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## Differential Proteolysis of Mutant Huntingtin in Astrocytes and Neurons

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## **Differential Proteolysis of Mutant Huntingtin in Astrocytes**

## and Neurons

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M.D., Dalian Medical University, 2005

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An abstract of

A dissertation submitted to the Faculty of the James T. Laney School of Graduate Studies of Emory University in partial fulfillment of the requirements of the degree of Doctor of Philosophy

Graduate Division of Biological and Biomedical Sciences Neuroscience

#### Abstract

#### Differential Proteolysis of Mutant Huntingtin in Astrocytes and Neurons

#### By Ting Zhao

Huntington's disease (HD) is a neurodegenerative disorder caused by the expression of misfolded mutant huntingtin protein (mHtt). HD involves the preferential accumulation of mHtt in neuronal cells and selectively kills neurons. The mechanisms underlying the selective neurodegeneration in HD remain unknown. My study addresses two issues: 1) why mHtt preferentially aggregates in neurites; 2) why astrocytes are more resistant than neurons to mHtt and other misfolded proteins. Given that mHtt aggregates in neurites are prevalent in the HD brains, I hypothesize that the degradation of mHtt is inefficient in neurites. To test this hypothesis, I combined the "optical-pulse chase assay" with brain slice and primary culture models. I found that mHtt is slowly cleared in neurites compared with neuronal cell bodies, indicating compartment-dependent degradation of mHtt in neurons. In contrast, mHtt is rapidly degraded in both the cell bodies and processes of astrocytes.

My live-cell imaging results also show that mHtt is cleared faster by astrocytes than by neurons, which explains a well-known phenomenon that astrocytes are affected to a much lesser extent than neurons in the HD brains. Importantly, I found that C-terminus of Hsp70 interacting protein (CHIP), a co-chaperone of Hsp70, is more active in astrocytes than in neurons, which is evidenced by increased mono-ubiquitinated CHIP in astrocytes. CHIP binds to mHtt selectively in astrocytes, promoting ubiquitination and proteasomal degradation of mHtt. Active CHIP accelerates degradation of various misfolded proteins, in addition to mHtt, in astrocytes. It is known that the heat-shock response is induced in astrocytes, but not in neurons, however the mechanism causing this differential response is poorly understood. I found that differential CHIP activity in astrocytes and neurons leads to a distinct heat-shock response. Moreover, active CHIP also increases basal levels of Hsp70 in astrocytes, which in turn promotes the association of CHIP with mHtt. Furthermore, I found that deficiency of HspBP1 in astrocytes accounts for the high activity of CHIP, and overexpression of HspBP1 inhibits CHIP activation and causes the accumulation of mHtt in astrocytes. Finally, knocking down HspBP1 in neurons with CRISPR/Cas9 in a HD knock-in mouse model reduced mHtt aggregates and ameliorated neuropathology. Collectively, my results provide an explanation for the higher resistance to deleterious effects of misfolded proteins in astrocytes compared to neurons in neurodegenerative diseases, and suggest that HspBP1 is a potential therapeutic target for HD treatment.

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## Chapter 1

## **General Introduction**

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#### **1.1 Huntington's disease**

Huntington's disease (HD) is an autosomal dominant, fatal, neurodegenerative disease that affects 1 in 10,000 Americans (Munoz-Sanjuan and Bates, 2011). Although it is a rare disease, HD is broadly studied as a prototypic neurodegenerative disease due to its clear etiology. HD is caused by the mutation in HTT gene, which results in CAG trinucleotide repeat expansion that encodes an expanded polyglutamine (polyQ) tract in the N-terminus of the huntingtin protein. HD is one of nine polyQ diseases (Shao and Diamond, 2007), and other polyQ diseases include dentatorubropallidoluysian atrophy (DRPLA), spinal and bulbar muscular atrophy (SBMA), spinocerebellar ataxia type 1, 2, 3, 6, 7 and 17.

Typically, the onset of HD occurs between the ages of 30 and 50 years. The length of expanded polyQ tracts carried by HD patients ranges generally from 38 to 55, compared with normal people whose CAG repeats are no longer than 36 (Ross et al., 2014). Long polyQ tracts (> 100) are found in rare, earlier-onset juvenile cases with aggressive progression of disease, which indicates inverse correlation between polyQ length and onset as well as severity of disease. Clinical manifestations of HD include motor abnormality, psychiatric problems and cognitive decline (Munoz-Sanjuan and Bates, 2011). Individuals with the adult-onset form of HD generally live about 15 to 20 years after symptoms begin. To date, there is no disease-modifying treatment available.

HD shares several common features with other types of neurodegenerative diseases: 1) detergent-insoluble aggregates form in the brains; 2) neurodegeneration occurs and progresses in an age-dependent manner; 3) certain brain regions are selectively vulnerable to the disease. For HD, the medium-size spiny neurons (MSN) in striatum are

first and most affected (Margulis and Finkbeiner, 2014), and the loss of these neurons leads to striatal atrophy. As the disease progresses, cortical and hippocampal neurons are affected at later stages of HD. In contrast, degeneration in glia is never seen in the HD brains in spite of the expression of mHtt in these cells (Shin et al., 2005), suggesting unknown cell-type-specific protective mechanisms in astrocytes.

Although a plethora of studies have explored molecular mechanisms underlying the pathogenesis of HD, the exact causal mechanisms are still poorly understood. So far, it is the consensus that an expanded polyQ tract confers toxic gain of function on huntingtin proteins, which consequently impairs normal cell processes and causes neuronal death eventually. Indeed, mHtt aberrantly interacts with a variety of proteins, and disrupts their normal functions (Li and Li, 2004; Shirasaki et al., 2012). For instance, the association between mHtt and peroxisome proliferator-activated receptor delta (PPAR-δ) suppressed PPAR-δ-mediated transactivation, and pharmacological activation of PPAR-δ rescued mHtt-induced neurotoxicity (Dickey et al., 2016). Another example is the abnormal association between mHtt and motor proteins, kinesin and dynein; mHtt impairs microtubule-dependent transport of cargoes, such as BDNF-containing vesicles and mitochondria, along axons, which perturbs the transport network in neurons (Gauthier et al., 2004; Orr et al., 2008; Reddy and Shirendeb, 2012). On the other hand, an alternative explanation for mHtt-mediated neuropathology is a loss-of-function mechanism. Consistent with this perspective, a mouse model, in which the huntingtin gene was inactivated in the forebrain and testis, showed progressive neurodegeneration (Dragatsis et al., 2000). Additional evidence for the loss-of-function theory includes the findings that the expansion mutation abolished the competence of normal huntingtin to bind to Hip-1, which activated the caspase-8-mediated apoptotic pathway (Gervais et al., 2002); in addition, the removal of endogenous huntingtin leads to neurodegeneration in a *Drosophila* model (Zhang et al., 2009). Taken together, it is proposed that both gain-offunction and loss-of-function mechanisms contribute to the pathogenesis of HD

#### **1.2 Proteolysis of mutant huntingtin**

Full-length huntingtin is a large protein composed of 3144 amino acids, and the protein is ubiquitously expressed throughout the body. The polyglutamine (polyQ) tract is located near the N-terminus of huntingtin, flanked by highly conserved first 17 amino acids (N17) and a proline-rich region followed by multiple HECT domains that are involved in protein-protein interaction (Cattaneo et al., 2005). Normal huntingtin is involved in a broad range of cellular processes including transcription, mitosis, trafficking, endocytosis, and signaling (Cattaneo et al., 2005). Its counterpart, mutant huntingtin (mHtt), is recognized and cleaved by a variety of proteases (Gafni and Ellerby, 2002; Gafni et al., 2004; Wellington et al., 1998; Wellington et al., 2000), such as caspase-6 and calpain, to generate shorter, and more toxic N-terminal fragments that are the major constituents of mHtt aggregates. The expanded polyQ tract increases  $\beta$ -sheet structures and renders mHtt prone to misfolding and aggregation. Although the roles of aggregated misfolded proteins are still controversial (protective versus detrimental), it is known that the accumulation of misfolded proteins is a prerequisite for neurodegeneration (Goldberg, 2003; Sherman and Goldberg, 2001). To maintain protein homeostasis and prevent the accumulation of misfolded proteins, eukaryotic cells take advantage of two quality

control systems, the ubiquitin-proteasome system (UPS) and autophagy, to remove misfolded proteins (Chen et al., 2011).

Initially thought to only clear soluble and short-lived proteins, recent studies found that the proteasome is also responsible for degrading long-lived and aggregated proteins, the latter of which are segregated by the hsp70-hsp110 machinery prior to proteasomal degradation (Hjerpe et al., 2016; Zhao et al., 2015). The proteasome consists of 33 subunits that are assembled into two sub-complexes, the 20S core particle flanked at either one or both ends by regulatory 19S particles, to form the 26S proteasome. Protein clearance by the UPS involves two sequential reactions: tagging substrates via ubiquitination and then degradation of tagged substrates by the proteasome. Ubiquitination is a stepwise process coordinated by three enzymes: ubiquitin-activating (E1), ubiquitin-conjugating (E2), and ubiquitin-ligating enzymes (E3) (Ciechanover, 2005), and E3 ligases confer specificity on ubiquitination via recognizing specific substrates (Deshaies and Joazeiro, 2009). Substrates that are tagged by the K48-linked polyubiquitin chain are destined for proteasomal degradation. Following proteasomal degradation, ubiquitin chains are disassembled by deubiquitinating enzymes, and free ubiquitin molecules are released for recycling (Eletr and Wilkinson, 2014).

Proteolysis of mHtt by the proteasome is found in various HD cell and animal models. The first evidence to implicate proteasome-mediated degradation of mHtt is that ubiquitin was localized in mHtt aggregates (DiFiglia et al., 1997; Gutekunst et al., 1999), suggesting that mHtt is ubiquitinated. Subsequent studies showed that proteasomal inhibition leads to the accumulation of both soluble and aggregated mHtt, which provided direct experimental evidences for mHtt clearance by the proteasome (Li et al., 2010; Waelter et al., 2001). Furthermore, it was found that mHtt is polyubiquitinated by different ubiquitin E3 ligases, such as the C-terminus of Hsp70 interacting protein (CHIP) and Ube3a (Bhat et al., 2014; Jana et al., 2005), suggesting that multiple E3 ligases mediate the ubiquitination of mHtt for proteasomal degradation in a coordinated manner.

Macroautophagy (hereafter, referred to as autophagy) is a bulk degradation system that is activated by starvation and then engulfs and digests a portion of the cytosol to provide nutrients for energy generation (Rabinowitz and White, 2010). In addition to digestion of cytosolic components under starvation, autophagy also clears misfolded-protein aggregates and damaged organelles selectively. In HD cell and animal models, upregulation of autophagy leads to the reduction of mHtt aggregates, confirming the degradation of aggregates by autophagy (Qin et al., 2003; Ravikumar et al., 2002; Tsvetkov et al., 2010). Unlike the nonspecific bulk degradation under starvation, autophagy-mediated clearance of mHtt aggregates is selective since mHtt is K63polyubiquitinated, and K63-ubiquitinated substrates are recognized by autophagy receptors such as p62 or optineurin, both of which have been shown to bind Htt (Korac et al., 2013; Tan et al., 2008). Autophagy receptor proteins, such as p62 bind to LC3-II (microtubule-associated protein light chain 3), which is located on autphagosome membranes, and therefore presents substrates to the autophagosome (Kirkin et al., 2009; Shaid et al., 2013). Subsequently, the autophagosome fuses with lysosomes to form autolysosome in which substrates are digested by hydrolytic enzymes from lysosomes (Bjorkoy et al., 2005).

Autophagy also plays a role in clearing soluble mHtt. Suppressing autophagy with 3-Methyladenine (MA), which can inhibit autophagosome formation, or with bafilomycin A1, which prevents the fusion of autophagosomes with lysosomes, hinders mHtt clearance and causes accumulation of soluble and aggregated mHtt in HD cell models (Ravikumar et al., 2002). Conversely, activating autophagy with rapamycin, which inhibits mTOR, reduced the levels of both soluble and aggregated mHtt (Ravikumar et al., 2002). Interestingly, autophagy modulators did not influence the clearance of wildtype Htt (Ravikumar et al., 2002), which suggests that autophagy selectively recognizes and clears mHtt. This selective degradation might be caused by the acetylation at lysine residue 444 (K444) specifically on mHtt, a post-translational modification essential for autophagic degradation, and which is absent on wild-type Htt (Jeong et al., 2009). Given the removal of mHtt by the UPS and autophagy, activation of either system has the potential to ameliorate HD neuropathology through promoting the degradation of mHtt.

#### 1.3 Aggregation of mutant huntingtin in the neuropil

mHtt is an aggregation-prone protein that, in disease states, forms detergent-insoluble aggregates in the brains. The mHtt aggregates consist of N-terminal fragment of mHtt, chaperones, ubiquitin, sequestosome1/p62, and proteasomal subunits, some of which are common components also found in the aggregates of other neurodegenerative diseases, such as Parkinson's disease and Alzheimer's disease. mHtt aggregates were recognized by antibodies to the epitopes in the N-terminus of mHtt, indicating that N-terminal fragments constitute these aggregates. In comparison with its full-length counterpart, N-terminal fragments of mHtt are more toxic, which is evidenced by the findings that

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transgenic R6/2 mice expressing mHtt exon1 (the shortest N-terminal fragment of mHtt), display extremely aggressive progression of disease and severe phenotypes (Mangiarini et al., 1996).

Although mHtt is ubiquitously expressed in the brain, it preferentially accumulates in neuronal nuclei and the neuropil (DiFiglia et al., 1997; Gutekunst et al., 1999), the latter of which refers to dendrites and axons. However, mHtt aggregates in astrocytes are not as prevalent as in neurons. This cell-type-specific and compartment-specific localization of mHtt aggregates suggests that degradation of mHtt is heterogeneous in different types of brain cells as well as in different compartments of the same cell. A growing body of evidence indicates that mHtt aggregates are toxic. For instance, intranuclear mHtt aggregates disrupt gene expression via binding to a broad range of transcriptional factors, such as SP1 and CREB-binding protein (CBP) (Dunah et al., 2002; Li et al., 2002). Neuropil aggregates are far more prevalent than intranuclear aggregates in patients at the early stage of HD (Gutekunst et al., 1999). Formation of aggregates is recapitulated in a variety of HD animal models. Of these models, a knock-in (KI) HD mouse model, in which full-length mHtt is expressed at the endogenous level, mimics HD patients genetically (Chang et al., 2015). In HD KI mice carrying 140 CAG repeats, abundant neuropil aggregates were seen in the lateral globus pallidus (LGP) and substantia nigra (SN) (Li et al., 2001), the brain regions to which striatal neurons project and where marked degeneration occurs in the early stage of HD patients. Consistently, degeneration of the neuropil was also found in the HD KI mice, probably due to physically blocking axonal transport by the aggregates in the neuropil (Li et al., 2001).

