

**HIGH-THROUGHPUT SCREENING IDENTIFIES MICRORNAS
THAT TARGET NOX2 AND IMPROVE FUNCTION FOLLOWING
ACUTE MYOCARDIAL INFARCTION**

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The Academic Faculty

by

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detonation nanodiamonds

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LIST OF SYMBOLS AND ABBREVIATIONS

SAMCell	self-assembled cell microarray
miRNA	microRNA
ECM	extracellular matrix
RNAi	RNA interference
mRNA	messenger RNA
siRNA	small interfering RNA
pri-miRNA	primary microRNA
pre-miRNA	precursor microRNA
RISC	RNA induced silencing complex
UTR	untranslated regions
HTS	high-throughput screening
eGFP	enhanced green fluorescent protein
PNI	Poly (N-isopropylacrylamide)
PR	positive representative
NR	negative representative
FC	fold change

NC	negative control
No.	number
HF	heart failure
MI	myocardial infaction
GO	gene ontology
PPIs	protein-protein interactions
DND	detonation nanodiamonds
Dox	doxorubicin
PLT	platelet count
RDW-CV	red blood cell distribution width
RBC	red blood cell counts
HCT	hematocrit
MCV	mean corpuscular volume
MHC	hemoglobin
MCHC	mean corpuscular hemoglobin concentration
WBC	white blood cell counts
NEU	neutrophil counts

LYM	lymphocyte counts
uGLU	urine glucose
uPRO	urine protein levels
uLEU	urine leukocytes
uERY	urine erythrocytes

SUMMARY

MicroRNAs (miRNAs) are small non-coding RNAs that can regulate gene expression by inducing either degradation or translational inhibition of a target mRNA. miRNAs have been indicated to regulate up to 90% of human genes and played significant role in heart diseases.

Myocardial infarction (MI) is the most common cause of heart failure. Excessive production of reactive oxygen species (ROS) plays a key role in the pathogenesis of cardiac remodeling after MI. NADPH with Nox2 as the catalytic subunit is a major source for cardiac superoxide production. Nox2 expression is significantly increased in the infarcted area, especially in macrophages and myocytes. Mice lacking the Nox2 gene are protected from heart injury.

Here we demonstrate to utilize the screening of the miRNA-targets using self-assembled cell (SAMcell) microarray to identify miRNAs that could regulate Nox2 expression and select three miRNAs, miR-106b, miR-148b, and miR-204, for further study. We use different in vitro assays to validate these miRNAs function on Nox2 expression and downstream products. After that we use acid-degradable polyketal particles that could effectively encapsulate miRNAs and deliver them into macrophages. Both in vitro and in vivo studies confirmed the PK3-miRNAs particles could inhibit Nox2 expression and activity, and significantly improve cardiac function. These results revealed new miRNAs for heart disease treatment and provided an effective strategy from miRNAs identify to in vivo delivery.

Our study successfully combine the miRNAs high throughput screening system and macrophages specific delivery system and establish an easy and efficient method from screening to drug delivery. We validate this system and the selected miRNAs functions both in vitro and in vivo. This provides us new evidence and directions for not only myocardial infarction recovery, but also other inflammation related diseases.

CHAPTER 1. INTRODUCTION

1.1 The Significance of Cardiovascular Disease and Research:

Currently in the world, cardiovascular disease (CVD) is the major cause of death. Of those CVD related mortalities, deaths from heart attacks, which also be known as myocardial infarction (MI), contribute to the largest percentage. In 2013, more than half million Americans had a heart attack for the first time, while half of those had a recurrent attack; another 150,000 silent attacks went undiscovered, that means totaling about one attack every thirty-four seconds, while approximately one people would die because of a heart attack every minute^[1].

Despite these appalling statistics, progresses in patient healthcare have raised the possibility to survive after an acute MI. However, structural responses of the heart during wound healing may lead to progressive heart failure. Cardiac remodeling, the host of structural changes, offers a new window of therapy. It aims at limiting these adverse

remodeling events and improving patient outcomes of therapy. Therefore, extensive research into the pivotal events and mediators of the cardiac remodeling process is in highly demand to exploit and improve current therapies.

1.2 Ischemic Injury After Myocardial Infarction

Myocardial infarction is a kind of ischemic disease. It's usually followed by plaque or thrombus formation inside a coronary vessel. Consequently, the blood flow to the downstream myocardium will be disrupted^[2] (Figure 1-1). The term "ischemia" means to "restrain blood" or "without blood." Nowadays, investigators specifically define ischemia in the heart as an insufficient in the supplement of substrates and oxygen to meet the requirements in order to maintain normal function of myocardium^{[2][4][5]}. Ischemia is different from "hypoxia". There is a accumulation of metabolic wastes in ischemic area as a result of the limited blood flow, which does not happen during hypoxia. This will excessively contributes to ischemic damage^[5]. Following MI, the shortage supplement of

oxygenated blood to the ischemic area results in a fast decrease in oxygen level that is available to the myocardium. This will reduce the oxidative phosphorylation rate by the mitochondria of cardiac myocytes and consequently, ATP production. In order to preserve function, cardiac myocytes, which are essential contractile cells for the heart, depend mostly on a less efficient, but normal, anaerobic glycolysis to generate ATP. However, contractile disorders are unavoidable. Because the consumption of ATP stores will result in failure of Na^+/K^- ATPases, disturbed Ca^{2+} handling and eventually the loss of the homeostatic intracellular environment. Areas of reversible myocyte dysfunction will transit into a zone of irreversible ischemic damage without the restoration of oxygenated blood flow. This area is defined as the “infarct zone,” marked with cell death by necrosis, apoptosis and autophagy^[6]. After this, infarct scar is developed to stabilize the necrotic region and prevent ventricular rupture, as the result of activation of the cardiac wound healing process. In severe cases of MI, maladaptive cardiac remodeling responses that keep the heart in a state of chronic dysfunction is caused by extensive myocyte loss.

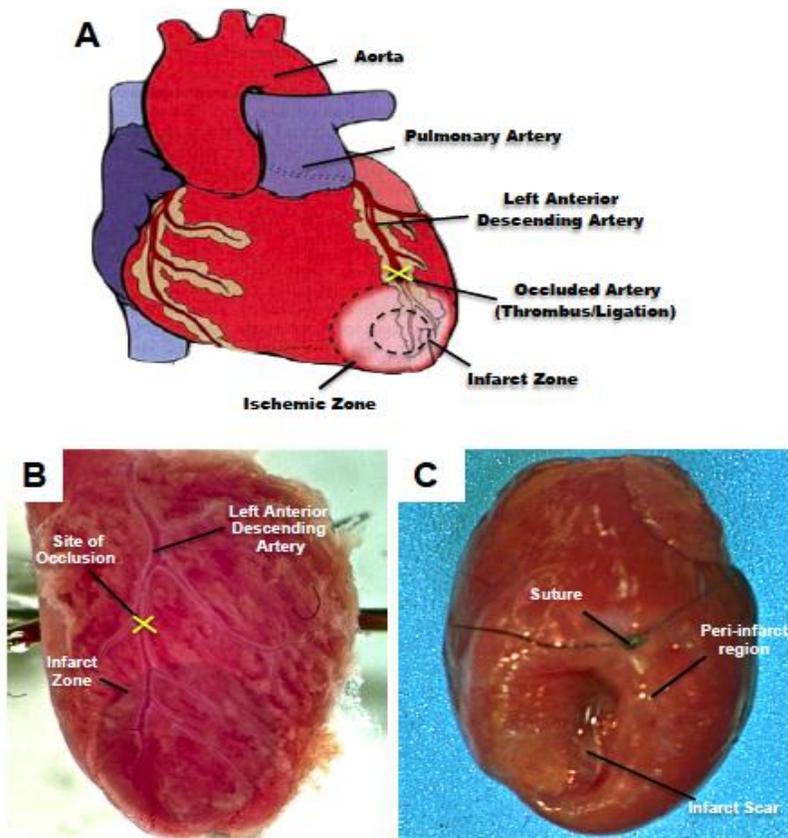


Figure 1-1: Schematic diagram of myocardial infarction. A, Occlusion of the left anterior descending artery (LAD) leads to a shortage supply of blood and substrates to the downstream myocardium known as myocardial “ischemia”. B, A mouse model of MI injury and repair has been developed which utilizes surgical ligation of the LAD artery to trigger a wave of necrosis in the downstream myocardium, refers to the infarct zone, leading to the formation of a infarct scar C, on the antero-apical wall of the left ventricle.

Besides of the challenging techniques needed to induce MI, the progression and prognosis of post-MI remodeling is a complex disease to research because of a range of stimuli from different sources. Despite of this fact, a MI model has still been generated in different species including the monkey, pig, dog, cat, rabbit, rat, and mouse^[10-13]. Canonically, animal models of MI are produced via surgical induction of coronary artery occlusion. This is most commonly done with the left anterior descending (LAD) artery to block the flow of blood to the anterior wall and apex of the left ventricle (LV) (Figure 1-1).

1.3 Inflammation

Hearts are unable to regenerate functional myocytes, which might be the major complication to treat an MI. This also makes it incapable for hearts to be fully recovered from irreversible ischemic damage and vulnerable to heart failure. Consequently, the process becomes unavoidable that wound healing is quickly initiated to take place and enforce the ischemic regions via developing an infarct scar. During this process, cells from the

inflammatory system work together with wound healing cells through chemical modulators, such as cytokines, inducing the activation and orchestration of the wound repairing process.

Following MI, the transcription factor Nuclear Factor (NF) in resident cells will be activated by the necrosis in the infarcted region. This also triggers the production of chemokines (i.e. Monocyte Chemoattractant Protein (MCP)-1), cytokines (i.e. Tumor Necrosis Factor (TNF), interleukin), and adhesion molecules^[14-16]. These chemokines and cytokines induce related signaling pathways and establish a “pro-inflammatory” environment, marked by extensive degradation of extracellular matrix (ECM) via activation of matrix metalloproteases (MMPs), which are secreted by cardiac fibroblasts (CFs). There are also other kind of cells that targeted to the injury site, including the pro-inflammatory M1 macrophages, mast cells, neutrophils, and lymphocytes. These cells will begin to clear of cellular debris in the infarcted area. Once finished, apoptosis of these recruited neutrophils activate expression of IL-10 and transforming growth factor (TGF) from macrophages. Consequently, the inflammatory processes will be repressed^[17-18].

These inflammatory chemokines also initiate the proliferation of cardiac fibroblast and endothelial cell proliferation 错误!未找到引用源。 错误!未找到引用源。. During this process, cytokines such as TGF- β stimulate the transition from the pro-inflammatory M1 macrophage to the M2 macrophage. This wound healing process helps in repair following MI and express enzymes important for ECM remodeling 错误!未找到引用源。. Moreover, TGF- β and other hormones, which commonly expressed in the tissue after MI such as angiotensin II (Ang II), also induce cardiac fibroblast differentiation and activation into myofibroblasts. This kind of cells secrete large amounts of collagen, mostly type I and type III, to preserve the infarcted area structural integrity and prevent ventricular rupture. This is fulfilled by form a new microvascular network via endothelial cells^[20-21]. Following wound stabilization, a mature, densely arranged collagenous infarct scar is formed by fibroblasts apoptosis and inflammatory process maturation 错误!未找到引用源。.

1.4 Cardiac Remodelling

The cardiac remodeling is described as the geometrical changes happening in the LV of post-MI hearts, more specifically referring to dilation of the LV cavity^{错误!未找到引用源。}. Up to now, large amounts of studies have expanded the principle of cardiac remodeling. Now it is defined as a variety of clinical scenarios resulting into downstream different paths of pathological remodeling. However, all of these converge on one common outcome, ventricular dysfunction^{错误!未找到引用源。}.

The cardiac remodeling process post-MI is more complex compared to other CVDs. Because there are various types of inflammatory imposed to the myocardium, as well as biomechanical stress. These are not happened in other disease. The post-MI remodeling process is so complex that includes responses for infarct expansion, pressure overload, and subsequent raise in wall-stress. However, these responses eventually push the patient into serious dilated heart failure (Figure 1-2)^[23-24]. Early compensatory cardiac hypertrophy, wall thinning and LV chamber dilation, infarct expansion, and tissue fibrosis are resulted from heart failure related geometrical changes. All of these could be visualized and quantified by

in vivo imaging techniques such as echocardiography ^{错误!未找到引用源。}. Typically, parameters, like interstitial collagen, volume of LV end-diastolic, area of myocyte cross-sectional, can be used as good indicators of pathological cardiac remodeling^[26-29].

