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April 2, 2021

Alzheimer's Disease Associated Genomic Variants Identified in White Populations are Present in African Americans at Risk for Alzheimer's Disease Due to Family History

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## Abstract

Alzheimer's Disease Associated Genomic Variants Identified in White Populations are Present in

African Americans at Risk for Alzheimer's Disease Due to Family History

# By Jaime Schechner

*Importance:* The underlying genetic variants that contribute to the neurodevelopment of familial Alzheimer's Disease (AD) is poorly understood. Furthermore, most studies on the genetics of AD have been limited to White cohorts; therefore, exploring the prevalence of variants between White and African American populations can help determine if White derived SNPs are applicable to non-white cohorts. Further, comparing the prevalence of variants within cognitively normal White and African American individuals with familial history to those diagnosed with Alzheimer's has the potential to identify "at-risk" genes.

*Objective:* To investigate the prevalence of known and recently identified single nucleotide polymorphisms (SNPs) among African American and White individuals either diagnosed with AD or at risk via parental history.

*Design/Setting:* Observational and longitudinal multicenter study.

*Participants:* 160 cognitively normal and 55 Alzheimer's Disease diagnosed African American and White individuals with parental history of Alzheimer's disease.

Main Outcome and Measure: Genotyped whole blood samples

*Results:* 97.8% of the SNPs screened should be viable for screening in African American cohorts. Further, we report no significant results between the at-risk and Alzheimer's diagnosed cohorts, suggesting that the genetic markers of AD are complex and not one gene contributes to the neurodevelopment of AD.

*Conclusion and Relevance:* Alzheimer's-associated variants identified in White populations may be applicable to assess the development of familial Alzheimer's Disease within other populations such as African Americans, but all variants must be validated at a per SNP level. Furthermore, our results emphasize the complexity of Alzheimer's Disease and how its development and progression is dependent on genetic, environmental, and lifestyle factors.

Alzheimer's Disease Associated Genomic Variants Identified in White Populations are Present in African Americans at Risk for Alzheimer's Disease Due to Family History

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#### Introduction

Alzheimer's disease (AD) is a progressive neurodegenerative disease characterized by memory impairment and cognitive decline, which ultimately leads to deficits in executive functioning, alterations to personality and behavior, and death (DeTure and Dickson, 2019, Alz.org, 2020). Estimates suggest that more than 5 million people within the United States are currently diagnosed with AD and this number is projected to reach 13.8 million by 2050 (Alz.org, 2020). AD is among the top 10 global causes of death (World Health Organization, 2018) and the only disease within the top 10 without a cure or proven disease modifying therapy (Cummings and Fox, 2017). In order to progress the search for a cure, it is paramount that researchers understand the genetics and biological mechanisms that contribute to the neurodevelopment of AD.

Neurofibrillary tangles and beta-amyloid plaques are the pathological hallmarks for AD (National Institute on Aging, 2017). Neurofibrillary tangles, composed of abnormally phosphorylated tau proteins adhering to other tau proteins (Perl, 2010), and beta-amyloid plaques block the transport system of essential molecules within neurons leading to the neurodegeneration (Perl, 2010) and interfere with cell synaptic transmission (Robertson, 2018), respectively. In individuals diagnosed with AD, it is believed that amyloid and tau proteins begin to undetectably accumulate in the brain 10–20 years before the onset of clinical symptoms (Bateman et al., 2012, Beason-Held et al., 2013).

However, despite this understanding of AD pathology, identifying those at risk for AD has proven elusive. Indeed, researchers have spent significant resources investigating the genetics that contribute to the etiology of AD and identified three causative genes associated with mendelian forms of AD, contributing to less than 1% of AD cases (Bekris et al., 2010). These genes include: APP on chromosome 21, PSEN1 on chromosome 14, and PSEN2 on chromosome 1 (Loy et al., 2014, and Berkis et al., 2010). APP has been mapped to chromosome 21 and accounts for previous observations that patients with Down syndrome (trisomy 21) develop significant amyloid plaques and other neuropathological features of AD when in their 40s (Berkis et al., 2010). Today, more than 32 different APP missense mutations have been identified and contribute to 15% of early-onset familial (EOF) AD (Bekris et al., 2010). Both Presenilin (PSEN) 1 and 2, located on chromosome 14 and 1 respectively, have been found to increase amyloid-beta production by increasing the amount of  $\gamma$ -secretase cleavage of APP. Previous research has also shown that PSEN1 missense mutations account for 18% to 50% of autosomal dominant EOFAD cases. (Bekris et al., 2010).

Apolipoprotein E (APOE) is another known genetic determinant of AD risk. Located on chromosome 19, APOE is a cholesterol carrier protein that facilitates lipid transport and injury repair within the brain (Liu et al., 2013). APOE has various polymorphic alleles that differ in AD risk. Specifically, carriers of the  $\varepsilon$ 4 allele are at an increased risk of AD as the  $\varepsilon$ 4 allele has been found to strongly affect the deposition of amyloid-beta plaques (Berkis et al., 2010). In contrast, carriers of the  $\varepsilon$ 2 allele are at a decreased risk for AD (Liu et al., 2013).

Nonetheless, the genetics that further contribute to familial AD (FAD) or the sporadic development of AD remains elusive. A variety of genome wide association studies (GWAS) and genome wide significance studies (GWS) have been conducted trying to identify novel genes implicated in the neurodevelopment of AD (Xiao et al., 2020). These studies have identified over 75 AD risk associated loci (Bellenguez et al., 2021). However, studies limit evaluation to individuals diagnosed with AD thereby limiting power to identify genes associated with progression to AD by individuals at risk by virtue of family history. Studies comparing individuals

with normal cognition and family history of AD to individuals with AD and family history could therefore identify drivers of disease, protective elements, or interventions.

Furthermore, a majority of the GWAS and GWS studies performed to date evaluate predominantly White participants, or participants with European ancestry. As of 2017, there were only six US population-based publications and zero GWAS studies regarding AD incidence by race (Steenland et al., 2016). Further, the cohorts within the six studies had a greater representation of White participants compared to non-white, African Americans, and Hispanic participants. Focusing on White individuals to the exclusion of others may be a disservice to the greater community if the genetics that contribute to the neurodevelopment of AD differ by race or origin.

While race is a social construct that affects daily life including access to resources, healthcare, and both acute and chronic stress/anxiety, and in turn may impact biological health processes (American Psychological Association, 2017, Omi and Winant, 1994), there may be important interactions between race and genetic characteristics in disease susceptibility. Our group and others view race as a potential confound in the development of AD due to the impact race can have on genetic AD risk factors, co-morbid cerebrovascular and systemic diseases, socioeconomic status, and psychosocial inequity (Wharton et al., 2019). However, studies examining the racial differences in molecular biomarkers for AD have produced conflicting results (Morris, Schindler, and McCue, 2019). For example, studies have suggested an increased prevalence for AD in Black and African Americans compared to White individuals (Tang et al., 2001, Green et al., 2001 and Mayeda et al., 2016) while other publications have found no differences between race and risk for AD (Fillenbaum et al., 1998, and Fitzpatrick et al., 2004). Due to the paucity of genomic data in Black or African American individuals with AD, it is difficult to determine if there is a genetic foundation to the differences found in some groups, or if reported differences in AD are due to the

sociologically consequences of historic and current racial social determinates of health. Evaluating the genomic risk factors contributing to AD and determining if these are equally represented and function similarly in Black and African American populations, is therefore of paramount importance to developing cures and therapies which are equitable.