Given the involvement of neuropil aggregates in neuropathology in the HD brain, it is imperative to investigate why mHTT preferentially accumulates in the neuropil. One explanation is that the accumulation of mHtt in the neuropil is caused by defective transport of mHtt. This postulation is based on the fact that huntingtin is a component of a motor protein complex that mediates microtubule-dependent transport. The expanded polyQ tract affects the interaction and binding affinity of huntingtin with other components of the motor protein complex, which compromises the motility of dynein and kinesin, two motor proteins (Gauthier et al., 2004; Orr et al., 2008; Reddy and Shirendeb, 2012). Thus, mHtt aggregation in the neuropil probably is the outcome of the adverse impact of mHtt on transport. An alternative explanation is that the degradation of mHtt in neurites is not as efficient as that in cell bodies. As mentioned previously, mHtt is degraded by the proteasome, whose activity is compartment-dependent in neurons. For example, the proteasome displays higher activity in the cytoplasm than in the nucleus (Tydlacka et al., 2008). Also, the function of proteasome is impaired specifically in synapses of mHtt-expressing neurons, which is probably caused by ATP-deficiency in this subcellular region (Wang et al., 2008b). On the other hand, given the compartmentdependent biogenesis of autophagy in neurons, autophagy may also contribute to the heterogenous degradation of mHtt in neurons (Maday et al., 2012). Maday and colleagues found that the biogenesis of autophagosomes occurs in distal parts of neurites and in cell bodies. After formation, autophagic vesicles in neurites are retrogradely transported to the cell bodies where events of autophagic degradation occur (Maday and Holzbaur, 2016). Therefore, it is likely that autophagy-mediated clearance of mHtt occurs in cell bodies rather than neurites. Collectively, the quality control machinery exhibits

compartment-dependent features, which may contribute to the preferential accumulation of mHtt in neurites.

#### 1.4 Selective neurodegeneration in Huntington's disease

Neurodegenerative diseases are characterized by selective neuronal death in specific brain regions (Przedborski et al., 2003). For HD, striatal projection neurons are the earliest and most severely affected cells in HD. mHtt is also expressed in glial cells, which make up approximately 90% of the cells in the brain, whereas glial cells are affected to a lesser extent than neurons in the HD brains (Shin et al., 2005). Astrocytes are a major type of glial cell. Initially thought to only provide structural support for neurons, astrocytes are now known to play far more active roles (Sofroniew and Vinters, 2010). For instance, astrocytes secrete neurotrophic factors, such as BDNF and GDNF, to nourish neurons (Sofroniew and Vinters, 2010); astrocytes also prune synapses, actively eliminating synapses in the mammalian CNS (Chung et al., 2013). Expression of mHtt in astrocytes was validated in the brains of HD patients and animal models. In addition, selective expression of mHtt in murine astrocytes gave rise to abnormal phenotypes, suggesting involvement of astrocytes in the pathogenesis and progression of HD (Bradford et al., 2009). Conversely, engraftment of normal human glial progenitor cells, which differentiate into astrocytes, in HD mouse brains ameliorates neuropathology of HD (Benraiss et al., 2016). Although mHtt perturbs multiple cellular processes in astrocytes (Hong et al., 2016; Shin et al., 2005; Tong et al., 2014), astrocytes are affected to a lesser extent than neurons, and degeneration is never seen in astrocytes. Lack of degeneration in astrocytes is probably attributable to the proliferation of astrocytes, which may dilute protein levels of mHtt in daughter cells. An alternative explanation is that astrocytes are capable of tackling mHtt in a more efficient way than are neurons. The latter explanation is supported by previous findings that mHtt aggregates formed in astrocytes are much smaller and less prevalent than those in neurons in the HD KI mice (Shin et al., 2005). Moreover, the proteasome activity is higher in astrocytes, raising the possibility that the UPS is more actively involved in clearing mHtt in astrocytes (Tydlacka et al., 2008). To provide direct experimental evidence for this hypothesis, comparing half-lives of mHtt between neurons and astrocytes is necessary. Moreover, given the higher proteasomal activity, whether activities of certain upstream ubiquitin enzymes in UPS correspondingly increase in astrocytes remains to be studied. Uncovering potential protective mechanisms against mHtt in astrocytes might provide new therapeutic targets for HD.

#### 1.5 "Optical-pulse chase" assay

It was not feasible to study the degradation of mHtt in living neurons at subcellular levels until phototransformable fluorescent proteins were invented. Phototransformable fluorescent proteins can be classified into three types-photoactivating, photoconverting and photoswitching-based on their responses to light. Currently, these proteins are used widely to study the dynamics of molecules and cells in a spatiotemporal manner (Adam et al., 2014). Recently, using Dendra2, one of the photoconvertible fluorescent proteins, the degradation of mHtt-exon1 was examined in cultured striatal neurons (Tsvetkov et al., 2013). Dendra2 is a green-to-red photoconvertible fluorescent protein featuring fast maturation and bright fluorescence (Chudakov et al., 2007). Light irradiation at a 405-nm wavelength can efficiently activate Dendra2 and consequently switches its fluorescent color irreversibly from green to red. The linkage of Dendra2 to mHtt does not perturb its neurotoxicity or the property of aggregation in neurons. After transient transfection of Htt-exon1-Dendra2 into striatal neurons, Tsvetkov converted green Htt-exon1-Dendra2 into red fluorescence by activating Dendra2 with 405-nm laser light (Tsvetkov et al., 2013). Therefore, after irradiation, the reduction of red Htt-exon1 over time reflects its degradation in live cells. Using this strategy, called the "optical pulse chase" assay, Tsvetkov and colleagues found that mHtt-exon1 was cleared faster than its wild-type counterpart in whole striatal neurons.

Although optical-pulse chase has been used mainly with *in vitro* cultured cells at the whole-cell level, it should also be useful for determining the turnover of mHtt in live cells at subcellular levels, such as neuronal processes and cell bodies. Examining the change of red fluorescence avoids the potential influence of newly synthesized proteins as they are labeled by inactive green dendra2, so that the degradation of mHtt can be quantified. The dendra2 fusion proteins can be expressed via adenoviral-associated vectors (AAV), and stereotaxic injection would allow the delivery of the AAV vectors to specific brain regions, such as the striatum. The injected brain region can then be isolated and sectioned into brain slices, which can be observed in medium under a fluorescence microscope. Photoconversion of dendra2 can then be achieved to measure the clearance of mHtt in neuronal processes or nerve terminals in brain slices. Moreover, using different promoters would confer the expression of dendra-2-mHtt in specific types of cells, making it possible to study the cell type-dependent degradation of mHtt in the brain.

Further, tagging the dendra-2 fusion protein with organelle-targeting sequences would allow us to study mHtt's degradation in specific organelles.

## 1.6 Roles of C-terminus of Hsp70-interacting protein in clearance of misfolded proteins

Chaperones are a family of proteins that assist newly synthesized proteins with folding into their native structure, and that refold misfolded proteins. Misfolded proteins that fail to be refolded into the proper structure are targeted for proteasomal degradation. C-terminus of Hsp70 interacting protein (CHIP), a co-chaperone with ubiquitin E3 ligase activity, bridges the gap between the chaperone system and UPS via polyubiquitinating chaperone-associated misfolded proteins for proteasomal degradation (McDonough and Patterson, 2003). In this regard, CHIP plays a central role in the protein triage decision.

CHIP is composed of N-terminal tetratricopeptide (TPR) domain, C-terminal U-box domain, and a coiled-coil domain in the middle. The TPR domain is responsible for the association between CHIP and chaperones, such as Hsp/Hsc70 and Hsp90. The U-box domain is evolutionarily conserved and possesses ubiquitin E3 ligase activity that defines CHIP as U-box-type E3 ligase. The coiled-coil domain mediates the formation of the CHIP homodimer, which is proposed to be necessary for exerting CHIP function (McDonough and Patterson, 2003).

The expression and activity of CHIP are tightly regulated at transcriptional and posttranslational levels, respectively. The mRNA level of CHIP is upregulated under a variety of stress conditions, such as hyperthermia, oxidative stress and overexpression of misfolded proteins (Dikshit and Jana, 2007; McDonough and Patterson, 2003). The response of CHIP to the insults of stress suggests its remarkable roles in maintaining cell homeostasis. Indeed, CHIP overexpression in vivo prevented neuronal death induced by ER stress (Cabral Miranda et al., 2014). Moreover, heat-shock stress is lethal for CHIP knockout mice (CHIP<sup>-/-</sup>) (Dai et al., 2003). In addition, CHIP knockout mice display a shorter lifespan, and accumulated toxic oligomeric proteins (Min et al., 2008). On the other hand, the activity of CHIP is modulated by post-translational modification. CHIP undergoes self-ubiquitination, which servers to regulate CHIP E3 ligase activity. This notion is supported by recent findings that mono-ubiquination of CHIP increased CHIP activity since enhancement of mono-ubiquitnated CHIP promoted degradation of misfolded proteins, and conversely, inhibiting CHIP mono-ubiquitination lead to accumulation of misfolded proteins (Scaglione et al., 2011). It is noteworthy that CHIP activity is also regulated by other factors. For instance, Hsp70-binding protein 1 (HspBP1), a co-chaperone of Hsp70, directly binds to CHIP and inhibits CHIP ubiquitin E3 ligase activity. Co-transfection of CHIP and HspBP1 stabilized cystic fibrosis transmembrane conductance regulator (CFTR), a well-established CHIP substrate, via suppressing CHIP-mediated ubiquitination on CFTP (Alberti et al., 2004).

A plethora of evidence demonstrates that CHIP participates in ubiquitination and degradation of a variety of misfolded proteins that are associated with neurodegenerative models in cell lines and fruit flies (Kumar et al., 2007; Tetzlaff et al., 2008). Overexpression of CHIP could accelerate clearance of these misfolded proteins. Although expression of CHIP has been identified in the brain, whether its activity differs in distinct types of brain cells is still unclear. If so, variant activity of CHIP among

different types of brain cells likely contributes to selective neuronal vulnerability in neurodegenerative disorders.

#### 1.7 Dissertation goals

Given the selective accumulation of mHtt in neuronal processes, my project aims to uncover the mechanisms underlying the cell-type-specific and compartment-specific accumulation of mHtt. To this end, I have studied and compared degradation rates of mHtt in different subcellular compartments of neurons and astrocytes, cell bodies and neurites, using the optical-pulse chase assay. I employed not only primary cultures, but also acute brain slices that allow me to dissect the turnover of mHtt in the mouse brain. The results from these experiments should advance the understanding of the proteolysis of mHtt in brain cells. Moreover, by exploring the clearance of mHtt in different types of brain cells, I hope to reveal mechanisms by which astrocytes are more resistant to HD. **Chapter 2** 

## Degradation of mHtt in neurons is compartment- and cell-type-dependent

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#### Abstract

In neurodegenerative diseases caused by misfolded proteins, including Huntington's disease (HD), the neuronal processes and terminals are particularly prone to the accumulation of misfolded proteins, leading to axonal and synaptic dysfunction. This compartment-dependent accumulation can result from either the altered transport of misfolded proteins or impaired protein degradation. Mutant huntingtin (mHtt), the HD protein, is known to affect intracellular transport and can be degraded by the proteasome and autophagy, but how mHtt accumulates in the neuronal processes, an early pathological event in the brains of HD patients, still remains unclear. Using an "optical pulse-chase" assay that can quantify protein degradation in specific subcellular regions, we found that neuronal mHtt is removed faster in the cell body than in neurites. Furthermore, mHtt is cleared more rapidly in astrocytes than in neurons. The ubiquitinproteasome system (UPS) plays a much bigger role than autophagy in degrading soluble mHtt via K48 ubiquitination in both the cytoplasm and processes of neurons and astrocytes. By injecting adenoviral vectors expressing mHtt into the mouse brain, we confirmed that mHtt is removed more slowly in neurites than in the cytoplasm of the cell body of neurons. Our findings provide evidence for the cell type- and compartmentdependent degradation of mHtt and explain why mHtt preferentially accumulates and aggregates in the neurites of vulnerable neurons. In addition, our findings suggest that enhancing proteasomal activity could be an effective way to reduce the preferential accumulation of soluble mHtt in neuronal processes.

#### Introduction

Huntington's disease (HD) is an autosomal dominant neurodegenerative disease caused by an expanded polyglutamine (polyO) tract in the N-terminal region of mutant huntingtin (mHtt). Once the full-length mHtt is degraded by proteolysis, the polyQ expansion causes N-terminal Htt fragments to misfold and form aggregates (Ross and Tabrizi, 2011). Transgenic HD mouse models also indicate that it is N-terminal mHtt fragments with expanded polyQ repeats that can become misfolded, aggregated, and pathogenic (Davies et al., 1997; Schilling et al., 1999) Also, HD knock-in mice, which express full-length mHtt at the endogenous level, show that N-terminal mHtt preferentially forms aggregates in the processes of striatal neurons, which are those mostly affected in HD (Li et al., 2000). In fact, in the brains of HD patients, neuropil aggregates appear to be more abundant than nuclear aggregates in the early disease stage (DiFiglia et al., 1997; Gutekunst et al., 1999). Because neuropil aggregates result from the accumulation of misfolded proteins in neuronal process that can affect axonal transport and synaptic function (Millecamps and Julien, 2013; Milnerwood and Raymond, 2010) and suppression of neuropil aggregates can alleviate the neurological symptoms of HD mice (Wang et al., 2008a), it is important that we understand how mHtt accumulates preferentially in the neuronal processes.

The accumulation of misfolded proteins in the neuronal processes could be due to impaired protein clearance. The clearance of misfolded proteins in cells is largely mediated by the ubiquitin-proteasome system (UPS) and autophagy (Ciechanover and Kwon, 2015); however, which of these clearance systems plays a larger role in removing mHtt in neuronal processes remains unclear. In addition, since mHtt can affect

intracellular transport (Gunawardena et al., 2003; Gauthier et al., 2004; Trushina, 2004; Reddy and Shirendeb 2012; Wong and Holzbaur 2014), it is possible that mHtt accumulates in the elongated neuronal processes because of the adverse impact of the expanded polyQ on intracellular transport. Unfortunately, it is difficult to identify the causes for neuropil Htt accumulation using conventional biochemical or microscopic assays.

Recently, an "optical pulse-chase" assay was used to study the degradation of soluble mHtt in cultured neurons (Tsvetkov et al., 2013). This technique uses Dendra2, a photoconvertible fluorescent protein, to fuse to mHtt, so the decline of fluorescence after photoconversion represents degradation of the fused protein. In the present study, we used this technique to further study the degradation of mHtt in different subcellular compartments of neurons and glial cells in the brain. Our findings show that mHtt is cleared more slowly in neuronal processes than in the cell body and is also removed less efficiently from neurons than astrocytes. By inhibiting the UPS and autophagy, we found that the UPS plays a primary role in clearing soluble mHtt in the neuronal processes, suggesting that increasing proteasome activity could be an efficient way to clear soluble mHtt from the neuronal processes in HD brains.