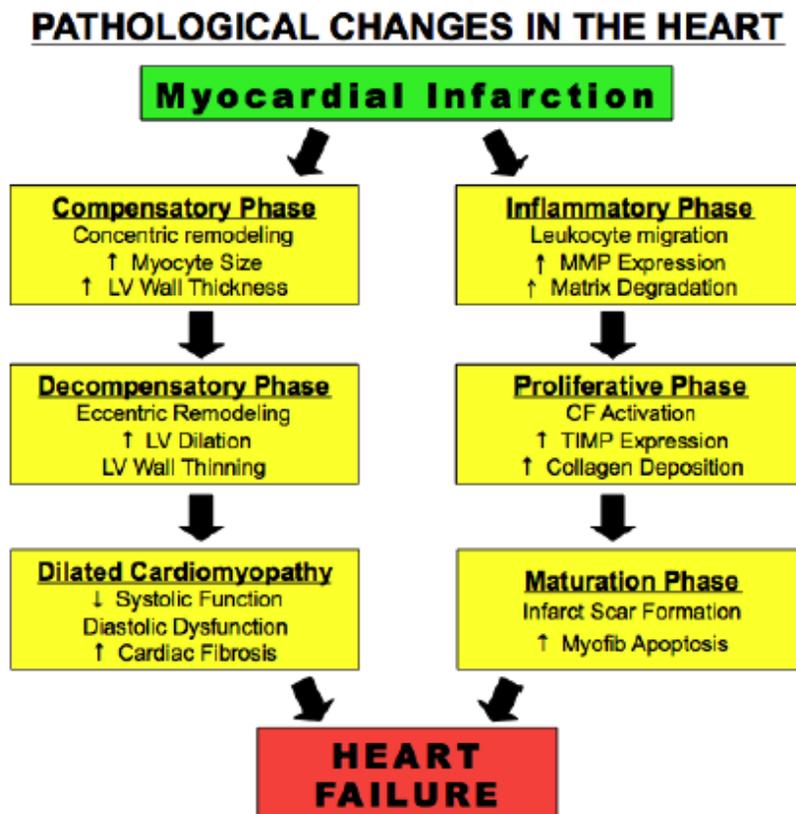


Figure 1-2: Model of pathological responses by the heart to MI injury. Following severe cases of MI, a series of temporal wound healing responses at the molecular, cellular and

tissue level occur, leading to adverse cardiac remodeling events and results in dilated cardiomyopathy, cardiac fibrosis and eventual heart failure.

Following MI, it's in a higher functional demand on the remaining viable, non-infarcted myocardium to maintain enough cardiac function, due to heart myocytes lost in the infarcted areas. Hypertrophy is a compensatory response activated related to this increased mechanical demand. This will result into an increase in the amount of contractile units (sarcomeres) per cardiac myocyte and thickness of myocardial wall. These changes help heart to maintain cardiac function and normalize wall-stress (Law of Laplace), respectively^[23-24]. Besides of these physical factors, other various types of stimulations, including the hormones, growth factors such as insulin-like growth factor-1 (IGF-1), fibroblast growth factor (FGF) and cytokines like TGF- β also contribute to cardiac myocyte hypertrophy. These stimulations are frequently presented in the post-MI heart, activating different kinds of signaling pathways within myocytes. Cardiac myocyte hypertrophy is marked by increased volume and number of cells, enhanced protein expression, which is consistent with the gene expression profile in the developing fetal heart 错误!未找到引用源。.

Eventually, because of the fact that increased expression and synthesis of contractile proteins cannot be maintained, the compensatory hypertrophy in non-infarcted areas reduces. The remaining viable myocardium sustains reduced contractility. This leads to a decline in cardiac function and subsequent LV volume overload. As LV end-diastolic volume and pressure raises, LV wall thins because myocytes are forced to stretch and expand the infarcted regions. Meanwhile, these can further increase LV chamber dilation and wall stress. Other factors, such as apoptosis, myocytes rupture, decline in the intercellular space, also contributing to wall-thinning and infarct area expansion^[24]. In previous post-MI studies performed on mice, an increase in LV end-diastolic volume and wall-thinning outside of infarcted areas had been demonstrated as early as 18 days after coronary artery ligation 错误!未找到引用源。. During this time point, the heart is in an irreversible progression towards heart failure. Meanwhile, continued biomechanical and chemical stress, serving as a chronic inducer of cardiac fibroblasts in the myocardium, worsens the prognosis. Therefore, this constant stimulation leads to over-production and deposition of ECM by cardiac fibroblasts.

Consequently, cardiac fibrosis is initiated and infiltrated into the un-infarcted areas, making the myocardium stiffer, and further damage cardiac function 错误!未找到引用源。.

1.5 ROS and Nox2 in MI

While studies show that low levels of reactive oxygen species (ROS) are physiologically important, production of excessive amounts of ROS is a key event involved in post-MI pathogenesis⁴. ROS modulate several processes during cardiac remodeling, including interstitial fibrosis, and cardiomyocyte apoptosis and hypertrophy^[33-34].

Many studies demonstrate that a major source for ROS in the heart comes from a family of nicotinamide adenine dinucleotide phosphate- (NADPH) oxidase enzymes 错误!未找到引用源。.

NADPH oxidase is a multi-subunit enzyme consisting of membrane proteins (gp91phox otherwise known as Nox and p22phox) and several intracellular associated proteins (p47phox, p67phox, Rac). Five Nox isoforms (Nox1 to Nox5) exist and are thought to be the major indispensable subunit. Among these, Nox2 is expressed in cardiomyocytes,

fibroblasts, and endothelial cells, and is thought to be dominant Nox isoform contributing to cardiac superoxide levels (O_2^-) production 错误!未找到引用源。 错误!未找到引用源。. Evidence shows that both in animal models of MI and patients with end-stage heart failure, Nox2 expression is significantly increased in the infarcted myocardium, primarily in macrophages and myocytes^[37-38]. Nox2 knockout mice show reduced cardiomyocyte apoptosis and adverse remodeling after MI and attenuate interstitial fibrosis following aortic constriction 错误!未找到引用源。. In addition, as there is no specific inhibitor of Nox2, studies from our own laboratory have shown that Nox2 siRNA delivered in polymeric nanoparticles can attenuate acute cardiac dysfunction following MI as a potential therapeutic alternative 错误!未找到引用源。.

1.6 miRNA

MicroRNAs are a kind of small, non-coding ribonucleic acids (RNAs). They were first discovered as elements essential for development of *Caenorhabditis elegans* (*C.elegans*)^[41-44]. Lin-4 was the first reported small non-coding RNA, which was essential for larval

development of *C.elegans*. This small RNA was then demonstrated to be able to suppress a target protein via RNA::RNA sequence complementary binding within the messenger RNA (mRNA) 3'untranslated region (3'UTR) of the target 错误!未找到引用源。错误!未找到引用源。错误!未找到引用源。

Let-7, another key small RNA involved in regulation of *C.elegans* development, was then discovered. Since let-7 is highly conserved among species, this discovery paved the way for this regulatory mechanism to be expanded to multiple specie 错误!未找到引用源。错误!未找到引用源。

In 2001, for the first time, researchers cloned the first set of these small RNAs (33 in total) from a human cell line, and coined them as the term microRNA^[46-48]. After these studies, there are more than one thousand of miRNAs have been discovered within the human genome and they are predicted to target more than one third of human genes 错误!未找到引用源。

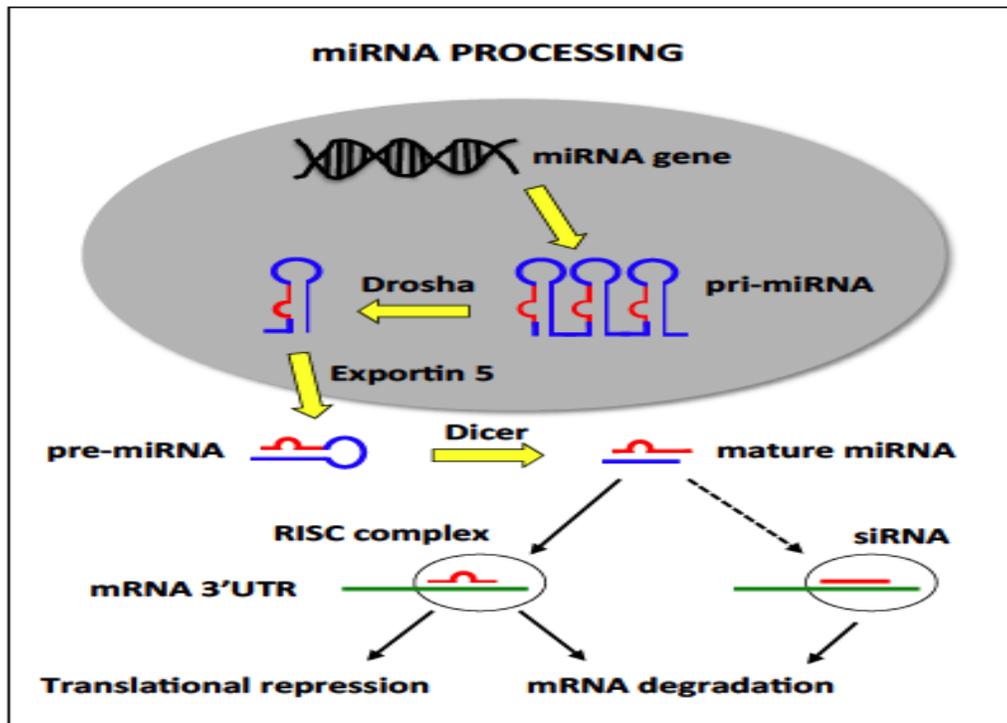


Figure adapted from He, L. et al., Nature Reviews, 2007.

Figure 1-3: Schematic of microRNA processing. MicroRNAs are transcribed in the nucleus by polIII which produces a pri-miRNA transcript. This transcript is then processed by Drosha into a pre-miRNA sequence, and exported out of the nucleus by Exportin5. Dicer then further processes the pre-miRNA into the double stranded mature miRNA sequence. Mature miRNA product is incorporated into the RISC complex, and after degradation of the anti-sense strand, the miRNA binds to the 3'UTR of target mRNA based off complementary sequence. Target expression is repressed either by mechanisms of translation inhibition or mRNA degradation.

MicroRNAs can locate inter- or intragenic. They are usually transcribed as distinct pri-miRNA transcripts via polymerase II (Figure 1-3)^[49-50]. MicroRNAs can be transcribed both as single miRNA hairpins and as polycistronic clusters. After transcription, the pri-miRNA is processed through an RNase III enzyme Drosha into a 60-90 nucleotide (nt) pre-miRNA construct. Then Exportin5 export this pre-miRNA out of the nucleus. The pre-miRNA is then further processed into the double stranded mature miRNA sequence within the cytoplasm. Then the RNA-induced silencing complex (RISC) incorporates this 20-25nt long double-stranded RNA and the mature sequence is released by preferential degradation of the antisense strand. The RISC complex is the functional unit of the miRNA machinery. This complex will bind to the 3'UTR of the target mRNA based on sequence complementarity^[49-50]. Unlike small interfering RNA (siRNA) the binding of miRNA to mRNA has a degree of imperfect complementary. This leads to a different method of functional suppression, including both mRNA degradation and translational inhibition 错误!未找到引用源。. The precise mechanisms of repression are still not quite clear but mRNA degradation seems to happen

through deadenylation and recruitment to processing bodies, while translational inhibition usually can occur at the level of initiation or elongation 错误!未找到引用源。.

Several clues pointed to a participation of miRNAs in cardiac disease. In failing hearts, a profibrotic role was attributed for miR-21 错误!未找到引用源。. Therapeutic miR-21 antagonism was shown to reduce progression of maladaptive fibrosis. Contrary to standard pharmacological agents targeting only single molecular pathways, miRs are capable of regulating multiple downstream mediators in parallel, thus affecting various signaling cascades. Van Rooij et al 错误!未找到引用源。 nicely demonstrated the direct impact of miR-29 expression for fibrotic scar formation in the failing heart. Next to the development of cardiac fibrosis, miR-133 was closely linked to cardiomyocyte function by altering hypertrophic response 错误!未找到引用源。. MiRs were also shown as therapeutic entry points in several MI-related disease settings (eg, miR-92a, miR-24)^[55-56], and its use as biomarkers for heart disease has recently been discovered intensively^[57-59].

1.7 High-throughput miRNA-targets screening system

The critical challenge in miRNA therapy for cardiac disease includes identifying miRNAs that can target important genes and delivering them into specific cells efficiently. A certain miRNA normally has hundreds of targets, which is difficult to validate only by database prediction. Most published works using high-throughput miRNA target validation are used to identify targets of a miRNA^[60-62]. But for a specific pathological disease, in which we already know which genes play important roles, it is more useful to find and select miRNAs that can target those genes directly. As Nox2 has no specific inhibitor and plays such an important role in post-MI pathogenesis, finding new ways to reduce expression could generate new therapeutic options. Moreover, to date, there have been no reports of miRNAs that target Nox2 directly and reduce expression. In this study, we demonstrate use of a self-assembled cell microarray (SAMcell) to find miRNAs that target Nox2 and deliver them into myocardial macrophages via acid-degradable polymers previously shown to deliver siRNA in to macrophages^{错误!未找到引用源。}. The SAMcell system had been demonstrated

miR-21	0.9049	0.0191
miR-135b	0.9150	0.0004
miR-296-5p	0.9155	0.0005
miR-590-5p	0.9209	0.1019
miR-33a	0.9233	0.0289
let-7f-1-3p	0.9250	0.0498
miR-29c*	0.9258	0.0037
let-7i	0.9265	0.0268
miR-204	0.9278	0.0082
miR-221	0.9315	0.1212
miR-190	0.9337	0.1169

Nox2 3'UTR	<p>5' ...UCAAUUUUAGAAUCAAAGGGAA...</p> <p style="text-align: right;"> </p>
Has-miR-204	<p>3' UCCGUAUCCUACUGUUUCCCUU</p>
Nox2 3'UTR	<p>5' ...AAAAUAAAAAGGCAAAGGGAG...</p> <p style="text-align: right;"> </p>
Has-miR-204	<p>3' UCCGUAUCCUACUGUUUCCCUU</p>

CHAPTER 3. SELECTED MIRNAS FUNCTIONAL VALIDATION

3.1 Cell Culture

293T and Hela cells were cultured in high-glucose Dulbecco's Modified Eagle Medium (DMEM) containing 10% fetal bovine serum (FBS), 100 units/ml penicillin and 0.1 mg/ml streptomycin (P/S). RAW 264.7 and THP-1 cells were cultured RPMI 1640 containing same amounts of FBS and P/S as above. All cells were cultured under humidified conditions in 5% CO₂ at 37°C. When seeding, cells were washed with PBS and incubated in 0.25% trypsin containing 5mmol/L EDTA. After centrifugation, cells were diluted in media, counted via hemocytometer, and then seeded at the appropriate concentration.