To address the literature gap regarding the genetics that contribute to FAD and the conflicting results discussing race and risk of AD, we investigate the prevalence of known and recently identified single nucleotide polymorphisms (SNPs) among two previous published cohorts (Kumar et al., 2020 and Weiner et al., 2012) of African American and White individuals either diagnosed with AD or at risk via parental history. The goals of this study are two-fold: (1) evaluating the prevalence of gene variations that may contribute to the neuropathology of AD in individuals with normal cognition and family history of AD versus individuals with AD and family history and (2) comparing how the prevalence of known risk factors differs between White and African American individuals with familial history of AD.

#### Methods

## Study Sample:

Two distinct cohorts were utilized to examine how SNP prevalence and self-identified race implicate the neuropathology and development of AD. The first cohort consisted of eightytwo subjects enrolled in ASCEND (Association Between Cardiovascular Risk and Preclinical Alzheimer's Disease Pathology), a completed two-year observational research study (PI Wharton). ASCEND participants were recruited from the Emory Alzheimer's Disease Research Center (ADRC) and were eligible for enrollment if they were 45 years or older and had a parent with AD confirmed either by autopsy or defined by NINDS-ADRDA criteria. Under ASCEND IRB approved protocol, subjects received a blood draw, cognitive testing, medical and medication history, lumbar puncture, vascular ultrasound, and MRI at Baseline, Year 1, and Year 2 visits. Exclusion criteria included participant withdrawal (n=2), blood draw failure (n=2), and sample not meeting quality control standards for genotyping (n=23). Of the eighty-two ASCEND participants, fifty-seven participants' baseline blood samples were genotyped.

The second cohort consisted of 811 subjects enrolled in the ongoing Alzheimer's Disease Neuroimaging Initiative (ADNI) 1 study (PI Weiner). ADNI is a longitudinal multicenter study designed to develop clinical, imaging, genetic, and biochemical biomarkers for the early detection and tracking of AD. Subject enrollment, clinical, and subject characteristics for the ADNI-1 study are described elsewhere (Saykin et al. 2010). Data were downloaded from http://adni.loni.usc.edu. For this study, analyses were conducted under the participant's most recent diagnosis: normal cognition, mild cognitive impairment, or Alzheimer's Disease. Further analyses were conducted on a subset of ADNI participants (n= 266) who had indicated parental history of AD on their

Family History Questionnaire. This subset was then merged with the ASCEND cohort for a final analysis.

#### Genotyping:

ASCEND: Blood draws were completed by a member of the study team. Venous blood was collected into EDTA anticoagulated tubes and genomic DNA was obtained through standard protocol measures. Typically, 50 to 70 grams of DNA was isolated from 2 milliliters of whole blood per participant. Vials containing blood samples were labeled with subject ID numbers, name of the study, date collected, barcoded and temporarily stored at Executive Park 12. Every 6 months, samples were carried to a research freezer in a secure ADRC cryogenic storage facility located at the Whitehead Biomedical Research Building. Access to the freezer could only be accessed by authorized study personnel and the freezer was protected and equipped with a backup power and telephone alarm system. All blood samples collected from baseline visits (n=78) were sent to the Wingo Lab at Emory University for genotype sequencing. The Wingo lab completed the Genome Wide Association Study genotyping using an Axiom Genotyping Solution Array. Data was sent back to the Wharton Lab in PLINK data format.

*ADNI:* ADNI blood draw and genotyping protocols for the 811 ADNI-1 participants have previously been described (Saykin et al. 2010). Genotyped data was available for download in the PLINK data format. Genotyped data was available for download in the PLINK data format at http://adni.loni.usc.edu.

## Data Analysis:

The primary study objective was to evaluate the potential relationship between selfidentified race and the prevalence of SNPs known to influence risk or progression of AD. For the ASCEND cohort, participant's self-reported race was stored in the secured REDcap online database. For the ADNI cohort, self-reported race was stored within the ADNIMERGE table, which is only authorized to download by approved researchers.

The researchers generated a list of 137 novel and known SNPs of interest implicated in the neuropathology of AD from previously published meta-analyses (Bellenguez et al. 2020 and Giri, Zhang, and Lü, 2016) and from publications addressing genes more prevalent in African genomes (Rotimi et al. 2017). For publications that listed only gene names, common SNP variants were recorded from SNPedia (SNPedia.com).

The researchers utilized PLINK version 1.90 to analyze the data. PLINK is an open-source whole genome association analysis toolset for performing computationally efficient large-scale analyses of genotype/phenotype data (https://zzz.bwh.harvard.edu/plink/). The PLINK pipeline for the ASCEND and merged ASCEND-ADNI cohorts is described in Supplementary File 1. The researchers extracted the SNPs of interest from the ASCEND and ADNI cohorts. The researchers then merged the ASCEND cohort and the subset of ADNI participants of all cognitive states (normal cognition, mild cognitive impairment, Alzheimer's Disease) but had parental history of AD together. A race cluster frequency analysis and association analysis was conducted on the merged cohorts. Minor allele frequency was analyzed in PLINK using chi squared tests, followed by controlling the false discovery rate using the Benjamini-Hochberg Procedure (FDR of 0.05) in GraphPad Prism.

The researchers then performed a diagnosis cluster frequency analysis and association analysis on the merged cohorts. However, the previously described ADNI participants were further stratified by diagnosis (normal cognition or AD) and then merged with the ASCEND participants. Minor allele frequency was analyzed in PLINK using chi squared tests, followed by controlling the false discovery rate using the Benjamini-Hochberg Procedure (FDR of 0.05) in GraphPad Prism.

To analyze participant genotype, merged pedigree (.ped) and variant information (.map) files were imported to Excel. Counts of individuals stratified by race who were homozygous and heterozygous for disease associated-alleles, as well as homozygous for reference alleles, were generated. Sources used to identify risk-associated alleles can be found in Table 2. For the race analysis, counts of African American individuals (n=25) with at least one disease-associated allele or no disease-associated allele were compared to counts of White individuals (n=290) with at least one disease-associated allele or no disease-associated allele using Fisher's exact test in GraphPad Prism for each SNP, followed by controlling the false discovery rate using the Benjamini-Hochberg Procedure (FDR of 0.05). For the diagnosis analysis, counts of cognitively normal individuals with parental AD history (n=161) with at least one disease-associated allele or no disease-associated allele were compared to counts of AD diagnosed individuals with parental AD history (n=55) with at least one disease-associated allele or no disease-associated allele using Fisher's exact test in GraphPad Prism for each SNP, followed by controlling the false discovery rate using the Benjamini-Hochberg Procedure (FDR of 0.05). P-values, odd's ratios (OR), and confidence intervals are reported in both Table 4 and Table 6.

## **Results**

## **Participant Demographics**

Table 1 reports the demographic characteristics for the 57 ASCEND participants and the 269 ADNI participants. Results show ASCEND participants are middle aged (M=57.97  $\pm$  9.95), mostly female (68.4%), of normal cognitive status (100%), are a mix of African American (36.8%) and White (57.9%) individuals and are carriers of the protective APOE2 allele (15.79%) and risk APOE4 allele (80.85%). ADNI participants are more elderly (M=70.82  $\pm$  6.75), mostly female (53.2%), have varying cognitive states (normal cognition 38.23%, mild cognitive impairment 40.82%, Alzheimer's 19.85%), predominantly White (95.5%), and carriers of the APOE allele (10.82% APOE2 and 47.58% APOE4). All 326 participants were at risk for AD by having a parent diagnosed with the disease.