#### Results

#### Soluble mHtt is cleared faster than wild-type Htt in the cell body

The photoconvertible fluorescent protein Dendra2 has been conjugated to Htt to examine the turnover of Htt, and this conjugation does not affect the aggregation or toxicity of mHtt (Tsvetkov et al., 2013). Indeed, mHtt with dendra2 conjugation also formed

aggregates in neurites as mHtt without dendra2 and did not cause any obvious morphological difference in cultured neurons, suggesting that dendra2 did not alter toxicity of mHtt (Fig. 2.1C). To evaluate the degradation rate of N-terminal Htt in different subcellular regions, we conjugated Dendra2 to the C-terminus of N-terminal Htt (1-230 amino acids) with 23Q or 130Q (Fig. 2.1A). We transfected Htt-Dendra2, which is expressed under the CMV promoter, in cultured primary mouse hippocampal neurons and cortical astrocytes. Western blotting with anti-Htt (mEM48) and anti-Dendra2 showed the integrity of Htt-Dendra2 in cultured neurons and astrocytes (Fig. 2.1B). Thus, the proteolytic degradation of this fusion protein or decline in Dendra2 fluorescent signal reflects the degradation of Htt or its clearance in cells. Next, we used fluorescence microscopic imaging to evaluate the turnover of Htt-Dendra2. After activating Dendra2 to convert it to a red fluorescent protein within the region of cell bodies, the intensity of red fluorescence was quantified at different time points. We observed that a small fraction of red fluorescence promptly diffused into neurites immediately after photoconversion, and no such diffusion was seen at 10 min after photoconversion. This rapid diffusion may result from the intracellular transport of Htt. Thus, we started to measure red fluorescence intensity at 10 min after photoconversion to avoid diffusion effects on degradation rates. The fluorescence diffusion in astrocytes is negligible since these cells do not have long processes. Comparison of normal and mutant Htt red fluorescence intensities revealed faster degradation of mHtt (Htt-130Q) than wild-type Htt (Htt-23Q) in the cell bodies of neuronal and astrocytic cells (Fig. 2.1D-G, \*P < 0.05, \*\**P* < 0.01).

#### mHtt is cleared slowly in neuronal processes

The advantage of using Htt-Dendra2 is that we can examine Htt turnover in neuronal processes. Thus, we activated Dendra2 in segments of processes and saw that a considerable amount of red fluorescence was stable in the activated regions, probably due to the association of Htt with microtubules since it interacts with many proteins and organelles (Harjes and Wanker, 2003; Trushina et al., 2004; Hoffner et al., 2002; Klein et al., 2009). Importantly, we found that Htt-130Q was cleared more slowly than Htt-23Q in neurites of cultured neurons (Fig. 2.2*A*,*C*, \**P* < 0.05, \*\**P* < 0.01), suggesting the impaired degradation of mHtt in this subcellular region. In contrast, in the processes of cultured astrocytes, mHtt (Htt-130Q) is still removed faster than normal Htt (Htt-23Q) (Fig. 2.2*B*,*D*, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001). This difference indicates that neuronal cells and astrocytes have intrinsic differences in clearing mHtt in their processes.

#### Soluble mHtt is more efficiently cleared by the ubiquitin-proteasome system

We know that both proteasome and autophagy can degrade soluble mHtt (Waelter et al., 2001; Li et al., 2010; Sarkar et al., 2008); however, we know little about which quality control mechanism is primary for mHtt's removal in processes. We therefore treated primary neurons or astrocytes with either 5 µM MG132 to inhibit the UPS or 100 nM BFA to inhibit autophagy. After adding these inhibitors to the culture medium, cells were subjected to live imaging. Again, without MG132 or BFA, normal Htt (Htt-23Q) is degraded much faster than mutant Htt (mHtt-130Q). After inhibiting the UPS by MG132, both Htt-23Q and mHtt-130Q were stable over the same period of time. We also used a specific proteasomal inhibitor, epoxomicin, and found that it also markedly suppressed

the turnover of Htt-23Q and mHtt-130Q in neuronal processes. Interestingly, BFA treatment did not stabilize either Htt-23Q or Htt-130Q (Fig. 2.3, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*P < 0.0001, Con vs. MG132; #P < 0.05, ##P < 0.01, ####P < 0.0001, Con vs. MG132; #P < 0.05, ##P < 0.01, ####P < 0.0001, Con vs. epoxomicin). These results suggest that soluble Htt in neuronal processes is degraded primarily by the UPS.

To examine whether Htt in astrocytic processes is degraded by the UPS and autophagy, we treated Htt-130Q transfected astrocytes in culture with MG132, epoxomicin, or BFA. Similarly, MG132 and epoxomicin, but not BFA, blocked the degradation of mHtt in astrocytes (Fig. 2.4, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, Con vs. MG132; #P < 0.05, #P < 0.001, #P < 0.001, #P < 0.001, #HP < 0

#### Degradation of mHtt by the UPS via K-48 ubiquitination

Although live imaging analysis led us to examine the degradation of mHtt in neuronal processes, quantitatively comparing the global effects of proteasomal and autophagic inhibitors on mHtt in neuronal and astrocytic cells is difficult. We thus performed western blot analysis using antibodies to LC3 for detecting the production of LC3-II, an indicator of autophagy activation, since BFA increases LC3-II during its inhibition of autophagy (Myeku and Figueiredo-Pereira, 2011). As expected, BFA treatment markedly elevated the level of LC3-II, indicating the doses and treatment of BFA we used indeed inhibited autophagic function (Fig. 2.5A,B); however, this BFA treatment apparently could not significantly increase levels of soluble normal Htt (Htt-23Q). Moreover, BFA treatment led to a small but significant increase in soluble mutant Htt (Htt-130Q) in

neuronal and astrocytic cells. Compared with BFA, MG132 and epoxomicin remarkably increased both Htt-23Q and Htt-130Q in neuronal cells and astrocytes (Fig. 2.5, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.01). These results support the live imaging results that soluble Htt is degraded primarily by the UPS.

To further investigate whether the degradation of Htt by the UPS is via K48 ubiquitination, we performed immunoprecipitation of Htt, and then probed the immunoprecipitates with an anti-K48 antibody. We found that in neuronal cells and astrocytes, both Htt-23Q and Htt-130Q are ubiquitinated via K48, but Htt-130Q is ubiquitinated via K48 to a much greater extent than Htt-23Q (Fig. 2.6*A*,*B*). Quantitative analysis of the ratio of ubiquitinated Htt to precipitated Htt verified that more mHtt is K48 ubiquitinated than normal Htt (Fig. 2.6*C*, \**P* < 0.05). Since K48 ubiquitination is important for protein degradation by the UPS (Pickart and Eddins, 2004), the result suggests that differential K48 ubiquitination may account for faster degradation of mHtt in neuronal cell bodies and astrocytes.

#### Degradation of mHtt is compartment dependent in neurons in the mouse brain

To explore whether mHtt is differentially cleared from the cell body and processes in the brain, we generated AAV-9 viral vectors that express Htt-Dendra2 under the control of the neuronal promoter synapsin-1 (syn-Htt) or the glial promoter GFAP (GFAP-Htt) (Fig. 2.7*A*). Stereotaxic injection of these viral vectors allows for the selective expression of Htt in neurons or astrocytes. We therefore injected syn-wt-Htt or syn-mHtt into the striatum of 2-month-old wild-type mice (Fig. 2.7*B*). Fluorescence imaging demonstrated restricted expression of the injected AAV viruses in the mouse striatum (Fig. 2.7*B*).

Double immunofluorescent staining showed that syn-mHtt is distributed in NeuNpositive cells, but not GFAP-positive glial cells (Fig. 2.7*C*). Also, syn-mHtt is present in the neuronal process or accumulates in the nuclei to form aggregates (Fig. 2.7*D*).

We next sectioned brain slices from the injected mouse striatum and used fluorescence microcopy to examine the degradation of syn-Htt. Compared to normal Htt (syn-wt-Htt), syn-mHtt in the brain slices showed faster degradation in the neuronal cell body and slower degradation in neuronal processes (Fig. 2.8A-C, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.010.001). In the cell body of astrocytes in the brain slices, GFAP-mHtt is also degraded faster than GFAP-wt-Htt (Fig. 2.8D, E, \*\*P < 0.01, \*\*\*P < 0.001). These findings are consistent with what we have observed in cultured cells and demonstrate that mHtt is indeed differentially degraded in the cell body and processes of neurons. Furthermore, we saw faster degradation of mHtt in astrocytes than neurons in the striatum of brain slices (Fig. 2.8A,D), suggesting that the ability of cell body and processes to clear polyQ proteins may depend on cell types, in which the UPS and autophagy as well as proteases could function differentially in different types of cells. It is well established that striatal projection neurons are most affected by HD. To compare the degradation rates of mHtt between cortical and striatal neurons, we injected AAV-mHtt-dendra2 into the striatum and motor cortex and found that cortical neurons were able to clear mHtt faster than striatal neurons (Fig. 2.8F, G, \*P < 0.05). This finding suggests that cell-autonomous mechanisms contribute, at least in part, to the vulnerability of striatal neurons in HD. Taken together, our results from brain slices not only support compartment-dependent degradation of mHtt in neurons, but also show distinct capacity of clearing mHtt in different types of brain cells.

#### Discussion

In this study, we used an "optical pulse-chase" assay with Dendra2 to show for the first time that mHtt is differentially cleared from the somata and processes of neuronal cells. Many previous studies have used GFP-conjugated mHtt to investigate the distribution and toxicity of expanded polyQ proteins. Our results demonstrated that Dendra2, a GFP derivative, did not change the pattern of formation of mHtt aggregates in neurites and did not cause obvious morphological alterations in transfected neurons. Although it requires further studies to determine whether the conjugation of GFP or dendra2 can modify mHtt function or toxicity, dendra2 conjugation allowed us to investigate the turn-over of Htt in live cells. Using dendra2-Htt, we also showed that mHtt is degraded faster in astrocytes than in neuronal cells. These findings explain why mHtt accumulates preferentially in neuronal processes, a subcellular site whose function is important for neuronal interaction and is vulnerable in many neurodegenerative diseases at the early stages (Li et al., 2001; Volpicelli-Daley et al., 2014; Fischer and Glass, 2007).

The accumulation of misfolded proteins in neuronal cells is a prerequisite for their toxicity (Goldberg, 2003; Sherman and Goldberg, 2001). Thus, the clearance of misfolded proteins is key to preventing their neurotoxicity. Although mHtt is ubiquitously expressed in all types of cells and is distributed widely in different subcellular regions, why this disease protein can preferentially accumulate in the neuronal process and form neuropil aggregates, which appear more abundant than nuclear Htt aggregates in HD patient brains at early disease stages, remains unclear (DiFiglia et al., 1997; Gutekunst et al., 1999). We already know that Htt plays roles in a broad range of cellular functions (Zuccato, et al. 2010). One of its important functions is to regulate

intracellular trafficking (Gunawardena et al., 2003; Gauthier et al., 2004; Trushina, 2004; Wong and Holzbaur 2014). Consistent with this function, mHtt can associate with microtubules and affect microtubule-dependent transport (Trushina et al., 2004; Klein et al., 2009; Reddy and Shirendeb 2012;). Because microtubule-dependent transport is essential for maintaining the function and integrity of long neuronal processes, it is possible that mHtt may affect its transport along the processes, leading to its accumulation and aggregation in the neuropil. We also know that mHtt can be cleared by the UPS and autophagy (Li et al., 2010; Sarkar and Rubinsztein et al., 2008). However, sorting out the mechanism for the accumulation of mHtt in neuronal processes is a challenge, as conventional biochemical assays and microcopy cannot distinguish the causes for the accumulation of mHtt in neuronal processes.

Our studies provide evidence for the slow degradation of mHtt in neuronal processes. First, the property of photoconversion of green Dendra2 to red fluorescence allowed us to examine the half-life of mHtt located in a defined region in neuronal processes. By examining this half-life and comparing normal and mutant Htt, we found that the decline in mHtt or its degradation is slower in the neuronal process than in the cell body. Second, we also performed pharmacological experiments to block the function of the UPS and autophagy and found that the UPS plays a more important role in clearing soluble mHtt. Third, we examined the decline of mHtt in the mouse brain by expressing viral Htt-Dendra2. This study also verified that mHtt is cleared slowly in neuronal processes in the context of neuron-glia interactions.

Using "optical pulse-chase," Tsvetkov and colleagues observed a faster degradation of exon1 mHtt (1-67 amino acids) than its wild-type form in the soma of cultured striatal
and cortical neurons (Tsvetkov et al., 2013). In our study, we examined the degradation of a larger N-terminal Htt fragment (1-230 amino acids), whose toxicity has been confirmed in transgenic HD mice (Bradford et al., 2009; Huang et al., 2015), in the soma and processes of hippocampal neurons and astrocytes. In Tsvetkov's findings, exon1 mHtt is cleared mainly by autophagy (Tsvetkov et al., 2013) whereas our finding show that the larger N-terminal mHtt fragment (1-230 amino acids) is more efficiently degraded by the UPS. These differences suggest that the length of N-terminal htt and protein context can influence the degradation of Htt in cells by different proteolytic machineries. Also, different methodologies, such as differences in types of cultured neurons examined, culturing conditions, and drug treatments used, may lead to different results. For example, we examined healthy cells in culture in which the UPS may play a more important role in removing Htt than autophagy. By comparing the UPS versus autophagy in removing mHtt in neuronal processes under the same experimental conditions, we found that the UPS plays a more important role in removing mHtt in neuronal processes. This finding is consistent with the age-dependent decline in the UPS in the brain (Chondrogianni et al., 2015) and explains why, in the aged HD brain, reduced UPS activity promotes the accumulation of mHtt in neuronal processes or neuropil. In support of this idea, astrocytes, which can clear mHtt more efficiently than neurons, are found to have higher UPS activities than neurons (Tydlacka et al., 2008).