3.2 miRNA Transfection

miRNA mimics were obtained from GenePharma and Sigma. To achieve transient expression, plasmids and miRNA mimics were transfected using Oligofectamine (Invitrogen) following the protocol below:

1. One day before transfection, plate cells in 100 μ L of growth medium without antibiotics so that cells will be 30–50% confluent at the time of transfection.

2. For each transfection sample, prepare complexes as follows:

a. Dilute 1 μ L of a 20 μ M stock oligonucleotide in 16 μ L of Opti-MEM I

Reduced Serum Medium without serum to a final volume of 17 μ L. Mix gently.

b. Mix Oligofectamine Reagent gently before use, then dilute 0.4–0.8 μ L in Opti-MEM I Medium without serum to a final volume of 3 μ L. Mix gently and incubate for 5–10 minutes at room temperature.

c. Combine the diluted oligonucleotide with diluted Oligofectamine Reagent (total volume = 20 μ L). Mix gently and incubate for 15–20 minutes at room temperature (the solution may appear cloudy).

3. While complexes are forming, remove the growth medium from the cells and wash once with medium without serum. Add 80 μL of medium without serum to each well containing cells.

4. Mix the 20 μL of complexes (from step 2c of this procedure) gently, and add to the cells.

5. Incubate the cells at 37°C in a CO₂ incubator for 4 hours.

6. Add 50 μL of growth medium containing 3X the normal concentration of serum without removing the transfection mixture.

3.3 Luciferase Assay

The 3'UTR of human or mouse Nox2 were cloned into pGL3 plasmids 3'UTR to the firefly luciferase gene. Four $\times 10^4$ 293T cells were co-transfected with 200 ng of the indicated pGL3 firefly luciferase construct and 20 ng of a pGL3 Renilla luciferase used as a normalization control. At the same time, the indicated miRNA expression plasmid or mimics

were transfected. After 48 hours, cells were lysed and luciferase activities were measured using the Dual Luciferase Reporter Assay System (Promega).

3.4 RNA Isolation

Total RNA from cells was isolated using Trizol (Invitrogen) according to the manufacturer's protocol. Briefly speaking, rinse cell monolayer with ice cold PBS once. Lyse cells directly in a culture dish by adding 1 ml of TRIZOL Reagent per 3.5 cm diameter dish and scraping with cell scraper. Pass the cell lysate several times through a pipette. Vortex thoroughly. The amount of TRIZOL reagent added is based on the area of the culture dish (1 ml per 10 cm²) and not on the number of cells present. An insufficient amount of TRIZOL Reagent may result in DNA contamination of the isolated RNA. Add 0.2 ml of chloroform per 1 ml of TRIZOL Reagent. Cap sample tubes securely. Vortex samples vigorously for 15 seconds and incubate them at room temperature for 2 to 3 minutes. Centrifuge the samples at no more than 12,000 x g for 15 minutes at 2 to 80C. Following centrifugation, the mixture

separates into lower red, phenol- chloroform phase, an interphase, and a colorless upper aqueous phase. RNA remains exclusively in the aqueous phase. Transfer upper aqueous phase carefully without disturbing the interphase into fresh tube. Measure the volume of the aqueous phase. Precipitate the RNA from the aqueous phase by mixing with isopropyl alcohol. Use 0.5 ml of isopropyl alcohol per 1 ml of TRIZOL Reagent used for the initial homogenization. Incubate samples at 15 to 30°C for 10 minutes and centrifuge at not more than 12,000 x g for 10 minutes at 2 to 4°C. The RNA precipitate, often invisible before centrifugation, forms a gel-like pellet on the side and bottom of the tube. Remove the supernatant completely. Wash the RNA pellet once with 75% ethanol, adding at least 1 ml of 75% ethanol per 1 ml of TRIZOL Reagent used for the initial homogenization. Mix the samples by vortexing and centrifuge at no more than 7,500 x g for 5 minutes at 2 to 8°C. Repeat above washing procedure once. Remove all leftover ethanol. Air-dry or vacuum dry RNA pellet for 5-10 minutes. Do not dry the RNA pellet by centrifuge under vacuum. It is important not to let the RNA pellet dry completely as this will greatly decrease its solubility. Partially dissolved RNA samples have

an A260/A280 ratio < 1.6. Dissolve RNA in DEPC-treated water by passing solution a few times through a pipette tip.

3.5 Complementary DNA (cDNA) Synthesized

cDNA was synthesized using SuperScript III kit (Invitrogen). The protocol followed the products instruction.

1. Mix and briefly centrifuge each component before use. Preheat the thermal cycler to 65°C.

2. Combine the following in a 0.2–mL, thin-walled PCR tube on ice:

up to 5 µg total RNA: n µL

Primer (50 µM oligo(dT)20, or 50 ng/µL random hexamers): 1 µL

Annealing Buffer: 1 µL

RNase/DNase-free water to 8 µL

3. Incubate in a thermal cycler at 65°C for 5 minutes, then immediately place on ice for at least 1 minute. Collect the contents of the tube by brief centrifugation.

4. Add the following to the tube on ice:

2X First-Strand Reaction Mix: 10 µL

SuperScript III/RNaseOUT Enzyme Mix: 2 µL

5. Vortex the sample briefly to mix, and collect by brief centrifugation. Incubate as follows:

a Oligo(dT)20 or GSP primed: 50 minutes at 50°C

b Random hexamer primed: 5–10 minutes at 25°C, followed by 50 minutes at 50°C

6. Terminate the reactions at 85°C for 5 minutes. Chill on ice.

7. Store the cDNA synthesis reaction at –20°C, or proceed directly to PCR.

3.6 Real time PCR

Real time PCR was performed using Power SYBR Green (Invitrogen) master mix with Applied Biosystems StepOne Plus real time PCR system. The primers used are listed in

Table 3. Nox2 gene expression levels were normalized to the housekeeping gene GAPDH.

Table 3: Real-time PCR primers sequence

Gene	Forward primer	Reverse primer
Human Nox2	GCTATGAGGTGGTGATGTTAGT	CTTCAGATTGGTGGCGTTATTG
Mouse Nox2	ACTCCTTGGGTCAGCACTGG	G TTCCTGTCCAGTTGTCTTCG
Mouse TNF- α	CTGTGAAGGGAATGGGTGTT	GGTCACTGTCCCAGCATCTT

Mouse IL-1□	CAACCAACAAGTGATATTCTCCAT G	GATCCACACTCTCCAGCTGCA
Mouse IL-6	TTCCATCCAGTTGCCTTCTT	CAGAATTGCCATTGCACAAC

3.7 Intracellular Protein Isolation.

Intracellular protein was isolated according to the following protocol:

1. Place the cell culture dish on ice and wash the cells with ice-cold PBS.
2. Aspirate the PBS, then add ice-cold lysis buffer (1 mL per 10^7 cells/100 mm dish
150 cm² flask; 0.5 mL per 5×10^6 cells/60 mm dish/75 cm² flask).
3. Scrape adherent cells off the dish using a cold plastic cell scraper, then gently transfer the cell suspension into a pre-cooled microcentrifuge tube. Alternatively cells can be trypsinized and washed with PBS prior to resuspension in lysis buffer in a microcentrifuge tube.

-
4. Maintain constant agitation for 30 min at 4°C.
 5. Centrifuge in a microcentrifuge at 4°C for 20 min at 12,000 rpm.
 6. Gently remove the tubes from the centrifuge and place on ice, aspirate the supernatant and place in a fresh tube kept on ice, and discard the pellet.

3.8 Western Blot

Western blot analysis was performed using previously described protocols established in our group. Briefly, samples containing 40 µg total proteins were separated by electrophoresis on a 1.0% (wt/vol) agarose (molecular biology grade; GIBCO BRL) gels. The electrophoresis buffer [1X Tris-acetate-EDTA (TAE)], contains 0.1% (wt/vol) SDS, 1mM EDTA, and 40mM Tris acetate (pH 8.0). Samples were solubilized in sample buffer consisting of 2.5% (wt/vol) SDS, 4.5 M urea, 5% (vol/vol) β-mercaptoethanol, 25% (vol/vol) glycerol, 0.005% (wt/vol) bromophenol blue, and 0.08 M Tris HCl at pH 7.5. Samples were then denatured at 95°C for 10 minutes and loaded into sample wells in a horizontal gel

apparatus (GIBCO BRL). The electrophoresis ran at room temperature at 35 V for several hours, and then at 15 V overnight. Proteins were transferred under positive pressure at 75 mmHg for 2.5 hours from the gel onto a polyvinylidene difluoride (PVDF) membrane (Millipore, Bedford, MA) in a 4X saline- sodium citrate (SSC) buffer made from a 20X stock (Invitrogen). The membrane was allowed to dry overnight (or for at least 2 hours) and then submersed in methanol and rinsed 2X with ddH₂O. The membrane incubated for 1 hour at room temperature in a milk blocking solution made of 5% non-fat dry milk in 40 mL of 1X PBS containing 0.05% Tween-20 which was added immediately prior to use (PBST). Immunostaining was carried out with the appropriate antibody (see below) in a 2.5% milk blocking solution for 1 hour at room temperature, and then at 4°C overnight. This was followed by secondary immunodetection using an appropriate secondary antibody labeled with horseradish peroxidase for 1 hour at room temperature. Chemiluminescence was carried out using 6 mL (1:1 v/v) of SuperSignal West Dura Chemiluminescent Substrate (Thermoscientific) with a 2 minutes incubation time (manual shaking). The membrane was

visualized on Blue Ultra Autrad film (GeneMate). All scans of films are taken using a GS-800 Calibrated Densitometer and ImageJ software.

3.9 Results

To validate each miRNA's ability to suppress human Nox2, we performed luciferase assays in 293T cells cloned with the 3'UTR of Nox2 downstream of luciferase. As shown in Figure 3-1A, all of the three miRNAs significantly decreased luciferase expression compared to control (miR-106b=78.5±8.6%, P<0.01; miR-148b=78.6±11.1%, P<0.01; miR-204=56.2±8.4%, P<0.001). To determine whether their regulation was conserved, we also validated the selected miRNAs using mouse Nox2 3'UTR downstream of luciferase. Similar to human Nox2, luciferase containing mouse Nox2 3'UTR was also significantly decreased by these miRNAs compared to control group (miR-106b=66.6±6.8%, P<0.001; miR-148b=70.1±8.2%, P<0.001; miR-204=46.7±2.7%, P<0.001, Figure 3-1B).

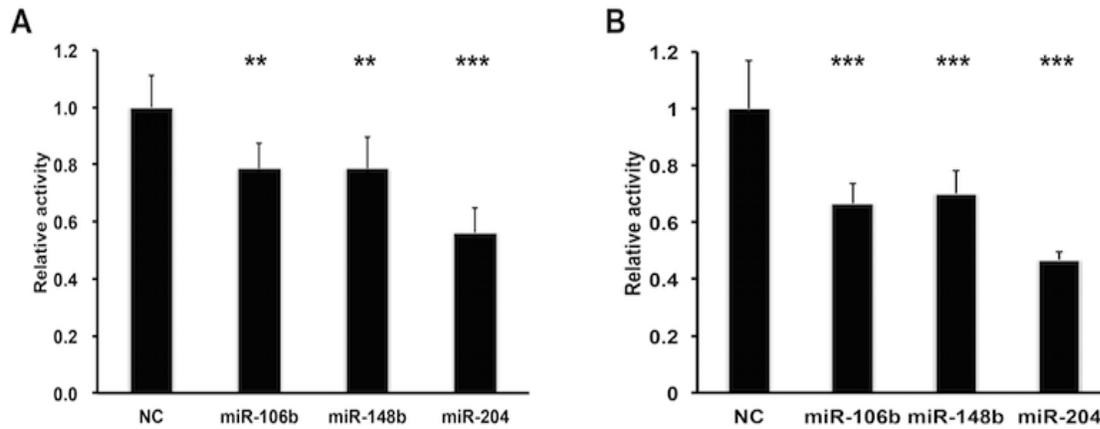


Figure 3-1: miRNAs targeted both humans and mice Nox2. A and B, Relative luciferase activity in HeLa cells transfected with indicated miRNAs or control vector with human (A) and mouse (B) Nox2 3'UTR driven reporter constructs, n=5.