Table 1 also reports the demographics for the merged race analysis. Results show that the African American participants (n=25) were older (M=68.72  $\pm$ 8.09), mostly female (80%), are primarily neurotypical (88% normal cognition, 8% mild cognitive impairment, and 4% Alzheimer's), and are more likely to be carriers of the protective APOE2 allele (8%) and risk APOE4 allele (56%). Our results also show White participants (n=290) to be older (M=68.34 $\pm$  8.04), mostly female (53.79%), have varying cognitive states (46.215 normal cognition, 35.52% mild cognitive impairment, and 17.93% AD), and are carriers of the protective APOE2 allele (9.66%) and risk APOE4 allele (65.17%). African American and White cohorts differed significantly in biological sex representation (p=0.012) and in diagnosis (p=0.0003). All 315 participants were at risk for AD by having a parent diagnosed with the disease.

Table 1 also summarizes the demographics of the merged diagnosis analysis. Our results show that participants of normal cognitive status (n=160) are more elderly (M=68.34  $\pm$  8.56),

mostly female (65%), are a mix of White (83.75%) and African American (13.75%) individuals and are carriers of the protective APOE2 allele (15%) and risk APOE4 allele (51.88%). Results also show participants diagnosed with AD (n=55) to be the most elderly (M=71.76 $\pm$  6.43), primarily White (95.55%), and 100% carriers for the risk APOE4 allele. Cognitively normal and AD diagnosed cohorts differed significantly in age (p=0.002), biological sex (p=0.025), selfidentified race (p=0.045), and in APOE carrier status (p=0.002). All 215 participants were at risk for AD by having a parent diagnosed with the disease

#### **SNP** Summary

Table 2 shows a summary of all the SNPs investigated. From our original list of 137 new and novel SNPs known to be implicated in the progression of AD, 46 SNPs were sequenced between the two cohorts (33 in ASCEND, 26 in ADNI, 12 found in both). All variants are single nucleotide variations (SNVs) and the majority of the SNVs have the consequence of being an intron variant (n=32). Table 2 also identifies the chromosome, reference, alternate, and risk allele for each variant. The reference allele frequency within European, African, and African American populations is also reported to help assess the prevalence of the allele in these populations.

#### Race Association Analysis

Table 3 shows the results of the race association analysis. The major, minor, and disease associated allele is reported for each SNP. Of the 45 screened variants, we identified 16 SNPs with minor allele frequencies (MAF) which differed by race prior to correction for multiple hypotheses. Following correction, 7 remained significant specifically rs983392, rs1562990, rs2279590, rs7274581, rs11771145, rs3764650, and rs190982. Of these, only one variant, rs11771145, had disease-associated variant overrepresentation in Caucasians; all other disease-associated variants

were overrepresented in African Americans. Therefore, these data would suggest that while there seems to be a racial bias in the distribution of AD-associated SNPs, despite a majority of these variants being identified in White cohorts, a major subset are present within a cohort of African Americans at risk of AD by virtue of family history. Indeed, since only one SNP, rs11771145, was significantly over-represented in Caucasians, 97.8% of the SNPs we screen should be viable for screening in non-White cohorts, especially if multiple SNPs are used to evaluate risk.

Table 4 summarizes the findings of disease-associated genotype frequency analysis by race. The genotype, disease associated variant, and number of White and African American participants who possess each genotype is reported for each variant. Of the 45 screened variants, we identified six SNPs with disease-associated genotype frequencies which differed by race prior to correction for multiple hypotheses. Specifically, risk-associated genotypes in rs11771145, rs6656401, and rs769449 were found to be overrepresented in White participants and risk-associated genotypes in rs7274581, rs3764650, and rs190982 were found to be overrepresented in African American participants. However, following correction, no genotype frequency remained significant. This suggests that despite the fact that all SNPs analyzed were derived from a cohort with White and European ancestry, the majority of risk-associated genotypes are equally pervasive within African Americans at risk for AD. Therefore, these findings suggest that a major subset of risk-associated genotypes derived from White cohorts can be used to assess risk of AD in African Americans. Further, these data suggest that SNPs found in one population, after validation in other cohorts, may be useful in identifying the risk of AD in diverse populations.

#### **Diagnosis Association Analysis**

Table 5 shows the results of the diagnosis association analysis. The major, minor, and disease associated allele is reported for each SNP. Of the 26 screened variants, we identified one

SNP, rs7225151, with a MAF score which differed by diagnosis prior to correction for multiple hypotheses. Specifically, rs7225151's disease associated allele was found to be overrepresented in normally cognitive individuals. However, following correction, no SNPs remained significant. These data suggest that cognitively normal individuals who are at risk for AD and express the rs7225151 variant, may be less likely to progress to an AD diagnosis later in life. Further, these results suggest that the genetic markers of AD are complex, and not one gene contributes to the neurodevelopment of AD.

Table 6 summarizes the findings of disease-associated genotype frequency analysis by diagnosis. The genotype, disease associated variant, and number of cognitively normal and AD-diagnosed participants with who possess each genotype is reported for each variant. Of the 26 screened variants, we identified two SNPs with disease-associated genotype frequencies which differed by diagnosis prior to correction for multiple hypotheses. Specifically, rs2154481's disease-associated genotype was found to be overrepresented in individuals diagnosed with AD and rs7225151's disease-associated genotype was found to be overrepresented in cognitively normal participants. Following correction, SNP rs2154481 remained significant. These results suggest that rs2154481 may be a good variant to screen for in individuals at risk for AD as participants who expressed rs2154481's disease-associated genotype had progressed to an AD diagnosis. Further, these results indicate that rs7225151 may not be a good variant to screen for in the progression of AD, as the disease-associated genotype is overrepresented in individuals at risk by virtue of family history but not diagnosed with AD.

# Discussion

Here, we conducted association and genotype analyses to (1.) investigate how the prevalence of known risk factors differ between White and African American individuals with a familial history of AD and (2.) explore how the frequency of different gene variations may contribute to the neuropathology of AD in individuals with normal cognition and family history of AD versus individuals with AD and family history. Within our limited study, our results showed that most AD-associated variants identified in White populations may be applicable to assess the development of familial AD within other populations such as African Americans, but all variants must be assessed at a per SNP level. Furthermore, we found no significant differences between neurotypical and AD diagnosed adults with parental history of AD. These results highlight the complexity of AD and emphasize how the development of AD is dependent on genetic, environmental, and lifestyle factors. To our knowledge, this is the first study to verify that novel variants implicated in the progression of AD within White and European cohorts are applicable to the development of AD within African American cohorts. Moreover, this is the only study to compare the prevalence of novel SNPs within cognitively normal U.S adults with parental history of AD to those with parental AD history who have progressed to an AD diagnosis.

