.$$

We must show true for the i case:

$$n_i d_{i-1} - n_{i-1} d_i = -(-1)^i.$$

Using the relationship in Lemma 2.4, we substitute in for  $n_i$  and  $d_i$  to obtain

$$n_i d_{i-1} - n_{i-1} d_i = (q_i n_{i-1} + n_{i-2}) d_{i-1} - n_{i-1} (q_i d_{i-1} + d_{i-2}).$$

Then, by simplification using algebra, we get

$$-(n_{i-1}d_{i-2} - n_{i-2}d_{i-1}) = (-1) \cdot -(-1)^{i-1} = -(-1)^i.$$

**Corollary 2.6.** Let  $n_i, d_i$  be as above. Then  $n_i$  and  $d_i$  are relatively prime for i = 0, 1, ..., m.

*Proof.* This is obvious for i = 0 because  $d_0 = 1$ . For i = 1, 2, ..., m, if a prime p divided  $n_i$  and  $d_i$ , then p would divide -1, by Corollary 2.5, which is a contradiction.

We now have this equation as a direct consequence of Lemma 2.4 and Corollary

2.6:

$$\frac{n_i}{d_i} = \langle q_0, q_1, ..., q_i \rangle, \quad \gcd(n_i, d_i) = 1, \quad \text{for } i = 0, 1, ..., m$$

$$n_i = q_i n_{i-1} + n_{i-2}, d_i = q_i d_{i-1} + d_{i-2}.$$
(2.7)

**Lemma 2.7.** Let f be a fraction and f > 0 and  $m \ge 1$  where  $f = \langle q_0, q_1, ..., q_m \rangle$ . Then

$$q_i \ge \begin{cases} 0 & \text{if } i = 0 \\ 1 & \text{if } 1 \le i < m \\ 2 & \text{if } i = m \end{cases}$$

*Proof.* We will first show that  $q_0 \ge 0$ . From the construction of  $q_i$  from (2.3), we have  $q_0 \ge 0$  when f > 0. Next, we will show that  $q_i \ge 1$  when  $1 \le i < m$ . Here, again by construction of  $q_i$  from (2.3), we have that

$$q_i = \left\lfloor \frac{1}{r_{i-1}} \right\rfloor,\,$$

which implies that when  $f > 0, 0 < r_i < 1$  for  $1 \le i < m$ . This gives us that

$$q_i \ge 0$$
 for  $1 \le i < m$ .

It will suffice to show that  $q_i \neq 0$ . We will do this by contradiction.

Suppose  $q_i = 0$ . By construction of  $q_i$  from (2.3),

$$q_i = \left\lfloor \frac{1}{r_{i-1}} \right\rfloor = 0.$$

From the above, we are able to get the fact that

$$0 < \frac{1}{r_{i-1}} < 1,$$

which directly leads us to

$$r_{i-1} > 1$$
,

which is a direct contradiction of (2.3). Therefore,  $q_i \ge 1$  for  $1 \le i < m$ .

Finally, by (2.4), we have  $q_m \ge 2$ , which verifies our last case.

**Lemma 2.8.** Suppose  $f = \langle q_0, q_1, ..., q_m \rangle > 0$  and  $\frac{n_i}{d_i} = \langle q_0, q_1, ..., q_i \rangle$ . Then  $d_i > d_{i-1} > 0$  for  $2 \le i \le m$ .

We claim that the Lemma fails for the i = 1 case because  $d_1$  can equal 1. We can see from Example 2.2, with verification using Definition 2.3, that  $d_1 = 1$ , and since  $d_0 = 1$ , the inequality fails.

*Proof.* We will use induction on i to prove this.

Base Case i = 2

From Definition 2.3, we have  $d_1 = q_1$  and  $d_2 = q_2d_1 + d_0$ . Since  $d_0 = 1$ ,  $d_2 > q_2d_1$ , and  $q_2 \ge 1$ , we have that

$$d_2 > d_1 > 0.$$

#### Induction Step

Assume true for the i - 1 case. We will prove the claim for the general i case. From equation (2.7), we have  $d_i = q_i d_{i-1} + d_{i-2}$  which gives us that  $d_i > q_i d_{i-1}$ . Since  $q_i \ge 1$ for  $i \ge 1$  and  $d_{i-1} > d_{i-2} > 0$ , we have

$$d_i > d_{i-1} > 0.$$

Therefore,  $d_i > d_{i-1} > 0$  for  $2 \le i \le m$ .

$$d_{m-1} \le \frac{1}{2}d_m.$$

Proof. Using Definition 2.3 and Lemma 2.8, we have that

$$d_m > q_m d_{m-1}.$$

Since  $q_m \ge 2$  from (2.4), we have  $q_m d_{m-1} \ge 2d_{m-1}$ . Since  $d_m > 2d_{m-1}$ , we get  $d_{m-1} < \frac{1}{2}d_m$ .

Lemma 2.10. Suppose that

$$\langle q_0, q_1, q_2, ... \rangle < x < \langle q'_0, q'_1, q'_2, ... \rangle.$$

If  $q_0 = q'_0$ , then

$$\langle q_1, q_2, q_3, ... \rangle > \frac{1}{x - q_0} > \langle q'_1, q'_2, q'_3, ... \rangle.$$

*Proof.* Since  $q_0 = q'_0$ , we have through properties of inequalities that

$$\langle q_0, q_1, q_2, ... \rangle - q_0 < (x - q_0) < \langle q'_0, q'_1, q'_2, ... \rangle - q_0.$$

Through simplification, we have

$$\langle 0, q_1, q_2, ... \rangle < (x - q_0) < \langle 0, q'_1, q'_2, ... \rangle.$$

Since  $0 < \langle 0, q_1, q_2, ... \rangle < 1$  and  $0 < \langle 0, q'_1, q'_2, ... \rangle < 1$  by construction of continued fractions, and the fact for 0 < a < b, we have

$$\frac{1}{a} > \frac{1}{b}$$

since  $f(x) = \frac{1}{x}$  is a strictly decreasing function, we get

$$\langle q_1, q_2, q_3, ... \rangle > \frac{1}{x - q_0} > \langle q'_1, q'_2, q'_3, ... \rangle.$$

We have covered sufficient background to proceed on to the Continued Fractions Algorithm.