We also transfected these miRNAs mimics to induced human macrophages (THP-1 cells) and a mouse macrophage cell line (RAW 264.7). Nox2 mRNA and protein levels were detected by real-time PCR and western blot, respectively. As expected, compared to a scrambled control miRNA group, all 3 miRNAs decreased both human and mouse Nox2 expression at the gene and protein level by about 40% (Figure 3-2C and D).

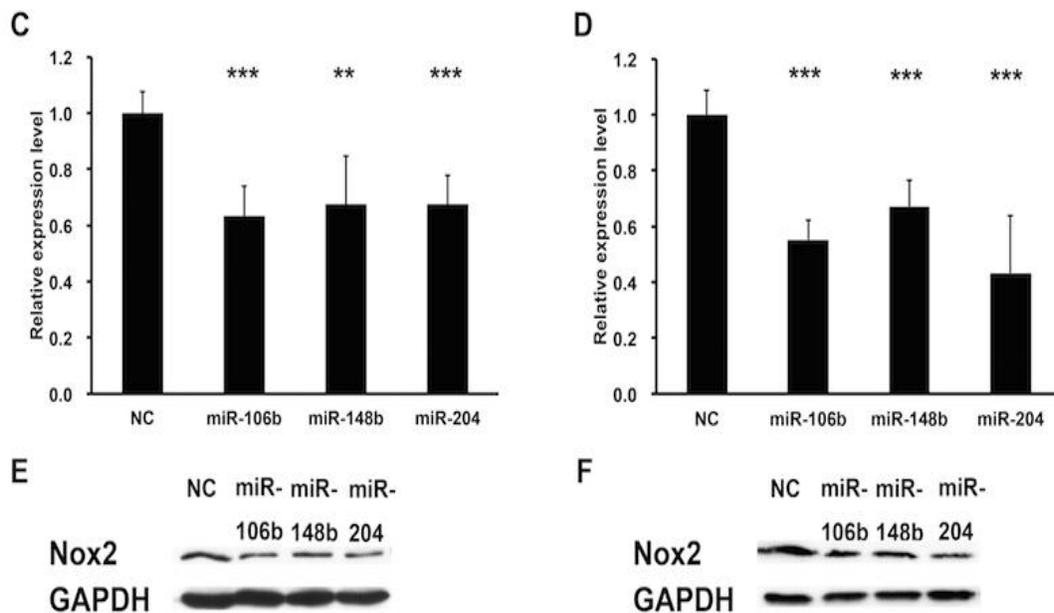


Figure 3-2: miRNAs regulated both humans and mice Nox2 expression. C and D, Real-time PCR for Nox2 in PMA induced THP-1 (C) and RAW 264.7 (D) cells 48 hours after transfection with indicated miRNA or control vector. GAPDH was used as the loading control, n=3. E and F, Immunoblots for Nox2 in PMA induced THP-1 (E) and RAW 264.7 (F) cells 48 hours after transfected with indicated miRNAs or control vector. GAPDH was used as the loading control. **p<0.01; *p<0.001 (t-test).**

CHAPTER 4. IN VITRO FUNCTIONAL KNOCKDOWN OF NOX2 DOWNSTREAM PRODUCTION

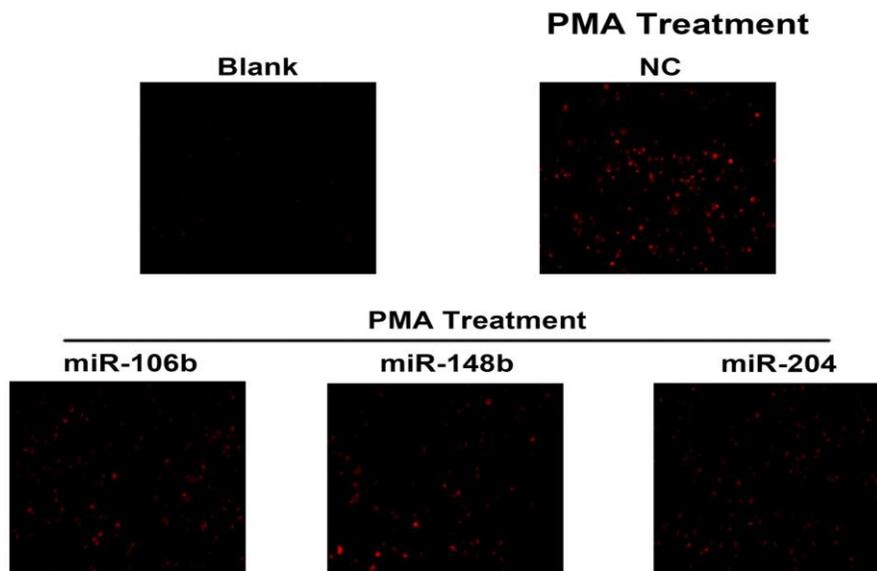
4.1 Superoxide Production Staining with Probe

To determine whether Nox2 knockdown by miRNAs resulted in functional changes, we transfected THP-1 induced and RAW 264.7 macrophages with miRNAs separately and 48 hours later, they were stimulated with PMA to induce O_2^- production. ROSstar 650 dye, a fluorescent probe for intracellular ROS, was then added to the cells. Fluorescence intensity was expressed as fold change in O_2^- production normalized to basal O_2^- levels.

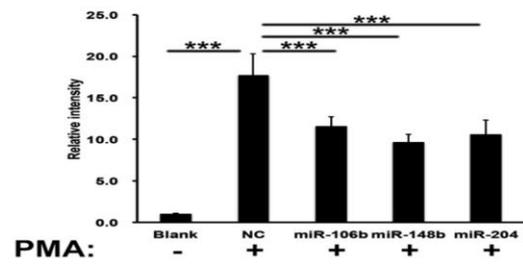
4.2 Results

As shown in Figure 4A, after stimulation with PMA, O_2^- production was increased, while each miRNA treatment group showed significantly decreased levels in O_2^- production compared to the control group in THP-1 induced (upper) and RAW 264.7 (bottom) macrophages. Quantification results were shown as Figure 4B for THP-1 induced macrophages and Figure 3C for RAW 264.7 macrophages.

A



B



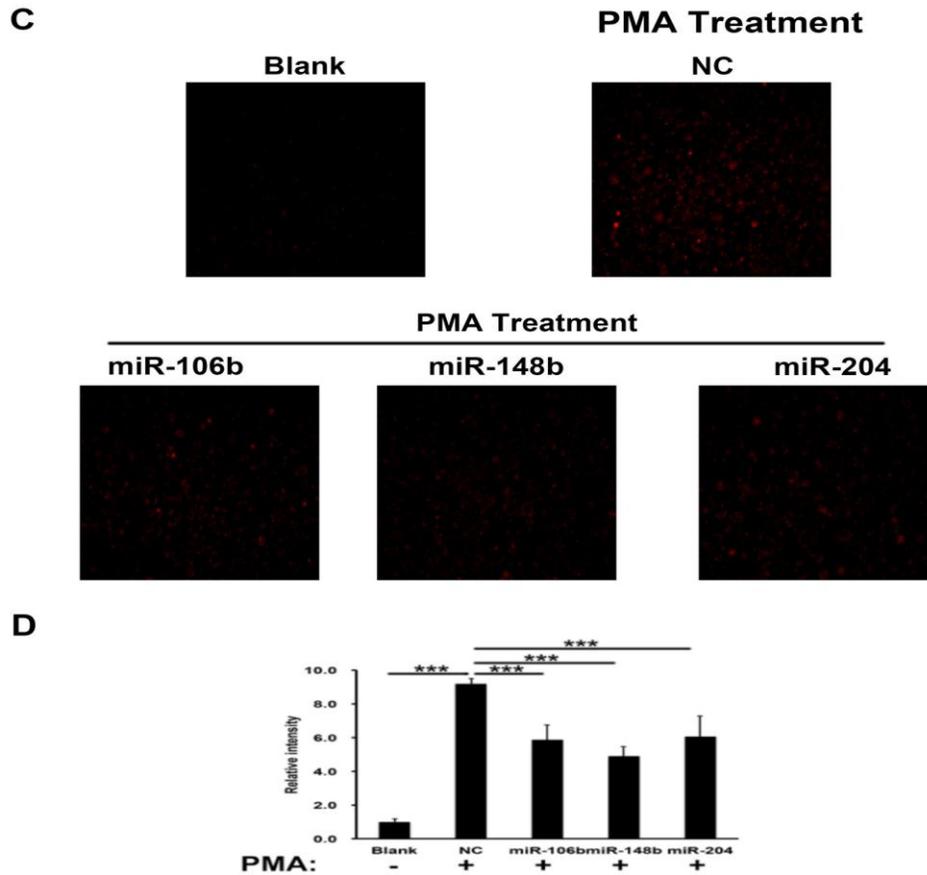


Figure 4: miRNAs inhibited superoxide production in humans and mice macrophages. Superoxide production levels in THP-1 (A) and RAW 264.7 (C) cells were detected with ROSstar dye staining after stimulated by PMA and transfected with indicated miRNAs or control vector, n=3. Scar bar = 100 μ m. B and D, Quantification of superoxide production levels in THP-1 (B) and RAW 264.7 (D) cells by comparing fluorescence intensity of indicated group, n=3. ***p<0.001 (t-test).

CHAPTER 5. NANOPARTICLE UPTAKE BY MACROPHAGES

5.1 Polyketal (PK3) Synthesis

PK3 was synthesized as described in our prior publications ^{错误!未找到引用源。}. Briefly, the diols, cyclohexanedimethanol and 1,5-pentanediol were dissolved in distilled benzene and heated to 100°C. Recrystallized p-toluenesulfonic acid (PTSA) was dissolved (~1 mg) in ethyl acetate and added to the benzene solution to catalyze the reaction. The polymerization reaction was initiated by the addition of equimolar 2,2-diethoxypropane (DEP). Additional 2,2-dimethoxy propane (DMP) and benzene were subsequently added to the reaction to compensate for loss of volume in the form of ethanol/methanol and the solvent benzene that had distilled off. After 48 h, the reaction was stopped with triethylamine and isolated by precipitation in cold hexanes. The solid polymer was filtered off, rinsed in hexanes and vacuum dried prior to storage at -20°C. Polymer molecular weight/polydispersity was confirmed by gel permeation chromatography.

5.2 Preparation of miRNA-loaded PK3 particles

PK3-miRNA particles were prepared following the protocol for PK3-siRNA particles^错
未找到引用源。 . Briefly, 1 mg miRNA in water and 2.2 mg of cationic lipid N-[1-(2,3-Dioleoyloxy)propyl]-N,N,N-trimethylammonium methanesulfate (DOTAP) dissolved in dichloromethane (DCM) were brought to one phase by addition of 1.05 mL of methanol. After 15 min incubation, an additional 0.5 mL of water and DCM were added and the mixture was vortexed, and centrifuged at 750 rpm for 5 min. The miRNA:DOTAP complex in the bottom organic layer was encapsulated in PK3 via an oil/water single emulsion procedure, using DCM as the oil phase and polyvinyl alcohol (PVA) as the surfactant stabilizer. 1 mL of DCM containing ion-paired miRNA was added to 40 mg of PK3 with 1 mg of chloroquine free base. This solution was homogenized into 8 mL of 5% (w/v) PVA solution at the highest setting in the Power Gen 500 (Fisher Scientific) for 30 seconds, and sonicated at an intermediate speed (Sonic dismembrator model 100, Fisher Scientific) with 10 pulses of 1 sec duration. The emulsion was then dispersed in a 20 mL of

0.5% PVA solution and stirred for a period of 4-5 h to allow the DCM to evaporate. The resulting particles were isolated by centrifugation (15000 rpm, 20 min), washed three times, freeze-dried and stored at -20°C for further use.

5.3 In vitro delivery of PK3-miRNA particles

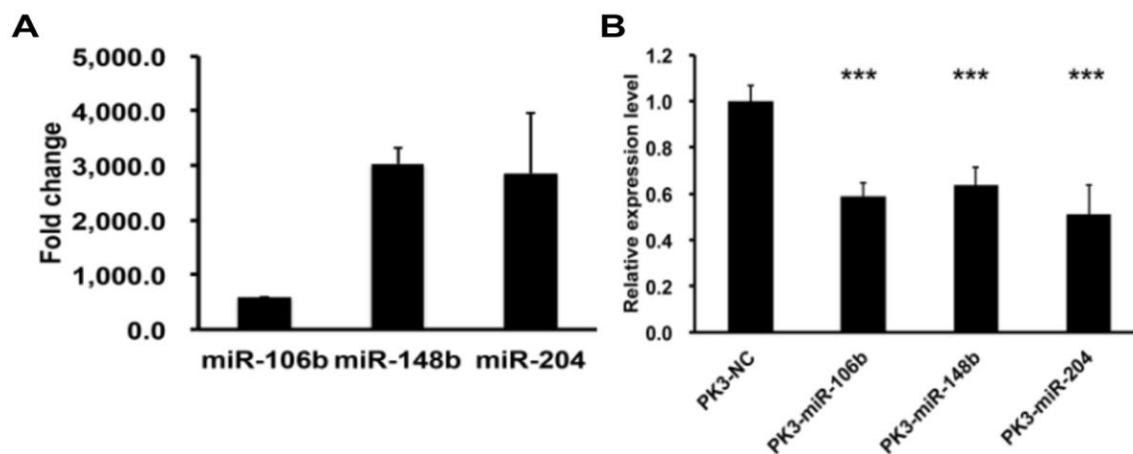
For in vitro studies, RAW 264.7 macrophages or PMA induced THP-1 cells were plated in 6-well plates at a density of 1×10^6 cells per well. After 24 h, cells were treated with indicated PK3-miRNA particles at a concentration of particles equivalent to 2 µg miRNA/well. For gene expression studies, following 48 hours of treatment, the cells were harvested and RNA or protein extracted. For assessment of functional activity of Nox2-NADPH, the cells were kept in wells for analysis of O_2^- production.