Our results suggest that there may be ethnoracial differences in genetic risk factors associated with AD. Indeed, studies have investigated the differences in the prevalence and incidence of AD within the genetic and environmental domains between White and African American cohorts but have produced varied results. It is plausible that this variability results from differences in experimental methodology such as criteria for racial grouping, inclusion criteria, and diagnostic criteria (Chin et al., 2012). Further the increased prevalence of AD within African Americans may also result from the utilization of race-adjusted algorithms and diagnostic tools, and bias of AD diagnostic instruments and disease diagnosis by clinicians (Boyd et al., 2020, Vyas

et al., 2020). Various instruments for the diagnosis of AD, such as the Mini Mental Status Exam, have been found to have low specificity within African Americans, producing up to a 42% false-positive rate for cognitive impairment among African Americans compared to the 6% rate among Caucasians (Chin et al., 2011). Further, due to the overrepresentation of White participants within AD clinical studies, many researchers may assume the genomic landscape of White individuals with AD is applicable to minority populations. Our study overcame some of these potential barriers by having each participant indicate their self-identified race on a questionnaire. Moreover, ADNI AD diagnosis was determined by clinical evaluations and neuropsychological tests and further supported by AD biomarker assessments such as genetic testing, lumbar puncture, and MRI and PET scans (Weiner et al., 2012). Our results suggest that while there are genetic differences between White and African American populations, some White derived SNPs are applicable to African Americans. In order to create a more equitable research and healthcare environment, future studies must verify the applicability of all genetic biomarkers between different racial populations and work to fix the implicit biases found in these domains.

To validate our results, we compared SNP frequencies of our race association analysis to the overall frequency found within European and African American populations reported in previous publications (Sherry et al., 1999). For the purposes of this comparison, similar is defined as frequency within 10% of previously reported penetration. Out of the 45 SNPs screened within African American participants, 16 variants were similar in frequency to other publications and 29 SNPs were not. In addition, out of the 45 variants screened within White participants, 13 SNPs were similar in frequency to other publications and 32 were not. These data indicate that some of the analyzed at-risk variants are naturally prevalent within White and African American populations without a history of AD. However, the majority of the analyzed SNPs are more prevalent within African American and White cohorts with parental history of AD. This suggests that these SNPs are a valid proxy and steppingstone in identifying the genetic markers that increase AD risk. Further, our results may indicate that the frequency of at-risk variants may differ by self-identified race supporting the idea that different races have different degrees of susceptibility to AD (Christensen et al., 2008).

Family history is the second greatest risk factor for AD following advanced age (Tanzi 2012). Twin and family studies estimate that up to 80% of AD cases involve the inheritance of genetic factors (Gatz et al. 2006). However, the development of AD has been associated with environmental and lifestyle factors such as diet, exposure to environmental toxins such as car exhaust and toxic heavy metals, and incidences of concussion (Grant, 2002, Killin et al., 2016, Ramos-Cejudo et al., 2018). Our inability to find an association between SNP prevalence between cognitively normal and AD diagnosed adults with parental history of AD suggests that familial AD results from an intricate pattern of inheritance in which genetic risk factors work in tandem with environmental factors and life exposure events to determine AD risk (Tanzi 2012).

Notable strengths of this study include the integration of multiple cohorts and the utilization of previously screened blood samples for the detection of novel AD variants. Furthermore, our study only included participants who had parental history of AD confirmed either by autopsy, defined by NINDS-ADRDA criteria, or family history questionnaire confirmed by parental medical records. By investigating familial AD, we can explore the genetic components that contribute to the progression of AD, identify potential detrimental variants, and utilize novel gene and cell targeting therapies to possibly prevent or delay AD development (Rasmussen and Langerman, 2019 and Lorea-Valencia et al., 2018). In addition, our study is the first to validate the utilization of novel White derived SNPs in other cohorts. Prior publications have assumed that the variants identified in meta-GWAS are applicable to all populations (Cardon and Palmer, 2003 and Price et al., 2006). However, our findings evaluated each novel variant at the individual (by looking at genotype) and population (by looking at allele frequency) level, confirming the applicability of some White derived SNPs to diverse populations. A final strength of this study is our focus on African Americans. Within the United States healthcare and research domains, African Americans are an underrepresented minority group. As previously described, there is a variety of conflicting literature discussing the susceptibility of African Americans to AD, and these are compounded by few studies recruiting African American participants successfully (Barrett et al., 2017, and Ejigou et al., 2007). Our ability to recruit racial minorities into genetic research is a step in addressing conflicting publications and in improving patient care by increasing our understanding of genetic markers of disease in those who do not self-identify as White (Burke 2019, Knerr et al., 2017).

This study also has limitations that must be acknowledged. First, we recognize that the utilization of a small sample size (n=315) may influence the interpretation of results at the statistical level and result in overestimates in the magnitude of SNP prevalence associations (Hackshaw 2008). Further, the unbalanced race (CC=290, AA=25) and diagnosis (NC=160, AD=55) cohorts may lead to spurious associations and false-positive/negative statistical results (Leonard et al., 2018). Future studies should strive to expand the sample size and create balanced racial cohorts to further assess the applicability of White derived SNPs to diverse populations. We also acknowledge that SNPs implicated in the progression of AD within White and European cohorts will naturally be more prevalent within White subjects at risk for AD. However, due to the invention of novel genomic technology and demand for equity within the research domain, new GWAS/GWS studies are being conducted identifying AD variants within primarily African

American cohorts (Kunkle et al., 2020). Future research directions should account for AD SNPs implicated in both White and African American cohorts when assessing the prevalence of variants within diverse populations.

The utilization of microarrays for genotype sequencing is another limitation of this study. Microarrays require high quality control standards, are dependent on previously known genes, and tend to only contain common variants due the limited in the number of SNPs that they can sequence for (Baker 2013 and Jakis et al., 2015). Consequently, the blood of 23 participants was unable to be sequenced due sample to failure to meet quality control standards. Further, the two microarrays only sequenced 45 out of 137 novel SNPs; considering that the novel SNPs chosen were identified years after the original microarrays were run, 32% coverage was a pleasant surprise; this suggests that previously collected genetic data may constitute a data source for future studies even as understanding of disease evolves. Nonetheless, researchers should consider the utilization of Next Generation Sequencing technology to mitigate these limitations in future publications (Betram, 2016).

We also recognize that this study assumes that there is a biological and genetic foundation for race, which has recently been declared unfounded by the American Medical Association (O'Reilly 2020). As previously discussed, race is a social construct that affects daily life at the stress/anxiety level and in turn may impact biological health processes (American Psychological Association 2017). Race is a complex concept, and it is defined and operationalized differently in different disciplines. Increasingly, health researchers are calling for discussions about the nature of "race," the social construction of race, and the implications of these conceptualizations in health and medical research (Boyd et. al. 2020). The goal of this study was to assess the interactions between race and genetics in AD susceptibility and in order to investigate this relationship, we had to assume that there is some genetic foundation for race. However, we acknowledge how this assumption is unsupported and may perpetuate the implicit biases found within the healthcare setting. Indeed, this study suggests that there is significant overlap in the genetic foundation of AD between people of different races. Future studies must account for ancestral history when assessing the interplay between genetic markers and disease progression.

In summary, our results showed that some White derived variants may be applicable to diverse populations, and that there are a variety of genetic and environmental factors contributing to the development of familial AD. However, our results stress the need for further research investigating the genetic factors that contribute to the progression and development of AD.

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## <u>Tables</u>

## Table 1: Patient Demographics

NC: Normal Cognition; AD: Alzheimer's Disease; Welch's t test was used to compare age; fisher's exact test was used to compare biological sex, parental AD diagnosis, and APOE genotype; and chi-squared test was used for race within diagnosis, and diagnosis within race.