# 3 Continued Fractions Algorithm

Keeping the above background in mind, we shall discuss a continued fractions algorithm that will allow us to produce a reasonable candidate for a rational number f. We begin this discussion letting f' be a underestimate for a fraction f, given by the relationship from the equation below.

$$f' = f(1 - \delta)$$
, for some  $\delta \ge 0$ . (3.1)

We can easily rearrange this equation to

$$\delta = 1 - \frac{f'}{f},\tag{3.2}$$

which will be a useful relationship later on. The following is Wiener's original continued fractions algorithm[5]. We are given a continued fraction f', where we assume that f'is a proper underestimate, and we are given an oracle that will tell us whether or not a given number is the desired fraction, f. The following step will be repeated until the oracle reveals to us that the generated fraction is the desired one, or the algorithm terminates. If  $\delta$  is not of proper size, then the algorithm will terminate by itself since all rational fractions have a finite continued fractions expansion[3], and thus leading to a finite number of reiterations of the algorithm. We shall discuss in detail what our oracle is in a following section.

Let  $q'_i$  be the  $i^{th}$  quotient of f', generated as written in (2.3). Let j be the length of the continued fractions expansion for f', since the expansion for f' is finite. Here are the steps of the algorithm:

- 1. Give f' to the oracle. If f' is the correct number, then terminate. Otherwise, proceed onto Step 2.
- 2. Generate the next quotient  $q'_i$  of the continued fraction expansion for f'.
- 3. Using equation (2.7), we construct the fraction equal to

$$\langle q'_0, q'_1, \dots, q'_{i-1}, q'_i + 1 \rangle$$
, if *i* is even  
 $\langle q'_0, q'_1, \dots, q'_{i-1}, q'_i \rangle$ , if *i* is odd.

4. We give the generated number to the oracle. If the number is incorrect, we go back to Step 2, unless we have generated  $q'_j$ , which in this case, we quit. If the number is correct, the algorithm terminates.

We must understand that although we are assuming that f' is an underestimate of some number f, we do not know what f is. Therefore, we must evaluate what our  $\delta$ from (3.1) should be in order for us to find f. We claim that if

$$\delta < \frac{1}{\frac{3}{2}n_m d_m},\tag{3.3}$$

where  $n_m$  and  $d_m$  are the numerator and denominator of f, then the algorithm will be able to produce f from f'. We will examine the implications of  $\delta$  from (3.3) and see how it leads us to the production of f from f' from the algorithm. We will examine the relationship on how the size of  $\delta$  affects the outcome of the algorithm starting with f'. Studying this relationship will lead us to the theorem that defines the requirement for the algorithm to succeed.

**Proposition 3.1.** Suppose that  $0 \le \delta < \frac{1}{\frac{3}{2}n_m d_m}$ . Then

$$\langle q_0, q_1, \dots, q_{m-1}, q_m - 1 \rangle < f' \le \langle q_0, q_1, \dots, q_m \rangle, \quad \text{if } m \text{ is even,}$$

$$\langle q_0, q_1, \dots, q_{m-1}, q_m + 1 \rangle < f' \le \langle q_0, q_1, \dots, q_m \rangle, \quad \text{if } m \text{ is odd.}$$

$$(3.4)$$

*Proof.* From (3.1), we have that if  $\delta = 0$ , f' = f. Thus, our upper bound holds. Therefore, we only have to justify our lower bounds. We perform a separate analysis for the following cases: m = 0, m = 1,  $m \ge 2$  and even, and  $m \ge 3$  and odd.

Case 1 m = 0

Suppose  $\delta < \frac{1}{\frac{3}{2}n_0d_0}$ . Then

$$\delta < \frac{1}{\frac{3}{2}n_0d_0} < \frac{1}{n_0d_0}.$$

By Definition 2.3, we know that  $d_0 = 1$  and that  $n_0 = q_0$ . Thus,

$$\delta < \frac{1}{q_0}.$$

From (3.2), we have  $\delta = 1 - \frac{f'}{q_0} < \frac{1}{q_0}$ , which can be rearranged to

$$f' > q_0 - 1.$$

Since m = 0 is even, we get  $\langle q_0 - 1 \rangle < f'$  as needed.

Case 2 m = 1

Suppose that  $\delta < \frac{1}{\frac{3}{2}n_1d_1}$ . By Definition 2.3, we can substitute  $n_1 = q_0q_1 + 1$  and

 $d_1 = q_1$  to have

$$\delta < \frac{1}{\frac{3}{2}(q_0q_1+1)q_1}.$$

For our next step, we need the following claim.

Claim: 
$$\frac{3}{2}q_1 \ge q_1 + 1.$$

By Definition 2.3 and the fact that m = 1, we have that  $q_1 \ge 2$ . Since  $\frac{1}{2}q_1 \ge 1$ , we get

$$q_1 + 1 \le q_1 + \frac{1}{2}q_1 \le \frac{3}{2}q_1.$$

Therefore, we have  $\frac{3}{2}q_1 \ge q_1 + 1$ , as required.

With this claim, we have that

$$\delta < \frac{1}{\frac{3}{2}(q_0q_1+1)q_1} \le \frac{1}{(q_0q_1+1)(q_1+1)}.$$

Then we will rearrange the fraction, resulting in

$$\delta < \frac{\frac{1}{q_1+1}}{q_0q_1+1} = \frac{1-\frac{q_1}{q_1+1}}{q_0q_1+1} = \frac{\frac{1}{q_1}-\frac{1}{q_1+1}}{q_0+\frac{1}{q_1}} = 1 - \left(\frac{q_0+\frac{1}{q_1+1}}{q_0+\frac{1}{q_1}}\right).$$

Using (3.2),

$$\delta = \left(1 - \frac{f'}{q_0 + \frac{1}{q_1}}\right) < \left(1 - \frac{q_0 + \frac{1}{q_1 + 1}}{q_0 + \frac{1}{q_1}}\right).$$

Solving for f', we have

$$f' > \left(q_0 + \frac{1}{q_1 + 1}\right).$$

Which gives us  $\langle q_0, q_1 + 1 \rangle < f'$ , as required.

 $Case \ 3 \quad m \geq 2 \ and \ even$ 

Suppose 
$$\delta < \frac{1}{\frac{3}{2}n_m d_m}$$
. Then by algebra, we have that

$$\delta < \frac{1}{\frac{3}{2}n_m d_m} < \frac{1}{n_m d_m}.$$

By Lemma 2.8, we know that  $d_m - d_{m-1} < d_m$ , therefore we get

$$\delta < \frac{1}{n_m(d_m - d_{m-1})}.$$

Since m is even

$$n_{m-1}d_{m-2} - n_{m-2}d_{m-1} = 1$$
, by (2.5)

and

$$n_m = q_m n_{m-1} + n_{m-2}$$
 and  $d_m = q_m d_{m-1} + d_{m-2}$ , by (2.7),

By substitution we get

$$\delta < \frac{n_{m-1}d_{m-2} - n_{m-2}d_{m-1}}{(q_m n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2} - d_{m-1})}.$$