Understanding how mHtt preferentially accumulates in neuronal processes will help us to find effective strategies to treat HD. This is because in many neurodegenerative diseases, including Alzheimer's, Parkinson's and ALS, neuronal process degeneration and dysfunction are the early pathological events (Li et al., 2001; Volpicelli-Daley et al., 2014; Fischer and Glass, 2007). In HD knock-in mice that express full-length mHtt, neuropil aggregates appear to occur at the earlier HD stage (Li et al., 2001), further underscoring the important role of neuropil mHtt in HD pathology (DiFgila et al., 1997; Gutekunst et al., 1999). The accumulated mHtt in neuronal processes can affect intracellular transport and synaptic function (Xu et al., 2013; Trushina, 2004). Although mHtt does not impair the overall proteasomal function in brain homogenates (Bett et al., 2009), it can locally affect the proteasomal activity in axonal terminals (Wang et al., 2008b). Our findings suggest that improving proteasomal function would be an effective way to alleviate the neuropathology caused by neuropil mHtt. Moreover, we show the feasibility of using "optical pulse-chase" to study protein turnover at subcellular levels, which could be expanded to other neurodegenerative disease proteins, providing new insights into their pathogenesis and neuropathology at subcellular levels.

#### **Materials and Methods**

Wild-type mice were maintained at the Emory University Animal facility. Both male and female pups from these mice were used for primary cultures, and both male and female adult mice at the age of 2 months were used for viral injection and brain slice preparation. This study was carried out in strict accordance with the recommendations in the Guidelines for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Institutional Animal Care and Use Committee of Emory University (Permit Number: 2002557).

Plasmids, antibodies, and reagents. Htt-23Q and -130Q were generated by subcloning N-

terminal fragments of huntingtin (1-230 amino acids) containing 23Q or 130Q into pDendra2-N (Clontech) using SalI and ApaI cloning sites with a CMV promoter. For *in vivo* expression, Htt-Dendra2 fusion genes were subcloned into a pAAV-MCS vector (Cell Biolabs) with a synapsin-1 or GFAP promoter to generate adeno-associated virus (AAV-9). AAV-9 virus was generated by the Emory Viral Vector Core. Antibodies used were anti-huntingtin (rabbit or mouse EM48), anti-NeuN (ABN78, Millipore), anti-GFAP (Millipore, MAB360), anti-Dendra2 (Origene, TA180094), anti-LC3 (Novus, NB100-2220), anti-ubiquitin, K48-specific (Millipore, 05-1307), and anti-β-actin (Sigma, A5060). Secondary antibodies were HRP-labeled donkey anti-mouse, donkey anti-rabbit, donkey anti-mouse Alexa Fluor 488 or 594, and donkey anti-rabbit Alexa Fluor 488 or 594 from Jackson ImmunoResearch. MG132, epoxomicin, and bafilomycin A were purchased from Sigma, as were proteinase inhibitor cocktails.

*Primary cell cultures.* Brains of postnatal (day 1-3) murine pups were used for culturing cortical astrocytes. Following dissection, the cortex was subjected to 0.3 mg/ml papain digestion. The cell suspension flew through 70-µm nylon cell strainers (Fisher). Cells were plated onto petri dishes; culture medium was replaced 24 h later, and then once every 3 days thereafter. Microglia and oligodendrocytes were removed from cultures by shaking at DIV14. The remaining cells were detached with 0.25% trypsin and plated for the following experiments. For neuronal cultures, neurons were prepared from postnatal day 0 murine pups. Cortex or hippocampus was digested with 0.3 mg/ml papain. Cell suspension was filtered through 40-µm nylon cell strainers (Fisher) to remove debris. Neurons were cultured in Neurobasal-A medium supplemented with B27 and glutamine

(Invitrogen). Half the culture medium was changed with fresh medium every 3 days. To reduce glial proliferation, cytosine was added to the cultures 3 days after plating. Cultured neurons at DIV3 and astrocytes at DIV 21-28 were used for the transfection of Htt-dendra2 and were subjected to live imaging 24 h later or to western blotting 40 h later.

Stereotaxic injection of viral vectors. Two-month-old mice were anesthetized with an i.p. injection of Avertin (0.5 mg/g). Their heads were placed and fixed in a Kopf stereotaxic frame (Model 1900) equipped with a digital manipulator, and a UMP3-1 Ultra pump. Mice were kept deeply anesthetized as assessed by monitoring pinch withdrawal and respiration rate. Viral vector injections were given in the striatum (0.6 mm anterior to bregma, 2.0 mm lateral to the midline, and 3.5 mm ventral to dura), and motor cortex (1.0 mm anterior to bregma, 1.25 mm lateral to the midline, 0.8-1.0 mm ventral to dura). The injections were performed at a rate of 0.2  $\mu$ l/min. The needle was left in place for 10 min after each injection to minimize upward flow of viral solution after raising the needle.

*Photoconversion, imaging system, and data analysis.* Photoconversion of Dendra2 was performed with a Nikon A1R confocal live imaging system with a 60X objective lens. Transfected primary neurons and astrocytes, as well as acute brain slices, were placed in a chamber at 37°C, 5% CO<sub>2</sub> during the imaging session. After transfection for 24 h, cultured hippocampal neurons or cortical astrocytes were subjected to live imaging. About 2 weeks after viral injection, the brains were sliced with a vibratome (Leica) in cold artificial cerebral spinal fluid (ACSF). Subsequently, acute brain slices were allowed

to recover for 30 min-1 h in a 37°C and 5% CO<sub>2</sub> incubator, and then subjected to live imaging. During imaging sessions, brain slices were maintained in brain slice culture medium containing 50% MEM/HEPES (Gibco), 25% heat-inactivated horse serum (Gibco), 25% Hank's solution (Gibco), and 6.5 mg/ml glucose (Sigma), pH 7.2. 405-nm UV light was used to activate Dendra2. To avoid UV damage to cells, the power and duration of UV irradiation was optimized. The photoconversion of Dendra2-Htt was performed on selected cell bodies and segments of processes in which no mHtt aggregates were visible and green fluorescence of inactive Dendra2 was seen. After photoconversion of green fluorescence to red fluorescence, images were taken at 10-min intervals. Decays of red fluorescence of active Dendra2 in the region of interest were used to measure degradation rates of Htt. Nikon Element software was used to quantify the red fluorescence in the region of interest. The intensity values were background subtracted. To avoid the influence of red fluorescence diffusion on degradation rates, the intensity value was normalized to that at 10 min after photoconversion, except for measuring degradation rates of Htt in cell bodies of cultured astrocytes, in which no diffusion of fluorescence was observed after photoconversion since target astrocytes did not have long processes.

*Immunoprecipitation.* Primary cultures were harvested and lysed in ice-cold 0.5% Triton X-100/PBS solution with protease inhibitor cocktail and phosphatase inhibitors on ice. The lysates were centrifuged at 16,000g for 30 min. Protein concentrations were measured with BCA assay (Thermo Scientific). Total 300 µg samples were precleared with protein A agarose beads (Sigma), and huntingtin proteins were immunoprecipitated

by anti-Htt (mEM48) at 4°C overnight. Protein A agarose beads were added to capture the immunoprecipitates for 1 h at 4°C. Ice-cold lysis buffer was used to wash beads 3 times. Proteins from the immunoprecipitates and inputs were subjected to Western blotting.

*Immunofluorescence staining.* Mice were anesthetized, perfused with fresh 4% paraformaldehyde in PBS, and postfixed overnight in the same fixative. Fixed brains were switched to 30% sucrose at 4°C. Mouse brains were sliced at 15-µm thickness with a cryostat at -20°C, and then mounted onto gelatin-coated slides. The brain slices were blocked with 3% bovine serum albumin in PBS supplemented with 0.2% Triton X-100 for 30 min at room temperature. Slices were incubated with primary antibodies at 4°C overnight and washed. Fluor-conjugated secondary antibodies and nuclear dye Hoechst were added to the samples for staining. Images were acquired with an Imager Z1 microscope equipped with a 63X objective lens.

*Western blot analysis*. Primary cultures were lysed in ice-cold RIPA buffer (50 mM Tris pH 8.0, 150 mM NaCl, 1 mM EDTA pH 8.0, 1 mM EGTA pH 8.0, 0.1% SDS, 0.5% DOC, and 1% Triton X-100) containing protease inhibitor cocktail and phosphatase inhibitors. The lysates were sonicated and subjected to SDS-PAGE. The proteins on the gel were transferred to a nitrocellulose membrane, which was then blocked with 5% milk/TBST for 1 h at room temperature. The blot was incubated with primary antibodies in 5% milk/TBST overnight at 4°C. After 3 washes in TBST, the blot was incubated with HRP-conjugated secondary antibodies in 5% milk/TBST for 1 h at room temperature.

After 3 washes in TBST, ECL Prime (GE Healthcare) was used to detect immunoreactive bands on the blot

Statistical analyses. Unpaired two-tailed Student's *t*-test and repeated measures (RM) two-way ANOVA followed by Bonferroni *post hoc* test were performed with GraphPad Prism 6. Results are expressed as the means  $\pm$  SEM. A P-value < 0.05 was considered significant. The statistical significance level was set as follows: \* if *P* < 0.05, \*\* if *P* < 0.01, \*\*\* if *P* < 0.001, \*\*\* if *P* < 0.001.



Figure 2.1. Soluble mHtt is promptly removed from the bodies of both neuronal and astrocytic cells. A, Schematic representation of Htt-Dendra2 plasmids. Dendra2 is conjugated to N-terminal (1-230 amino acids) Htt under the control of an exogenous promoter. In this figure, Htt-23Q and Htt-130Q are expressed by the CMV promoter. B, Western blotting analysis of transfected Htt-Dendra2 in cultured primary neurons and astrocytes. Antibodies to Htt (mEM48) and Dendra2 were used. C, Immunostaining with EM48 antibody showed that both mHtt and mHtt-dendra2 fusion protein aggregated in processes of cultured neurons, and neuropil aggregates are indicated by arrow. **D-E**, mHtt (Htt-130Q) is degraded faster than wild-type Htt (Htt-23Q) in the cytoplasm of neuronal (D) and astrocytic (E) cell bodies (hippocampal neurons in D, and cortical astrocytes in E). **F-G**, Reduction of red fluorescence intensity in Figure *D-E* is quantified at indicated time points; n = 23 (23Q), 21 (130Q) cells in F, n = 10 (23Q), 14 (130Q) cells in G. For neurons, the red fluorescence intensity at 10 min was normalized to 1. For astrocytes, the red fluorescence intensity at 0 was normalized to 1. [\*P < 0.05, \*\*P < 0.01, RM two-way ANOVA followed by Bonferroni post hoc test (factor 1: time; factor 2:genotype)]. Error bars represent s.e.m. Scale bars =  $10 \mu m$ .



0.4

0.2

0

10

Htt-23Q

Htt-130Q

30

40

Time(minute)

50

60

20

0.4

0.2

0

10 30

Htt-23Q

Htt-130Q

50 70

Time(minute)

90

110 130



**Figure 2.2.** mHtt is slowly cleared from neuronal processes. *A*, Htt-130Q is degraded slower than Htt-23Q in processes of cultured hippocampal neurons; n = 13 (23Q) and 18 (130Q) cells. *B*, In the processes of cortical astrocytes, Htt-130Q is cleared more rapidly than Htt-23Q; n = 10 (23Q), 11 (130Q) cells. *C-D*, Quantitative results for *A* and *B* showing that the degradation rate of mHtt in neuronal processes is slow. (\**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001. RM two-way ANOVA followed by Bonferroni *post hoc* test (factor 1: time; factor 2: genotype)] Error bars represent SEM. Scale bars = 10  $\mu$ m.





**Figure 2.3.** Proteasome plays a major role in clearing Htt from neuronal processes. *A-B*, Fluorescent images show the clearance of Htt-23Q (A) and Htt-130Q (B) in the processes of cultured hippocampal neurons. MG132 or epoxomicin stabilizes the levels of Htt-23Q and Htt-130Q in the neuronal process. n = 13 (Con), 8 (BFA), 5 (MG132), 10 (epoxomicin) cells in *A*; n = 18 (Con), 8 (BFA), 9 (MG132), 12 (epoxomicin) cells in *B*. *C-D*, Quantitative results of red fluorescent intensity of Htt-Dendra2 at different time points showing that MG132 (5  $\mu$ M) and epoxomicin (100 nM), but not BFA (100 nM), can stabilize the levels of Htt-23Q and Htt-130Q in the neuronal processes. [\**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001, Con vs MG132; #*P* < 0.05, ##*P* < 0.01, ####*P* < 0.001, #####*P* < 0.0001, Con vs epoxomicin, RM two-way ANOVA followed by Bonferroni *post hoc* test (factor 1: time; factor 2: treatment)]. Error bars represent SEM. Scale bars = 10  $\mu$ m. А



**Figure 2.4.** Proteasomal inhibitors also stabilize mHtt in the processes of cultured astrocytes. *A*, Fluorescent imaging showing that MG132 (5  $\mu$ M) or epoxomicin (100 nM) treatment blocks the clearance of mHtt in the processes of cultured cortical astrocytes. *B*-*C*, Quantitative results of red fluorescence intensity of Htt-Dendra2 at different time points showing that MG132 and epoxomicin, but not BFA (100 nM), can stabilize the levels of Htt-23Q and Htt-130Q in processes of cultured astrocytes. n = 10 (Con), 10 (BFA), 15 (MG132), and 13 (epoxomicin) cells in *B*; n = 11 (Con), 10 (BFA), 12 (MG132), and 11 (epoxomicin) in *C*. [\**P* < 0.05, \*\**P* < 0.01, \*\*\* *P* < 0.001, Con vs. MG132; #*P* < 0.05, ##*P* < 0.01, ### *P* < 0.001, #### *P* < 0.0001, Con vs. epoxomicin, RM two-way ANOVA followed by Bonferroni *post hoc* test (factor 1: time; factor 2: treatment)]. Error bars represent SEM. Scale bars = 10  $\mu$ m.





**Figure 2.5.** Western blot analysis of Htt-Dendra2 expression. *A-B*, Western blot analysis of cultured Htt transfected cortical neurons (A) and astrocytes (B) following treatments with MG132 (5  $\mu$ M), epoxomicin (100 nM) or BFA (100 nM). MG132 and epoxomicin have stronger effects on inhibiting clearance of Htt-130Q than BFA in neurons and astrocytes. *C-D*, Ratios of Htt-Dendra2 to actins from three independent experiments are presented. MG132, epoxomicin, or BFA treatment time is 16 h. BFA, bafilomycin A; Epo, epoxomicin. (\*P < 0.05, \*\*P < 0.01, \*\*\* P < 0.001, unpaired two-tailed Student's *t*-test.) ns, not significant. Error bars represent SEM.



**Figure 2.6.** Western blot analysis of immunoprecipitated Htt. *A-B*, Transfected Htt was immunoprecipitated by anti-Htt antibody (EM48) from cultured cortical neurons (A) and astrocytes (B), and IgG was used as a control. The immunoprecipitates were probed with antibodies to Htt (EM48) or K48 ubiquitination. 5  $\mu$ M MG132 was used to inhibit proteasomal activity. *C*, Ratios of K48 ubiquitinated Htt to the immunoprecipitated Htt from three independent experiments are presented beneath the blots. (\**P* < 0.05, unpaired two-tailed Student's *t*-test.) Error bars represent SEM.