5.4 Results

After validation of individual miRNA function on Nox2 expression and downstream O_2^- production, we sought to validate our previously used in vivo delivery system with miRNA

in cultured cells. miRNAs encapsulated within PK3 polymer (PK-miRNA) showed similar loading levels as our prior publications (1 μg per mg particle). Cells were incubated with the indicated PK-miRNA formulation and expression of the delivered miRNA was evaluated with real-time PCR. As shown in in **Figure 5A**, each formulation was able to increase expression of their respective cargo at least 500-fold, indicating effective delivery.

We treated RAW 264.7 macrophages with PK-miRNA particles for 48 hours and Nox2 mRNA expression level was determined by real-time PCR. As shown in **Figure 5B**, treatment with any of the particle formulations significantly reduced Nox2 gene expression (miR-106b=58.9 \pm 5.7%, $P < 0.001$; miR-148b=63.7 \pm 7.9%, $P < 0.001$; miR-204=51.1 \pm 12.8%, $P < 0.001$). O_2^- production was also measured and, similarly to gene expression, treatment with any PK-miRNA particle significantly decreased production as compared to the control group (PK3-NC, **Figure 5C and 5D**).



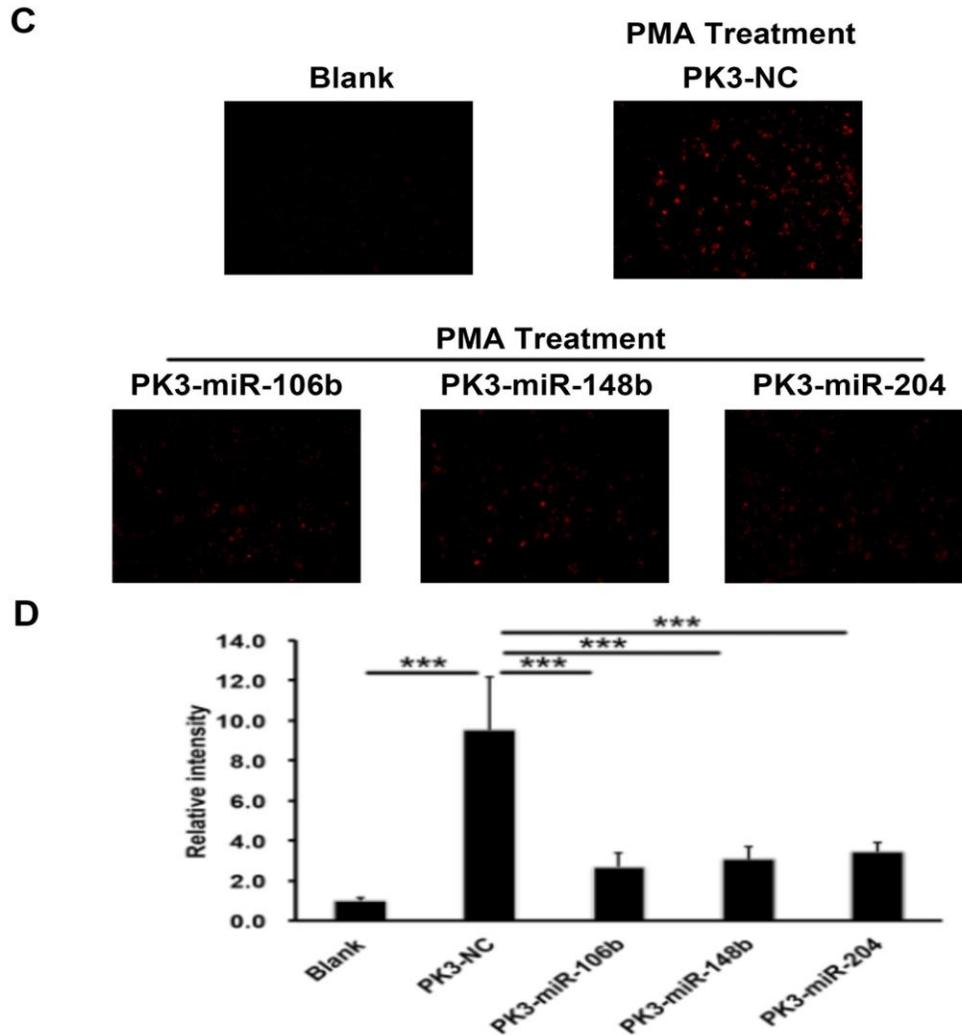


Figure 5: PK3-miRNAs nanoparticles reduced Nox2 expression and activity in RAW 264.7 cells. A, Fold change of miRNAs levels in RAW 264.7 cells after treated with indicated PK3-miRNAs nanoparticles by real-time PCR. U6 was used as the loading control, n=3. B, Real-time PCR of Nox2 in RAW 264.7 cells after treatment with indicated PK3-miRNA or control nanoparticles. GAPDH was used as the loading control, n=3. C and D, Representative images (C) and quantification (D) of superoxide production levels in RAW 264.7 cells treated with indicated nanoparticles by ROSstar dye, n=3. ***p<0.001 (t-test).

nanoparticles (Figure 7-3). Additional published studies from our laboratory demonstrated that PK3 nanoparticles were retained in the myocardium after injection and could be used to deliver siRNA following MI in mice ^{错误!未找到引用源。}. When engaged by macrophages, particles were taken up into phagosome/endosomes where they degrade due to the acidic environment, leading to release of cargo into the cytoplasm of macrophages (over 80% transfection efficiency). In that study we successfully delivered Nox2 siRNA into cardiac macrophages by PK3 particles and observed a significant improvement in heart function after MI.

genes and sometimes from the same pathways. For example, let-7, which was shown to be able to directly regulate some key cell cycle proto-oncogenes, e.g., RAS, CDC25a, CDK6, and cyclin D at the same time, was a key regulator of cell proliferation 错误!未找到引用源。

Likewise, miR-23b plays an important role in tumor metastasis since it regulates a cohort of prometastatic targets, including FZD7, MAP3K1, TGFBR2 and PAK2 错误!未找到引用源。. To validate this hypothesis in this study, we examined expression levels of other pro-inflammatory genes such as IL-1 α , IL-6 and TNF- α by real-time PCR in RAW 264.7 macrophages after transfection with miRNAs. As Figure 7-4, 7-5 and 7-6 shown, these miRNAs significantly decreased the mRNA expression level of these pro-inflammatory genes as well, except miR-204 on TNF- α .

group. These results corroborated reports that knockdown of Nox2 improves cardiac function after MI.

In conclusion, we have found novel miRNA regulation of Nox2 expression by utilizing a high throughput miRNA-target screening method, the SAMcell assay, to narrow down potential targets, specifically miR-106b, 148b, and 204. We validated the results in transfected cells, as well as human and mouse macrophages. Combined with our efficient macrophage-specific delivery approach, these miRNAs were able to reduce Nox2 expression and activity *in vivo*, resulting in improved acute function. With the robust nature of these systems, other inflammatory molecules can be studied to determine optimal miRNA candidates to modulate inflammation *in vivo*.

CHAPTER 8. FUTURE WORK

Despite the promising results from this study, there are still lots of work need to be completed in the future. First of all, only three miRNAs are selected from the screening results. We need to demonstrate if other miRNAs can inhibit Nox2 as well, or even with better effects. Furthermore, in order to move this PK3 nanoparticles delivery system into clinical applications, we need to test this system in more animal models, such as porcine and

non-human primate model. Finally, as macrophages play a significant role in lots of inflammation related diseases, we can expect this delivery system to be functionally utilized in other disease models.

**CHPATER 9. OTHER PROJECT DURING PH.D. PROGRAM:
BIOCOMPATIBILITY ASSESSMENT OF DETONATION
NANODIAMOND IN NON-HUMAN PRIMATES USING URINE,
HEMATOLOGIC, AND HISTOLOGICAL ANALYSIS**

9.1 Summary

Detonation nanodiamonds (DNDs) have been widely studied for various applications in biomedical area, including magnetic resonance imaging and cancer therapy. They have several

unique properties, such as faceted surfaces that mediate water coordination and drug binding. These give DNDs significantly enhancements in the efficiency and safety in imaging and drug delivery. In addition, DNDs have been shown to be well-tolerated by many biocompatibility studies. Consequently, there is a high demand for comprehensive assessment of DND safety in large animal preclinical models. Here we demonstrated a DND biocompatibility study in non-human primates. This non-human primate study was performed as a multiple dose, dual gender and long-term observation in both standard/clinically relevant and elevated dosing cohorts that lasted for six months and included comprehensive urine, serum, histological, and body weight analysis. The results from these studies indicate that DNDs are well-tolerated at clinically relevant doses and examination of dose-dependent changes in biomarker levels provides important directions for the following in-human validation of DNDs for clinical imaging and drug delivery.

9.2 Introduction

9.2.1 Nanodiamonds

Nanodiamond particles produced by detonation were first discovered in the 1960s^{错误!未找到引用源。}, while they kept unknown to most of the world until the end of the 1980s^{错误!未找到引用源。}. After that, a series of significant breakthroughs were achieved that leading to a broader interests in these particles. First of all, it became available to get individual diamond particles in colloidal suspensions with diameters of 4-5 nm^{错误!未找到引用源。}. Meanwhile, fluorescent nanodiamonds were used by investigators as a non-toxic alternative for imaging in biomedical field^{错误!未找到引用源。}. Finally, researchers demonstrated that nanodiamonds were less toxic compared to other carbon nanoparticles^[90-91], which largely broader their applications areas.

Nowadays, there are various kinds of nanodiamonds available for research. The technologies used to synthesized nanodiamonds include detonation technique, lase ablation^{错误!}^{未找到引用源。}, plasma-assisted chemical vapour deposition^{错误!未找到引用源。}, ion irradiation of graphite^{错误!未找到引用源。} and ultrasound cavitation^{错误!未找到引用源。}.

9.2.2 Synthesis of Nanodiamonds

Nanodiamonds can be manufactured by explosives in molecular. This method provides a source of carbon and energy for the conversion as well. The detonation happens in a closed chamber filled with an inert gas or water coolant, called dry or wet synthesis, respectively. The product, detonation soot, is a mixture of diamond particles with 4-5 nm in diameter and other carbon allotropes and impurities. These impurities can be inside the nanodiamond aggregates or outside their surfaces. For industrial applications, liquid oxidants are used to purify detonation soot.

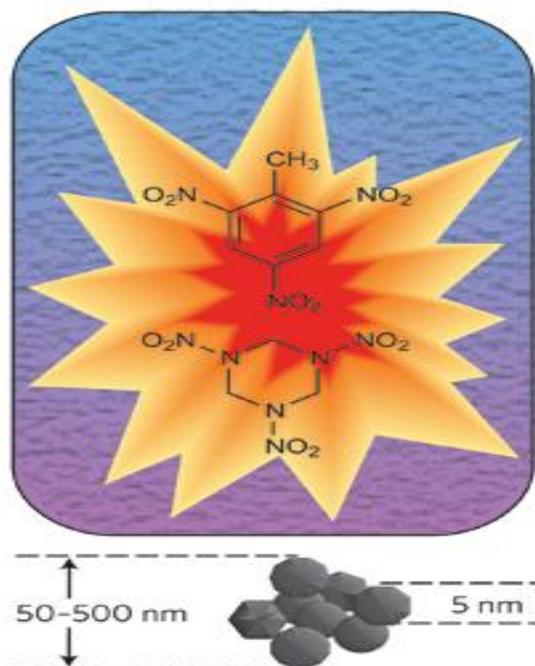


Figure 9-1: In order to synthesize nanodiamonds, explosives with a negative oxygen balance (for example a mix of 60 wt% TNT ($C_6H_2(NO_2)_3CH_3$) and 40 wt% hexogen ($C_3H_6N_6O_6$)) are detonated in a closed metallic chamber in an atmosphere of N_2 , CO_2 and liquid or solid H_2O . After detonation, diamond-containing soot is collected from the bottom and the walls of the chamber.

9.2.3 Properties of Nanodiamonds

Nanodiamond is a kind of excellent material in many respects, including superior hardness and Young's modulus, biocompatibility, optical properties and fluorescence, electrical resistivity, chemical stability, and great thermal conductivity.