We add

$$q_m d_{m-1} q_m n_{m-1} - q_m d_{m-1} q_m n_{m-1} + q_m d_{m-1} n_{m-2} - q_m d_{m-1} n_{m-2} + d_{m-1} q_m n_{m-1} - d_{m-1} q_m n_{m-1} + d_{m-2} q_m n_{m-1} + d_{m-2} q_m n_{m-1} + d_{m-2} q_m n_{m-1} - d_{m-2} q_m n_{m-1} + d_{m-2} n_{m-2} - d_{m-2} n_{m-2} = 0$$

into the numerator of the above inequality to get

$$\delta < \frac{\begin{pmatrix} q_m d_{m-1} q_m n_{m-1} & - & q_m d_{m-1} q_m n_{m-1} & + & q_m d_{m-1} n_{m-2} & - \\ q_m d_{m-1} n_{m-2} & + & d_{m-1} q_m n_{m-1} & - & d_{m-1} q_m n_{m-1} & + & d_{m-2} q_m n_{m-1} & - \\ \frac{d_{m-2} q_m n_{m-1} + d_{m-2} n_{m-2} - d_{m-2} n_{m-2} + n_{m-1} d_{m-2} - n_{m-2} d_{m-1} \\ - & \frac{d_{m-2} q_m n_{m-1} + d_{m-2} n_{m-2} - d_{m-2} n_{m-2} + n_{m-1} d_{m-2} - n_{m-2} d_{m-1}}{(q_m n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2} - d_{m-1})}.$$

Then by factoring, we have that

$$\delta < \frac{(q_m d_{m-1} - d_{m-1} + d_{m-2})(q_m n_{m-1} + n_{m-2}) - (q_m n_{m-1} - n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2})}{(q_m n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2} - d_{m-1})}.$$

Since

$$\frac{(q_m d_{m-1} - d_{m-1} + d_{m-2})(q_m n_{m-1} + n_{m-2}) - (q_m n_{m-1} - n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2})}{(q_m n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2} - d_{m-1})} = \frac{(q_m n_{m-1} - n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2})}{(q_m d_{m-1} - d_{m-1} + d_{m-2})(q_m d_{m-1} + n_{m-2})},$$

we can further simplify, and substitute from equation (2.7), we have

$$\delta < 1 - \frac{\frac{(q_m - 1)n_{m-1} + n_{m-2}}{(q_m - 1)d_{m-1} + d_{m-2}}}{\frac{q_m n_{m-1} + n_{m-2}}{q_m d_{m-1} + d_{m-2}}}.$$

By Equation (3.2) and equation (2.7), we have

$$\delta = 1 - \frac{f'}{f} = 1 - \frac{f'}{\langle q_0, q_1, \dots, q_m \rangle} = 1 - \frac{f'}{\frac{n_m}{d_m}} = 1 - \frac{f'}{\frac{q_m n_{m-1} + n_{m-2}}{q_m d_{m-1} + d_{m-2}}}$$

Thus, we are able to get that

$$\langle q_0, q_1, ..., q_m - 1 \rangle < f'.$$

Case 4  $m \geq 3$  and odd

Suppose  $\delta < \frac{1}{\frac{3}{2}n_m d_m}$ . By (2.9), we have that since

$$d_m + d_{m-1} < \frac{3}{2}d_m,$$

we can simplify the above  $\delta$  inequality to

$$\delta < \frac{1}{n_m(d_m + d_{m-1})}.$$

Since  $\frac{1}{\frac{3}{2}d_m} < \frac{1}{d_m + d_{m-1}}$ , we can use a similar analysis as in *Case 3*, resulting in

$$\delta < \frac{n_{m-2}d_{m-1} - n_{m-1}d_{m-2}}{(q_m n_{m-1} + n_{m-2})(q_m d_{m-1} + d_{m-2} + d_{m-1})},$$

Since m is odd, we get from Corollary 2.5 that

$$n_{m-2}d_{m-1} - n_{m-1}d_{m-2} = -(-1)^m = 1$$

and from Definition 2.3

$$n_m = q_m n_{m-1} + n_{m-2}$$
, and  
 $d_m = q_m d_{m-1} + d_{m-2}$ 

After a algebraic simplification similar to Case 3, we will achieve

$$\delta < 1 - \frac{\frac{(q_m + 1)n_{m-1} + n_{m-2}}{(q_m + 1)d_{m-1} + d_{m-2}}}{\frac{q_m n_{m-1} + d_{m-2}}{q_m d_{m-1} + d_{m-2}}}$$

By using (3.2), we will get

$$\delta = 1 - \frac{f'}{\langle q_0, q_1, ..., q_m \rangle} < 1 - \frac{\frac{(q_m + 1)n_{m-1} + m_{m-2}}{(q_m + 1)d_{m-1} + d_{m-2}}}{\langle q_0, q_1, ..., q_m \rangle}.$$

With simplification, it leads to

$$\langle q_0, q_1, \dots, q_m + 1 \rangle < f'.$$

After evaluating all four cases, we have sufficiently proven the proposition.  $\Box$ 

**Proposition 3.2.** Let m be even. Assume that  $f' \neq f$ , and that

$$\langle q_0, q_1, ..., q_m - 1 \rangle < f' < \langle q_0, q_1, ..., q_m \rangle.$$

Then  $q'_i = q_i$ , for  $0 \le i < m$ .

*Proof.* We will use induction on *i*. We will use i = 0, 1 for our base cases.

Base case i = 0

By the construction in (2.3),  $q_0 = \lfloor f \rfloor$ . Since  $q_0 < \lfloor f' \rfloor < q_0$ , we get that  $q'_0 = \lfloor f' \rfloor = q_0$ .

Base case  $2 \quad i = 1$ 

By the previous case, since  $q'_0 = q_0$ , by 2.10 and  $r'_0 = f' - q'_0$ , we have that

$$\langle q_1, q_2, ..., q_m \rangle < \frac{1}{r'_0} < \langle q_1, q_2, ..., q_m - 1 \rangle.$$

Therefore,  $q'_1 = \left\lfloor \frac{1}{r'_0} \right\rfloor = q_1.$ 

Case 1 i odd, i > 2

Assume true for i - 2. Therefore, we have

$$q_{i-2}' = \left\lfloor \frac{1}{r_{i-3}'} \right\rfloor = q_{i-2}$$

and

$$\langle q_{i-2}, q_{i-1}, ..., q_m \rangle < \frac{1}{r'_{i-3}} < \langle q_{i-2}, q_{i-1}, ..., q_m - 1 \rangle.$$

We will show that  $q'_i = q_i$ . Since  $q_{i-2} = q'_{i-2}$ , we use Lemma 2.10 and (2.3) to obtain

$$\langle q_{i-1}, q_i, ..., q_m - 1 \rangle < \frac{1}{r'_{i-2}} < \langle q_{i-1}, q_i, ..., q_m \rangle.$$

By the above inequality and (2.3), we have that

$$q_{i-1}' = \left\lfloor \frac{1}{r_{i-2}'} \right\rfloor = q_{i-1},$$

which leads us to  $q_{i-1} = q'_{i-1}$ . Repeating the same argument using Lemma 2.10 and (2.3), we obtain

$$\langle q_i, q_{i+1}, ..., q_m \rangle < \frac{1}{r'_{i-1}} < \langle q_i, q_{i+1}, ..., q_m - 1 \rangle.$$

Again, by the above inequality and (2.3), we have that

$$q_i' = \left\lfloor \frac{1}{r_{i-1}'} \right\rfloor = q_i,$$

which satisifies this case.