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**Figure 2.7.** Htt-Dendra2 is expressed selectively in neurons and astrocytes in vivo. *A*, Schematic representation of AAV plasmids. The specific expression of Htt is controlled by the synapsin-1 or GFAP promoter. *B*, Immunostaining confirms the selective expression of Htt-Dendra2 in neurons and astrocytes in the mouse brain after stereotaxic injection for 1-2 weeks. *C*, Immunostaining of fixed brain sections of mice injected with AAV-Htt-Dendra2. Double immunostaining with antibodies to Htt, the neuronal marker protein NeuN, and astrocytic marker protein GFAP. The result shows that synapsin promoter-driven mHtt (syn-mHtt) is selectively distributed in NeuN-positive neuronal cells, and GFAP promoter-driven mHtt forms aggregates in the neurites (arrow) and nuclei (arrowhead) of striatal neurons in the mouse brain. Scale bar =  $20 \,\mu\text{m}$ .



в

t=60min



Striatal neurons in acute brain slices

t=10min

А

Syn-wt-Htt

Syn-mhtt

before







Figure 2.8. Expanded polyO promotes the clearance of soluble Htt in the cell bodies, but impairs the clearance of Htt in neurites in the mouse brain. A-B, mHtt expressed under the synapsin promoter is cleared faster than wild-type Htt (wt-Htt) in neuronal cell bodies (A) in brain slices. In B, mHtt is more stable in neuronal process than wt-Htt. C, Quantitative results of decline in mHtt and wt-Htt. n = 13 (wt-Htt), 15 (mHtt) cells for cell bodies. n = 4 (wt-Htt), 3 (mHtt) cells for neurites. **D-E**, mHtt is removed more promptly than wt-Htt in astrocytic cells in the mouse brain slices. D shows representative fluorescent images, and E shows quantitative data for the clearance of wt-Htt and mHtt. n = 7 (wt-Htt), 11 (mHtt) cells. F-G, cortical neurons cleared mHtt faster than striatal neurons. F shows representative fluorescent images, and the statistical result is shown in G, n= 15 (striatal neurons), and 13 (cortical motor neurons). [\*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\* P < 0.0001, RM two-way ANOVA followed by Bonferroni post hoc test (factor 1: time; factor 2: genotype for C and E; factor 1: time, factor 2: neuronal type for G)]. Error bars represent SEM. Scale bar =  $10 \mu m$ ; scale bar =  $3 \mu m$  (for amplified figures in B).

### Chapter 3

# Differential HspBP1 expression accounts for the greater vulnerability of neurons than astrocytes to misfolded proteins

This Chapter represents the unpublished work as: T Zhao, Y Hong, SH Li, XJ Li. Differential CHIP activity mediated by HspBP1 accounts for the greater vulnerability of neurons than astrocytes to misfolded proteins. (submitted)

#### Abstract

Although mHtt is expressed ubiquitously in the brain, degeneration selectively occurs in neurons. This phenomenon can be explained by our live-cell imaging findings that astrocytes degraded mHtt faster than neurons. To explore the mechanism underlying prompt clearance of mHtt in astrocytes, we compared the expression level of various ubiquitin E3 ligases. We found that neurons and astrocytes show markedly different activities in CHIP, a co-chaperone of Hsp70. CHIP is more actively mono-ubiquitinated and binds to mutant huntingtin (mHtt), the Huntington's disease protein, more avidly in astrocytes than neurons, facilitating its K48-linked polyubiquitination and degradation. Consistently, astrocytes show higher levels and heat-shock induction of Hsp70 and faster CHIP-mediated degradation of various misfolded proteins than do neurons. In astrocytes, the CHIP inhibitory protein (HspBP1) is deficient but its overexpression increases mHtt accumulation. Conversely, silencing HspBP1 expression via CRISPR-Cas9 in neurons ameliorates mHtt aggregation and neuropathology in HD knock-in mouse brains. Our findings indicate a critical role of HspBP1 in differential CHIP/Hsp70 activities in neuronal and glial cells and the greater neuronal vulnerability to misfolded proteins in neurodegenerative diseases.

#### Introduction

Protein misfolding causes a wide range of neurodegenerative diseases, including Alzheimer's, Parkinson's, and polyglutamine diseases, such as Huntington's disease (HD) (Soto, 2003). Although all of these disease proteins are ubiquitously expressed in different types of cells, they preferentially accumulate in neuronal cells and cause

neurotoxicity (Saxena and Caroni, 2011). In the brain, more than 90% of cells are glial cells, which are affected to a much lesser extent than neurons in neurodegenerative diseases (Maragakis and Rothstein, 2006). A challenging issue in the pathogenesis of neurodegenerative diseases is why misfolded proteins preferentially kill neurons rather than glial cells in the brain. Understanding the mechanism for the selective neurodegeneration is important for developing effective treatments of neurodegenerative diseases.

It is established that the accumulation of misfolded proteins in neuronal cells is a prerequisite for their toxicity (Sherman and Goldberg, 2001; Goldberg, 2003). Preventing the accumulation of misfolded proteins in cells relies highly on molecular chaperones, which refold misfolded proteins, and the ubiquitin-proteasome system (UPS) and autophagy, which degrade misfolded proteins. CHIP, a co-chaperone of Hsp70 with ubiquitin E3 ligase, links chaperones to the UPS and plays a critical role in protein triage decisions. Mice with CHIP deficiency show increased levels of toxic oligomeric proteins and decreased proteasomal activity (Min et al., 2008). CHIP is recruited to chaperonesubstrate complexes, and consequently polyubiquitinates the chaperone-bound substrates, which are then degraded by the proteasome (McDonough and Patterson, 2003; McClellan and Frydman, 2001; Scaglione et al., 2011). Hsp70-binding protein 1 (HspBP1), a cochaperone of Hsp70 that inhibits the activity of Hsp70 ATPase (Raynes and Guerriero, 1998), also associates with CHIP and prevents CHIP-mediated ubiquitination on substrates (Alberti et al., 2004). However, it remains unclear whether CHIP/Hsp70 activities are differentially regulated in neuronal and glial cells and whether differential CHIP/Hsp70 activities account for the preferential neuronal vulnerability to misfolded proteins in neurodegenerative diseases.

In the current study, we investigated the mechanism underlying the differential vulnerability of neurons and glia to misfolded proteins. We found that the higher CHIP activity in astrocytes than neurons accounts for the higher Hsp70 activity and faster degradation of misfolded proteins in astrocytes. Neurons express much more abundant HspBP1, which inhibits CHIP activity, and knocking down HspBP1 in neurons rescued neuropathology in a HD knock-in mouse model. Our findings provide mechanistic insight into the differential vulnerabilities of neuronal and glial cells to misfolded proteins and also offer a therapeutic target in neurodegenerative diseases.

#### Results

#### Increased mono-ubiquitinated CHIP indicates active CHIP in astrocytes

Our recent study showed that astrocytes degraded mutant huntingtin (mHtt), the HD protein, faster than neurons (Zhao et al., 2016). Since CHIP is a co-chaperone of Hsp70 with ubiquitin E3 ligase activity for degradation of misfolded proteins (McDonough and Patterson, 2003; McClellan and Frydman, 2001; Scaglione et al., 2011), we examined its expression in cultured astrocytes and neurons and found more abundant CHIP with a high molecular weight (MW) in astrocytes than neurons (Figure 3.1*A*). This high-MW CHIP is reminiscent of mono-ubiquitinated CHIP (Ub1-CHIP) as reported previously (Scaglione et al., 2011) and consistently, is decreased by CHIP knockdown (Figure 3.1*B*). To provide further evidence for the ubiquitination of the high-MW CHIP, we transfected CHIP-myc in cultured astrocytes and immunoprecipitated CHIP with antimyc antibody. Immunoblotting with anti-ubiquitin to detect endogenous ubiquitin in

immunoprecipitates clearly showed that the high-MW band represents monoubiquitinated CHIP in astrocytes (Figure 3.1C).

Because CHIP is mono-ubiquitinated when it polyubiquitinates misfolded proteins (Scaglione et al., 2011), increased Ub1-CHIP suggests that CHIP is more active in astrocytes than neurons tackling misfolded proteins. To test this idea, we transfected Nterminal Htt (1-230 amino acids), which contained normal 23 (Htt-23Q) or expanded 130 (Htt-130Q) glutamine repeats conjugated with the photoswitchable fluorescent protein Dendra2 (Zhao et al., 2016; Tsvetkov et al., 2013), into astrocytes and neurons. Compared with Htt-23Q, Htt-130Q increased the expression of CHIP and Ub1-CHIP in astrocytes but not in neurons (Figures 3.1D), suggesting that CHIP plays a specific role in coping with mHtt in astrocytes. Indeed, we found that CHIP co-localizes with transfected Htt-130Q (Figure 3.1E), and CHIP knockdown stabilized Htt-130Q in astrocytes (Figure 3.1F). Furthermore, we found that in HD 140Q knock-in (KI) mouse model in which full-length mHtt is expressed at endogenous levels, CHIP is co-localized with mHtt aggregates in the corpus callosum where astrocytes are enriched (Figure 3.1G). It has been reported that in the brains of HD patients and KI mice, large and round aggregates are localized in neuronal nuclei, and small, bead-like aggregates are localized in neurites (DiFiglia et al., 1997; Li et al., 2001). However, there is absence of CHIP in neuronal aggregates (Figure 3.1G), suggesting that CHIP does not associate with mHtt in neurons. By immunoprecipitating endogenous mHtt from cultured neurons and astrocytes of KI mice, we also saw an association of mHtt with both CHIP and Ub1-CHIP in astrocytes, but not in neurons (Figure 3.1H). Collectively, these data suggest that CHIP is more active in clearing mHtt in astrocytes.

#### Active CHIP promotes ubiquitination of mHtt in astrocytes

CHIP has ubiquitin E3 ligase activity and polyubiquitinates substrates for proteasomal degradation (McDonough and Patterson, 2003; McClellan and Frydman, 2001). Indeed, our results indicated that astrocyte-specific association between CHIP and mHtt increased K48-linked polyubiquitinated mHtt in HD KI astrocytes (Figures 3.2*A* and 3.2*B*), which is corroborated by elimination of the enhanced K48-polyubiquitinated mHtt via CHIP knockdown (Figures 3.2*C* and 3.2*D*). Using an *in vitro* proteasome activity assay, we ruled out the possibility that the increased K48-linked polyubiquitination of mHtt in astrocytes is due to mHtt-causing proteasomal dysfunction (Figure 3.2*E*). Given that K48 polyubiquitinated mHtt explains the faster clearance of mHtt in astrocytes (Zhao et al., 2016).

### Active CHIP increases Hsp70 and facilitates degradation of various misfolded proteins in astrocytes

Although the binding of CHIP to substrates depends on Hsp70 (Paul et al., 2013; Lee et al., 2013) and CHIP is able to enhance Hsp70 expression in cultured fibroblast cells in response to heat shock (Qian et al., 2006), its relation with Hsp70 in neuronal and glial cells remained elusive. By inducing Hsp70 in HEK293 cells with PU-H71, a compound that up-regulates Hsp70 transcription (Pimienta et al., 2011), we found that increased Hsp70 promoted the association of CHIP with transfected mHtt (Htt-73Q) and that this association was suppressed by Hsp70 knockdown (Figures 3.3*A* and 3.3*B*). Importantly,

we also found that basal Hsp70 was more abundant in cultured astrocytes than neurons (Figure 3.4*A*). To validate this difference in the brain, we isolated the corpus callosum (CC), a brain region enriched in astrocytes, and the cerebral cortex (CTX) with less abundant astrocytes to examine Hsp70 levels. Western blotting also showed a higher level of basal Hsp70 in the astrocyte-enriched corpus callosum (Figure 3.4*B*).

We then compared heat-shock responses in wild-type neurons and astrocytes under hyperthermic conditions and found robustly increased Hsp70 in astrocytes but not in neurons (Figure 3.5A). In addition, expression of mHtt did not have an impact on these differential responses (Figures 3.6A and 3.6B). Strikingly, both CHIP and Ub1-CHIP were increased in wild-type and HD 140Q KI astrocytes, whereas CHIP declined in neurons after heat shock (Figures 3.5A, 3.6A and 3.6B). To verify that the different Hsp70 responses in cultured neurons and astrocytes also occur in brain tissues, we isolated brain slices from wild-type and HD140Q KI mice. The brain slices consisting of the cerebral cortex and corpus callosum were incubated under normal physiological or heat-shock stress conditions. The cortex and corpus callosum were then dissected for western blotting. Consistently, hyperthermia induced Hsp70 in glia-, but not neurons-, enriched regions in both wild-type and HD140Q KI mouse brains (Figure 3.5B). The differential heat-shock response found here is consistent with previous findings that heatshock response is absent in neurons, but robust in astrocytes (Marcuccilli et al., 1996; Batulan et al., 2003; Li et al., 1992).

The exact mechanism underlying distinct heat-shock responses from astrocytes and neurons is unknown. We postulated that differential activities of CHIP in astrocytes and neurons cause different heat-shock responses. Indeed, CHIP knockdown abolished heatshock induction of Hsp70 in astrocytes (Figures 3.5*C* and 3.5*E*), confirming that the specific induction of Hsp70 by heat-shock stress in astrocytes is CHIP-mediated. Furthermore, CHIP knockdown also decreases the basal levels of Hsp70/Hsp90 but not Hsc70 in astrocytes (Figures 3.5*D* and 3.5*E*), which is opposite to previous finding that CHIP knockdown enhanced the basal Hsp70 in immortal cell lines (Qian et al., 2006), also suggesting that the CHIP-mediated Hsp70 level is differentially regulated in different cell types. The finding that CHIP is more active in astrocytes also explains why astrocytes have the higher basal levels of Hsp70 than neurons. Together, our results suggest that CHIP-dependent up-regulation of Hsp70 in astrocytes.

To investigate whether CHIP also promotes the clearance of other misfolded proteins in astrocytes, we transfected mutant TDP-43 (M337V), polyQ expanded TATA box binding protein (TBP-105Q), or mutant  $\alpha$ -synuclein (A53T), in astrocytes and neurons, as these mutant proteins cause neurodegenerative diseases (Friedman et al., 2007; Jucker and Walker, 2013). The cycloheximide (CHX) half-life assay demonstrated that all of these mutant proteins were removed faster by astrocytes than neurons, which was abolished by CHIP knockdown (Figures 3.8*A*-3.8*C*). These results suggest that astrocytes use CHIP to accelerate the degradation of misfolded proteins, which protects astrocytes from misfolded proteins in neurodegenerative diseases.