Fluorescent particles can be produced by linking 错误!未找到引用源。 or adsorbing 错误!未找到引用源。 different fluorophores into the nanodiamonds. These conjugated nanodiamonds can go through cellular compartments without affecting cell viability and degradation of fluorophore for quite a long time. Fluorescent nanodiamonds combine the advantages of semiconductor quantum dots, such as small size, high photostability, multicolor fluorescence, with biocompatibility

and non-toxicity. This property gives them the potential application for in vivo imaging applications 错误!未找到引用源。. Quite a lot of studies have been made to demonstrate nanodiamonds affects on cell viability, gene expression activity, and in vivo mechanistic and physiological behavior^[99-100]. Nanodiamonds were reported to be of low pulmonary toxicity and intravenously administered nanodiamonds complexes did not change serum indicators of liver, even at high dosages 错误!未找到引用源。.

9.2.4 Applications of Nanodiamonds

A good drug delivery system requires properties include biocompatibility, dispersability and the capability to carry different therapeutics. Also the potential for targets therapy is important. Nanodiamonds are able to meet most of these requirements^[102-103]. Studies have demonstrated the efficacy and safety of nanodiamonds-mediated delivery in mice 错误!未找到引用源。. Nanodiamond-doxorubicin complexes (ND-Dox) were validated to treat drug-resistant breast cancer and liver cancer models. The complexes reduced the capacity of the tumors.

Meanwhile, the half-time of circulation ND-Dox was 10 times that of unmodified doxorubicin.

Besides of delivering small molecules, nanodiamonds were investigated to deliver nucleic acids when coated with polyethylenimine 800 (PEI 800)^{错误!未找到引用源。}. There was a 70-fold increase of GFP plasmid transfection efficacy and an increased efficacy over commercial transfection reagent when used for siRNA delivery^{错误!未找到引用源。}.

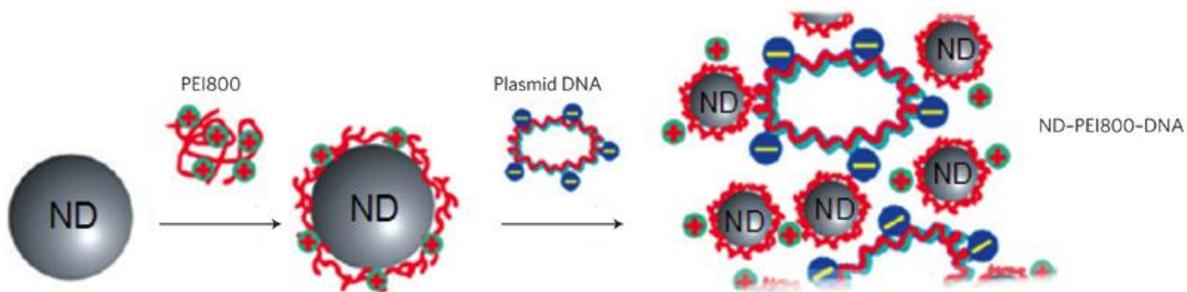


Figure 9-2: DNA can be electrostatically attached to nanodiamonds by first covering negatively charged carboxylated nanodiamonds with positively charged PEI800 molecules. A similar electrostatic binding strategy has been used to attach siRNA and doxorubicin (Dox) to nanodiamond.

There are increasing interests in the areas of tissue engineering and regenerative medicine. It has been shown that nanodiamond monolayers can be used as a platform for

neuronal growth 错误!未找到引用源。. The great mechanical properties, including tunable surface chemistry, drug delivery ability, and biocompatibility, make nanodiamond be able to create functional tissue engineering scaffolds. One reported application is ND-ODA-PLLA composite. It did not affect morphology and proliferation when used for culturing murine 7F2 osteoblast. These composites have clinically relevant properties and being non-toxic.

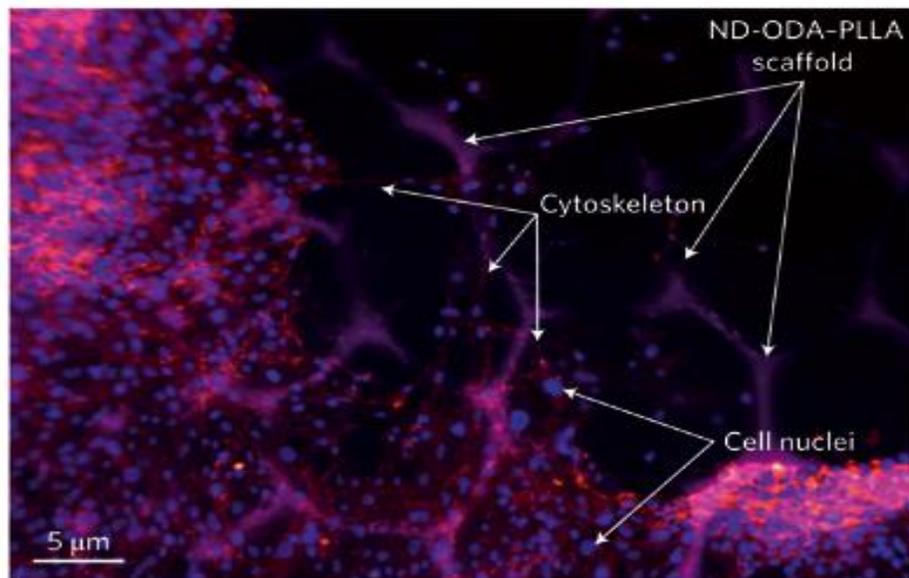


Figure 9-3: ND-ODA can be used for bio-imaging, as illustrated by this confocal micrograph of the fluorescent scaffold made of ND-ODA-PLLA with 7F2 osteoblasts grown on it.

9.3 Methods for Detonation Nanodiamond Administration in A Non-human Primate

Model

9.3.1 Non-human Primate Care and Identification

Cynomolgus monkeys of both genders were used for the study. Their ages ranged from three to six years. Three control subjects were used for the study. All the animals were maintained by Kunming Biomed International. The control ones were assigned by the following numbers: 071691(M), 071837(M), and 071669(M). The test subjects given a standard dose of DNDs (15 mg/kg) were assigned identifier numbers 080118(F), 071079(M), 070473(M), 070476(F), and 080410(F). Test subjects receiving an elevated dose of DNDs (25 mg/kg) were assigned identifier numbers 071425(M), 071805(M), 070696(F), and 070508(F).

Animals were provided with controlled rooms compliant with animal welfare guidelines. The environment was maintained as temperature (18-26°C), humidity (30-70%), air circulation, and light-dark cycle (12/12 hours). Monkeys were fed with a welfare guideline-

compliant commercial monkey diet. Adverse events were defined as conditions that the monkeys displayed any indication of obvious toxicity or intolerance of DNDs.

9.3.2 Non-human Primate Dosing Protocol

Each monkey received 6 doses of DNDs at either 15 or 25 mg/kg. Blood and urine samples were obtained before each administration and used as the baseline. Three weeks after the last administration, blood and urine samples were collected again. The whole treatment lasted for duration of six months.

9.3.3 Non-Human Primate Serum and Urine Analysis

Serum and urine samples were obtained using conventional equipment and procedures that have been previously outlined 错误!未找到引用源。 javascript:void(0);. Serum chemistry, complete blood count, urinalysis, weight monitoring, and observation period assessment were conducted to comprehensively characterize test subject health.

9.3.4 Non-Human Primate Histopathology Analysis

Immediately after euthanasia, tissues were harvested and fixed in 10% neutral-buffered formalin for at least 48 hours. Then samples were dehydrated in increasing concentrations of ethanol and embedded in paraffin wax. Tissues were made into sections that were 4–5 μm thick and adhered to positively charged glass slides. Sections were stained with hematoxylin and eosin for evaluation. All slides were evaluated using a Nikon Eclipse 80i upright microscope (LHS-N100C-1, Nikon Instruments Inc., Japan).

9.3.5 Statistics

All analyses were performed in GraphPad Prism using two-way ANOVA using the Bonferroni correction for multiple comparisons. A repeated measures analysis was used for the non-human primate studies to take into account between subject variations. Data were considered significant at $p < 0.05$.

9.4 Results

9.4.1 Body Weight Analysis after DNDs Administration

During the period of the study, all the groups were monitored for body weight and appetite. No obvious acute or sustained fluctuations in animal body weight were discovered during the study period. There were no apparent changes to animal appetite either. These results indicated that DNDs administration did not cause severe systemic toxicity or adversely affect the digestive systems. This is critically important for clinical applications.

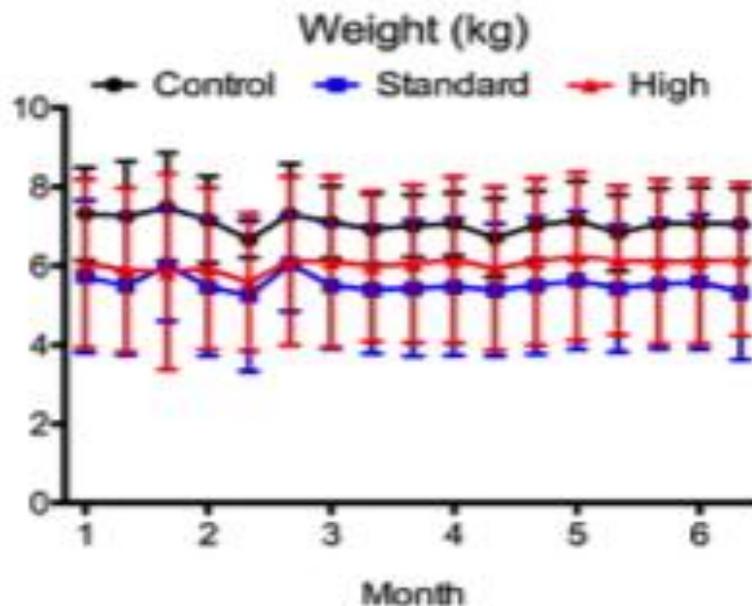


Figure 9-4: Weights of monkeys treated with indicated amount of detonation nanodiamonds or controls. No significant difference was identified.

9.4.2 Complete Blood Count Analysis after DNDs Administration

A complete blood account was regarded as a standard clinical practice. It was used to assess the overall health and screen for inflammation, toxicity, and hemolysis and any other side effects. Overall, the platelet count (PLT) was the only hematological parameter that had statistically significant variation. One week after doses 2, 5, and 6, samples had higher PLT in the control group, compared to the other two groups. It should be noticed that PLT readings had great fluctuations in all animals. It was more likely that the difference was due to an increase in the reads of control group, rather than a decrease in the treatment groups. The values from treatment groups were consistent with their baseline before treatment.

Red blood cell distribution width (RDW-CV, $14.75 \pm 1.28\%$) was assessed and red blood cell counts (RBC, $6.22 \pm 0.45 \times 10^{12}/L$) were also evaluated as part of the complete blood counts. No substantial deviations were investigated in all the test subjects, except the one 070473, whose RDW-CV reading was elevated compared to its starting and ending levels. It was shown negligible fluctuations for Hematocrit (HCT, $48.98 \pm 2.94\%$) levels. Mean

corpuscular volume (MCV, 78.84 ± 3.34 fL) had higher values at the end of treatment. Mean corpuscular hemoglobin (MHC, 22.81 ± 1.11 pg) readings showed very little fluctuation within each test subject. And mean corpuscular hemoglobin concentration (MCHC, 289.49 ± 10.46 g/L) analysis exhibited a generally decreasing trend for all the subjects that converged toward the normal range.

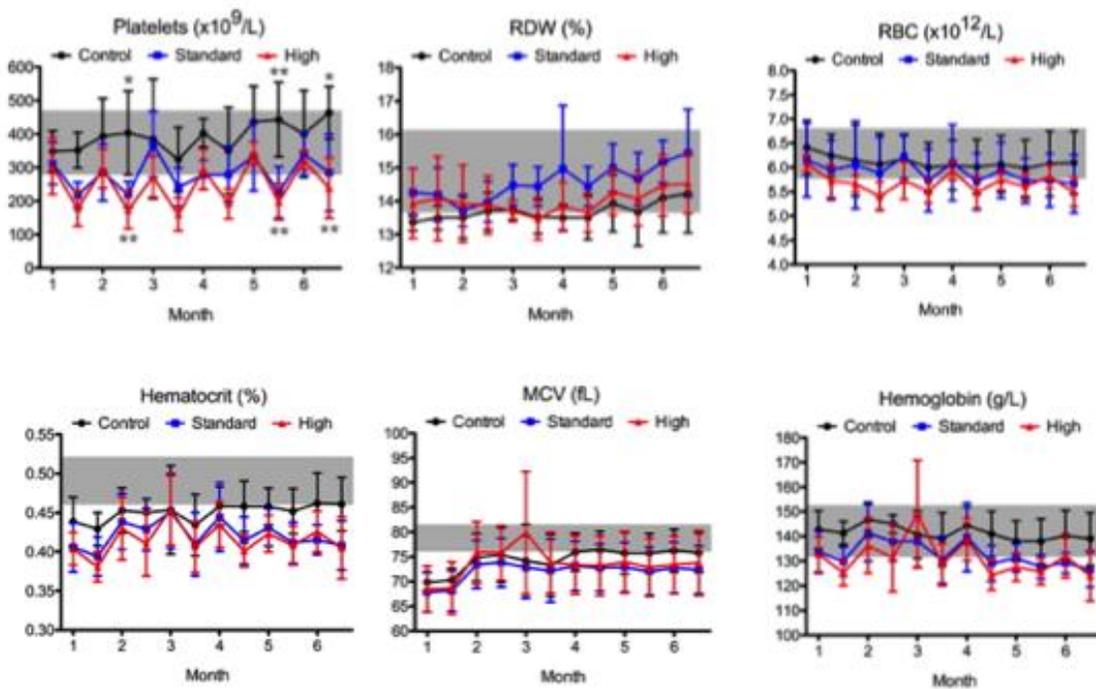


Figure 9-5: Hematologic parameter analysis of monkeys treated with detonation nanodiamonds. Monkeys were treated monthly with either a standard dose (15 mg/kg) or a high dose (25 mg/kg) of DNDs. Blood was sampled prior to each treatment and 1 week after treatment. Statistically significant differences in platelet count were noted at multiple time points (*p < 0.05, **p < 0.01). All other parameters were non-significant.