Case 2 i even,  $i > 2, i \neq m$ 

Assume true for i - 2. Therefore, we have

$$q_{i-2}' = \left\lfloor \frac{1}{r_{i-3}'} \right\rfloor = q_{i-2}$$

$$\langle q_{i-2}, q_{i-1}, ..., q_m - 1 \rangle < \frac{1}{r'_{i-3}} < \langle q_{i-2}, q_{i-1}, ..., q_m \rangle.$$

Using the same argument as the above case, we obtain

$$q_{i-1}' = \left\lfloor \frac{1}{r_{i-2}'} \right\rfloor = q_{i-1},$$

which satifies this case.

Through case by case analysis by induction, we have shown that for m even,  $q_i = q'_i$  for  $0 \le i < m$ .

Now, we will prove that the algorithm works for the  $m^{th}$  case. Since f' and f are rational numbers, we know that the continued fractions expansion for them are unique [3]. We claim that the algorithm will find f in at the  $m^{th}$  iteration. First, we must prove that  $q'_i = q_i$  for all  $0 \le i < m$ .

**Proposition 3.3.** Let m be odd. Assume that  $f' \neq f$ , and that

$$\langle q_0, q_1, ..., q_m + 1 \rangle < f' < \langle q_0, q_1, ..., q_m \rangle.$$

Then  $q'_i = q_i$  for  $0 \le i < m$  where the  $q'_i$  are quotients for f'.

The proof for this proposition is similar to the proof of Proposition 3.2.

**Theorem 3.4.** Let the following inequalities hold true:

$$\langle q_0, q_1, ..., q_{m-1}, q_m - 1 \rangle < f' < \langle q_0, q_1, ..., q_m \rangle,$$
 if *m* is even,  
 $\langle q_0, q_1, ..., q_{m-1}, q_m + 1 \rangle < f' < \langle q_0, q_1, ..., q_m \rangle,$  if *m* is odd.

Then the algorithm will find f at the  $m^{th}$  iteration.

*Proof.* We shall prove this by case analysis using induction.

Case 1 m = 0

From our hypothesis, we have

$$\langle q_0 - 1 \rangle < f' < \langle q_0 \rangle.$$

From (2.3), we generate  $q'_0$  for our first step in the algorithm. From the above inequality, we get that

$$q_0' = \lfloor f' \rfloor = q_0 - 1.$$

Since i = 0 is even, we add one to  $q'_0$ , resulting in  $q'_0 + 1 = (q_0 - 1) + 1 = q_0$ . The final step is to verify if the generated fraction is correct, which is immediate.

Case 2 m = 1

From our hypothesis, we assume

$$\langle q_0, q_1 + 1 \rangle < f' < \langle q_0, q_1 \rangle.$$

From Proposition 3.2 and Lemma 2.10, we obtain

$$\langle q_1 \rangle < \frac{1}{r'_0} < \langle q_1 + 1 \rangle.$$

By the inequality above, we get that

$$q_1' = \left\lfloor \frac{1}{r_0'} \right\rfloor = q_1$$

Next, we generate an expansion, and since i = 1 is odd, we have  $\langle q'_0, q'_1 \rangle = \langle q_0, q_1 \rangle$ , which is our desired fraction f.

 $Case \ 3 \quad m \geq 2, \ m \ even$ 

From our hypothesis, we assume

$$\langle q_0, q_1, ..., q_m - 1 \rangle < f' < \langle q_0, q_1, ..., q_m \rangle.$$

From Proposition 3.2 and Proposition 3.3, we have that  $q'_i = q_i$  for  $0 \le i < m$ . By this fact, we may assume that we can skip to the  $m^{th}$  iteration of the algorithm. Assume that we have

$$\langle q_0, q_1, \dots, q_{m-1} \rangle$$

Our next step is to generate  $q'_m$ . Using Proposition 3.2 and Proposition 3.3 repeatedly, we have

$$\langle q_{m-1}, q_m \rangle < \frac{1}{r'_{m-2}} < \langle q_{m-1}, q_m - 1 \rangle.$$

By using Lemma 2.10, we obtain

$$\langle q_m \rangle > \frac{1}{r'_{m-2}} - q_m > \langle q_m - 1 \rangle.$$

From (2.3), we have that  $\frac{1}{r'_{m-2}} - q_m = \frac{1}{r'_{m-1}}$ , giving us

$$\langle q_m - 1 \rangle < \frac{1}{r'_{m-1}} < \langle q_m \rangle.$$

Therefore, we get

$$q'_m = \left\lfloor \frac{1}{r'_{m-1}} \right\rfloor = q_m - 1.$$

Proceeding to the next step of the algorithm, since m is even, we add one to  $q'_m$ , resulting in the expansion

$$\langle q'_0, q'_1, ..., q'_m + 1 \rangle = \langle q_1, q_2, ..., q_m \rangle = f.$$

Hence, we have found f for m even on the  $m^{th}$  iteration of the algorithm.

Case 4  $m \ge 3, m \text{ odd}$ 

By a similar analysis from Case 3, we can obtain

$$q'_m = \left\lfloor \frac{1}{r'_{m-1}} \right\rfloor = q_m.$$

Proceeding to the next step of the algorithm, since m is odd, we get the expansion

$$\langle q'_0, q'_1, ..., q'_m \rangle = \langle q_1, q_2, ..., q_m \rangle = f.$$

Hence, we have found f for m odd.

**Theorem 3.5.** Suppose that we have f' and an oracle. If

$$f' = f(1 - \delta), \quad 0 \le \delta < \frac{1}{\frac{3}{2}n_m d_m},$$

where  $n_m$  and  $d_m$  is the numerator and denominator of f, then the continued fractions algorithm will find f from f'.

*Proof.* We begin by following the first step of the algorithm. We give f' to the oracle. If the oracle says that f' is not correct, then we can apply the results of Propositions 3.1, 3.2, 3.3, and Theorem 3.4 to find f from f'.