We further investigated the role of CHIP in the viability of astrocytes under severe heat-shock stress ( $45^{\circ}$ C for 30 min). Astrocytes were resistant to heat-shock stress, which was indicated by no difference in viability and morphology between untreated and hyperthermia-treated astrocytes (Figures 3.7*A* and 3.7*C*). In contrast, ~86% neurons were

killed by the same hyperthermic condition (Figures 3.7*A* and 3.7*C*). However, the lethality of astrocytes after hyperthermia was increased when CHIP was knocked down (Figures 3.7B-3.7E), indicating that the high activity of CHIP is involved not only in the prompt clearance of mHtt, but also in protection of cell viability.

### Differential HspBP1 expression results in distinct CHIP activity between astrocytes and neurons

Our findings raise a key question of why CHIP is more active in astrocytes than in neurons. Using a RNA-Seq database to compare the profiles of gene expression between neurons and astrocytes (Zhang et al., 2014), we found that HspBP1, a co-chaperone of Hsp70 that inhibits CHIP E3 ligase activity (Alberti et al., 2004), shows approximately a 5-fold increase at the transcriptional level in neurons compared with astrocytes. The different expression level of HspBP1 was first confirmed with western blotting, showing the abundant level of HspBP1 in cultured neurons but the undetectable level in cultured astrocytes (Figure 3.9A). In addition, HspBP1 is distributed throughout the neurons, and its cellular localization is well consistent with that of CHIP (Figure 3.9B). In contrast, only faint or background staining of HspBP1 was seen in astrocytes (Figure 3.9B). Since Bag2 has been reported to be another CHIP inhibitor, we also examined the level of Bag2 and found there was no difference between neurons and astrocytes, suggesting that Bag2 is not the determinant of the high activity of CHIP in astrocytes (data not shown). Furthermore, we performed HspBP1 immunostaining of mouse brains, in which neurons and astrocytes were labeled respectively with antibodies to cell-specific markers, NeuN and GFAP. Indeed, there was more HspBP1 staining in neurons, whereas HspBP1 was

almost undetectable in astrocytes (Figures 3.9*C* and 3.9*E*). Consistently, in the brain section of human basal ganglia, human neurons exhibited a considerable amount of HspBP1, whereas human astrocytes lacked HspBP1 staining (Figures 3.9*D* and 3.9*E*). Together, our results indicate an intrinsic and significant difference of HspBP1 expression in neurons and astrocytes, which may account for differential CHIP activities in astrocytes and neurons.

To investigate whether expression of HspBP1 could inhibit CHIP activation and CHIPmediated degradation of mHtt in astrocytes, we transfected HspBP1 into cultured astrocytes. Overexpression of HspBP1 reduced Ub1-CHIP and increased the unmodified form of CHIP correspondingly (Figure 3.10*A*). This blockade of conversion of CHIP to Ub1-CHIP suggests an inhibition of CHIP activity by HspBP1 in astrocytes. In addition, increased unmodified CHIP was not caused by alteration in CHIP expression since HspBP1 overexpression did not affect the transcription of CHIP in astrocytes (Figure 3.10B). Furthermore, co-transfection of HspBP1 and mHtt (Htt-130Q) into cultured astrocytes stabilized mHtt, suggesting that CHIP-mediated degradation of mHtt was inhibited by HspBP1. In addition, HspBP1 suppressed mHtt-induced increases in CHIP and Ub1-CHIP, which is evidenced by decreased Ub1-CHIP and CHIP in co-transfected astrocytes (Figure 3.10C). Given that CHIP is required for heat-shock response in astrocytes (Figure 3.5C), we also investigated whether expression of HspBP1 could inhibit heat-shock response via suppressing CHIP activity. Indeed, HspBP1 suppressed heat-shock induction of Hsp70, CHIP, and Ub1-CHIP in astrocytes (Figure 3.10D). Moreover, HspBP1 reduced Hsp70, but not Hsc70, under physiological conditions (Figure 3.10*E*). Taken together, the inhibitory effect of HspBP1 on CHIP-mediated protective cellular processes in astrocytes supports our conclusion that lack of HspBP1 accounts for the high activity of CHIP in astrocytes.

## Silencing HspBP1 expression activates CHIP in neurons and ameliorated neuropathology

If the expression of HspBP1 in neuronal cells is responsible for the increased accumulation of misfolded proteins, knocking down HspBP1 in neurons should alleviate misfolded proteins-causing neuropathology. Thus, we used CRISPR/Cas9 to target the HspBP1 gene (Figure 3.11A) and silence the expression of HspBP1 in the striatum of HD 140Q knock-in (KI) mice via stereotaxic injection of AAV viruses expressing Cas9 and HspBP1 sgRNA. T7E1 assay and western blotting validated the genome editing of HspBP1 sgRNA/Cas9 and efficient reduction of HspBP1 at the protein level in N2a cells, respectively (Figures 3.11B and 3.11C). The targeted HspBP1 DNA was also subcloned for sequencing, which verified Cas9/gRNA-mediated mutations in the HspBP1 gene (Figure 3.11D). Furthermore, HspBP1 knockdown (KD) induced the expression of Ub1-CHIP in cultured neurons, suggesting increased activity of CHIP (Figure 3.12A). In addition, Hsp70/Hsp90 were induced by heat shock in HspBP1-knockdown neurons (Figure 3.12B). To knock down HspBP1 in the HD KI mouse brain, both HspBP1 sgRNA and Cas9 were transduced into the mouse brain striatal region via stereotaxic injection (Figure 3.12C). Four to six weeks after injection of AAV-HspBP1 sgRNA and AAV-Cas9, HspBP1 was markedly decreased in the striatum of HD KI mice compared with the control injected only with AAV-HspBP1 sgRNA (Figure 3.12D). Consequently, Cas9-mediated HspBP1 knockdown markedly reduced mHtt aggregates (Figures 3.12E

and 3.12*F*). Astrogliosis is characterized by increased glial fibrillary acidic protein (GFAP) staining and is an early neuropathological event caused by neuronal injury in HD KI mouse brains (Yu et al., 2003). Reducing mHtt accumulation in neuronal cells via knocking down HspBP1 attenuated the extent of reactive astrocytes (Figures 3.12*G* and 3.12*H*). Synaptophysin is a presynaptic marker protein whose loss is also found in HD KI mice (Valencia et al., 2013). HspBP1 knockdown restored the intensity of immunostaining of synaptophysin in the striatum of HD KI mice (Figures 3.12*I* and 3.12*J*). All of these results suggest that knocking down HspBP1 in neuronal cells could increase CHIP activity, enhance the clearance of mHtt, and subsequently reduce mHtt-mediated neuropathology. Based on our findings, we propose that the high level expression of HspBP1 in neurons accounts for the preferential accumulation of misfolded proteins in neurons and their greater vulnerability than astrocytes to misfolded proteins (Figure 3.13).

#### Discussion

Although misfolded proteins are ubiquitously expressed in neuronal and glial cells in the brain, they are more prone to accumulation in neurons and kill neuronal cells (Saxena and Caroni, 2011). This differential vulnerability to misfolded proteins is likely due to distinct capacities of maintaining protein homeostasis between neuronal and glial cells. However, whether and how neuronal and glial cells have differential capacities to maintain protein folding and to prevent protein misfolding have not been rigorously investigated. Our findings demonstrate for the first time that the higher activity of CHIP in astrocytes than neurons is related to monoubiquitinated CHIP. An additional new

finding is that the differential HspBP1 expression accounts for different CHIP/Hsp70 activities in neurons and astrocytes.

Our results also revealed two major functional consequences of increased CHIP activity in astrocytes, both of which account for their faster degradation of misfolded proteins. First, CHIP associates with mHtt specifically in astrocytes, resulting in polyubiquitination and subsequent proteasomal degradation of mHtt. The increased mHtt ubiquitination in astrocytes explains why astrocytes clear mHtt more efficiently than do neurons (Zhao et al., 2016). In support of this idea, clearance of several other neurodegeneration-related misfolded proteins is also CHIP-dependent in astrocytes. Second, active CHIP enhances both basal and inducible levels of Hsp70 in astrocytes, and increased Hsp70 prevents aggregation of misfolded proteins and facilitates the binding of CHIP to misfolded proteins.

The heat-shock response plays a vital role in maintaining cellular homeostasis. The chaperone network is extremely sophisticated and well-coordinated. For example, CHIP activates HSF1 (Dai et al., 2003) but is inhibited by HspBP1 (Alberti et al., 2004). Our findings provide compelling evidence that HspBP1 is differentially expressed in neurons and astrocytes, which accounts for the cell-type dependent regulation of CHIP and Hsp70 activities. Consistent with this idea, expression of HspBP1 attenuates CHIP-dependent protective effects in astrocytes. Conversely, silencing HspBP1 expression with CRISPR/Cas9 in neurons activated CHIP and alleviated neuropathology in the HD knock-in mouse model.

Our findings offer a mechanistic insight into the phenomenon that astrocytes, the largest cell population in the brains, are able to clear misfolded protein more efficiently
so that they are affected to a much lesser extent than neurons in a variety of neurodegenerative diseases (Maragakis and Rothstein, 2006). Since CHIP is an ubiquitin E3 ligase that bridges the chaperone system and the UPS (McDonough and Patterson, 2003; McClellan and Frydman, 2001), the increased CHIP activity may also contribute to the higher proteasomal activity in astrocytes than neurons (Tydlacka et al., 2008). The finding that inhibition of HspBP1 in HD140Q KI mouse brain can diminish mHtt aggregation and neuropathology also suggests the therapeutic benefits by removing endogenous inhibition of CHIP activity in neuronal cells, which can be an alternative approach to treat a wide range of neurodegenerative diseases that are caused by the accumulation of misfolded proteins in neuronal cells.

## **Materials and Methods**

*Animals.* Full-length mHtt CAG140Q (HD KI) mice were kindly provided by Dr. Michael Levine of UCLA (Hickey et al., 2008) and were maintained at the Emory University Animal facility. This study was carried out in strict accordance with the recommendations in the Guidelines for the Care and Use of Laboratory Animals of the National Institutes of Health. The protocol was approved by the Institutional Animal Care and Use Committee of Emory University (Permit Number: 2003631).

*Plasmids, antibodies and reagents.* Htt-23Q, -73Q, and-130Q were generated by subcloning N-terminal fragments of huntingtin (1-230 amino acids) containing 23Q, 73Q or 130Q into pDendra2-N (Clontech) using SalI and ApaI cloning sites. Murine HspBP1 was cloned into a pRK5 vector using BamH1 and EcoR1 cloning sites. sgRNA backbone

vector and Cas9 plasmids were purchased from Addgene. Synthetic HspBP1 target site sequence (20bp) was cloned into the sgRNA backbone vector using Sap1 sites to generate HspBP1 sgRNA plasmid. TDP-43 (M334V), TBP-105Q, and  $\alpha$ -synuclein (A53T)-CFP plasmids were generated in our previous studies (Friedman et al., 2007; Yan et al., 2014). Mouse CHIP-myc vector was obtained from Origene (MR204258). Antibodies used were anti-CHIP (Thermo, PA5-32046), anti-expanded polyQ (1C2) (Millipore, MAB1574), anti-ubiquitin (abcam, 7780; Dako, z0458), anti-ubiquitin, K48specific (Millipore, 05-1307), anti-huntingtin (mEM48), anti-Hsp70 (Cell Signaling, 4872S), anti-Hsc70 (Santa Cruz, sc-7298), anti-Hsp90 (Cell Signaling, 4874S), anti-TDP-43 (Abnova, H00023435-M01), anti-TBP (QED Bioscience, 70102), anti-CFP (Clontech, 632381), anti-HspBP1 (Santa Cruz, sc-390467), anti-Cas9 (Millipore, MAC133), anti-GFAP (Thermo, RB-087-A1), anti-NeuN (ABN78, Millipore), antisynaptophysin (Sigma, SVP38), anti-MBP (AB980, Millipore), anti-Bag2 (29-709, ProSci), anti-NeuN (ABN78, Millipore), anti-Beta III tubulin (AB9354, Millipore), anti-GAPDH (Thermo, MA1-10036), anti-Myc (Cell Signaling, 2276S, 2272S), and anti-βactin (Sigma, A5060). Secondary antibodies were HRP-labeled donkey anti-mouse, donkey anti-rabbit, donkey anti-mouse Alexa Fluor 488 or 594, and donkey anti-rabbit Alexa Fluor 488 or 594 from Jackson ImmunoResearch. Lactacystin, PU-H71, PYR-41 and cycloheximide (CHX) were purchased from Sigma. Proteinase inhibitor cocktails were purchased from Sigma.

*Knockdown assay.* The Hsp70 siRNA duplexes and negative control siRNA were purchased from Origene (SR418598). The following combination of oligonucleotides

was	used	to	target	the	Hsp70	gene
rGrUrCr	UrUrArArArC	CrArArArCr	GrUrCrUrUrGr	GrCrArCT		
G, rGrGı	rCrArCrCrGrA	ArUrUrArCr	UrGrUrCrArAı	GrGrUrUrA	IT, andrUrGrGi	rGrAr

ArGrArCrArUrArUrArGrUrCrUrArGrCrUrGCC. The shRNA of CHIP and negative control shRNA were products of Origene (TL503190). The combination of plasmids carrying the following oligonucleotides were transfected into cells: GCCTGCTACGGCCGCGCCATCACTCGGAA, GCTAAGAAGAAGCGCTGGAA ACAGTATCGA, ATCCACCAGGAGAGAGTGAGCTGCATTCATA, and CATTGA CGCTTTCATCTCTGAGAACGGCT.

*Cell cultures.* Brains of postnatal (day 1-3) murine pups were used for culturing cortical astrocytes. Following dissection, cortex was subjected to 0.3 mg/ml papain digestion. Cell suspension flew through 70- $\mu$ m nylon cell strainers (Fisher). Cells were plated onto petri dishes, and culture medium was replaced 24 h later and once every 3 days thereafter. Microglia and oligodendrocytes were removed from cultures by shaking at DIV14. Remaining cells were detached with 0.25% trypsin and plated for the following experiments. For cortical neuron cultures, cortical neurons were prepared from postnatal day 0 murine pups. Cortex was digested with 0.3 mg/ml papain. The cell suspension was filtered through 40- $\mu$ m nylon cell strainers (Fisher) to remove debris. Neurons were plated at 1 ×10<sup>6</sup> on poly-D-lysine-coated 6-well plate, and cultured in Neurobasal-A medium supplemented with B27 and glutamine (Invitrogen). Half of the culture medium was exchanged with fresh medium every 3 days. To reduce glial proliferation, cytosine was added to the cultures 3 days after plating. N2a cells were cultured in Dulbecco's

modified Eagle's medium (DMEM), containing 10% FBS (Invitrogen) and penicillin/streptomycin at 37°C and 5%CO2.