Taken together, it was demonstrated by these discoveries that the nanoparticle infusions did not make the test subjects experience a hemolytic response or be anemic.

We also measured white blood cell counts (WBC, $13.31 \pm 4.41 \times 10^9/L$) and neutrophil counts (NEU, $6.43 \pm 3.72 \times 10^9/L$, $46.66 \pm 14.37\%$). Although measurements in all the test subjects exhibited substantial fluctuations, we did not find any apparent increasing or depression trends in any of these levels. Large standard deviations were observed with the reference level standards for lymphocyte counts (LYM, $6.00 \pm 2.38 \times 10^9/L$, $45.96 \pm 13.20\%$). We did not observe any trends in the values of WBC, NEU, and LYM, while some of them were under the expected normal range.

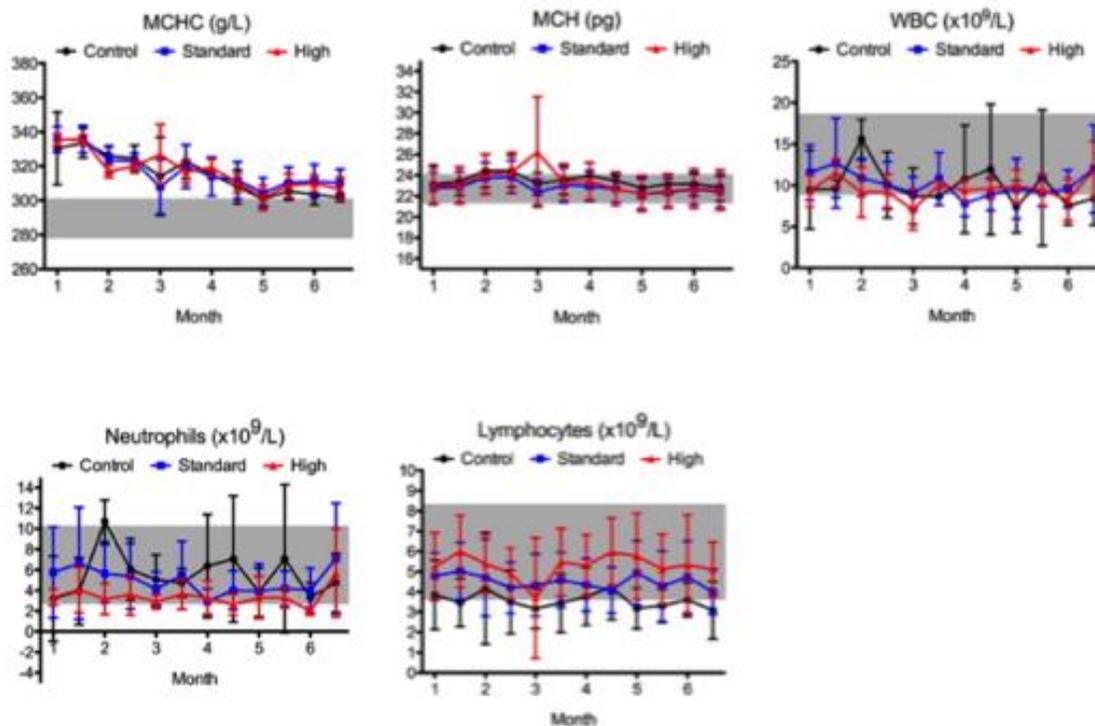


Figure 9-6: Hematologic parameter analysis of monkeys treated with detonation nanodiamonds. Monkeys were treated monthly with either a standard dose (15 mg/kg) or a high dose (25 mg/kg) of DNDs. Blood was sampled prior to each treatment and 1 week after treatment. All other parameters were non-significant.

These data provided significant insight about the overall health of the test subjects. No trends were observed that were attributable to DND administration, although occasional fluctuations were found in marker levels during the study. In addition, all subjects maintained their weight during the entire study. The DND administration was demonstrated to be well tolerated as there was no clear anemia, inflammatory response, or toxicity.

9.4.3 Urinalysis Following DND Administration

We assessed urine from all subjects at the same time points with the hematologic analyses. Previous reference studies provided the reference values for urinalysis in cynomolgus monkeys. For one time point, urine pH readings showed that subjects 071079(M/S), 070473(M/S), 080118(F/S), and 071425(M/E) each reached a urine pH level of 9. However, all of these subjects finished the treatment with normal urine pH values. None of these subjects had urinary tract infections, as urine nitrite tests came back negative. Almost all test subjects had negative urine glucose (uGLU) readings. One control subject (071691(M)) and one treatment subject (070508(F/E)) tested positive for uGLU at one time point each. However, both subjects completed the study with negative uGLU. All subjects had normal levels of urobilinogen and were negatively tested for urinary bilirubin, except subject 071805(M/E). There were two times of positive reading for that subject, but completed with negative tests. All subjects were found to have transiently positive urine protein levels (uPRO), indicating that protein presence was unlikely due to DND treatment.

There was at least once during the entire study that tested positive for urine leukocytes and erythrocytes (uLEU, uERY) for all subjects. It is usually considered normal for healthy subjects when uLEU levels are low. There was no statistically significant difference between standard treatment group and control group in the average uLEU levels. Similarly, there were no any significant trends for uERY values.

Finally, substantial variability in all urine electrolytes (sodium, potassium, chloride, and calcium) was observed. There were no significant trends in any of these for all groups. Furthermore, no evidence of kidney infection or damage was observed during the study. Taken together, both the liver and kidneys of all subjects were functioning normally proved by the urinalysis data. In addition, there were no significantly differences in the results between the standard and elevated group.

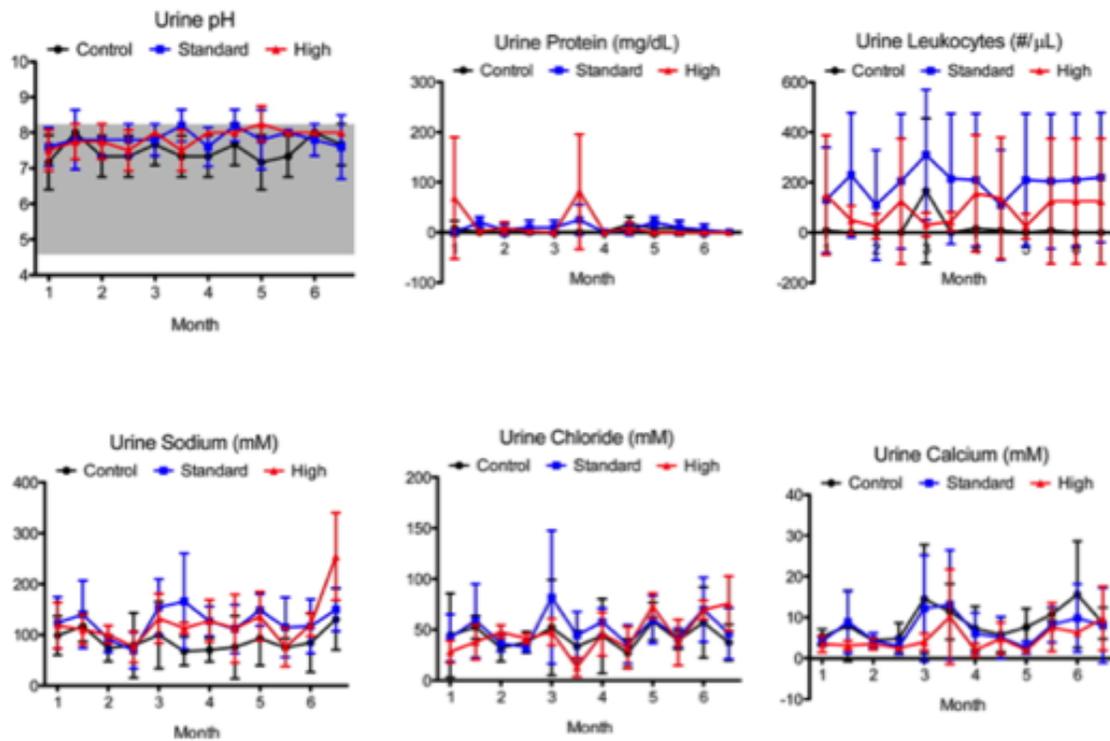


Figure 9-7: Urinalysis of monkeys treated with detonation nanodiamonds. Monkeys were treated monthly with either a standard dose (15 mg/kg) or a high dose (25 mg/kg) of DNDs. Blood was sampled prior to each treatment and 1 week after treatment. No statistically significant variations in parameters were observed.

9.4.4 Non-human Primate Histopathology Analysis

One control (071691(M)), two standard dose monkeys (080118(F) and 071079(M)), and two elevated dose monkeys (070696(F) and 071425(M)) were used to conduct tissue analysis. Tissue from the lung, heart, liver, kidney, and spleen were stained with hematoxylin and eosin.

We did not identify any gross abnormality in both the standard and elevated groups compared to the controls. Heart sections from elevated group showed increased muscle fiber hypertrophy compared to the controls, while there was only mild changes in sections from standard group. Evidence of prominent capillary congestion and dilatation compared to the control animals was found in the liver parenchyma from the elevated group, while standard ND dose monkeys had similar but less advance changes in morphology. Compared to control group, there were no significant morphologic changes in kidneys and spleens in standard and elevated group.

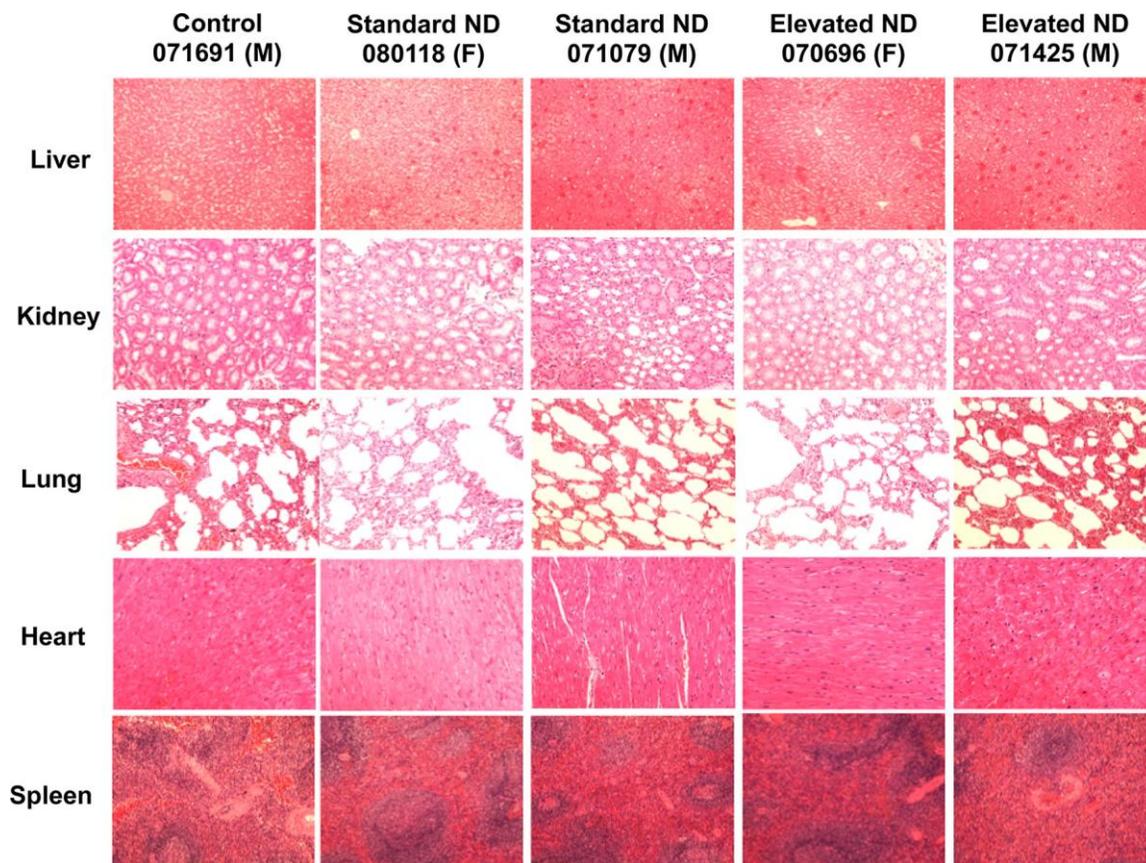


Figure 9-8: Histologic analysis of monkeys treated with detonation nanodiamonds. Monkeys were treated monthly with either a standard dose (15 mg/kg) or a high dose (25 mg/kg) of DNDs. Tissue samples from the liver, kidney, lung, heart, and spleen were obtained at 6 months from control, standard dose (both genders), and elevated dose (both genders) for analysis.