### 4 RSA Encryption System Background

Below here lies a simplified version of the RSA encryption system. Suppose that Alice wants to receive a message from Bob, but wants to prevent Eve, a third party, from intercepting it. From R. L. Rivest, A. Shamir, and I. Adleman's RSA paper [4], Alice is able to do this by this encryption scheme. First, she picks two prime numbers, p, q, of approximately the same size. Ideally she would want these two primes to be large. The modulus n = pq is the product of the two primes. Next, she needs to calculate  $\phi(n)$ , Euclid's Phi Function. Since n = pq, the product of two primes,

$$\phi(n) = (p-1)(q-1). \tag{4.1}$$

Alice would then need to pick a number e where  $1 \le e < \phi(n)$  and  $gcd(e, \phi(n)) = 1$ . The number e is called the *public exponent*. She would then need to calculate the *private* or *secret exponent* d where

$$ed \equiv 1 \pmod{\phi(n)}.$$
(4.2)

The numbers e, d are called modular inverses of each other. The number d can be calculated using modular arithmetic. From (4.2), we know that there exists an integer k such that

$$ed = k \cdot (p-1)(q-1) + 1.$$
 (4.3)

From here, Alice will send Bob the numbers (n, e), which is called the *public key*. Alice will not send or reveal to anybody the following numbers:  $p, q, \phi(n), d$ . Theoretically, since p, q are large,  $\phi(n)$  will be difficult to calculate, resulting in the prevention of dbeing calculated by third parties.

Encryption and decryption using RSA is described in the following simple model. Alice sends Bob the public key (n, e). Bob encrypts his message  $M \in \mathbb{Z}_+$  into a ciphertext C by  $C = M^e \pmod{n}$ . Bob will send C back to Alice, where she will decrypt by

$$C^d = M^{ed} = M \pmod{n}.$$

We have this equality from the fact that n is square free, and e, d are modular inverses mod  $\phi(n)$ . Since Eve is unable to calculate d, she will be unable to decrypt the message that she intercepts between Alice and Bob. This is a simple, yet necessary background of the standard encryption and decryption using the RSA cryptosystem.

### 5 Continued Fractions Algorithm Applied to RSA

In this section, we will apply the continued fractions algorithm to RSA, showing that if our secret exponent, d, is a certain size, then the algorithm will find the numbers d, p, q. In order for us to be able to apply the continued fractions algorithm to RSA, we must first see the relationship between RSA and the algorithm. We see this by manipulations from the original RSA paper.

Let us start with (4.3). We will divide this equation through by dpq, resulting in

$$\frac{e}{pq} = \frac{k\left[(p-1)(q-1) + \frac{1}{k}\right]}{dpq}.$$
(5.1)

With some algebra, we get

$$\frac{e}{pq} = \frac{k}{d} \left( 1 - \frac{p+q-1-\frac{1}{k}}{pq} \right).$$
(5.2)

If we set  $\delta = \frac{p+q-1-\frac{1}{k}}{pq}$ , we can rewrite (5.2) to

$$\frac{e}{pq} = \frac{k}{d}(1-\delta). \tag{5.3}$$

We must first justify that  $\delta \ge 0$ . Since k is a nonzero integer,  $\frac{1}{k} \le 1$ . Also, since p, q are big,  $p + q \ge 2 \ge 1 + \frac{1}{k}$ . Finally, since p, q are positive integers, pq > 0, we have

$$\delta = \frac{p+q-1-\frac{1}{k}}{pq} \ge 0.$$

Second, we know that gcd(k, d) = 1 since we get this directly from equation (4.3). Notice the similarities between (5.3) and (3.1). Let us define our  $f' = \frac{e}{pq}$ . Notice that f' is made entirely up with public information. Now that we have developed an f' that is translated from RSA terms, we must prove that our  $\delta$  is of proper size. That is, we will prove that if d is small, we will have  $\delta < \frac{1}{\frac{3}{2}n_m d_m}$ .

**Lemma 5.1.** Suppose p, q are primes, and p, q are approximately the same size. Let n, p, q, e, d be as above. Let  $d < \frac{1}{3}\sqrt[4]{n}$ . Then  $\delta < \frac{1}{\frac{3}{2}n_m d_m}$ .

*Proof.* First, we must clarify that we want the end result of the algorithm find the fraction where  $n_m = k$  and  $d_m = d$ . We get this from the second observation above. We will prove this Lemma by contradiction. Suppose that  $\delta > \frac{1}{\frac{3}{2}kd}$ . From (5.3), we get

$$\frac{p+q-1-\frac{1}{k}}{pq} > \frac{1}{\frac{3}{2}kd}$$

We know that

$$\frac{p+q}{pq} > \frac{p+q-1-\frac{1}{k}}{pq}$$

Therefore, we have that

$$\frac{p+q}{pq} > \frac{2}{3kd}$$

With further algebraic rearrangement, we have that

$$d > \frac{2pq}{3(p+q)k}.$$

Since p, q are approximately the same size,  $n = pq \approx p^2$  and  $p + q \approx 2p$ . Therefore,  $\frac{pq}{p+q} \approx \frac{p}{2} \approx \frac{\sqrt{n}}{2}$ . Therefore, we have

$$d \gtrsim \frac{\sqrt{n}}{3k}.\tag{5.4}$$

We have from (4.3) and the fact that  $k \ge 1$  that  $\phi(n)k < ed$ . Since  $e < \phi(n)$ , we get

$$\phi(n)k < ed < \frac{1}{3}\phi(n)\sqrt[4]{n}.$$

Therefore, we get the result that  $k < \frac{1}{3}\sqrt[4]{n}$ . We can combine this result with (5.4) to get

$$d \gtrsim \frac{\sqrt{n}}{\sqrt[4]{n}} = \sqrt[4]{n} \gg \frac{1}{3}\sqrt[4]{n},$$

contradicting the hypothesis that  $d < \frac{1}{3}\sqrt[4]{n}$ . Therefore, we have that if  $d < \frac{1}{3}\sqrt[4]{n}$ , then  $\delta < \frac{1}{\frac{3}{2}kd}$ .