			Ν	lerged for Race		Merg	ed for Diagnosis	
	ASCEND	ADNI	Black	White		NC	AD	
Category	(n=57)	(n=269)	(n=25)	(n=290)	P value	(n=160)	(n=55)	P value
Age (years):	$57.97\pm9.95$	$70.82\pm6.75$	$68.72{\pm}8.09$	$68.86{\pm}8.04$	0.934	$68.34\pm8.56$	$71.76\pm6.43$	0.002
<b>Biological Sex N (% female):</b>	39 (68.4%)	116 (53.2%)	20 (80%)	156 (53.79%)	0.012	104 (65%)	26 (47.27%)	0.025
Self-Identified Race N (%):								0.045
Caucasian	33 (57.9%)	257 (95.5%)	0 (0%)	290 (100%)		134 (83.75%)	52 (95.55%)	
African American	21 (36.8%)	4 (1.56%)	25 (100%)	0 (0%)		22 (13.75%)	1 (1.82%)	
Other	3 (5.3%)	8 (2.94%)	0 (0%)	0 (0%)		4 (2.5%)	2 (3.64%)	
Diagnosis N (%):								
Normal Cognition	57 (100%)	103 (38.23%)	22 (88%)	134 (46.21%)	0.0003	160 (100%)	0 (0%)	
Mild Cognitive Impairment	0 (0%)	110 (40.82%)	2 (8%)	103 (35.52%)		0 (0%)	0 (0%)	
Alzheimer's	0 (0%)	54 (19.85%)	1 (4%)	52 (17.93%)		0 (0%)	55 (100%)	
Parental AD Diagnosis N (%):	57 (100%)	269 (100%)	25 (100%)	290 (100%)	>0.999	160 (100%)	55 (100%)	>0.999
APOE N (%):					>0.999			0.0002
E2(+)	9 (15.79%)	29 (10.82%)	2 (8%)	28 (9.66%)		24 (15%)	1 (1.82%)	
E4(+)	38 (80.85%)	128 (47.58%)	14 (56%)	189 (65.17%)		83 (51.88%)	55 (100%)	

#### Table 2: SNP Summary For All Screened Variants.

Chr: chromosome; SNV: single nucleotide variation; Cons: consequence; MM: missense mutation; IV: intron variant; InV: intergenic variant; MV: missense variant; SV: Synonymous Various; RRV: Regulatory Region Variant; NCTV: Non-Coding Transcript Variant; 3PV: 3 Prime UTR Variant; SG: Stop Gained; Ref Allele: Reference allele as identified in dbSNP database. RAF: reference allele frequency; RAF in total, European, African, and African American (AA) populations determined from dbSNP from cohorts TopMed, gnomAD, gnomAD, and the PAGE study, respectively. Risk allele: variant associated with increased risk or progression of AD or the allele not associated with protection. protective allele: variant associated with reduced risk or progression of AD.

SNP	Chr	Gene	Туре	Cons	Ref Allele	Alt Allele	RAF (total)	RAF (Euro)	RAF (African)	RAF (AA)	ASCEND	ADNI	Risk Allele	Prot. Allele	Source
rs28936379	1	PSEN2	SNV	MM	А	C, G, T	1.000	1.000	1.000	1.000	yes	no	G		Levesque et al. 1995
rs6656401	1	CR1	SNV	IV	А	G, T	0.179	0.190	0.032	0.033	yes	no	А		Shen et al. 2015
rs10933431	2	INPP5D	SNV	IV	G	С	0.372	0.236	0.628	0.622	yes	no	С	G	Jansen et al. 2019
rs35349669	2	INPP5D	SNV	IV	С	Т	0.617	0.512	0.866	0.863	yes	no	Т		Lambert et al. 2013
rs6733839	2	LOC1053736 05	SNV	IV	С	G, T	0.610	0.613	0.598	0.597	yes	no	Т		Desikan et al. 2017
rs7561528	2	LOC1053736 05	SNV	IV	G	А	0.685	0.668	0.795	0.794	yes	yes	А		Lo et al. 2019
rs16824536	3	MME	SNV	IV	G	А	0.893	0.949	0.737	0.740	yes	no	G	А	Bellenguez et al. 2020
rs3822030	4	IDUA	SNV	IV	G	Т	0.443	0.429	0.766	0.763	no	yes	Т	G	Bellenguez et al. 2020; Vuckovic et al. 2020
rs6846529	4	CLNK	SNV	InV	С	G, T	0.280	0.275	0.389	0.390	no	yes	С		Bellenguez et al. 2020; Vuckovic et al. 2020
rs190982	5	MEF2C-AS1	SNV	IV	G	A, C	0.377	0.397	0.103	0.104	yes	yes	А		Lambert et al. 2013
rs871269	5	TNIP1	SNV	IV	С	A, T, G	0.659	0.673	0.593	0.595	yes	yes	С	Т	Wightman et al. 2020
rs10947943	6	UNC5CL	SNV	IV	G	А	0.890	0.844	0.976	0.968	yes	no	G	А	Bellenguez et al. 2020
rs3129882	6	11211 2141	SNV	IV	G	A, C	0.443	0.435	0.431	0.432	yes	yes	G		Hamzaet al. 2010
rs75932628	6	TREM2/LOC 105375056 HS3ST5/HD	SNV	MV/IV	С	A,T	0.998	0.998	1.000	1.000	yes	no	Т		Bellenguez et al. 2020; Vuckovic et al. 2020 Bellenguez et al. 2020;
rs785129	6		SNV	IV	Т	С	0.343	0.340	0.233	0.234	no	yes	С		Vuckovic et al. 2020,
rs9296559	6	CD2AP	SNV	IV	Т	С	0.740	0.735	0.797	0.795	no	yes	С		Tao et al. 2019