9.5 Conclusion

This study demonstrated significant insight into the biocompatibility of DND preclinical administration in a non-human primate model. The results provided in this study are

comprehensive, including DND safety characterization, long study period, and repeated dosing scheme. Both genders of cynomolgus monkeys had been evaluated with two DND dosing levels, a standard dose of 15 mg/kg (n=5) and an elevated dose of 25 mg/kg (n=4), in addition to controls (n=3). This study gave important insight into no apparent adverse effect level and maximum tolerated dose of DND administration. The estimated clinical DND monotherapy dosage will be between 6.75 and 13.5 mg/kg. Furthermore, combination therapy technologies will optimize DND-containing multidrug treatment and further reduce the DND and drug dosage. Consequently, both 15 and 25 mg/kg in our study serves as a foundation for clinical translation of DND-based therapeutic and contrast agents. Completion of non-human primate histology is required to further confirm material tolerance. In addition, to establish in-human validation protocols, comprehensive pharmacokinetic analysis and the development of chemistry, manufacturing, and controls compliance are demanded.

9.6 Discussion

The area of nanomedicine has made strides to the improved diagnosis and treatment of various diseases, such as cancer and infectious diseases^[106-107]. However, progress has been limited as the result of the costs related to drug development. Therefore, in the context of the area of drug development, it's important to select specific carriers, indications, and drugs. Among those promising carriers that have been applied in nanomedicine, detonation nanodiamonds (DNDs) have recently received increasing attention due to their abilities in photostable cell labeling, drug delivery, and medically imaging^[109-110], as a result of their faceted surfaces and uniform particle structure. In particular, DNDs are able to potently binding anthracyclines and sustaining their release with no need for modification either the DND surface or the drug itself^{错误!未找到引用源。}. These DND-anthracycline complexes are capable of improving drug tolerance and maximally efficacious tumor reduction using drug dosages that are lethal when delivered on their own. In the area of medically related imaging, DNDs are used to carry gadolinium(III) (Gd(III)), leading to a one order of magnitude increase in per- G(III) relaxivity for magnetic resonance imaging (MRI) applications^{错误!未找到引用源。}. This is

among the largest increase ever reported for per-gadolinium relaxivity, compared to all nanoparticle and clinical MRI contrast agents.

To test nanodiamond (ND) biocompatibility, investigators have used different cell lines and animal models platforms for several informative studies 错误!未找到引用源。错误!未找到引用源。错误!未找到引用源。

源。 . These studies were largely demonstrated with in vitro, ex vivo, or small animal models.

Consequently, to continue developing of ND for clinical administration requires the assessment of their tolerance in large animal models with comprehensive blood and urine analysis. Furthermore, the implementation of a dual gender and long-term large animal study is vital because of the fact that differences can exist between male and female subjects. To achieve this goal, we performed our study on non-human primate animals, in which male and female cynomolgus monkeys were administered an intravenous injection once a month for a period of six months. Two cohorts were each administered either a standard (15 mg/kg) or elevated (25 mg/kg) DND dose, while blood and urine draws were systematically acquired for comprehensive toxicity analysis. At the conclusion of the dosing protocol, the animals were

subsequently entered into an observation phase to assess visible changes to their health and monitor possible weight changes. The results demonstrated that the DNDs were well-tolerated for the duration of non-human primate animals and also provided important insights as to the maximum tolerated dose (MTD) and the “no observed adverse effect level”. Therefore, these studies provide an important foundation for the nonclinical risk assessment of DND-based therapies and imaging agents as they progress into potential in-human validation.

APPENDIX

Full screening results for human Nox2

miRNA-	Fold Change	P-value
106b	0.9000	0.0003
148b	0.9010	0.0001
21	0.9049	0.0191
miR-135b	0.9150	0.0004
296-5p	0.9155	0.0005
590-5p	0.9209	0.1019
33a	0.9233	0.0289
let-7f-1-3p	0.9250	0.0498
29c*	0.9258	0.0037
let-7i	0.9265	0.0268
204	0.9278	0.0082
221	0.9315	0.1212
190	0.9337	0.1169
miR-7	0.9355	0.0581
331-3p	0.9362	0.0463
301a	0.9406	0.0099
let7a	0.9422	0.1556

200c*	0.9433	0.1158
494	0.9447	0.0159
491-5p	0.9472	0.0073
708	0.9477	0.0015
1et-7c	0.9478	0.0461
542-3p	0.9498	0.0007
133a	0.9513	0.1503
331-5p	0.9535	0.0103
188-5p	0.9536	0.0056
1et-7f	0.9537	0.0355
29a*	0.9540	0.0792
124*	0.9540	0.1559
24	0.9558	0.0815
216b	0.9562	0.0568
744-3p	0.9580	0.4949
16	0.9659	0.1308
100	0.9615	0.3914
874	0.9617	0.2782
499-5p	0.9617	0.0254
15b	0.9625	0.2871
125b	0.9625	0.2915

93*	0.9641	0.0194
1	0.9653	0.2942
miR-144	0.9657	0.1095
183	0.9657	0.1553
574-3p	0.9662	0.1014
196b	0.9683	0.1769
132	0.9685	0.4838
137	0.9686	0.0185
208a	0.9691	0.0077
27a-5p	0.9691	0.0458
296-3p	0.9694	0.1267
431	0.9695	0.4896
323-3p	0.9702	0.0507
9	0.9704	0.4396
361-5p	0.9709	0.4448
miR-200b	0.9711	0.1925
184	0.9713	0.1015
miR-139-5p	0.9720	0.0842
381	0.9726	0.1005
223	0.9727	0.3162
133b	0.9731	0.2680

188-3p	0.9732	0.0624
let-7g	0.9733	0.1763
127-3p	0.9741	0.2307
98	0.9742	0.0148
miR-760	0.9747	0.3794
342-3P	0.9752	0.3902
33a*	0.9760	0.4122
146a	0.9768	0.0637
425	0.9769	0.0798
761	0.9770	0.4737
708*	0.9770	0.0333
153	0.9775	0.2021
187	0.9775	0.6121
551b	0.9779	0.0597
10a*	0.9780	0.3615
568	0.9785	0.0693
504	0.9786	0.3578
638	0.9789	0.3961
494	0.9789	0.4309
744	0.9790	0.1181
543	0.9792	0.4803

223	0.9793	0.0184
455-5p	0.9794	0.0258
448	0.9795	0.5576
183*	0.9796	0.4285
490-3p	0.9796	0.0792
377	0.9798	0.4623
129*	0.9800	0.1372
181a	0.9803	0.1631
30a*	0.9813	0.4639
30a	0.9814	0.1431
103	0.9817	0.4634
485-5p	0.9819	0.2796
484	0.9821	0.3347
129-5p	0.9825	0.0232
323-5p	0.9831	0.2398
652	0.9836	0.0852
29a	0.9840	0.0149
1197	0.9841	0.6098
340-3p	0.9845	0.3283
93	0.9847	0.1879
330-5p	0.9849	0.7557

10b	0.9851	0.4565
369-5P	0.9857	0.5503
miR-152	0.9863	0.5410
miR-149	0.9876	0.4327
141	0.9880	0.6568
324-5P	0.9882	0.5936
574-5p	0.9884	0.4634
338-3p	0.9886	0.5254
154*	0.9888	0.6294
140-5p	0.9892	0.6354
181d	0.9896	0.4508
129-3p	0.9898	0.5787
423-5P	0.9901	0.7107
29c	0.9902	0.2705
34c-5p	0.9907	0.6926
22-5p	0.9907	0.6013
miR-200c	0.9910	0.4198
30c-1*	0.9911	0.7678
miR-25	0.9917	0.7257
671-3p	0.9918	0.2629
210	0.9921	0.8800

23a	0.9922	0.4062
20b	0.9923	0.4854
32	0.9924	0.3872
138	0.9924	0.5582
9*	0.9926	0.5981
365	0.9928	0.7331
496	0.9929	0.7283
196a	0.9931	0.8389
199b-5p	0.9932	0.8278
128	0.9936	0.7831
7-1*	0.9936	0.8033
27b	0.9940	0.5410
30c-2*	0.9941	0.8232
154	0.9942	0.8464
335*	0.9943	0.8297
411-3p	0.9949	0.7627
615-5p	0.9949	0.8524
181c	0.9954	0.8416
302c	0.9957	0.7313
miR-192	0.9962	0.7612
19a	0.9962	0.8113

122	0.9962	0.9328
99b*	0.9965	0.8130
222	0.9967	0.9180
532-5p	0.9970	0.7646
191	0.9973	0.9101
26a	0.9978	0.8145
142-3p	0.9980	0.8954
147b	0.9982	0.9504
759	0.9985	0.9368
370	0.9990	0.9713
miR-203	0.9991	0.9662
410	0.9994	0.9789
30e	0.9997	0.9848
423-3p	0.9998	0.9907
23b	1.0002	0.9774
195	1.0008	0.9449
214*	1.0009	0.9570
26b	1.0014	0.9581
22	1.0014	0.9011
29b	1.0022	0.7976
539	1.0023	0.8486

miR-10a	1.0024	0.9136
185	1.0025	0.9727
99b	1.0026	0.8401
126	1.0031	0.7665
194	1.0032	0.9329
495	1.0035	0.7605
363	1.0039	0.8851
214	1.0048	0.9104
27b*	1.0051	0.8179
miR-18a	1.0057	0.7484
379	1.0058	0.8609
193a-3p	1.0062	0.7570
99a	1.0063	0.6499
487a	1.0066	0.8082
409-3p	1.0068	0.6647
378	1.0072	0.5615
433	1.0074	0.6977
219-5p	1.0076	0.7270
450a	1.0079	0.7258
323b-5p	1.0084	0.6692
miR-367	1.0088	0.5249

451	1. 0091	0. 6485
205	1. 0092	0. 3560
101	1. 0094	0. 8416
376a	1. 0100	0. 8133
486-5p	1. 0101	0. 7486
let-7d-3p	1. 0103	0. 6978
532-3p	1. 0103	0. 2611
20a	1. 0107	0. 2441
302d	1. 0109	0. 4091
148a	1. 0113	0. 6905
302a	1. 0116	0. 6585
151-5p	1. 0123	0. 6524
124	1. 0124	0. 4527
181a*	1. 0132	0. 5294
let-7b	1. 0141	0. 7430
17	1. 0143	0. 5803
let7i-3p	1. 0146	0. 7406
653	1. 0158	0. 4709
200b*	1. 0159	0. 6637
340	1. 0179	0. 5953
216a	1. 0194	0. 3011

877	1. 0195	0. 3540
miR-28-5p	1. 0195	0. 3572
150	1. 0196	0. 1798
382	1. 0202	0. 3414
106b*	1. 0205	0. 4636
miR-134	1. 0206	0. 1047
557	1. 0208	0. 2075
335	1. 0214	0. 5684
125a-5p	1. 0214	0. 4840
let-7d	1. 0218	0. 2582
421	1. 0218	0. 5686
208b	1. 0226	0. 5531
369-3p	1. 0234	0. 0512
let-7b-3p	1. 0243	0. 1523
708	1. 0246	0. 3646
875-5p	1. 0247	0. 2305
148a*	1. 0255	0. 1098
let-7e	1. 0257	0. 4493
15b*	1. 0257	0. 3946
218	1. 0268	0. 4222
127-5p	1. 0279	0. 2546

663	1. 0282	0. 5465
30c	1. 0295	0. 1836
186	1. 0315	0. 0744
378*	1. 0324	0. 0350
130a	1. 0331	0. 3390
miR-190b	1. 0336	0. 1404
431-3p	1. 0338	0. 1775
30d	1. 0348	0. 1685
299-5p	1. 0361	0. 0149
26b*	1. 0362	0. 1088
671-5p	1. 0390	0. 2123
107	1. 0393	0. 0464
125b-1-3p	1. 0396	0. 0261
449a	1. 0410	0. 0080
34a	1. 0410	0. 2661
30b	1. 0436	0. 0213
130b	1. 0438	0. 2378
320a	1. 0448	0. 2536
20b	1. 0456	0. 1832
654-3p	1. 0462	0. 0345
140-3p	1. 0498	0. 2255

409-5p	1. 0522	0. 1056
711	1. 0550	0. 0640
146b-5p	1. 0553	0. 1623
374b	1. 0563	0. 0088
19b	1. 0574	0. 3611
338-5p	1. 0576	0. 0105
126*	1. 0606	0. 0714
92b	1. 0660	0. 0071
181b	1. 0682	0. 0347
miR-125a-3p	1. 0713	0. 0114
378b	1. 0720	0. 0160
590-3p	1. 0736	0. 0524
218-2*	1. 0745	0. 3343
143	1. 0844	0. 0006
30e*	1. 0850	0. 0018
145	1. 0874	0. 0764
199b-3p	1. 0886	0. 1952
15a	1. 1544	0. 0674

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