Now that we know what size d must be in order for the continued fractions algorithm to generate  $\frac{k}{d}$ , we must now reveal our oracle so that we are able to check whether our generated fractions is correct or not. From (5.3), we know that our desired product from the algorithm to be  $\frac{k}{d}$ . Therefore, we will denote our candidates that are generated from the algorithm to be  $\frac{k'}{d'}$ . Since ed = k(p-1)(q-1) + 1 from (4.3), we can extract a guess for  $\phi(n)$  from this equation. We will denote our guess as  $\phi(n)'$ , where

$$\phi(n)' = \frac{ed'-1}{k'}.$$

This is possible since we already know e and have generated d', k' from the algorithm. Since

$$(x-p)(x-q) = x^{2} - px - qx + pq = x^{2} - (n - \phi(n) + 1)x + n,$$

we can use our candidate  $\phi(n)'$  in this equation. Substituting our candidate into the equation, resulting in

$$x^{2} - (n - \phi(n)' + 1)x + n = 0.$$

We are able to find the roots to this equation since it is a quadratic polynomial. Suppose we get

$$x = p', \quad x = q',$$

as our roots. If  $p', q' \notin \mathbb{Z}$ , then we have not found our  $\frac{k}{d}$ , since our p, q are positive integers. Therefore, we move on to the next iteration of the algorithm. If we have  $p', q' \in \mathbb{Z}$  and p'q' = pq, then we have found our  $\frac{k}{d}$  since p, q are unique roots to the polynomial.

**Theorem 5.2.** Suppose p, q are primes, and p, q are approximately the same size. Let n = pq and let  $1 \le d, e < \phi(n)$  satisfy  $ed \equiv 1 \pmod{\phi(n)}$ . If  $d < \frac{1}{3}\sqrt[4]{n}$ , then d can be calculated from the continued fractions algorithm, thus p, q.

*Proof.* We start off with the public key (n, e). Since  $d < \frac{1}{3}\sqrt[4]{n}$ , by Lemma 5.1, we have that  $\delta < \frac{1}{\frac{3}{2}kd}$ . Therefore, the fraction  $\frac{k}{d}$  can be generated from the continued fractions algorithm. Using the oracle above that we have defined, and Theorem 3.5, we have shown that we can produce p, q from only public key information.

### 6 Polynomial Time

We have sufficiently proven that our algorithm will discover a fraction f from f'when f' is an underestimate, from (3.1), and  $\delta$  is of proper size. When this algorithm is applied to RSA where the secret exponent d is small, the algorithm leads to the discovery of d, p, q. In application to breaking codes, it is important to note that this discovery should take place in a length of time that is reasonable. For example, if RSA is used to protect credit card information, you would want to obtain the information before the credit card expires. Using a brute force attack against RSA to discover  $\phi(n)$ might take a very long time. Fortunately, this algorithm runs in polynomial time, thus allowing us to break an RSA key in polynomial time. The following proof is taken from [2].

**Proposition 6.1.** The Euclidean Algorithm finds the continued fraction expansion for  $\frac{a}{b}$  for  $a \ge b$ .

*Proof.* Let

$$(c_{-1}, c_0) = (a, b)$$
  
 $(p_{-1}, p_0) = (1, 0)$   
 $(q_{-1}, q_0) = (0, 1).$ 

We will set the counter t = 1. We will repeat the following steps until  $c_t = 0$ .

$$d_t = \left\lfloor \frac{c_{t-2}}{c_{t-1}} \right\rfloor$$
$$c_t = c_{t-2} - d_t c_{t-1}$$
$$p_t = p_{t-2} - d_t p_{t-2}$$
$$q_t = q_{t-2} - d_t q_{t-1}.$$
$$t = t+1$$

When  $c_t = 0$ , the iteration stops. Then set T = t. The previous is the set up for the proof. We shall use induction on t.

Base case t = 0

$$\beta = \frac{a}{b} = \frac{c_{-1}}{c_0}.$$

#### Induction step

Assume  $\beta = \langle d_1, d_2, ..., d_{t-1}; \frac{c_{t-2}}{c_{t-1}} \rangle$ . We will show that

$$\beta = \langle d_1, d_2, ..., d_{t-1}, d_r; \frac{c_{t-1}}{c_t} \rangle.$$

We will expand  $\frac{1}{x}$  where  $x = \frac{c_{t-2}}{c_{t-1}}$ . Therefore, we have

$$\frac{1}{x} = \frac{1}{\left(\frac{c_{t-2}}{c_{t-1}}\right)} = \left(\frac{c_{t-2}}{c_{t-1}}\right)^{-1} = \left(\left\lfloor\frac{c_{t-2}}{c_{t-1}}\right\rfloor + \frac{c_{t-2}}{c_{t-1}} - \left\lfloor\frac{c_{t-2}}{c_{t-1}}\right\rfloor\right)^{-1}.$$

With rearrangement by common denominator, we have

$$\left( \left\lfloor \frac{c_{t-2}}{c_{t-1}} \right\rfloor + \frac{c_{t-2} - \left\lfloor \frac{c_{t-2}}{c_{t-1}} \right\rfloor c_{t-1}}{c_{t-1}} \right)^{-1} = \left( d_r + \frac{c_t}{c_{t-1}} \right)^{-1} = \left( d_r + \frac{1}{\left( \frac{c_{t-1}}{c_t} \right)} \right)^{-1}.$$

Therefore, we have that

$$\beta = \langle d_1, d_2, ..., d_{t-1}, d_r; \frac{c_{t-1}}{c_t} \rangle.$$

When t = T, then  $c_T = 0$ , which leaves

$$\left(d_T + \frac{c_T}{c_{T-1}}\right)^{-1} = d_T^{-1}.$$

This implies that

$$\beta = \langle d_1, d_2, ..., d_{T-1}, d_T \rangle = \frac{a}{b}.$$

Therefore, the Euclidean Algorithm is done in polynomial time.

This results leaves us directly to our desired result.

Corollary 6.2. The continued fraction expansion is found in polynomial time.

*Proof.* Since the continued fraction expansion is found by the same algorithm as Euclidean Algorithm, it is found in the same time as the Euclidean Algorithm. By 6.1, since the Euclidean Algorithm takes polynomial time, implying that the continued fraction expansion is found in polynomial time.  $\Box$ 

Hence, we are able to break short secret exponents RSA encryption using continued fractions in polynomial time.

# 7 Appendix

### 7.1 Examples

In this section, the continued fractions algorithm is going to be applied to a small RSA key pair to illustrate the operation. For this example, we give

$$pq = 41626477$$
 and  $e = 9247217$ .

We will show each step of the continued fractions algorithm applied to RSA for the public key, starting with

$$\frac{e}{pq} = \frac{9247217}{41626477} = \langle 0, 4, 1, 1, 164, 1, 1, 1, 1, 1, 7, 9, 1, 5, 1, 1, 3 \rangle$$

in Table 1. The attack on the public key (n, e) produces the following result:

$$d = 9, \quad p = 9719, \quad q = 4283, \quad k = 2, \quad g = 1.$$

For further verification, Appendix A contains an RSA key generator that produces keys that can be placed into a python program in Appendix B.