SNP	Chr	Gene	Туре	Cons	Ref Allele	Alt Allele	RAF (total)	RAF (Euro)	RAF (African)	RAF (AA)	ASCEND	ADNI	Risk Allele	Prot. Allele	Source
rs10952097	7	ICA1	SNV	IV	Т	A, C	0.231	0.099	0.553	0.532	no	yes	Т	С	Bellenguez et al. 2020
rs11767557	7	EPHA-1-AS1	SNV	IV	Т	С	0.806	0.803	0.845	0.845	yes	yes	Т	С	Naj et al. 2011
rs11771145	7	EPHA1-AS1	SNV	IV	G	Α, Τ	0.648	0.655	0.446	0.447	yes	yes	G	А	Lambert et al. 2013
rs3211956	7	CD36	SNV	IV	Т	G	0.922	0.921	0.965	0.964	no	yes	Т	G	Zhou et al. 2018
rs11136000	8	CLU	SNV	IV	Т	A, C	0.392	0.397	0.549	0.551	yes	yes	С		Haroldet al. 2009
rs2279590	8	CLU	SNV	IV	Т	A, C, G	0.386	0.409	0.105	0.108	yes	no	С		Jun et al. 2015
rs7068231	10	ANK3	SNV	InV	Т	A, G	0.417	0.409	0.258	0.259	no	yes	Т	G	Bellenguez et al. 2020; Vuckovic et al. 2020
rs1562990	11	MS4A	SNV	IV	С	Α, Τ	0.409	0.413	0.203	0.206	yes	no	А	С	Antúnez et al. 2011
rs4938933	11	MS4A4A	SNV	IV	С	G, T	0.404	0.408	0.318	0.319	yes	yes	Т	С	Hollingworth et al. 2011
rs541458	11	PICALM	SNV	RRV	С	Т	0.315	0.318	0.205	0.195	yes	no	Т	С	Zhuang et al. 2019
rs610932	11	MS4A6A	SNV	3PV	Т	C, G	0.430	0.430	0.485	0.484	no	yes	С	А	Hollingworth et al. 2011
rs983392	11	MS4A2	SNV	InV	А	G	0.664	0.601	0.932	0.929	yes	no	А	G	Lambert et al. 2013
rs4985556	16	IL34	SNV	SG	С	Α, Τ	0.894	0.888	0.976	0.975	yes	yes	А		Bellenguez et al. 2020; Vuckovic et al. 2020
rs56407236	16	FAM157C/LO C105376786	SNV	IV/MC TV	G	А	0.935	0.935	0.927	0.928	yes	no	G		Bellenguez et al. 2020; Vuckovic et al. 2020
rs889555	16	BCKDK LRRC37A2/W	SNV	IV	С	Т	0.708	0.720	0.604	0.603	no	yes	С	Т	Bellenguez et al. 2020; Vuckovic et al. 2020
rs199515	17	NT3	SNV	IV	G	A, C	0.171	0.186	0.116	0.117	yes	no	С		Bellenguez et al. 2020
rs4277405	17	ACE	SNV	InV	С	A, T, G	0.375	0.380	0.354	0.353	yes	no	Т	С	Bellenguez et al. 2020; Vuckovic et al. 2020
rs7225151	17	SCIMP	SNV	IV	G	А	0.877	0.880	0.770	0.771	yes	yes	А		Bellenguez et al. 2020; Vuckovic et al. 2020
rs3752246	19	ABCA7	SNV	MV	G	С, Т	0.158	0.171	0.046	0.046	yes	no	G		Naj et al. 2011
rs3764650	19	ABCA7	SNV	IV	Т	G	0.897	0.906	0.740	0.741	yes	yes	G		Hollingworth et al. 2011
rs3826656	19	CD33	SNV	IV	G	А	0.255	0.240	0.185	0.186	yes	yes	G	А	Yuan et al. 2011

SNP	Chr	Gene	Туре	Cons	Ref Allele	Alt Allele	RAF (total)	RAF (Euro)	RAF (African)	RAF (AA)	ASCEND	ADNI	Risk Allele	Prot. Allele	Source
rs429358	19	APOE	SNV	MV	Т	С	0.926	0.932	0.871	0.872	yes	no	С	А	Arboleda-Velasquez et al. 2019
rs7412	19	APOE	SNV	MV	С	Т	0.917	0.917	0.895	0.896	yes	no	С		Kettunen et al. 2012
rs769449	19	APOE	SNV	IV	G	А	0.901	0.898	0.976	0.975	yes	yes	А		Liu et al. 2018
rs9304690	19	SIGLEC11	SNV	SV	С	Т	0.771	0.758	0.896	0.895	no	yes	Т		Bellenguez et al. 2020; Vuckovic et al. 2020
rs16979934	20	CASS4	SNV	IV	Т	C, G	0.949	0.947	0.944	0.944	no	yes	С		Hollingworth et al. 2011
rs6014724	20	CASS4	SNV	IV	А	C, G	0.896	0.904	0.897	0.897	no	yes	А	G,T	Jansenet al. 2020
rs7274581	20	CASS4	SNV	IV	Т	С	0.906	0.914	0.797	0.797	yes	no	С		Desikan et al. 2017
rs2154481	21	APP	SNV	IV	С	Α, Τ	0.504	0.477	0.947	0.945	no	yes	Т		Bellenguez et al. 2020
rs2830489	21	ADAMTS1	SNV	InV	С	Α, Τ	0.864	0.800	0.971	0.970	yes	no	С	Т	Bellenguez et al. 2020

# Table 3: Race Association Analysis Results

Disease associated alleles are bolded. MAF: minor allele frequency. UNADJ P: Asymptotic p-value calculated using basic allelic test chi-square. Final significance determined by controlling the false discovery rate using the Benjamini-Hochberg procedure.

SNP	Major Allele	Minor Allele	MAF Black (n=25)	MAF White (n=290)	UNADJ P	Rank	(i/m)Q	significant?
rs983392	Α	G	0.071	0.453	8.09E-06	1	0.001	yes
rs1562990	Α	С	0.119	0.394	0.001	2	0.002	yes
rs2279590	С	Т	0.071	0.333	0.001	3	0.003	yes
rs7274581	Т	С	0.381	0.106	0.002	4	0.004	yes
rs11771145	G	А	0.560	0.340	0.003	5	0.006	yes
rs3764650	Т	G	0.229	0.095	0.004	6	0.007	yes
rs190982	Α	G	0.160	0.361	0.006	7	0.008	yes
rs541458	Т	С	0.119	0.333	0.014	8	0.009	no
rs6656401	G	Α	0.048	0.250	0.018	9	0.010	no
rs2830489	С	Т	0.071	0.273	0.020	10	0.011	no
rs56407236	G	А	0.119	0.016	0.022	11	0.012	no
rs35349669	С	Т	0.191	0.422	0.023	12	0.013	no
rs7225151	G	Α	0.260	0.148	0.035	13	0.014	no
rs769449	G	Α	0.060	0.185	0.036	14	0.016	no
rs10933431	С	G	0.548	0.333	0.038	15	0.017	no
rs3826656	А	G	0.140	0.268	0.055	16	0.018	no
rs7068231	G	Т	0.750	0.414	0.057			
rs6733839	С	Т	0.571	0.394	0.067			
rs3752246	С	G	0.050	0.167	0.084			
rs610932	С	А	0.125	0.400	0.124			
rs16824536	G	А	0.238	0.141	0.154			

SNP	Major Allele	Minor Allele	MAF Black (n=25)	MAF White (n=290)	UNADJ P	Rank	(i/m)Q	significant?
rs7561528	G	Α	0.420	0.322	0.162			
rs871269	С	Т	0.420	0.331	0.209			
rs16979934	Т	С	0.125	0.042	0.264			
rs9296559	Т	С	0.125	0.265	0.334			
rs2154481	Т	С	0.625	0.460	0.362			
rs6014724	Α	G	0.000	0.084	0.385			
rs3211956	Т	G	0.000	0.086	0.391			
rs11767557	Т	С	0.140	0.189	0.396			
rs199515	С	G	0.095	0.152	0.409			
rs9304690	С	Т	0.125	0.250	0.416			
rs75932628	С	Т	0.000	0.015	0.430			
rs11136000	С	Т	0.360	0.412	0.448			
rs429358	Т	С	0.310	0.379	0.478			
rs7412	С	Т	0.048	0.076	0.557			
rs6846529	Т	С	0.375	0.281	0.558			
rs889555	С	Т	0.375	0.281	0.578			
rs3822030	Т	G	0.375	0.468	0.587			
rs785129	С	Т	0.250	0.327	0.635			
rs4938933	Т	С	0.400	0.368	0.653			
rs4277405	Т	С	0.405	0.439	0.740			
rs10947943	G	А	0.048	0.061	0.772			
rs3129882	А	G	0.420	0.412	0.908			
rs4985556	С	Α	0.080	0.078	0.959			
rs10952097	С	Т	0.125	0.120	0.965			

# Table 4: Race Genotyping Results

Counts of individuals with genotypes including at least one disease-associated allele (bolded) and genotypes without any diseaseassociated allele were analyzed with chi-square test. Final significance determined by controlling the false discovery rate using the Benjamini-Hochberg procedure.