*Cycloheximide chase assay.* DIV 2-3 cortical neurons and DIV 21-30 cortical astrocytes were transfected with plasmids encoding misfolded proteins. Transfected cells were treated with 100 µM cycloheximide at various time points from 0 to 24 hours. After CHX treatment, cells were harvested and lysed in ice-cold 0.5% Triton-X100 in PBS with protease inhibitor mixture and PMSF on ice. Following this, the lysates were sonicated, and protein concentrations were determined by BCA assay (Thermo Scientific). Samples were loaded into SDS-PAGE gels and subjected to western blotting.

*Heat shock.* Heat shock was performed on cortical astrocytes and neurons, which were seeded on 6-well plates. To study effects of CHIP knockdown on heat-shock response, CHIP shRNA was transfected into cortical astrocytes. Prior to heat shock, the medium was replaced with fresh medium pre-warmed to 42°C. Primary cultures were incubated at 42°C for 1 h in a water bath. For HD140Q KI neurons that were less tolerable to heat shock, they were treated with heat shock for 30 min. The plates were sealed with parafilm. Control cells were maintained in a 37°C incubator. After heat shock, cells were allowed to recover in the same medium at 37°C for various time periods. For heat shock on brain slices, we cut the brains of 3-month-old mice into 1-mm coronal slices with a vibratome (Leica) in chilled artificial cerebral spinal fluid (ACSF). Prior to heat shock, slices were plated on transwells (Costar), maintained with culture medium containing 50% MEM/HEPES (Gibco), 25% heat-inactivated horse serum (Gibco), 25% Hank's solution

(Gibco), 6.5 mg/ml glucose (Sigma), pH 7.2, and allowed to recover in a 37°C, 5% CO<sub>2</sub> incubator for 1 h. Heat shock was performed on slices from one hemisphere, and those from the other hemisphere were used as controls. For heat shock, slices on transwells were incubated at 42°C for 1.5 h in the water bath. Following heat shock, slices recovered for 6 h in a 37°C, 5% CO<sub>2</sub> incubator, and then the cortex and corpus callosum were dissected and prepared for western blotting.

Stereotaxic injection of viral vectors. Mice were anesthetized with an i.p. injection of Avertin (0.5 mg/g). Their heads were placed and fixed in a Kopf stereotaxic frame (Model 1900) equipped with a digital manipulator, and a UMP3-1 Ultra pump. Mice were kept deeply anesthetized as assessed by monitoring pinch withdrawal and respiration rate. Viral vector injections were given in the striatum (0.6 mm anterior to bregma, 2.0 mm lateral to the midline, and 3.5 mm ventral to dura). The injections were performed at a rate of 0.2  $\mu$ l/min. The needle was left in place for 10 min after each injection to minimize upward flow of viral solution after raising the needle

*Western blot analysis*. Cells or brain tissues were lysed in ice-cold RIPA buffer (50 mM Tris pH 8.0, 150 mM NaCl, 1 mM EDTA pH 8.0, 1 mM EGTA pH 8.0, 0.1% SDS, 0.5% DOC, and 1% Triton X-100) containing protease inhibitor cocktail and phosphatase inhibitors. The lysates were sonicated and subjected to SDS-PAGE. The proteins on the gel were transferred to a nitrocellulose membrane, which was then blocked with 5% milk/TBST for 1 h at room temperature. The blot was incubated with primary antibodies in 5% milk/TBST overnight at 4°C. After 3 washes in TBST, the blot was incubated with

HRP-conjugated secondary antibodies in 5% milk/TBST for 1 h at room temperature. After 3 washes in TBST, ECL Prime (GE Healthcare) was used to detect immunoreactive bands on the blot.

*Immunoprecipitation.* Cells were harvested and lysed in ice-cold 0.5% Triton X-100/PBS solution with protease inhibitor cocktail and 100 µM PMSF on ice. The lysates were centrifuged at 16,000g for 30 min. Protein concentrations were measured with BCA assay (Thermo Scientific). Total 300 µg samples were precleared with protein A agarose beads (Sigma), and huntingtin proteins were immunoprecipitated by anti-Htt (mEM48) or 1C2 at 4°C overnight. Protein A agarose beads were added to capture the immunoprecipitates for 1 h at 4°C. Ice-cold lysis buffer was used to wash beads 3 times. Proteins from the immunoprecipitates and inputs were subjected to Western blotting. For immunoprecipitation of ubiquitinated CHIP, CHIP-myc was transfected into cultured astrocytes. Anti-myc antibody was used to pull down CHIP-myc, and the immunoprecipitates were probed with anti-ubiquitin antibody to detect the ubiquitinated form of CHIP.

*Immunofluorescence staining*. Mice were anesthetized, perfused with fresh 4% paraformaldehyde in PBS, and postfixed overnight in the same fixative. Fixed brains were switched to 30% sucrose at 4°C. Mouse brains were sliced at 15 µm thickness with a cryostat at -20°C, and then mounted onto gelatin-coated slides. The brain slices were blocked with 3% bovine serum albumin in PBS supplemented with 0.2% Triton X-100 for 30 min at room temperature. For immunostaining cultured cells, cells were fixed with

4% paraformaldehyde for 8-10 min, followed by blocking with 3% BSA/0.2% Triton X-100 for 30 min at room temperature. Following incubation of fixed cells or brain slices with primary antibodies at 4°C overnight and washes, fluor-conjugated secondary antibodies and nuclear dye Hoechst were added to the samples for staining. Images were taken using a microscope (Axiovert 200 MOT; Carl Zeiss) and a 63X lens (LD-Achroplan 63X/0.75 NA) with a digital camera (ORCA-100; Hamamatsu Photonics). The Openlab software (PerkinElmer) was used for imaging acquisition.

*Proteasomal activity assay.* For determining proteasome activity, clear whole-cell extracts or cell fractions were adjusted to 0.5 mg/ml total protein by dilution with homogenization buffer. All assays were done in triplicate. Chymotrypsin-like activity of 20S  $\beta$ 5 was determined using the substrate Suc-LLVY-aminomethylcoumarin (AMC) (40 µM; Bilmol), trypsin-like activity of 20S  $\beta$ 2 was determined using the substrate Boc-LRR-AMC (Bilmol; 100 µM). Equal amounts (10 µg) of the extracts were incubated with corresponding substrates in 100-µl proteasome activity assay buffer (0.05 M Tris-HCl, pH 8.0, 0.5 mM EDTA, 1 mM ATP, and 1 mM DTT) for 30–60 min at 37°C. The reactions were stopped by adding 0.8 ml of cold water and placing the reaction mixtures on ice for at least 10 min. The free AMC fluorescence was quantified by using the CytoFluor multi-well plate reader (FLUOstar; BMG Labtech) with excitation and emission wavelengths at 380 and 460 nm, respectively. All readings were standardized using the fluorescence intensity of an equal volume of free 7-amino-4-methyl-coumarin (AMC) solution (40 mM), normalized by the protein concentrations.

*qRT-PCR*. Reverse transcription reactions were performed with 1.5  $\mu$ g of total RNA using the Superscript III First-Strand Synthesis System (Invitrogen). One microliter of cDNA was combined with 10  $\mu$ l SYBR Select Master Mix (Applied Biosystems) and 1  $\mu$ l of each primer in a 20  $\mu$ l reaction. The reaction was performed in a thermal cycler (Eppendorf, RealPlex Mastercycler).

T7 endonuclease 1 assay. N2a cells pre-cultured in a 6-well plate were co-transfected with HspBP1 sgRNA and Cas9 plasmids, or transfected only with HspBP1 sgRNA as control using Lipofectamine 2000 (Invitrogen). 72 h after transfection, the genomic DNA was extracted from transfected N2a cells. The target genomic region was amplified with The sequences of the PCR primers are PCR. follows: forward. 5' as ATAGTCTATCTTTAGGCGTGGTG 3', 5' and reverse. ACCCAACTACGTTGTGTGTGCGAGT 3'. The PCR products were denatured and reannealed, and then incubated with T7 Endonuclease I (New England BioLabs) for 20 min at 37°C. The reaction products were subjected to 2% agarose gel electrophoresis.

Statistical analyses. Unpaired two-tailed Student's t-test was performed with GraphPad Prism 6. Results are expressed as the means  $\pm$  SEM. A P value < 0.05 was considered significant. Statistical significance level was set as follows: \* if P < 0.05, \*\* if P < 0.01, \*\*\* if P < 0.001, \*\*\*\* if P < 0.001.



Figure 3.1 CHIP is more actively involved in mHtt degradation in astrocytes. A, More abundant mono-ubiquitinated CHIP (Ub1-CHIP) is present in cultured astrocytes than in neurons. **B**, CHIP knockdown decreased Ub1-CHIP in astrocytes (n=3)independent experiments). C, Immunoprecipitation indicated mono-ubiquitination of CHIP-Myc in cultured astrocytes. D, Expression of transfected mHtt (Htt-130Q) selectively increases the levels of CHIP and Ub1-CHIP in astrocytes but not in neurons [n=3 independent experiments (astrocytes), =5 independent experiments (neurons)]. E,Immunofluorescent staining shows the colocalization of transfected mHtt (Htt-130Q) with endogenous CHIP in cultured astrocytes. Scale bar = 5  $\mu$ m. F, CHIP knockdown increases both soluble and aggregated mHtt (Htt-130Q) in astrocytes (n=3 independent experiments). G, Colocalization of CHIP with mHtt aggregates was detected in glial cells in the corpus callosum, but not in neuronal cells in the striatum and cortex, in HD140Q KI mice at the age of 12 months. Arrowheads indicate nuclear mHtt aggregates; arrows indicate cytoplasmic aggregates in glial cells or neuropil mHtt aggregates in neurons. Scale bar = 15  $\mu$ m. *H*, Association of mHtt with CHIP and Ub1-CHIP was detected in HD140Q KI astrocytes but not in KI neurons. Quantitative data beneath the blots are represented as mean  $\pm$  SEM (Error bar). \*, P < 0.05, \*\*, P < 0.01 (unpaired two-tailed Student's *t*-test); ns, not significant.



Figure 3.2 CHIP enhances K48-linked polyubiquitination on mHtt in astrocytes. A-**B**, There are more K48-polyubiquitinated mHtt in KI cortical astrocytes than KI neurons. Ratios of K48-polyubiquitinated mHtt to total immunoprecipitated mHtt are from three independent experiments. *C-D*, CHIP knockdown suppressed K48-linked polyubiquitination on endogenous mHtt in HD140Q KI cortical astrocytes. Ratios of K48-polyubiquitinated mHtt to total immunoprecipitated mHtt are from three independent experiments. E, Expression of mHtt in Htt-130Q transfected astrocytes and HD140Q KI astrocytes did not affect proteasomal function when compared with Htt-23Q transfected or wild-type (WT) astrocytes (n=3 independent experiments). In figures a-d, cultured cells were treated with lactacystin (10 µM for 6 h) to inhibit proteasomal degradation. \*\*\*, P < 0.001, \*\*\*\*, P < 0.0001. ns, not significant. Data are represented as mean  $\pm$  SEM. Error bars indicate SEM.



Figure 3.3 Hsp70 promotes the association of CHIP with mHtt. *A*, PU-H71 induction promoted the association of transfected Htt-73Q with CHIP (upper panel) and also increased the expression of Hsp70 (lower panel). The ratios of immunoprecipitated CHIP to Htt-73Q in transfected HEK293 cells untreated (Control) or treated with PU-H71 from three independent experiments are also shown. *B*, Knocking down Hsp70 via siRNA abolished the co-immunoprecipitation of Htt-73Q with CHIP (upper panel) and diminished the level of Hsp70 in transfected HEK293 cells (lower panel). Right panel shows the ratios of CHIP to Htt-73Q in co-immunoprecipitation from three independent experiments. \**P* < 0.05, \*\*\*\**P* < 0.0001 (unpaired two-tailed Student's *t*-test). Data are represented as mean  $\pm$  SEM. Error bars indicate SEM.





Figure 3.4 There is more abundant Hsp70 in astrocytes than in neurons. *A*, Hsp70 is expressed at a higher level in wild-type cultured cortical astrocytes than neurons (n=4 independent experiments). *B*, The higher level of Hsp70 in the corpus callosum (CC) than in the cortex (CTX) of 3-month-old wild-type mouse (n=4 independent experiments). \*, P < 0.05, \*\*\*, P < 0.001, (unpaired two-tailed Student's *t*-test); ns, not significant. Data are represented as mean ± SEM (Error bar).



Figure 3.5 Differential CHIP activity causes distinct heat-shock responses in astrocytes and neurons. A, Typical heat shock for 1 h induced Hsp70, Hsp90, CHIP and Ub1-CHIP specifically in wild-type cortical astrocytes at DIV 21-30 (left panels), but not in wild-type cortical neurons at DIV 10-14 (right panels). Ratios of indicated proteins to GAPDH are from 4 independent experiments. **B**, Heat shock stress increased Hsp70 in the corpus callosum, but not in the cortex, of brain slices from wild-type (WT) and HD140Q KI mice at the age of 3 months. Quantitative results are from 3 independent experiments. CTX, cortex; CC, corpus callosum; HS, heat shock. C, Induction of Hsp70 by 1 h heat shock was completely inhibited by CHIP knockdown, and no Hsp90 was induced in wild-type astrocytes at DIV 40-50. D, CHIP knockdown decreased the expression of Hsp70 and Hsp90, but did not affect levels of Hsc70 in DIV 40-50 wildtype astrocytes. E, Ratios of indicated proteins to GAPDH are from three (for c) and four (for d) independent experiments [upper panel for (c) and lower panel for (d)]. \*, P < 0.05, \*\*, P < 0.01, \*\*\*, P < 0.001 (unpaired two-tailed Student's *t*-test). ns, not significant. Data are represented as mean  $\pm$  SEM (Error bar).



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Figure 3.6 Increased mono-ubiquitinated CHIP and Hsp70 responses in HD140Q KI astrocytes. A, Hsp70 and Hsp90 were not inducible in cultured KI neurons at DIV 10-14 by 30 min of heat shock stress, and CHIP decreased after heat shock. Quantitative results are from four independent experiments. B, Heat shock for 30 min was able to induce Hsp70, CHIP, and Ub1-CHIP, but not Hsp90, in cultured KI astrocytes at DIV 21-30. Relative levels of proteins (ratios to GAPDH) on western blots were from four independent experiments. *P < 0.05, **P < 0.01, ***P < 0.001 (unpaired two-tailed Student's t-test); ns, not significant. Data are represented as mean \pm SEM. Error bars indicate SEM.
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Figure 3.7 High activity of CHIP contributes to astrocytic resistance to hyperthermia. *A*, Apparent demise of cultured cortical neurons at DIV 10-12 after heat-shock stress (45°C, 30min). The same heat-shock stress (45°C, 30min) did not affect viability of cultured cortical astrocytes at DIV 40-45. Neurons and astrocytes were fixed and immunostained at 24 h after heat shock. *B*, CHIP knockdown caused the death of a portion of CHIP shRNA-transfected astrocytes, which was characterized by shrunken nuclei, at 24 h after heat shock (45°C, 30min). Arrows indicate corpse of astrocytes. *C*, Quantitative results of Figures 4a,b. At least ten visual fields were randomly picked up for each group, and the live cells in each visual field were quantified. *D-E*, TUNEL assay showing increased susceptibility of CHIP-knockdown astrocytes to heat shock stress (45°C, 30 min). Arrows indicate apoptotic astrocytes (TUNEL-positive cells indicated by green fluorescence). \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001 (unpaired two-tailed Student's *t*-test); ns, not significant. Data are represented as mean  $\pm$  SEM. Error bars indicate SEM. Scale bars: 10 µm.