Table 1: Example								
Calculated Quantity	How it is Derived	i = 0	i = 1	i = 2				
$q_i'$	See $(2.3)$	0	4	1				
$r_i'$	See $(2.3)$	$\frac{9247217}{41626477}$	$\frac{9247217}{4637609}$	$\frac{4609608}{4637609}$				
$\frac{n_i'}{d_i'} = \langle q_0', q_1',, q_i' \rangle$	See $(2.7)$	$\frac{0}{1}$	$\frac{1}{4}$	$\frac{1}{5}$				
Guess of $\frac{k}{dg}$	From Section 3	$\frac{1}{1}$	$\frac{1}{4}$	$\frac{2}{9}$				
Guess of $\phi(pq)$	From Section 3	9247217	36988868	41612476				
Guess of $p$	From Section 3	21309240.778	4637601.024	9719				
Guess of $q$	From Section 3	(quit)	(quit)	4283				
d	$rac{dg}{g}$			9				

### 7.2 Appendix A

We have included a RSA key generator that can produce primes of length  $10^n$  digits and give you the modulus, along with the public and secret exponents. This is written in Python programming language, using Sage version 4.3.1.

```
import random
def extEuclideanAlg(a, b) :
    """Computes a solution to a x + b y = gcd(a,b), as well as gcd(a,b)
    """
    if b == 0 :
        return 1,0,a
    else :
```

```
x, y, gcd = extEuclideanAlg(b, a % b)
```

```
return y, x - y * (a // b),gcd
```

```
def modInvEuclid(a,m) :
```

```
"""Computes the modular multiplicative inverse of a modulo m,
    using the extended Euclidean algorithm
    .....
   x,y,gcd = extEuclideanAlg(a,m)
    if gcd == 1:
        return x % m
    else :
        return None
def RSAKeyGen(n):
    loopend = 0
    evaltest = 0
    while evaltest==0:
        while loopend ==0:
            x = random.randint(10^n, 10^(n+1))
            p = next_prime(x)
            y = random.randint(10^n, 10^(n+1))
            q = next_prime(y)
            m = p*q
```

```
phi = (p-1)*(q-1)
        d_length = int(n/4)
        d = random.randint(10<sup>(d_length)</sup>, 10<sup>(d_length + 1)</sup>)
        if d < 1/3 * (m)^{(1/4)}:
            if gcd(d,phi) == 1:
                 loopend =1
    e = modInvEuclid(d, phi)
    if e== None:
        evaltest = 0
        loopend = 0
    if e != None:
        evaltest = 1
print "The primes are", n, "digits in length"
print "This is your p value:", p
print "This is your q value:", q
print "This is your modulus:", m
print "This is your secret exponent:", d
print "This is your public exponent:", e
```

### 7.3 Appendix B

We have included a program of the algorithm applied to RSA, written in Python programming language, using Sage version 4.3.1.

```
def SmallExponentAttack(n, e):
```

"""This algorithm is a modified version of Michael Wiener, Wade Trappe, and Lawrence Washington's attack on short secret exponents of RSA encryption system. Michael Wiener's version of his attack is found in his paper "Cryptanalysis of Short RSA Secret Exponents. The paper can be found in the "IEEE Transaction on Information Theory", Volume 36, Number 3, May 1990, starting on page 553. Trappe and Washington's version can be found in their book "Introduction to Cryptography with Coding Theory", Second Edition, starting on page 170.

In order for this program to find the private decryption key from the public encryption key, the private decryption key, d, must be below the specified size that this paper has discussed. The input for this program is the standard form of the public key, (n, e), where n is the modulus and e is the public encryption exponent.

This program will use a continued fractions algorithm starting off with the continued fractions expansion for the public key, where 'e' will be the numerator and 'n' will be the denominator. We will call this expansion 'x' in this program. The program will set the checker to 0. This will allow us to check to see whether the algorithm will actually find a plausible solution.

In accordance with Wiener's paper, this program will perform his algorithm to attempt to find a suitable candidate for inspection to determine whether the algorithm has found the private key. The program has already generated 'x' from the public key. Next, it will truncate the fraction 'x', starting from having only one quotient value, 'q\_0', to the entire fraction 'x'. If the last quotient in the expansion is on an even slot, then the program will add one to its value. Otherwise, it will keep the quotient value as it is. Then the program will proceed to determine whether the fraction generated can lead to the private key. Using Trappe and Washington's method to verify the candidate for the factors of the modulus, the program proposes a candidate. If the candidate fails, it will continue the loop with the next quotient slot. If the candidate succeeds though, it will print the results.

If the program progresses through the entire continued fractions expansion 'x', and does not have a suitable candidate, then the program will print 'No solution for this public key.' """

```
x = continued_fraction_list(e / n)
print "Our continued fraction is:"
print x
for i in range(len(x)):
    if i%2==0:
        y_1 = x[i] + 1
       y = x[0:i] + [y_1]
        a = convergent(y,i)
    else:
        y = x[0:i+1]
        a = convergent(y,i)
    k_1 = a.numerator()
    d = a.denominator()
    phi_1 = ((e*d)-1)/k_1
    p = quad1(n, phi_1)
    q = quad2(n, phi_1)
    if p * q == n:
        print "We have found the solution."
        print "This is our p value:", p
        print "This is our q value:", q
        print "This is our d value (private exponent):", d
        return
```

print "There is no solution for this public key."

```
def quad1(n, phi):
    """This is a quadratic equation solver that will solve for a
   root of a given candidate phi."""
   b = -(n - phi + 1)
    c = n
    sol1 = (-b + sqrt(b^2 - 4*c))/2
    if type(sol1) == sage.symbolic.expression.Expression:
        sol1 = 0
        return sol1
    else:
        return sol1
def quad2(n, phi):
    """This is a quadratic equation solver that will solve for a
   root of a given candidate phi."""
   b = -(n - phi + 1)
    c = n
    sol2 = (-b - sqrt(b^2 - 4*c))/2
    if type(sol2) == sage.symbolic.expression.Expression:
        sol2 = 0
        return sol2
    else:
```

return sol2

### 7.4 Appendix C

In this Appendix, we have listed the keys and the lengths of primes that were generated through the key generator program in Appendix A. We will use these keys to run through our program to measure the average time to complete the algorithm. These results are covered in Appendix D.

The primes are 10 digits in length.

This is your p value: 46109347829

This is your q value: 78894873221

This is your modulus: 3637791151271946587209

This is your secret exponent: 433

This is your public exponent: 1024966559907452583537

The primes are 20 digits in length.

This is your p value: 934302731369511230053

This is your q value: 107725948742424571621

This is your modulus: 100648648149419240655445128876247306125913

This is your secret exponent: 386141

This is your public exponent: 63124841984731876961222306891783219044661

The primes are 30 digits in length.

This is your p value: 9887158870142658718047856176023

This is your q value: 6888662994112943953886773343059

This is your modulus: 6810930542566727942728834123498207824420731015847893566 9274357

This is your secret exponent: 85694311

This is your public exponent: 52791135208358055498914739323251738393599629875 076479355470459

The primes are 40 digits in length.