SNP	Genotype		White (n)	p-value	(i/M)Q	significant?	OR	95% CI
	G G or G							
rs11771145	Α	16	253	0.004	0.001	no	0.253	0.1053 to 0.6062
	AA	9	36				1	
rs7274581	C C or C T	12	6	0.007	0.002	no	6.000	1.667 to 18.10
15/2/4301	ТТ	9	27					
rs6656401	A A or A G	2	12	0.029	0.003	no	0.175	0.03642 to 0.7974
180030401	GG	19	20					
rs3764650	G G or G T	9	53	0.033	0.004	no	2.672	1.105 to 6.340
rs5704050	ТТ	15	236					
rs190982	A A or A G	25	245	0.033	0.006	no	Infinity	1.250 to Infinity
F\$190962	GG	0	44					
rs769449	A A or A G	3	91	0.042	0.007	no	0.297	0.09184 to 0.9895
18/02442	GG	22	198					
rs35349669	T T or T C	7	20	0.051			0.300	0.09478 to 0.9403
1833349009	CC	14	12					
rs7561528	A A or A G	18	152	0.093			2.301	0.9873 to 6.090
18/301320	GG	7	136					
	G G or G							
rs3752246	С	2	10	0.105			0.256	0.05204 to 1.227
	CC	18	23					
rs7225151	A A or A G	11	82	0.113			1.983	0.9075 to 4.448
	GG	14	207					

SNP	Genotype	Black (n)	White (n)	p-value (i/M)Q significant?	OR	95% CI
rs6733839	T T or T C	18	21	0.120	3.429	0.9356 to 12.53
180/33039	C C	3	12			
	G G or A					
rs3826656	G	7	131	0.140	0.469	0.1771 to 1.093
	AA	18	158			
rs983392	A A or A G	21	27	0.144	Infinity	0.9875 to Infinity
	GG	0	5			
rs1562990	A A or A C	21	29	0.148	Infinity	0.6184 to Infinity
	CC	0	4			
rs2830489	C C or C T	21	29	0.148	Infinity	0.6184 to Infinity
	ТТ	0	4			
rs541458	T T or C T	21	29	0.148	Infinity	0.6184 to Infinity
150 11 100	СС	0	4			
rs871269	C C or C T	20	256	0.204	0.516	0.1810 to 1.331
15071207	ТТ	5	33			
rs2279590	C C or C T	21	30	0.274	Infinity	0.5624 to Infinity
152277576	ТТ	0	3			
rs16979934	C C or C T	1	20	0.288	3.933	0.2894 to 27.18
1310/1//04	ТТ	3	236			
rs7068231	T T or T G	4	168	0.303	Infinity	0.5073 to Infinity
157000251	GG	0	88			
rs10933431	C C or C G	15	28	0.305	0.446	0.1223 to 1.571
1310/33-31	GG	6	5			
rs6846529	C C or C T	3	123	0.357	3.244	0.4767 to 42.41
	ТТ	1	133			
	G G or G					
rs3129882	Α	19	192	0.382	1.600	0.6525 to 3.974
	A A	6	97			

SNP	Genotype	Black (n)	White (n)	p-value (i/M)Q	significant?	OR	95% CI
rs3822030	T T or T G	4	203	0.586		Infinity	0.2457 to Infinity
183822030	GG	0	52				
rs11767557	T T or C T	24	278	0.606		0.863	0.1342 to 9.753
1811/0/33/	CC	1	10				
rs9296559	C C or C T	1	124	0.623		0.355	0.02712 to 2.413
18/2/033/	ТТ	3	132				
rs9304690	T T or C T	1	111	0.636		0.432	0.03303 to 2.940
13/3040/0	CC	3	144				
rs11136000	C C or C T	21	249	0.764		0.843	0.2759 to 2.376
1311130000	ТТ	4	40				
rs4985556	A A or A C	3	45	0.779		0.733	0.2237 to 2.309
154705550	CC	22	242				
	G G or A			0.000			
rs10947943	G	21		>0.999		0.000	to Infinity
	A A	0	0			1.000	
rs10952097	T T or T C	1	52	>0.999		1.308	0.09893 to 8.908
	C C G G or G	3	204				
rs16824536	G G or G A	21	32	>0.999		0.300	to Infinity
1510024330	AA	0	0	~ 0.777		0.500	
	C C or C G	21	32	>0.999		Infinity	0.07071 to Infinity
rs199515	GG	0	1			mmiy	
	T T or C T	3	197	>0.999		0.853	0.1252 to 11.26
rs2154481	CC	1	56			0.000	
	T T or T G	4		>0.999		Infinity	0.006587 to Infinity
rs3211956	GG	0	2			y	
	T T or C T	17	26	>0.999		1.144	0.2774 to 3.909
rs4277405	СС	4	7				

SNP	Genotype	Black (n)	White (n)	p-value	(i/M)Q	significant?	OR	95% CI
rs429358	C C or C T	12	19	>0.999			0.983	0.3223 to 3.172
18429550	ТТ	9	14					
rs4938933	T T or T C	22	250	>0.999			1.144	0.3586 to 3.767
184930933	CC	3	39					
	G G or A							
rs56407236	G	21	31	>0.999				
	AA	0	0					
rs6014724	A A or A G	4	254	>0.999			Infinity	0.001743 to Infinity
150014724	GG	0	1					
rs610932	C C or C A	4	213	>0.999			Infinity	0.1925 to Infinity
13010/52	A A	0	43					
rs7412	C C or C T	21	33	>0.999			1.144	to Infinity
18/412	ТТ	0	0					
rs75932628	T T ot T C	0	1	>0.999			0.000	0.000 to 14.14
18/3932028	C C	21	32					
rs785129	C C or C T	4	233	>0.999			Infinity	0.09117 to Infinity
18703129	ТТ	0	23					
rs889555	C C or C T	4	226	>0.999			Infinity	0.1159 to Infinity
13002222	ТТ	0	28					

Table 5: Diagnosis Association Analysis Results

Disease associated alleles are bolded. MAF: minor allele frequency. UNADJ P: Asymptotic p-value calculated using basic allelic test chi-square. Final significance determined by controlling the false discovery rate using the Benjamini-Hochberg procedure.

SNP	Major Allele	Minor Allele	MAF No AD (n=161)	MAF AD (n=55)	UNADJ P	(i/m)Q	Significant?
rs7225151	G	Α	0.178	0.077	0.013	0.002	no
rs11136000	С	Т	0.369	0.462	0.092		no
rs6014724	Α	G	0.068	0.125	0.093		no
rs769449	G	Α	0.159	0.096	0.111		no
rs3764650	Т	G	0.116	0.067	0.155		no
rs11767557	Т	С	0.217	0.154	0.163		no
rs3211956	Т	G	0.073	0.115	0.210		no
rs3129882	А	G	0.403	0.462	0.294		no
rs11771145	G	А	0.403	0.346	0.301		no
rs4985556	С	Α	0.089	0.058	0.316		no
rs9296559	Т	С	0.291	0.240	0.343		no
rs3826656	А	G	0.247	0.202	0.348		no
rs610932	С	А	0.432	0.385	0.424		no
rs7068231	G	Τ	0.422	0.462	0.511		no
rs871269	С	Т	0.325	0.356	0.563		no
rs3822030	Τ	G	0.447	0.481	0.569		no
rs9304690	С	Т	0.248	0.226	0.669		no
rs4938933	Τ	С	0.388	0.365	0.687		no
rs889555	С	Т	0.287	0.308	0.708		no
rs6846529	Т	С	0.296	0.279	0.752		no
rs190982	Α	G	0.363	0.346	0.763		no
rs7561528	G	Α	0.316	0.327	0.830		no

SNP	Major Allele	Minor Allele	MAF No AD (n=161)	MAF AD (n=55)	UNADJ P	(i/m)Q	Significant?
rs785129	С	Т	0.320	0.327	0.908		no
rs16979934	Т	С	0.049	0.048	0.986		no
rs2154481	Т	С	0.451	0.451	1.000		no

# Table 6: Diagnosis Genotyping Results

AD: Alzheimer's Disease, Counts of individuals with genotypes including at least one disease-associated allele (bolded) and genotypes without any disease-associated allele were analyzed with chi-square test. Final significance determined by controlling the false discovery rate using the Benjamini-Hochberg procedure.