Figure 3.8 CHIP is required for prompt degradation of misfolded proteins in astrocytes. *A-C*, Mutant proteins TDP-43 (M337V), TBP-105Q, and  $\alpha$ -synuclein (A53T)-CFP were transfected in cultured neurons and astrocytes untreated or treated with CHIP shRNA. The cells were than treated with cycloheximide (CHX, 0.1 mM) for different time periods (0-24 h). The half-lives of mutant proteins in neurons and astrocytes showed that astrocytes degraded mutant proteins faster than neurons, which is inhibited by CHIP knockdown. Quantitative results are from three independent experiments. Asterisks indicate significant difference between astrocytes (without CHIP shRNA treatment) and neurons. \*, P < 0.05, \*\*, P < 0.01 (unpaired two-tailed Student's *t*-test). Data are represented as mean  $\pm$  SEM (Error bar).



Figure 3.9 Neurons have more abundant HspBP1 than astrocytes in vitro and in vivo. *A*, Higher levels of HspBP1 in cultured cortical neurons than astrocytes were shown by western blotting, and quantitative results from three independent experiments are beneath blots. *B*, Representative images of immunostaining showed differential expression levels of HspBP1 between cultured neurons and astrocytes. *C*, Greater abundance of HspBP1 in neurons than astrocytes in the mouse brains was exhibited by fluorescent immunostaining. *D*, Immunostaining demonstrated more HspBP1 expression in neurons in the gray matter of human basal ganglia than that in astrocytes in the white matter of basal ganglia. Two brain samples from the people at the ages of 57 years were used (one male and one female). *E*, Statistical results for figure C from three mice, and figure D from two human brains. \*\*, P < 0.01, \*\*\*\*, P < 0.0001 (unpaired two-tailed Student's *t*-test). Scale bar = 5 um (Fig. b), = 20 um (Fig. C). Data are represented as mean  $\pm$  SEM (Error bar).



Figure 3.10 Deficient expression of HspBP1 in astrocytes contributes to high activity of CHIP in astrocytes. *A*, Expression of HspBP1 in cultured astrocytes reduced the level of Ub1-CHIP, and major form of CHIP increased correspondingly (n=3 independent experiments). *B*, qRT-PCR showed that overexpression of HspBP1 did not affect transcription of CHIP in cultured astrocytes (n=3 independent experiments). *C*, HspBP1 expression in cultured astrocytes stabilized aggregated and soluble mHtt, and suppressed induction of CHIP and Ub1-CHIP by mHtt, which was seen in Figure 1c (n=3 independent experiments). *D*, Induction of Hsp70, CHIP, and Ub1-CHIP by heat-shock stress at 42 °C for 1 h was significantly inhibited by HspBP1 expression in DIV 30-50 astrocytes (n=4 independent experiments). *E*, Expression of HspBP1 decreased basal levels of Hsp70 in astrocytes (n=3 independent experiments). Scale bar = 5  $\mu$ m. \*, P < 0.05, \*\*, P < 0.01, \*\*\*\*, P < 0.0001 (unpaired two-tailed Student's *t*-test). Data are represented as mean  $\pm$  SEM (Error bar).



**Figure 3.11 Genome-editing activity of HspBP1 sgRNA.** *A*, For increasing specificity and decreasing off-target binding, we used Target Finder that is generated by Feng Zhang's lab to design gRNA. The chosen target sites of sgRNA are shown in bold font, and the PAM sequence is highlighted in red. *B*, Genome-editing activity of HspBP1 sgRNA was tested using T7E1 assay. N2a cells were co-transfected with HspBP1 sgRNA and Cas9 plasmids, or transfected only with HspBP1 sgRNA plasmid as control. The T7E1 assay was carried out using PCR products of genome DNA from transfected N2a cells. The arrow indicates cleaved PCR products by T7E1 enzyme. WT, wild-type; MT, mutant. *C*, Western blotting assay showed knockdown of HspBP1 at the protein level in N2a cells that were co-transfected with HspBP1 sgRNA and Cas9 plasmids. *D*, Mutations in target HspBP1 gene were identified by TA cloning and subsequent sequencing.



Figure 3.12 Knocking down HspBP1 is neuroprotective in HD 140Q KI mice. *A*, HspBP1 knockdown in cultured neurons induced Ub1-CHIP expression. *B*, Hsp70/90 were induced 6 hr after heat shock (42°C for 1 h) in HspBP1-knockdown neurons (n=3 independent experiment). *C*, Schematic representation of DNA constructs of HspBP1 sgRNA and Cas9. Viral injection of HspBP1 sgRNA and Cas9 into one striatum of HD 140Q KI mice was performed to knock down endogenous HspBP1, and injection of HspBP1 sgRNA alone into the striatum of the other hemisphere served as a control. *D*, In the striatum of HD140Q KI mice, HspBP1 knockdown by CRISPR/Cas9 was validated by western blotting. *E-J*, HspBP1 knockdown (KD) decreased mHtt aggregates and reactive astrocytes, rescued the loss of synaptophysin in the striatum of HD140Q KI mice at the age of 13-14 months as compared with the injection with HspBP1 sgRNA alone (Con). Results were collected from three HD140Q KI mice. \*\*\* P < 0.001, \*\*\*\*, P < 0.0001 (unpaired two-tailed Student's *t*-test). Scale bars = 20 µm. Data are represented as mean  $\pm$  SEM (Error bar).



**Figure 3.13 Proposed model for the differential accumulation and toxicity of misfolded proteins in neurons and astrocytes**. CHIP is more active in astrocytes due to deficient HspBP1 expression. CHIP preferentially binds to and polyubiquitinates misfolded proteins for prompt proteasomal degradation in astrocytes, a process in which CHIP itself is also monoubiquitinated. In addition, the high activity of CHIP confers the robust heat-shock response and the higher basal levels of Hsp70 in astrocytes, which in turn promotes the association between CHIP and misfolded proteins. Chapter 4

General conclusions and future directions

## **General conclusions**

My study addresses two key issues about HD pathogenesis: 1) why mHtt is prone to aggregation in neuronal processes; 2) why astrocytes are less susceptible to mHtt than neurons. The findings advance our understanding of the pathogenesis of HD, and also reveal cell-type-specific protective mechanisms, offering the promise of developing new molecular targets for therapies against HD. Using the optical-pulse chase assay, I was able to investigate the degradation rates of soluble mHtt in the cell body and process. Faster degradation of mHtt than its wild-type counterpart in cell bodies explains why mHtt is not prone to aggregation in the cell body. In contrast, the expanded polyQ tract stabilizes soluble mHtt in neuronal processes but not in astrocytic processes. This finding suggests not only impairment of mHtt turnover in neurites, but also distinct proteolysis of mHtt in astrocytic processes. It thus seems likely that the proteasome or certain proteinase is more active in astrocytic processes to clear mHtt. HD is characterized by the loss of neurons in striatum. If mHtt is cleared efficiently in the neuronal cell body, why do neurons die? Our findings support the "dying back" model: degeneration that is induced by the accumulation and aggregation of mHtt occurs first in neurites, and then moves retrogradely to the cell body. Indeed, mHtt aggregate-associated axonal degeneration is an early pathological event in the HD mouse model (Li et al., 2001). We also found that the proteasome plays a more important role than autophagy in mHtt degradation in both neurons and astrocytes, which conflicts with a previous report that autophagy plays a primary role in removing exon1-mHtt (Tsvetkov, 2013). We speculate that the length of the N-terminal Htt fragment may influence the degradation of mHtt. Exon1-mHtt, the shortest N-terminal fragment that can aggregate more rapidly than other
longer Htt fragments, may not be the proper substrate for the proteasome, and therefore, this fragment is preferentially degraded by autophagy. On the other hand, our study also demonstrates the feasibility of using optical-pulse chase to study Htt turnover at subcellular levels. This methodology can be extended to other types of misfolded proteins to further reveal their detrimental roles in neuropathology.

Remarkably, our live-cell imaging revealed that astrocytes degraded mHtt more efficiently than did neurons. This observation explains why mHtt aggregates are less prevalent in astrocytes, and why astrocytes are affected to a lesser extent than neurons in the HD brains. This finding is also consistent with the fact that astrocytes have greater proteasomal activity (Tydlacka et al., 2008). To further investigate the mechanisms causing faster clearance of mHtt, we focus on the UPS in astrocytes. Intriguingly, we found greater abundance of mono-ubiquitinated CHIP (Ub1-CHIP) in astrocytes, which is indicative of high activity of CHIP in these cells. There are several lines of evidence to further support this conclusion: 1) the expression of mHtt causes astrocyte-specific induction of CHIP and Ub1-CHIP; 2) both CHIIP and Ub1-CHIP bind to mHtt selectively in astrocytes, which increases K48 polyubiquitinated mHtt; 3) astrocytespecific robust heat shock response is mediated by CHIP. Given the ubiquitination of misfolded proteins by CHIP for proteasomal degradation, we postulate that active CHIP in astrocytes results in prompt clearing of misfolded proteins. Indeed, in addition to mHtt, a variety of misfolded proteins are cleared faster in astrocytes than in neurons, which was halted by CHIP knockdown. These findings suggest that active CHIP is a common protective mechanism preventing the aggregation of misfolded proteins in astrocytes. It is noteworthy that the expression of mHtt specifically in astrocytes is sufficient to cause

abnormal behavioral phenotypes in mice older than 13 months (Bradford et al., 2009), which is proposed to be due to the reduction of proteasomal activities with aging in these cells.

Next, we sought factors that activate CHIP in astrocytes. We found differential expression of HspBP1, an inhibitor of CHIP, between neurons and astrocytes. Expression of HspBP1 is more abundant in neurons than in astrocytes, which leads to the lower activity of CHIP in neurons. In support of this notion, overexpression of HspBP1 suppressed CHIP activation, which is evidenced by decreased Ub1-CHIP. HspBP1 expression also caused aggregation of mHtt, and eliminated differential Hsp70 expression between neurons and astrocytes under either physiological or heat-shock conditions. Recently, the CRISPR/Cas9 system has been widely used to edit the genome to manipulate the expression of proteins of interest. We took advantage of CRISPR/Cas9 to knock down HspBP1 in neurons, which activates CHIP in primary neurons. In vivo, we found that HspBP1 knockdown in striatal neurons of HD KI mice reduced mHtt aggregates, ameliorated astrogliosis, and rescued loss of synaptophysin, suggesting that silencing HspBP1 expression is neuroprotective.

Collectively, using the optical-pulse chase assay to investigate mHtt degradation in processes and cell bodies, our live-cell imaging results provide clues for the resistance of astrocytes to HD. Importantly, we found that HspBP1-mediated differential CHIP activity accounts for the distinct vulnerability of neurons and astrocytes to misfolded proteins. These findings reveal intrinsic differences in the UPS between neuronal and glial cells, and suggest that activating CHIP via eliminating its molecular inhibitor is a potential therapeutic strategy against misfolded proteins.

## **Future directions**

Several questions remain to be studied, and I list and discuss them as follows:

First, in addition to neuronal processes, mHtt also preferentially aggregates in neuronal nuclei. The nuclear accumulation of mHtt disturbs a broad range of gene expression via binding to various transcriptional factors, such as Sp1. It is proposed that mHtt degradation in the nucleus is not as efficient as in the cytoplasm, owing to lack of autophagy and lower proteasomal activity in the nuclei than that in the cytoplasm. Although we had compared the proteolysis of mHtt in the processes and cell bodies with the optical-pulse chase assay, we did not investigate mHtt degradation in the nucleus. This is because the majority of transfected mHtt was localized in the cytoplasm, and the intensity of red fluorescence of activated dendra2 after photoconversion in the nucleus is too low to evaluate the half-life of mHtt. To overcome this problem, future studies should fuse nuclear localization sequence (NLS) to the Htt-dendra2 to increase translocation of mHtt into the nucleus for the photoconversion experiment.

Second, our results demonstrate the impaired clearance of mHtt in neuronal processes compared with neuronal cell bodies, which raises a question of what causes this compartment-dependent degradation of mHtt in neurons. Given that proteasomal activity is compromised in the synapses of mHtt-expressing neurons (Wang et al., 2008), we postulate that activities of certain chaperones required for refolding misfolded proteins and ubiquitin enzymes of the ubiquitin-proteasome system (UPS) may be correspondingly downregulated in the processes of HD neurons. Considering that normal functions of the UPS and chaperone network in neuronal processes highly rely on microtubule-dependent transport of mRNA and proteins as well as local protein synthesis (Deglincerti and Jaffrey, 2012; Hsu et al., 2015; Campbell and Holt, 2001), mHtt expression may impair the microtubule-dependent transport along neurites, which leads to insufficient components of the chaperone network and UPS, and as a result, reduced competence of the quality control system in neurites. To test this hypothesis, we need to extract fractions of neuronal processes and somata, and then subject the samples to RNA-sequencing analysis that could provide comparatively quantitative data of mRNA between neuronal processes and cell bodies.

Third, the results of RNA-sequencing indicate differential expression of HspBP1 between neurons and astrocytes at the transcriptional level, but the factors causing this difference are elusive. Gene expression is a sophisticated process that is finely regulated by a variety of transcriptional factors. Given that promoters play essential roles in gene expression, it is imperative to compare the activity of the HspBP1 promoter in cultured neurons and astrocytes, which can be achieved by a luciferase promoter activity assay. If different activities of the promoter in neurons and astrocytes are indeed identified, specific transcriptional factors in astrocytes that bind to the promoter of the HspBP1 gene need to be identified. In addition, the putative differential activity of certain transcriptional factors may cause differential expression of HspBP1 between neurons and astrocytes. On the other hand, although the CRISPR/Cas9 is a convenient tool for gene editing, off-target effects need to be considered. And, whether CRISPR/Cas9 causes unwanted and unexpected mutations remains to be studied (Schaefer et al., 2017). Therefore, to further study the effects of HspBP1 levels on mHtt aggregation in neurons in vivo, we need to generate germline or conditional HspBP1 knockout mice, either of which could be used to cross with the HD KI mouse to investigate whether the loss of HspBP1 in neuronal cells is protective against mHtt accumulation and its neurotoxicity.

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