This is your p value: 13205191735070503533522115328056902631643 This is your q value: 79486894339594100967419465408530368096983 This is your modulus: 1049639680179630412269363440709346936377581585696977095 062265598901225822548633069

This is your secret exponent: 93140865639

This is your public exponent: 507089168647920650705537177250123658631994131950

464107619506525394426379758387559

The primes are 50 digits in length.

This is your p value: 844455667407145320224667950468929087799316857872103 This is your q value: 971371052487258472662475293496365079311583562692163 This is your modulus: 8202797904281090407554894301225273942189644956487256484 39075920093197977857244429432013147466214428789

This is your secret exponent: 9288018680195

This is your public exponent: 6555602504738429484837506767693078356606995416345 27971517611876942291774249858415857091335390788233495 The primes are 60 digits in length.

This is your p value: 9619567266759922233777618523406963094026174850438559217 641961

This is your q value: 2533405596739912797905967880792331632633442688887709322 058081

This is your modulus: 2437026555182565270657003714505511153822392810492663046 9510797372449654144346813686210374830681051864669285595980304736841

This is your secret exponent: 7544190177959629

This is your public exponent: 2392135019577775989694352272961586445042029338945 3706097716214509957882041165616677738568529177425256575168932805967083269

The primes are 70 digits in length.

This is your p value: 38944640445003509856852015306024034510122229129747852989 433334899610759

This is your q value: 97681556193332181665196200301903514494677023604248487081 725075915292047

This is your modulus: 3804173084057727569677808076248609342224052854541750114 949436170166832714603017552802578072687522212536345058364909473395946220383 196108333673

This is your secret exponent: 328912203630965819

 This is your public exponent:
 1521552551702992527495266329483716994687439002027

 633768029214345378073164880622283877536278623939931646219284033044951855488

#### 75735320058015427

The primes are 80 digits in length.

This is your p value: 73150429776657589083716358596936299768851311501702201881

3432830869302769384572709

This is your q value: 50589980362354510859238256409367387173461655011031688245 7458165938529893648493903

This is your modulus: 3700678805898900099457246774194362226700520286050236232 763369271053902427507875627103000409526548647795315867534764736221232920069 74459222098721235400601046693227

This is your secret exponent: 300070249244721159241

This is your public exponent: 3069154869326397209073525641253705938314736744351 227810022112614582503327470074812363409838372708139155914059353367361474235 11498506544920619320405128548025557377

The primes are 90 digits in length.

This is your p value: 231990689166172703932876356774097314014043327776569742810 8007137474585373395399145721501899

This is your q value: 156070942579247553259525515034561971718302230751615462060 4511677982990743678913558189313427

This is your modulus: 36207005527773807515987769988847502115785352960518696040 227923319328322039691179011204415230358989828918737378985529213618401814813 60590135450554719921903067423926663256554086697873 This is your secret exponent: 41075147631741792008819 This is your public exponent: 3602467456413542078262491876151097706364901377696 510998123464094628231972403466274186947416351395807416018633643623564379117 082610553333226523925246230283906461869113221741034643331

The primes are 100 digits in length.

This is your p value: 74213394744938727254106462031659198278301080189827176834 371974416507473490047085704054823204670209509

This is your q value: 72653620388150074022408737100172857883354381920328470240 223597812542716198113865385647449531516471197

This is your modulus: 5391871809514709876905700893290517267661079001984716726 503596423142917776048110636924456843611133720703646572497047237137530301791 298325326951555891779417842087894961752120007859372415413189374354012273 This is your secret exponent: 74326535602011313776656669 This is your public exponent: 3774186789318389854227932196912734190538812296961 596861147928472309801557445790745783875876390556697765911248913350373810459 938049477554510186277861261556438285662760632120018264264580898117837711679 557

The primes are 110 digits in length.

This is your p value: 816253795661765796429886098538177015763201227900073089850 957201232311719813593489728346859551329736537401504993

This is your q value: 108456658972051873905431223063537201511456798269459365576

This is your modulus: 8852815955073104831493416701140404110332155301497970155 

This is your secret exponent: 4939923303153478550097749713 This is your public exponent: 2800033234362677558114277349702269555715028760765 

The primes are 120 digits in length.

This is your p value: 948486960217796347428690297066244461578007072003558765889 This is your q value: 215438702759096176075523147595011595562712478227647199029 This is your modulus: 20434080029324050694920413032830487714088295414660214776 

This is your secret exponent: 3520749533844797297612490561341

This is your public exponent: 1893197989429586622955666475377234684255181658361  The primes are 130 digits in length.

This is your p value: 90251874856497319268147689174390786153975145311819628542 

This is your q value: 482751815949046337858262390481317058604059565504329418 01This is your modulus: 43569256479780156736733739998506151924182957460738301 This is your secret exponent: 127589387268597951315123806196567 This is your public exponent: 3927964927924045986256453794975810604726686892521 

The primes are 140 digits in length.

This is your p value: 26952417564215204171831631317878181174583743128972126188  This is your q value: 37033442288024320629760778617025147467232709709732166091 

This is your modulus: 9981408003870967974784007382803453112568591102279749149 

This is your secret exponent: 728971783812315646258642582386548539 This is your public exponent: 3247008936895502688948650241553489493737127293056 

The primes are 150 digits in length.

This is your p value: 46277993696056904889637150752134051376531659112026619424 

This is your q value: 34535344275876905948438705192665767311814136661751043822 

This is your modulus: 1598226444690186368308431081239831565857086899418846304

This is your secret exponent: 74632502443571391317895000506799405433 This is your public exponent: 4717762022839780011132017944500574427900421442870 

### 7.5 Appendix D

In this Appendix, we cover the results of time test of the keys from Appendix C. These tests were run on an iMac, Mac OS X 10.6.2, 3.06 GHz Intel Core 2 Duo, 4 GB 1067 MHz Ram, on Sage v.4.3.1. Each key was run through 5 loops.

Primes	Modulus	Public Exponent	Average Time(s)
10	22	22	0.120
20	42	41	0.193
30	62	62	0.351
40	82	81	0.572
50	102	102	0.847
60	122	122	0.925
70	142	141	1.14
80	162	162	1.56
90	181	181	2.02
100	202	202	2.08
110	221	221	2.58
120	242	242	2.90
130	262	262	3.27
140	281	281	3.66
150	302	301	4.27

 Table 2: Time Chart for Algorithm Completion

Putting the length of the primes versus the average in seconds in the graph, we



Figure 1: Length of Primes versus Average Time of Completion in Seconds can see visual evidence that the algorithm runs in polynomial time.

# References

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