SNP	Genotype	At Risk (n)	AD (n)			Significant?	OR	95% CL
rs2154481	T T or C T	78	46	0.000	0.002	yes	0.238	0.1113 to 0.5393
	CC	57	8					
rs7225151	A A or A G	54	10	0.040	0.004	no	2.292	1.111 to 4.656
	GG	106	45					
rs11767557	T T or C T	151	55	0.117			0.000	0.000 to 1.299
	CC	8	0					
rs610932	C C or C A	81	48	0.203			0.537	0.2112 to 1.287
	A A	22	7					
rs7068231	T T or T G	66	41	0.213			0.609	0.2965 to 1.244
	GG	37	14					
rs3764650	G G or G T	36	8	0.247			1.720	0.7347 to 3.730
	ТТ	123	47					
rs11136000	C C or C T	141	45	0.256			1.649	0.7202 to 3.886
	ТТ	19	10					
rs9296559	C C or C T	54	24	0.320			1.423	0.7281 to 2.687
	ТТ	49	31					
rs769449	A A or A G	43	11	0.370			1.470	0.7169 to 3.207
	GG	117	44					
rs4985556	A A or A C	27	6	0.387			1.683	0.6526 to 4.154
	СС	131	49					
rs3129882	G G or G A	108	41	0.398			0.709	0.3508 to 1.411
	A A	52	14					

SNP	Genotype	At Risk (n)	AD (n)	P Value	(i/m)Q	Significant?	OR	95% CL
rs6846529	C C or C T	53	24	0.405			1.369	0.7003 to 2.583
	ТТ	50	31					
rs3826656	G G or A G	67	20	0.526			1.261	0.6825 to 2.313
	A A	93	35					
rs11771145	G G or G A	133	48	0.528			0.718	0.2733 to 1.738
	A A	27	7					
rs9304690	T T or C T	45	21	0.612			1.219	0.6109 to 2.385
	C C	58	33					
rs871269	C C or C T	144	48	0.615			1.313	0.5133 to 3.392
	ТТ	16	7					
rs190982	A A or A G	135	48	0.667			0.788	0.2983 to 1.939
	GG	25	7					
rs16979934	C C or C T	8	5	0.769			0.842	0.2707 to 2.387
	ТТ	95	50					
rs785129	C C or C T	93	51	0.772			0.729	0.2424 to 2.337
15/03129	ТТ	10	4					
rs4938933	T T or T C	136	48	0.825			0.826	0.3159 to 2.055
	C C	24	7					
rs7561528	A A or A G	85	28	0.8758			1.093	0.6029 to 1.974
	GG	75	27					
rs10952097	T T or T C	20		>0.999			0.964	0.4175 to 2.087
	C C	83	44					
rs3211956	T T or T G	102	54	>0.999			1.889	0.09774 to 36.19
	GG	1	1					
rs3822030	T T or T G	45	40	>0.999			0.993	0.4455 to 2.165
	GG	17	15					

SNP	Genotype	At Risk (n)	AD (n)	P Value	(i/m)Q	Significant?	OR	95% CL
rs6014724	A A or A G	102	55	>0.999			0.000	0.000 to 16.85
	GG	1	0					
rs889555	C C or C T	91	50	>0.999			0.910	0.3318 to 2.649
	ТТ	10	5					

#### Supplementary

Supplementary File One: PLINK Code Ascend Files Used and Generated: Data: ASCEND microarray results genotyped by the Wingo Lab as previously described in the Axiom<sup>™</sup> Genotyping Solution Data Analysis User Guide Mysnps.txt: List of SNPs of interest derived from Bellenguez et al. 2020, Giri, Zhang, Lü 2016, and Rotimi et al. 2016 ASCEND: Data containing ASCEND subjects who have SNPs of Interest

### down sample all SNPs assayed to include only SNPs of interest with ASCEND Cohort
./plink --file data --extract mysnps.txt --make-bed ASCEND

### ADNI

Files Used and Generated:

Data: ADNI-1 microarray results produced by ADNI researchers

**Mysnps.txt:** List of SNPs of interest derived from Bellenguez et al. 2020, Giri, Zhang, Lü 2016, and Rotimi et al. 2016

ADNI: Data containing ADNI subjects who have SNPs of Interest

FHAD.txt: ADNI participants diagnosed with AD and had parental history

ADNIFH: Data containing ADNI subjects diagnosed with AD and have familial history of AD

### down sample all SNPs assayed to include only SNPs of interest with ADNI Cohort
./plink --file data --extract mysnps.txt --make-bed ADNI

### Extracted Subset of ADNI participants with AD and familial history of AD ./plink --bfile ADNI --keep FHAD.txt --make-bed --out ADNIFH

#### Merged ADNI-ASCEND

Files Used and generated:

ADNIFH: Data containing ADNI subjects diagnosed with AD and have familial history of AD

ASCEND: Data containing ASCEND subjects who have SNPs of Interest

Merged.missnp: List of 5 SNP variants that have more than two alleles

Out Source 1: Data that contain SNPS of interest excluding multi-allelic SNPS in ADNI Cohort

**Out Source 2**: Data that contain SNPS of interest excluding multi-allelic SNPS in ASCEND Cohort

MergedFiles: Merged ASCEND and ADNI participants

CombinedRace: List containing self-reported race of ADNI and ASCEND participants

Diagnosis: List containing cognitive status of ADNI and ASCEND participants

### ADNI with parental history without five multi-allelic SNPS
./plink --bfile ADNIFH --exclude merged.missnp --make-bed --out source1

#### ASCEND cohort without five multi-allelic SNPS

./plink --bfile ASCEND --exclude merge.missnp --make-bed --out source2

###Merged Cohorts

./plink --bfile out source1 --bmerge out source2 --make-bed --out mergedfiles

###Merged Cluster Race Frequency Analysis
./plink --bfile mergedfiles --freq --within CombinedRace.txt --out freq stat

### Race Association analysis within Merged ASCEND- ADNI cohort by race with Sidak's correction

./plink --bfile mergedfiles --pheno CombinedRace.txt --all-pheno --assoc --adjust --out AssocAnalysis

###Merged Diagnosis Frequency Analysis

./plink --bfile mergedfiles --freq --within diagnosis.txt --out freq\_stat1

### Diagnosis Association analysis within Merged ASCEND- ADNI cohort by race with Sidak's correction

./plink --bfile mergedfiles --pheno diagnosis.txt --all-pheno --assoc --adjust --out AssocAnalysis1